

1 **River flow as a determinant of salmonid distribution and abundance: a review**

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9

9 **Abstract**

10 River flow regime is believed to have a fundamental effect on riverine biota. It influences key aquatic
11 processes, including levels of dissolved oxygen, sediment transport and deposition, water quality and
12 habitat type and distribution. We review the impact of flow on the abundance and distribution of
13 salmonid fishes in the context of developing approaches to regulating, setting and restoring river flow
14 regimes as a means of conserving and managing populations. Flow can have direct impacts on salmonids,
15 both through peak flow resulting in the washout of juveniles, and stranding of all life stages under low
16 flow conditions. Salmonids can also be adversely affected through indirect effects of flow, from impacts
17 on water temperature, dissolved oxygen condition, sediment deposition, and habitat availability. Early life
18 stages, particularly eggs and larvae, appear particularly susceptible to the adverse impacts of flow, since
19 they have a limited capacity for behavioral responses to altered flow conditions. A constraint to
20 conservation and management efforts for salmonids is in selecting river flow targets at the catchment
21 scale with confidence. Most studies linking flow with salmonid population processes are site specific, and
22 may not be readily transferable to other sites. Despite this uncertainty, the requirement for catchment
23 level flow targets has become critical as pressure on water resources has intensified, at the same time that
24 salmonid populations have declined. Our proposal is that hypothesis-led analyses of broad scale long-
25 term datasets are key to quantifying variability in fish abundance with respect to flow and informing flow
26 modification field experiments. The water industry, conservation organizations, and environmental
27 regulators are charged with collaboratively tackling the question of how to set, manage and restore river
28 flow parameters, within the framework of the emerging science of hydroecology.

29 *Keywords:* ecological engineering, fish, hydroecology, management, model, restoration, salmon, trout

30

30 **Introduction**

31 River flow regimes influence a number of key aquatic processes, including levels of dissolved oxygen,
32 sediment transport and deposition, water quality (through dilution and flushing), and habitat type and
33 distribution (Poff et al., 1997; Richter et al., 1998; Bunn and Arthington, 2002). These processes
34 influence the distribution and abundance of biota and flow regimes can thereby directly or indirectly
35 determine the spatial and temporal distribution of fish (Jowett et al., 2005; Poff and Zimmerman, 2010).
36 Human perturbation of flow, either by directly extracting water, regulating rivers using weirs and dams,
37 or indirectly through patterns of land use, may affect fish populations and communities (Freeman et al.,
38 2001; Cattaneo, 2005; Park et al., 2006; Benejam et al., 2010). Understanding the relationship between
39 flow and fish abundance and distribution represents a key goal in aquatic ecology and fisheries
40 management, and particularly in attempts to manage, restore and rehabilitate rivers for the benefit of
41 aquatic communities (Richter et al., 2003; Souchon et al., 2008; Poff et al., 2010). Because of their
42 ecological and commercial importance, salmonids have been the chief focus of the debate over flow
43 management (Quinn, 2011; Milner et al., 2012).

44 In recognizing the dominant role of river flow on salmonids an additional consideration is the
45 life-stages affected (Nislow and Armstrong, 2012). The direct and indirect effects of river flow will affect
46 different salmonid life-stages in distinct and sometimes contrasting ways (Johnson et al., 1995; Malcolm
47 et al., 2012; Milner et al., 1998; Nislow and Armstrong, 2012). The impacts of flow that act strongly on
48 developing eggs (Steen and Quinn, 1999) may differ from those impinging on, for example, juveniles
49 (Elliott et al., 1997) or migrating adults (Solomon and Sambrook, 2004). Impacts on different life-stages
50 will depend on the timing and duration of low or high flow events (Bischoff and Wolter, 2001). High
51 flows may have a profound effect on early life stages, whereas periods of low flow may interrupt the
52 migration of adults. The flow requirements of salmonids have been reviewed (Quinn, 2011; Nislow and
53 Armstrong, 2012).

54

55 *The significance of flow for salmonids*

56 The aim of this review is to summarize key scientific studies that demonstrate how river flow can
57 influence the abundance of salmonid fishes, though where relevant, case studies using non-salmonid taxa
58 are also included. A secondary goal is to identify which aspects of flow play the most significant role in

59 shaping salmonid populations, and thereby, how this information might be used in river management, and
60 rehabilitation and restoration of rivers for salmonids. The review focuses on salmonids because the bulk
61 of studies that have addressed this question have concentrated on this family of fishes, a reflection of their
62 economic and perceived ecological importance. Many salmonids are also of considerable conservation
63 interest (Allendorf and Waples, 1996). Migratory salmonids occupy entire river catchments, from
64 headwaters to estuaries, with each life stage having some dependency on the different habitat types
65 potentially makes them particularly sensitive to river flow regime alteration. Salmonids have,
66 consequently, been considered good ecological indicators of the impact of flow regime on ecosystems
67 over a broad range of environmental scales (Milner et al., 2012).

68 Another feature of salmonid biology that makes them a valuable model in understanding the
69 ecological impact of flow is the plasticity of their life-history traits in response to environmental
70 variability (Klemetsen et al., 2003; Rieman and Dunham, 2000). Thus salmonids display wide intra- and
71 interspecific variability in responses to flow variability, with the capacity to adapt to high-gradient upland
72 streams and lowland rivers and estuaries (Beechie et al., 2006; Moore et al., 2012). While this feature of
73 salmonid biology can serve to identify how flow regimes select for specific life-history traits, these
74 adaptive responses also make it difficult to derive generic models of the impacts of flow, with contrasting
75 results generated in different locations (Milner et al., 2012).

76

77 *Describing river flow*

78 Hydrologists typically use the term ‘flow’ to describe the volume of water that is discharged past a single
79 point on a river. The flow regime of a river comprises flow magnitude, frequency, duration, timing and
80 rate of change (Poff et al., 1997). Various numerical variables are used to describe mean, median, peak
81 and low flow rates so that each of these components of water flow can be estimated (Poff et al., 1997;
82 Shaw, 1988). Originally these descriptors were intended for river engineering or water resources
83 management, driven by human population needs, such as flood alleviation or public water supply
84 (Newson, 1994). Regulatory changes over time have shifted the emphasis of river and water resources
85 management towards an ecological basis in order to meet the requirements of legislation, such as the EU
86 Habitats Directive (European Commission, 1992) and the EU Water Framework Directive (European
87 Commission, 2000). Consequently, the term ‘environmental flow’ has entered usage to denote the amount

88 of flow required in a watercourse to maintain a healthy ecological state (Arthington et al., 2003; Gibbins
89 et al., 2001; Acreman et al., 2008). The introduction of this term is problematic since quantifying
90 'environmental flow' is difficult for complex ecological systems, though it at least encapsulates an
91 important concept. Despite these difficulties environmental flows are widely used to set abstraction limits
92 and reservoir releases in river management and are typically defined in terms of departure from some
93 baseline state, such as the 'natural' flow, itself a subjective concept since natural flows will naturally
94 change in response to seasonal and climatic variation (for a full discussion see Poff et al., 1997). If the
95 science and application of environmental flows is to develop, a better quantitative understanding of flow
96 variability and biological response is required (Poff et al., 1997). For this review our goal is to evaluate
97 the evidence for flow induced responses in salmonids, and identify the key aspects of those responses that
98 appear relevant to the setting of environmental flows for salmonid management and as targets for
99 restoration measures. For a recent review of terminology see Milner et al. (2011).

100 Part of the review outlines the processes that drive river flow so that their different scales and
101 interactions can be placed in context when considering biological responses. This conceptual background
102 is important to those with a biological or ecological background in order to gain some insight into the
103 hydrological disciplines that tend to dominate river and water resources management. Transference can
104 then be made to the rehabilitation of river ecology and restoration of natural features in engineered or
105 degraded rivers. For brevity we employ the term 'restoration' to denote both river rehabilitation and
106 restoration measures.

107

108 **Determinants of river flow**

109 The natural flow regimes of rivers can vary markedly depending on the sources and components of water
110 runoff. Freeze (1972) partitioned the total runoff from a natural catchment into four component parts:
111 channel precipitation, overland flow, interflow (subsurface flow), and groundwater flow (Freeze, 1972;
112 Ward, 1974). Channel precipitation is that which falls directly onto the river water surface and represents
113 the smallest component, since river surface area will make up only a small percentage of total catchment
114 area. Overland flow is runoff that fails to infiltrate the substrate surface and is determined by the degree
115 of soil saturation. Interflow occurs when water infiltrates the soil and moves laterally through the upper
116 soil horizons to reach river channels. Precipitation that percolates through soils to the underlying water-

117 bearing strata contributes to the groundwater flow component. Groundwater flow tends to lag behind
118 rainfall events and is important in sustaining river flow during periods of little or no rain. The relative
119 contribution of each of these components will determine the flow regime of a river. When these
120 components are considered alongside other catchment characteristics, such as catchment size, rainfall
121 pattern (spatial and temporal), geology, soil type and topography, an extensive range of river flow
122 regimes will result (Ward, 1974; Newson, 1994; Poff et al., 1997). For example, upland rivers in northern
123 Europe have a high runoff per unit area due to high rainfall and low evapotranspiration, combined with an
124 impermeable geology, steep gradients and thin soils (Burt, 1992; Gilvear et al., 2002). They generally
125 show marked flow peaks and troughs in response to periods of high and low rainfall due to short lag times
126 between rainfall events and changes in river flow (Shaw, 1988). In contrast, lowland alluvial rivers will
127 be influenced more by groundwater. As such, these show relatively more consistent and stable patterns of
128 flow due to a damped response to rainfall and sustained flows in dry periods (Shaw, 1988). A further
129 contrast is found in regions, such as North America, that experience significant precipitation in the form
130 of snow, where river flow can be dominated by patterns of snowmelt. An assumption is that these varying
131 patterns of flow will select for contrasting salmonid life histories, an assumption that appears to be
132 justified (e.g. Elliott, 1995; Beechie et al., 2006).

133 Approaches to understanding river flow regime need to reflect the regional patterns. In the UK,
134 the Institute of Hydrology developed the Base Flow Index (BFI) as a standard approach to apportioning
135 the total river outflow to baseflow (Newson, 1994). The highest BFI scores relate to chalk and other
136 porous limestone catchments, whilst the lowest corresponds to clay-dominated catchments (Table 1). This
137 index provides hydrologists and water resource managers with a comparative guide to identify catchment
138 types that are likely to be prone to low-flow conditions. In the USA, Reidy Liermann et al. (2012)
139 developed a system of classification of rivers with relevance for the Pacific Northwest. Using a Bayesian
140 mixture model they identified seven major classes of flow, a critical first step for setting flow
141 requirements in the region. At a broader scale, Poff (1996) used the hydrological characteristics of
142 relatively undisturbed rivers across continental USA to derive a river classification scheme that reflected
143 patterns of flow variability among rivers. Attempts at a comparable hydromorphological assessment of
144 European rivers have been less comprehensive (e.g. Raven et al., 2002; Downs and Gregory, 2014),
145 though the EU Water Framework Directive now requires a status assessment of all water bodies.

146

147 **Geomorphology**

148 River flow patterns are dependent on the nature of the catchment through which they flow, which in turn
149 is dictated by the underlying geology and topography and ultimately rainfall, the primary determinant of
150 flow patterns (Helliwell et al., 2007). However, despite having similar controls, in terms of hydrology and
151 geomorphology, river flow patterns may still diverge (Schumm, 1985). In addition to pattern diversity,
152 Schumm (1985) noted that rivers also exhibit variation in their stability and resistance to erosion
153 stemming from differences in bank and bed material and hydrological regimes. Nevertheless, the same
154 controls operate at all scales from catchment and whole river at the broadest scale, to river reach at the
155 medium scale, through to small scale processes such as in-channel features and sediment structure
156 (Schumm, 1985; Newson and Newson, 2000). Geomorphological processes define both channel form and
157 the controls on channel form, which ultimately determine channel change (Environment Agency, 1998).
158 The interaction of flow with geomorphology, lithology and valley form are important elements in
159 determining the physical habitat experienced by the biota living within a river (Poff et al., 1997; Newson
160 and Newson, 2000), in particular determining bed scour depth, water velocity and depth, and inter-gravel
161 flow for spawning salmonids and their eggs (Boughton et al., 2009).

162

163 **Natural constraints on river flow**

164 The four main runoff components to river flow (channel precipitation, overland flow, interflow and
165 groundwater flow) are controlled through variations in climatic and catchment factors and their
166 interaction (Ward, 1974; Shaw, 1988; Briggs et al., 1997). These controls are generic in that they
167 influence both high and low river flow through their differing temporal and spatial variation and the
168 nature of the interactions (Figure 1). Low river flows are natural phenomena that are ultimately dependent
169 on a lack of rainfall and limited groundwater inputs. The main processes that sustain river flows in dry
170 weather are storage and discharge from within the catchment consisting of groundwater and subsurface
171 flow, storage in wetlands, lakes or snowmelt (Smakhtin, 2001). Patterns of low flows are determined by
172 temporal variation in the magnitude of low flows, its variability, flow depletion and duration, along with
173 spatial variations due to the regional distribution of rainfall, channel morphology, drainage network and
174 catchment altitude and topography (Shaw, 1988; Briggs et al., 1997; Smakhtin, 2001). In general terms,

175 rivers in catchments with permeable geologies have a greater capacity to sustain river flows than rivers in
176 impermeable catchments.

177 The processes that determine low flow conditions are similar to those that determine flood flow
178 conditions (Figure 1). Again, variation in meteorological and terrestrial components will influence
179 flooding seasonality, frequency, duration and intensity (Ward, 1974; Briggs et al., 1997). Flooding tends
180 to have a seasonal pattern, for example, in northern Britain the majority of flood events (>78%) occur in
181 the winter period between October to March, though they have been recorded at all times of year (Black
182 and Werrity, 1997). Although flooding can be seasonal, flood conditions can also occur unpredictably and
183 develop more quickly than low flow conditions, so can be seen as exerting greater influence on riverine
184 communities (Junk et al., 1989; Poff et al., 1997).

185

186 **Anthropogenic effects on river flow**

187 Globally there are few riverine systems whose flow regime is unaffected by human activities (Ward,
188 1974; Petts, 1984; Sala et al., 2000). A range of human activities is capable of affecting rivers both
189 through direct alteration of river channel habitats or through changes to hydrological and
190 geomorphological processes, which ultimately alter river flow regimes (Poff and Allen, 1995). Dams and
191 other hydraulic structures, agricultural and forestry practices, urbanization and water abstraction have the
192 potential to alter river flow regimes and impact on river biota, including salmonid populations.

193

194 *Water abstraction and impoundments*

195 Impoundments and abstractions can lead to reduced annual and seasonal flow volumes. Groundwater
196 abstractions can reduce the baseflow of rivers that depend upon this component of runoff to sustain flows
197 during periods of low rainfall (Stevens, 1999; Weber and Perry, 2006). Additionally, headwater streams
198 in high baseflow catchments can dry up, or their sources migrate downstream, thereby reducing habitat
199 availability for fish and other organisms. The reduction of groundwater flow into rivers can also lead to
200 thermal impacts on biota (Caissie, 2006). Salmonids have relatively exacting thermal requirements
201 (Elliott, 1995; Wootton, 1998), with impacts on survival, growth, movement, migration and emergence
202 (Caissie, 2006). In chalk streams in the southern UK, the reduction of relatively cool groundwater is
203 recognized as a potential limiting factor for the survival of salmonids, especially when air and water

204 temperatures are elevated (Solomon and Lightfoot, 2008). Similarly, rainbow trout (*Oncorhynchus*
205 *mykiss*) in streams in Oregon, USA were dependent on cold water refugia created by upwelling
206 groundwater to persist in warmer stream reaches (Ebersole et al., 2001).

207 Surface water abstraction can also reduce flow over a range of scales, from annual to daily,
208 especially where large public water supply intakes are in operation. Large water intakes or diversions can
209 disrupt the attractant flow for salmonids during downstream migration, leading to the entrapment of
210 individuals and removal of significant numbers from the spawning population (Solomon, 1992;
211 Arahamian and Jones, 1997; Turnpenny et al., 1998). Other surface water intakes, for practices such as
212 fish farming and watercress cultivation, tend not to affect the overall water resource budget as they return
213 nearly all the water they use, though they can create river reaches that are depleted of flow and thus
214 present habitat loss and potential barriers to migration for fish (Jones, 1990; Casey and Smith, 1994;
215 Kelly and Karpinski, 1994). Fish farms can also degrade water quality and introduce pathogens to wild
216 fish (Crisp, 1993). Reservoir operations can modify extensively the flow regime of rivers downstream,
217 tending to reduce flow variability and aspects of the flow regime that play a role at specific life history
218 stages (Gustard et al., 1987; Magilligan and Nislow, 2001; Pavlov et al., 2008). For example, flows that
219 would normally transport fine sediment downstream, helping maintain hydromorphological conditions for
220 biota, can be removed resulting in reduced sedimentation of river reaches below the dam. An outcome is
221 coarsening of the substrate, termed 'bed armoring', which limits habitat availability, as well as increasing
222 the risk of 'downcut' or channel erosion (Poff et al., 1997; Pulg et al., 2013; Osmundson et al., 2002).
223 Natural low flow conditions can also be elevated by reservoir compensation flows, which may have been
224 set without any ecological basis (Gustard et al., 1987; Acreman and Dunbar, 2004). Low flows may be
225 needed during the period of emergence of larval salmonids to prevent washout and promote growth
226 (Humphries and Lake, 2000).

227
228 *Hydropeaking*
229 Flow regulation and management for activities such as hydropower present fish downstream of the point
230 of water release with a strikingly unnatural environment in terms of flow regime. The rapid increase in
231 flows from dam releases (hydropeaking) are non-seasonal, frequent, of high magnitude and have varied
232 duration (Lucas and Baras, 2001). Water released from dams may be at a lower or higher temperature

233 than the river into which they are released. In some cases released water may be depleted in oxygen, in
234 other cases supersaturated (Lucas and Baras, 2001).

235 The impact of hydropeaking may vary among species and river types. Scruton et al. (2003)
236 detected species-specific behavioral responses in salmonids. Atlantic salmon (*Salmo salar*) showed two
237 distinct patterns during hydropeaking trials, fish either showed high site fidelity or moved substantial
238 distances in response to water releases. In contrast, brook trout (*Salvelinus fontinalis*) moved more in
239 relation to releases than to stable flows and also moved more at night in both stable and dynamic flow
240 conditions. Valdez et al. (2001) investigated the effects of dam releases on the Colorado River and found
241 little effect on the distribution, abundance or movement of native fish, proposing that the magnitude and
242 duration of releases were insufficient to displace populations on this river. Hydropeaking has also been
243 associated with strandings, with sudden reductions in flow leaving fish isolated in pools or on exposed
244 substrate (Saltveit et al., 2001; Irvine et al., 2009).

245
246 *Flood risk management*
247 Mitigating flood risk is a major, though not exclusive, source of river engineering works (Smith and
248 Winkley, 1996; Petts, 2009). Flood risk measures typically involve the straightening and resectioning of
249 river channels to increase conveyance, and gravel removal to lower the riverbed and thereby increase
250 channel capacity (Purseglove, 1988). Impediments to flow are also removed. Thus, boulders and woody
251 debris are removed from the river channel, and riparian vegetation is cut back or removed altogether
252 (Brookes et al., 1983; Harmon et al., 1986). Channelization and river clearance generate structurally
253 simple and hydraulically efficient river channels that facilitate the rapid clearance of water from the
254 floodplain (Brookes, 1985; Hodgson and O'Hara, 1994). These measures have the effect of intensifying
255 the impact of high flows (Poff et al., 1997; Petts, 2009). In addition, the loss of structural complexity and
256 refuge habitats through river modification serve to exacerbate the impact of high flows on fish. Loss of
257 connectivity with the floodplain in particular has the effect of impeding access to low flow conditions,
258 which may be critical spawning habitat or for early life stages (Junk et al., 1989; Poff et al., 1997). The
259 overall impact of flood mitigation activities also tends to alter the ecological function of a river, and
260 thereby fish populations (FAO, 1984; Poff et al., 1997; Pretty et al., 2003).

261

262 *Land use*

263 Riparian land use can influence river flow, primarily through modifying rates of runoff and introducing
264 sediment. A study by Allan et al. (1997) demonstrated that while catchment level patterns of land use
265 predicted runoff and sediment input, local scale land use was uncorrelated. Scale effects of land use have
266 prompted a 'riverscape' approach to management, particularly of salmonids, but also of other fish taxa
267 (Fausch et al., 2002). This approach recognizes that different physical processes that control river flow
268 operate at different spatial scales (Figure 2).

269

270 **Direct effects of flow on fish**

271 River flow, either high or low, may have an impact on fish directly and may be felt differently at different
272 life-stages (Nislow and Armstrong, 2012). Seasonally high flows and flooding are a dynamic but natural
273 aspect of the character of a river's flow regime and play a critical role in determining the ecological
274 integrity and biological productivity of rivers (Junk et al., 1989; Poff et al., 1997). Periods of low river
275 flow are also natural and often strongly seasonal phenomena that create conditions in the river channel
276 strikingly different to those under high flows. The proportion of high velocity and associated high energy
277 areas are dramatically reduced during periods of low flow, and water depth in these areas tends to be
278 shallow; conditions likely to have an effect directly on the movements and activities of fish (Solomon and
279 Sambrook, 2004; Wissmar and Craig, 2004; Tetzlaff et al., 2008).

280

281 *Biotic adaptations to flow*

282 River biota exhibit adaptations to the natural heterogeneity of river systems and many organisms show
283 adaptive resilience to a wide range of flows for example through morphological adaptations (suckers,
284 claws or other mechanisms for holding fast in high flow), reproductive strategies (releasing eggs at
285 particular flow events) and tactics to escape in space and time (migrating to specific locations during
286 particular flow periods) (Lehtinen and Layzer, 1988; Southwood, 1988; Townsend and Hildrew, 1994;
287 Vogel, 1994). Flood events may also have the effect of limiting the establishment of invasive species that
288 lack adaptations for high flow conditions (Valdez et al., 2001). A negative impact on fish assemblages
289 may occur when human activity modifies the pattern of river flow so that it deviates from its natural range
290 (Petts, 2009). Human activity can alter variation in flow such that the frequency and duration of flood and

291 drought events may be prolonged. In other circumstances, such as downstream of reservoirs, they may be
292 eliminated altogether.

293

294 *Effects of high flows*

295 Fish production and growth may be linked to the extent of accessible floodplain (Junk et al., 1989), and
296 nutrient inputs to rivers can be facilitated by high flows flushing adjacent floodplains during periods of
297 high water discharge, thereby enhancing fish productivity (Bowes et al., 2005). In some taxa, spawning is
298 directly related to flood cycles, enhancing reproductive success by creating spawning habitat and nursery
299 areas (Wootton and Smith, 2015). However, the type of river channel and its location within a catchment
300 can determine how floods drive productivity and biotic interactions. Low order streams may experience
301 short and unpredictable flood events, with fish and other aquatic organisms having limited adaptations for
302 using the aquatic/terrestrial transitional zone. Conversely more natural channels or higher order streams
303 have a more predictable and longer flood pulse, with aquatic organisms showing adaptive strategies for
304 utilizing the ‘aquatic-terrestrial transition zone’ (Junk et al., 1989) (Figure 2). Highly modified channels
305 often preclude access to the transition zone. Fish that occupy rivers with prolonged and predictable floods
306 often show adaptations to exploit the presence of seasonal floods and exhibit life history strategies that
307 maximize their reproductive fitness (Langler and Smith, 2001; Zeug and Winemiller, 2008).
308 Nevertheless, the seasonal timing, magnitude, duration and frequency of flood events will have different
309 effects on the key life stages of fishes (eggs, larvae, juveniles and adult) (Wolter and Sukhodolov, 2008;
310 Poff and Zimmerman, 2010; Konečná et al., 2009), and these are considered separately.

311 Fish in the early life stages (unhatched egg, embryo and larvae) have a limited capacity actively
312 to seek out preferred habitats and so depend upon drift to transport them to an optimum environment that
313 maximizes their rate of growth and development, and survival (Wolter and Sukhodolov, 2008). However,
314 the timing of drift and the magnitude of displacement will have different optima among species (Reichard
315 and Jurajda, 2007; Pavlov et al., 2008). Unusually large and un-seasonal floods may be detrimental to fish
316 populations by transporting early life stages downstream away from optimum habitat (termed ‘washout’)
317 or outside the river channel altogether (Fausch et al., 2001; Wolter and Sukhodolov, 2008). Conversely,
318 the absence of natural periodic floods may fail to redistribute early life stages leading to elevated densities
319 and competition (Zitek et al., 2004; Reichard and Jurajda, 2007). In some cases flood events may enable

320 early stages to reach floodplain refugia, such as ponds, lakes or ditch systems necessary for them to
321 complete development and/or avoid predation (Seddell et al., 1990; Tockner et al., 2000). In other cases
322 flooding may enable young fishes to migrate down river and recruit to the adult population (Halls and
323 Welcomme, 2004).

324 The early life stages of salmonids appear susceptible to major floods, despite a widespread view
325 that their preferred river types are relatively high flow velocity environments compared with other
326 freshwater fishes (Sukhodolov et al., 2009). During reproduction their eggs are deposited at an optimum
327 depth in river gravels to minimize the risk of wash out, but sufficiently shallow to ensure adequate
328 oxygenation for egg development and permit larval emergence (Crisp, 1989; Crisp and Carling, 1989).
329 However, extreme floods that mobilize the substrate can damage eggs (Jensen and Johnsen, 1999),
330 although such floods are relatively rare events. The impact of more regular spates are largely mitigated by
331 the depth of egg deposition (Crisp, 1989) and composition and stability of spawning sites, termed 'redds'
332 (Beard and Carline, 1991). Nevertheless, a degree of high flow is needed to promote flushing of fine
333 sediment from gravels to maximize oxygen supply to eggs and embryos (O'Connor and Andrew, 1998;
334 Jensen and Johnson, 1999; Levasseur et al., 2006), although if sediment input exceeds the transport and
335 flushing capability of the river then gravel siltation is inevitable (O'Connor and Andrew, 1998). In
336 addition to oxygen stress on eggs, fine sediment has the capability to entomb embryos and prevent
337 emergence (O'Connor and Andrew, 1998; Jensen and Johnson, 1999). The emergence phase is seen as a
338 critical one, with strong density-dependent mortality at this stage, but density independent factors, such as
339 flooding, can also increase mortality substantially (Elliott, 2006). An adaptation to compensate for the
340 negative effect of floods is that emergence is timed to coincide with a low probability of flooding (Fausch
341 et al., 2001; Elliott, 2006; Lobon-Cervia, 2009). Experimental studies have shown that newly emerged
342 salmonids are most sensitive to wash out, though their susceptibility declines over time, corresponding
343 with an increase in body size and swimming ability (Heggenes and Traaen, 1988).

344 Post-larval juveniles and adults possess an enhanced capacity to navigate their way to preferred
345 habitats, and to seek out refuges during peak flows (Wolter and Sukhodolov, 2008). This capacity
346 suggests that the impact of flooding is likely to be felt less strongly at these stages, though the duration
347 and magnitude of flooding will determine the impact, with unseasonal and exceptionally high flood
348 events expected to have greatest impact. Jurajda et al. (2006) detected only minor effects on a cyprinid

349 fish assemblage, and no significant change in fish density, in a tributary of the River Danube,
350 immediately before and after exceptional summer floods during which river discharge peaked at 2000%
351 of the long-term mean. Similarly, the displacement of barbel (*Barbus barbus*) by high summer flows in a
352 UK river was followed by the fish homing back upstream to their former resident areas (Lucas, 2000).
353 Notably, autumn displacement was more frequent and homing less frequent, suggesting a seasonal
354 element to the effects of displacement (Lucas, 2000).

355 However, in some cases severe flood events have the potential drastically to reduce fish
356 populations and increase the risk of local extinction. Sato (2009) measured dramatic declines (*c.* 98%) in
357 a population of Japanese whitespotted char (*Salvelinus leucomaenis*) inhabiting mountain streams
358 following a severe flood, with no sign of recovery two years after the event. In this case, flood flows were
359 so severe that bank-side debris were mobilized, which had the impact of largely eliminating fish at a local
360 scale and significantly changing the structure of the environment. This study highlights how isolated fish
361 populations in lower order upland streams may be at greater risk of extinction from catastrophic flood
362 events because fish are unable to move readily out of the main river channel in the way they often can in
363 unregulated lowland rivers, and goes some way to supporting the flood-pulse concept (Junk et al., 1989).
364 The flood-pulse concept posits that rivers and their floodplains comprise a single ecological and
365 hydrological system with correlated responses to pulses in river discharge. Observations on stream-living
366 marble trout (*Salmo marmoratus*) populations have revealed reductions of between 31% and 78%
367 following severe flood events prior to spawning, but without long-term consequences to the population.
368 The quick recovery of populations was possible because of a high intrinsic rate of population increase for
369 this species, allowing the small number of reproductive individuals that survived a severe flood to
370 successfully re-establish local populations (Vincenzi et al., 2008). Studies suggest that salmonid
371 reproductive strategies show compensatory responses for dealing with extreme flows, at least within
372 certain limits, which buffer effects at the population level.

373

374 *Effects of low flows*

375 In low flow conditions the overall volume of water in the river is substantially decreased, with a
376 concomitant reduction in average depth and width of the river channel, which in turn will result in a net

377 reduction in available habitat. This situation may present fish with the problem of obtaining access to
378 preferred habitats for feeding, and the risk of oxygen stress.

379 When flow falls to the point that the risk of stranding or isolation become a serious threat fish
380 rely on refugia habitat for survival until flow conditions improve. Refugia include areas of deeper water
381 (Huntingford et al., 1999; Armstrong et al., 2003), which may include disconnected pools (Labbe and
382 Fausch, 2000; Magoulick and Kobza, 2003). Davey and Kelly (2007) found refugia to be critical in
383 enabling brown trout (*Salmo trutta*) to persist in a river with naturally intermittent flow in its middle
384 reaches. They showed that brown trout (and other species) moved upstream as the stream dried, with
385 sections subject to drying only slowly recolonized. Rates of colonization correlated negatively with
386 increasing distance to refugia and the fish assemblage in sections susceptible to drying were
387 quantitatively and qualitatively different to neighboring reaches. Davey and Kelly's (2007) findings
388 suggest that river systems can exhibit similar ecological processes predicted from island biogeography
389 theory (MacArthur and Wilson, 1967), with habitat colonization rates negatively correlated with distance
390 from the source of colonizers. From an applied viewpoint this finding has implications for the way habitat
391 quality and its connectivity along river corridors should be viewed and managed.

392 Intermittent rivers, those that only flow for some part of the year, are potentially important habitat
393 for juvenile salmonids. In the western USA, intermittent rivers comprise over 65% of total river length
394 and are a source of both spawning and nursery habitat. In a study of coho salmon (*Oncorhynchus*
395 *kisutch*), Wigington et al. (2006) showed intermittent rivers to be key sites for the production of smolts,
396 with juveniles able to persist in isolated pools between periods when river flow ceased.

397 In the case of predictable seasonal reductions in flow, fish may show adaptations that enable them
398 to respond to the changed conditions, including dispersal (Pires et al., 1999). However, in many cases
399 dispersal may be limited if there is too little water due to channel constriction (Crisp, 1989; Armstrong et
400 al., 2003). Under the most extreme low flow conditions a river may comprise nothing more than a series
401 of isolated pools. However, even if the river continues to flow as a discrete water body, the appearance of
402 barriers such as gravel banks and boulders, that would be otherwise submerged, may impede fish
403 movement.

404 A consequence of reduced low flows, then, will be elevated fish density, particularly if fish are
405 unable to redistribute themselves. At high density fish may face a greater risk of hypoxia and possibly

406 predation, including cannibalism (Smith and Reay, 1991). In addition, a number of population processes
407 are density dependent. Thus, feeding and growth may be limited, while mortality rates would tend to
408 increase. The transmission of pathogens is often strongly contingent on host density, especially if
409 transmission is direct. In species that show territoriality or dominance hierarchies, which is frequently the
410 case in salmonids, injuries and mortalities associated with aggression may also increase. The negative
411 effects of low flow will depend on the extent of flow limitation, and also the period over which low flows
412 occur. Elliott et al. (1997) noted that a juvenile year class of brown trout subjected to successive drought
413 periods had lower growth rates and increased mortality, which was strongly linked to reduced densities of
414 returning females. Summer droughts may not affect survival as much as low rainfall in spring and
415 summer, or in summer and autumn, when low stream flows can be prolonged. The effects of low flow
416 may also interact with other variables, notably temperature. Solomon and Lightfoot (2010) found
417 correlations between poor salmon stock performance and reduced August flows, possibly linked to
418 temperature effects on spawning migration. High water temperatures will exacerbate hypoxic effects
419 resulting from low flow (Milner et al., 2003), while low winter flows may increase the risk of fish kills
420 from freezing (Huusko et al., 2007). Notably Sabaton et al. (2008) demonstrated increases in the
421 abundance of adult and juvenile brown trout when flows were restored to streams. Although increases in
422 flow were not large, weighted usable area; i.e. available physical habitat, increased substantially in some
423 rivers, suggesting that the impacts of low flow, and attempts to restore flow to rivers, are likely to be
424 highly variable among rivers.

425 The negative impacts of low flow on fish may be especially damaging at the population level if
426 they occur during periods of reproduction. Young stages have a limited capacity to avoid stranding,
427 hypoxia or withstand periods of restricted ration (Wootton, 1998). However, the hyporheic zone may be
428 utilized by the eggs and larvae of some species, and may not be unduly affected by low flows (Baxter and
429 Hauer, 2000), but the risk to salmonids from egg desiccation can be considerable (Crisp et al., 1984;
430 Milner et al., 2003). Furthermore, droughts have been identified as a main cause of severe reductions in
431 the number of YoY salmonids with impacts on population size (Bell et al., 2000; Lobon-Cervia, 2009).
432 These studies also demonstrate the resilience of populations where suitable in-channel habitat exists.

433

434 *Effects of variable flow*

435 While low and high flow rates can have an impact on salmonids, especially if these are of unusual
436 magnitude or are unseasonal, another little understood impact is through increased variability in flow.
437 Evidence from rivers subjected to pulsed water releases associated with hydropower generation (termed
438 ‘hydropeaking’, see above) suggest that highly variable flows have negative effects on salmonids,
439 especially on young stages. For example, Freeman et al. (2001) showed that high flow variability had a
440 negative effect on juvenile fish by undermining habitat persistence. In a study of stream fish assemblages,
441 Poff and Allan (1995) showed that the effect of a high coefficient of variation of flows generated fish
442 communities distinct from those with low flow variation.

443 Even modest changes in flow can alter the behavior of territorial juvenile salmonids quite
444 substantially. Juvenile salmonids usually rest on the substrate facing upstream under low flow conditions
445 at a specific ‘station’. From this point they collect food items that drift along the riverbed or in the water
446 column and engage in aggressive behavior with neighboring territory holders (Jonsson and Jonsson,
447 2011). As flow increases they leave the substrate and swim more frequently in the water column. Here
448 they can see and encounter neighbors more frequently, with a result that territory size increases, with a
449 concomitant reduction in fish density (Kalleberg, 1958; Keenleyside, 1962).

450 In contrast, Heggenes et al. (2007) observed no difference in the home range size of brown trout
451 (*S. trutta*) between channelized and natural river sections, and no consistent effects of abrupt changes in
452 flow. The direct effects of flow on fish probably depend on local hydrological conditions, with optimal
453 flows likely to be different in different sections of a catchment. Rosenfeld et al. (2007) proposed that
454 habitat suitability for rainbow trout (*O. mykiss*) based on hydraulic geometry changed longitudinally
455 along a river. Thus, optimal conditions for juvenile stages were predicted for smaller upstream sections,
456 while those for larger fish were found downstream. These predicted patterns matched empirical data. A
457 summary of stage-specific responses to flow variability is presented in Table 1.

458

459 **Indirect effects of flow on fish**

460 *River morphology*

461 River and water resource management tends to focus solely on the direct impacts of flow (Petts, 2009).
462 However, flow is often simply a surrogate for a more complex interaction between channel morphology,
463 water depth and flow that underpins the availability of habitat for river biota (Brooker and Graynoth,

464 2008). Changes to river flow regime can result in changes to both habitat quantity and quality at a range
465 of scales. Because fish migrate among different ‘meso’ and ‘micro’ scale habitats there is potential for
466 effects of flow at the population level (Pavlov et al., 2008). Consequently, an understanding of the role of
467 river morphology during different salmonid life stages is important if flow effects are to be understood.
468 Experimental addition and removal of boulders in the Little Southwest Miramichi River by Dolinsek et
469 al. (2007) showed that the presence of boulders significantly increased juvenile Atlantic salmon (*S. salar*)
470 density, though not of non-salmonid species. The presence of coarse woody debris has also been shown
471 to have a positive effect on juvenile salmonids, primarily by diversifying flow conditions and thereby
472 enhancing feeding opportunities and providing refuges from high flow conditions (Harmon et al., 1986;
473 Roni et al., 2008; Hafs et al., 2014).

474

475 *Temperature*

476 The energy budgets of fish are driven strongly by water temperature (Rankin and Jensen, 1993; Wootton,
477 1998), which is negatively correlated with flow rate (e.g. Webb et al., 2003). Therefore there are potential
478 consequences of reduced or enhanced flow rates for fish bioenergetics, and ultimately on the survival of
479 certain life stages indirectly through their effect on water temperature (Wootton, 1998). Water
480 temperature also plays a major role in controlling the upstream migration of some salmonids (Quinn et
481 al., 2007; Moore et al., 2012).

482

483 *Sediment*

484 The rate of transport of sediment is a function of flow, with the greatest volumes of material transported
485 during flood events (Walling and Webb, 1992; Kondolf, 1997; Lenzi and Marchi, 2000). Land
486 management activities, particularly agriculture but also forestry, mining, road construction, effluent
487 discharge, and urban sources, can all result in elevated sediment inputs to watersheds (Henley et al., 2000;
488 Walling and Webb, 1992). Sediment inputs are not wholly rainfall dependent, and so can occur when
489 their impact may be most ecologically damaging (Marks and Rutt, 1997), though rainfall will ultimately
490 determine the rate and volume of sediment transport into and along the river channel. Catchment and
491 river type can also influence sediment transport and deposition processes (Lenzi and Marchi, 2000).

492 Increased sedimentation and turbidity leads to decreased primary production that can cascade
493 through trophic levels (Osmundson et al., 2002). The avoidance of turbid waters has been observed in
494 juvenile coho salmon *O. kisutch*, arctic grayling *Thymallus arcticus*, and rainbow trout *O. mykiss*
495 (Newcombe and Jensen, 1996). The negative effects of suspended particles have been observed on
496 juvenile and adult stages in fishes through gill damage (Berg and Northcote, 1985), and reduced feeding
497 rates (Waters, 1995; Argent and Flebbe, 1999). Perhaps, the biggest impact on salmonid production,
498 though, is likely to come from sedimentation affecting oxygen supply and uptake by eggs (see below). A
499 meta-analysis of the impact of sediment on egg to juvenile survival in four species of Pacific salmon by
500 Jensen et al. (2009) showed coho salmon to be most vulnerable and chum salmon least susceptible, while
501 Chinook salmon and migratory rainbow trout showed intermediate sensitivity.

502 While the transport of large amounts of sediment resulting in fine sediment intrusion is associated
503 with moderate to high flows (Wood and Armitage, 1997), low winter flows at times of low rainfall and
504 icy conditions, can also result in the infiltration of sediment into spawning redds (Levasseur et al., 2006).
505 A consequence is that natural sediment inputs that occur during high flow events can result in less severe
506 ecological effects than at times of low flow (Marks and Rutt, 1997). Hence although periods of high
507 rainfall increase the input of sediment to a river, the effects can be partly be mitigated by dilution and
508 mobilization of sediment under high flow conditions while, counterintuitively, low flow conditions can
509 result in siltation of the river channel (Wood and Armitage, 1997).

510 Dams have the effect of removing all but the finest suspended sediment, resulting in sediment-
511 depleted water. A common outcome is increased coarsening or 'armoring' of the riverbed, which can limit
512 habitat availability for aquatic invertebrates on which juvenile salmonids feed. Loss of coarse sediment
513 also creates a riverbed that may be unsuitable for spawning by adults (Poff et al., 1997).

514

515 *Oxygen*

516 Well-oxygenated water is important for all salmonid life stages (Armstrong et al., 2003; Hendry et al.,
517 2003). Oxygen availability is especially important during egg development, since at this life stage the fish
518 are unable to show a behavioral response to low levels of dissolved oxygen. Fine sediments have multiple
519 impacts on the supply of oxygenated water to developing salmonid eggs and alevins (Crisp, 1996; Grieg
520 et al, 2005a). Fine sediments can limit interstitial flow velocities, while organic sediment has the effect of

521 depleting dissolved oxygen levels (O'Connor and Andrew, 1998; Acornley and Sear, 1999; Grieg et al,
522 2005a). Clay particles create low permeability seals on the surface of salmonid eggs, greatly reducing
523 rates of oxygen consumption (Grieg et al, 2005b).

524 Dissolved oxygen concentration and water flow are often correlated, and the relationship between
525 flow and dissolved oxygen availability often confounds links between flow and other variables (Downes,
526 2010). Low summer flows and elevated temperatures in rivers are associated with reductions in dissolved
527 oxygen concentration. These effects occur through reduced oxygen solubility and an elevation in oxygen
528 consuming processes at higher temperatures. At low flow rates water turbulence is also reduced, which
529 limits re-aeration of oxygen-depleted water. Fish growth and activity increase with a rise in temperature
530 to an optimum, at which point they become increasingly constrained by oxygen availability (Jonsson and
531 Jonsson, 2009). Reduced oxygen levels can also lead to greater susceptibility to disease (Johnson et al.,
532 2009), and to a reduction in migration into freshwater by salmonids (Solomon and Sambrook, 2004).

533
534 *Pollutants, nutrients, BOD*
535 Water quality can be a limiting variable for salmonid population productivity. Efforts to rehabilitate rivers
536 for salmonids and other fishes may not be fully realized if water quality is limiting (Ormerod, 2003).
537 River flow exerts an effect on water chemistry through a dilution effect (Webb and Walling, 1992). High
538 flow rates may also mitigate the anoxic effects of organic pollutants. Reduced flow conditions tend to
539 exacerbate the impacts of pollutants (Smakhtin, 2001), which can be further aggravated at elevated water
540 temperatures when pollutants tend to have greater toxicity (Alabaster and Lloyd, 1982; Mason, 2002).
541 Episodic pollution events without adequate dilution, during periods of limited flow, have the greatest
542 impact and can lead to ecosystem degradation (McCahon and Pascoe, 1990).

543
544 *Aquatic and riparian vegetation*
545 Indirect impacts of river flow on salmonids can come through effects on other components of the river
546 community, particularly instream and riparian vegetation. Instream, but particularly riparian tree cover, is
547 important in providing shade and thereby plays a role in water temperature regulation (Eklöv et al., 1999).
548 Vegetation can additionally enhance the production of macroinvertebrates (Robinson et al., 2002; Gowan
549 and Fausch, 2002), an important food supply for salmonids that can determine their local distribution

550 (Kawaguchi and Nakano, 2001). Coarse woody debris is recognized as an important component of habitat
551 structure. It functions by regulating sediment transport, effects debris and sediment accumulation, and
552 dissipates energy by impeding flow and providing refuges for fish and invertebrates (Van Kirk and
553 Benjamin, 2001).

554

555 *Productivity and bioenergetics*

556 Rate and variance of river flow can influence rate of food delivery to salmonids, primarily in the form of
557 drifting invertebrates that are of either terrestrial or aquatic origin (Kawaguchi and Nakano, 2001). The
558 energetic costs of holding station in a river to feed influences fish energy expenditure, as does water
559 temperature, thus the impact of flow can influence salmonids through the structure and balance of their
560 energy budgets. Field studies with salmonids have shown that those in fast currents attain higher food
561 consumption rates than those in slower currents but experience lower growth rates through greater energy
562 expenditure (Tucker and Rasmussen, 1999).

563

564 **Managing river flow**

565 Directly or indirectly river flow can influence different aspects of salmonid life cycles, as well as being
566 important to other river biota. Other reviewers have viewed the evidence base as inconsistent, with
567 scientific testing lacking (Milner et al., 2011), and to a degree this is true. Nevertheless, the current
568 review provides sufficient evidence to implicate river flow as an appropriate variable for ecologically-
569 based river management and restoration, though this approach has rarely been used in practice.
570 Traditionally, river flow management has been the realm of hydrologists and river engineers principally
571 concerned with reducing flood risk while improving, or at least maintaining, water supply infrastructure
572 (Shaw, 1988; Newson 1994). However there has been growing recognition of the importance of setting
573 environmental flows, with over 250 different procedures now employed in at least 20 countries (Dunbar
574 et al., 2012).

575

576 *How are environmental flows established?*

577 The reviews of Acreman and Dunbar (2004) and Dunbar et al. (2012) summarized the different methods
578 for establishing environmental flows into four main categories; look-up tables, desktop analysis,

579 functional analysis, and hydraulic-habitat modeling (Table 3). These approaches encompass a wide range
580 of scales and situations (Table 3), and both reviews concluded that these approaches should not be viewed
581 in isolation, but should form part of a framework (and continuum of methods) where the application of a
582 methodology is determined by factors such as cost, time, perceived environmental risk, availability of
583 expertise, and scale of assessment (whole system through to single site or species). There is a tendency in
584 setting environmental flows to select some aspect of the natural flow regime, for example mean flow or
585 low flow, as a reference point (Richter et al., 1997, 1998, 2003; Poff et al., 2010). However, little
586 reference is made to the ecological conditions associated with natural flows, possibly due to the
587 confounding effects of other environmental pressures (Bunn and Arthington, 2002; Dunbar et al., 2012),
588 and because few river systems worldwide are unaffected by human activity in some way (Richter et al.,
589 1997; Lytle and Poff, 2004; Welcomme, 2008), which limits the opportunity for identifying the
590 relationship between natural flow conditions and river ecology. Reference condition models, particularly
591 the River Invertebrate Prediction and Classification System (RIVPACS) for macroinvertebrates, go some
592 way to helping establish a reference community (Wright et al., 1998). However, the adequacy of such
593 models within environmental flow setting is questionable where measured at-site variables (river depth,
594 wetted width and substrate composition) are used for biological prediction, as these variables are likely to
595 naturally vary in response to flow (Harrison et al., 2004). Where alternative variables can be used
596 adequately, this may offer some opportunity to develop similar predictive models for fish communities.
597 In the case of salmonids, and perhaps other river ecosystem components, returning to the natural flow
598 regime may be not always be beneficial, especially in rivers where releases from reservoirs have altered
599 flow significantly and populations appear to be benefitting (Milner et al., 2011). Thus, unnatural flow
600 conditions can be envisaged, such as enhanced summer flows, that might significantly enhance survival
601 and growth at critical periods that might otherwise limit population size or productivity (Nislow and
602 Armstrong, 2012). A further consideration is the impact of a salmonid population that has been
603 ‘enhanced’ through flow management on ecosystem function. Impacts are potentially detrimental, for
604 example through elevated rates of predation, or might be relatively benign. In the case of lowland rivers
605 in the UK, the majority of which have been highly modified (Brookes, 1988), the concept of what
606 ‘natural’ means in the context of river flow regime is equivocal. Elsewhere, natural flow regimes may be
607 less ambiguous (Pettit et al., 2001; Lytle and Poff, 2004; Propst and Gido, 2004). In situations where

608 natural flow regime may be difficult to define, flow management might be targeted specifically at
609 generating a temporal pattern of flow to create the conditions that maximize salmonid production. The
610 challenge in this case is to identify what those flow conditions are.

611

612 **Habitat management and restoration**

613 It is widely acknowledged that a range of pressures affect riverine ecosystems, but there is also a view
614 that given these pressures, it is habitat quality that limits ecosystem function (Ward et al., 2001; Giller,
615 2005). This view has led to efforts aimed at restoring or rehabilitating river habitat, and as a practice has
616 gained in popularity in river and catchment management over several decades (Holmes, 1998; Ormerod,
617 2003; Palmer et al., 2005). The underlying principles employed takes account of the interaction between
618 habitat and river flow by focusing on establishing site or reach scale in-channel features to create
619 hydraulic complexity as guided by geomorphological processes (Kemp et al., 2000; Pretty et al., 2003;
620 Harrison et al., 2004; Newson and Large, 2006; see Roni et al., 2008 for comprehensive review).

621 A common in-channel approach to targeting salmonid populations is to focus on the availability
622 and quality of spawning gravels to ensure recruitment conditions are optimal. Spawning habitat
623 rehabilitation is a widely used tool in European rivers in (Brown and Pasternack, 2009; Pederson et al.,
624 2009; Vehanen et al., 2010). In North America, while the introduction of gravel in sediment-starved river
625 systems has proven beneficial (Merz et al., 2004, 2005), the practice is not common (Roni et al., 2008).
626 Whilst focusing on ensuring reproductive success makes some sense, efforts in this direction appear to
627 have met with mixed or, in some cases, limited success. Pulg et al. (2013) examined the provision of
628 gravel and its regular cleaning as a mechanism for restoring brown trout populations in regulated rivers.
629 The positive effects appeared to be short-lived, which suggests that the maintenance of an appropriate
630 flow regime to replenish spawning gravels and keep them free of fine sediment is a more sustainable
631 approach. Salmonid spawning habitat is highly dependent upon the delivery of suitable spawning material
632 from upstream to downstream reaches, and the use of hydraulic models may help determine the discharge
633 required to renew the spawning substrate (Hauer et al., 2011). On balance, simply implementing a
634 minimum flow regime alone as part of attempts at management or restoration is unlikely to rehabilitate
635 salmonid spawning habitat, since the geomorphological processes needed to generate the desired physical
636 habitat could be missing (Brown and Pasternack, 2008). In certain situations specific habitat types may be

637 more critical than flow regime. Sukhodolov et al. (2009) showed that braided channels in alpine streams
638 provide refugia for larval and juvenile fish during floods. However, many alpine rivers have lost their
639 braided structure, so restoration of this habitat feature in this instance may represent the priority.

640 Other categories of river rehabilitation can address riparian rehabilitation, floodplain
641 connectivity, road improvement, and nutrient enrichment (reviewed by Roni et al., 2008). Understanding
642 the ecological benefits of rehabilitation works is important to guiding on-going river habitat management,
643 and poor monitoring programs can be a handicap (Holmes, 1998; Hendry et al., 2003; Giller, 2005).
644 Pederson et al. (2009) advocated an evaluation of gravel re-introduction for salmonids in Danish streams
645 that acknowledged differences in habitat quality among reaches within a river system. To understand
646 habitat quality in a quantitative manner requires a comprehensive monitoring design (Jähnig et al., 2009).
647 One approach is the use of a Before/After, Control/Impact (BACI) design, but even this approach is not
648 without limitations. For instance, it is a common feature of natural systems for populations at two sites to
649 diverge or converge through time, even without an effect resulting from activities at the 'impact' site
650 (Underwood, 1991). Vehanen et al. (2010) used the BACI approach three years prior and post restoration
651 with an unmodified control. Streambed complexity increased, but no effects on brown trout stocks in
652 rehabilitated areas were detected. Moreover 2+ and older age classes decreased in abundance. A severe
653 drought after the scheme reduced densities of trout to a low level in all streams, overriding any beneficial
654 local effects of rehabilitation. This finding suggests that large-scale regional factors may overwhelm local
655 management efforts, and although suitable habitat exists, flow stress can severely limit restoration efforts.

656 River restoration is essentially based on a premise that if habitat conditions are suitable, the biota
657 will respond positively, an approach termed the 'Field of Dreams hypothesis'; "if you build it, they will
658 come." (Palmer et al., 1997). Despite its obvious weaknesses, this approach is often advocated on the
659 basis that a lack of knowledge in quantifying biological processes should not be a barrier to action. A
660 more rational approach, what has been termed 'process-based restoration aims' (Beechie et al., 2010), is to
661 employ habitat restoration and rehabilitation measures alongside the activities of ecologists that have both
662 field and quantitative skills to design restoration measures, implement monitoring protocols and, what has
663 hitherto been a significant omission, to devise appropriate statistical analyses to demonstrate ecological
664 benefits.

665

666 **What data and information would benefit environmental flow management?**

667 A wide range of approaches to environmental flow setting exist worldwide, supported in part by research
668 and expert opinion (Acreman et al., 2005; Roni et al., 2008; Dunbar et al., 2012; Milner et al., 2012). In
669 the UK, attempts have been made to set environmental standards to meet the EU WFD by defining water
670 abstraction limits to protect river systems and appropriate flow releases from reservoirs. These were
671 established using a combination of site-specific data, expert opinion and stakeholder groups (Acreman
672 and Ferguson, 2010). Many empirical studies worldwide have been conducted at a site-specific level,
673 which provides useful detailed information but for only one or a few sites, so their transferability to
674 unknown sites, or to a catchment scale is questionable (Petts, 2009; Acreman and Ferguson, 2010).
675 Nevertheless widely applicable and generalized models are emerging. For example, Booker and Acreman
676 (2007) analyzed data from 63 PHABSIM studies and found strong relationships between single measures
677 of channel form and river hydraulics and the availability of habitat for target species. Estimates of
678 physical habitat sensitivity to flow change from single measures were comparable with full PHABSIM
679 predictions, albeit with greater uncertainty, though some ambiguity may be acceptable in a more risk-
680 based flow setting framework. The modeling approach by Dunbar et al. (2010a,b) has also shown a
681 generic biological response to flow change. A macroinvertebrate community index responded positively
682 to low and high flow and interacted significantly with river channel modification whereby less modified
683 sites had overall higher biotic index scores and appeared to be more resilient to flow reduction. This
684 finding has implications for flow management and restoration by indicating the likely direction of
685 ecological change in response to flow and habitat alteration. The value of this approach is that it can be
686 applied to a range of sites where little or no biological data exist. Notably the models of Dunbar et al.
687 (2010a,b) utilized existing river flow, river habitat and macroinvertebrate data, obtained from a well-
688 established monitoring network of the Environment Agency. This approach tallies with the view of Petts
689 (2009) who proposed that models that incorporate long-term data sets are needed so that population level
690 responses can be predicted.

691 A potential impediment to translating research results into flow management and restoration
692 measures may be because appropriate expertise is fragmented across the disciplines of ecology,
693 hydrology, geomorphology and civil engineering (Vaughan et al., 2009). An understanding of each field
694 is needed to fully interpret results in order to make sound management decisions; a minimum requirement

695 is that the essential ecological and morphological responses are understood in order to select suitable flow
696 management methods (Jowett, 1997), and move to ecologically sustainable water management (Richter et
697 al., 2003). However, this situation is changing with the recognition of the potential role of hydraulic-
698 habitat modeling (Dunbar et al., 2012).

699

700 **Long-term datasets and monitoring**

701 For the management of many ecological systems it is necessary to employ a long-term perspective.
702 Despite the general acceptance of this view, the availability of long-term data to support management
703 remains conspicuously limited (Bayley and Li, 1992; Jackson and Füreder, 2006). Many studies tend to
704 be undertaken over a 3-year time-scale, chiefly a consequence of the typical length of research funding
705 awards, but long time-series data are considerably more valuable and have substantially helped advance
706 our understanding of the temporal patterns of abundance (Elliott, 1995; Magurran, 2011). Furthermore,
707 analyses of long-term datasets are more likely to identify spatial and temporal trends that are key to
708 decision-making, something that short-term studies often fail to detect (Poff et al., 2010; Reidy Liermann
709 et al., 2012). Protocols for detecting ecosystem perturbations require comprehensive time-series data for a
710 suite of key indicators (Richter et al., 1996). For example, long-term studies of freshwater
711 macroinvertebrates have improved our understanding of their inter-annual variation and cycles, biotic and
712 abiotic interactions, and the effects of disturbance and recovery (Jackson and Füreder, 2006). It is
713 important to undertake similar studies of long-lived species, such as salmonids, in order to improve our
714 ecological knowledge, develop suitable models (Elliott, 1995), and detect long-term effects of human
715 impacts on salmonid productivity (Ugedal et al., 2008). In this regard the environmental regulation bodies
716 are in a unique position to adopt such an approach, and for salmonids they should be able to make best
717 use of existing information from national monitoring programs (Milner et al., 2011), including measures
718 of water quality ideally integrated with management strategies (see Poole et al., 2004 for discussion).
719 Additionally, long-term hydrological datasets are often available for rivers supporting salmonids, and
720 these can provide a detailed history of hydrological change to be considered alongside salmonid and
721 habitat assessment data.

722 The potential of large datasets has to be considered against the adequacy of monitoring, since
723 current approaches to data collection may be insufficiently specific to permit the confounding effects of

724 autocorrelation between variables to be discerned. This limitation can be overcome to a degree when
725 carrying out hypothesis-led data analyses and model validation, whilst accepting that in some instances
726 monitoring improvements will be needed to ensure they are statistically robust (Milner et al., 2011).
727 However, alterations to monitoring schemes are often viewed unfavorably by organizations that perform
728 these functions; they see it as expensive and potentially render all previous data collection redundant. For
729 salmonids, a parallel approach to the analysis of long-term datasets is needed that uses site-specific
730 studies based upon agreed monitoring protocols so that adequate meta-analyses can be performed (Milner
731 et al., 2011).

732

733 **Conclusions**

734 The direct and indirect effects of river flow will affect different fish life-stages in distinct ways but
735 responses appear to be highly variable and attempts to generalize among salmonid species and
736 hydrological regimes has proven problematic. Where river flow has a significant impact on salmonid
737 distribution and abundance, its effects may be imposed over an extended period or over a series of short,
738 but possibly extreme, episodes. Despite these highly variable effects upon salmonid populations, and
739 other river biota, many environmental organizations around the world base their management decisions
740 using relatively simple river discharge values (Acreman et al., 2008). This approach is unsurprising since
741 many have invested significant resources in establishing river flow measurement networks. Additionally,
742 biological monitoring networks have been established, principally in isolation from flow measurement
743 networks, and mainly as a response to industrial pollution and the need to manage water quality. Research
744 to date has shown biological response to flow, but causal links are opaque, possibly due to the correlation
745 between river flow and other environmental variables. Furthermore, other factors that relate to river
746 habitat quality and extent cannot be overlooked. Therefore, although there are developments in
747 continuous simulation models which mean that gauged flows are not always required, the adequacy of the
748 current network of flow and biological monitoring, together with data analysis capability represent a
749 potential bottleneck to rational management measures and attempts at river restoration for salmonids, and
750 should be reviewed and amended where possible.

751 Given the current paucity of long-term datasets tailored to salmonid management and restoration,
752 there is a need to consider the value of existing datasets. Analyses applied to large datasets for

753 macrophytes and invertebrates have demonstrated a range of periodicities in responses to river flow
754 (Dunbar et al., 2010a,b; Acreman and Dunbar, 2011). Furthermore, long-term reductions in flow regime
755 have coincided with reductions in fish populations, though population cycles or trends unrelated to
756 hydrology cannot always be excluded (Bayley and Li, 1992; Acreman and Dunbar, 2011). Empirical
757 models, exploiting long-term data to reveal generalized relationships between flow, habitat quality and
758 macroinvertebrate communities, have been developed which could potentially be applied to assessing
759 river discharge regimes and informing future water resources management (Dunbar et al., 2010a,b), at
760 least in UK rivers for which these data are available. For salmonids there is a pressing need to develop
761 generalized models of flow and habitat requirements that are transferable between river systems (Milner
762 et al., 2011) and, possibly, species. In order to improve our understanding, and further develop such
763 models, there is a requirement for empirical testing; possibly via adaptive management studies with a
764 common design to ensure subsequent meta-analyses are statistically robust.

765

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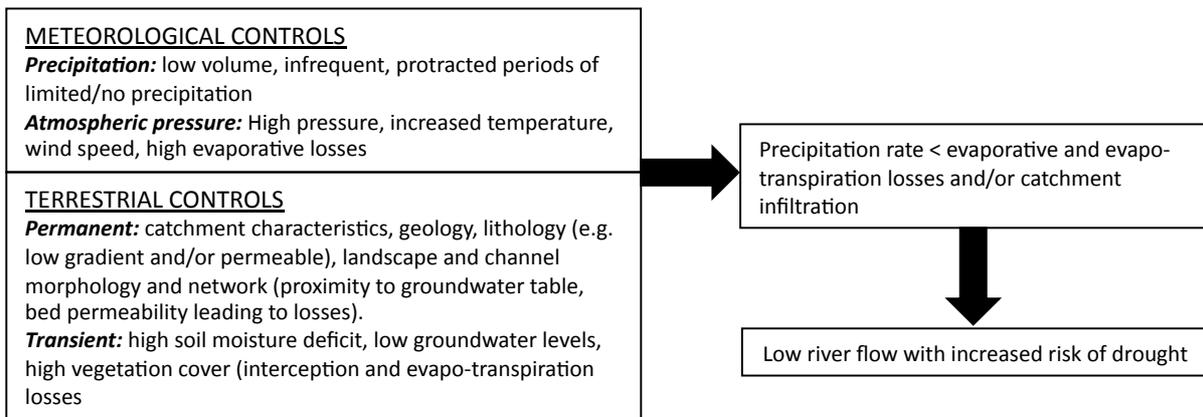
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1244 **Figure captions**

1245 Figure 1. Identical process controls, but contrasting conditions, leading to either flood flow or low flow in
1246 rivers. Modified from Briggs et al. (1997).

1247 Figure 2. Conceptual model of physical and biotic processes operating at different spatial scales that
1248 influence riverine biota within controls imposed by underlying geology/lithology and geomorphology.
1249 Modified from Labbe and Fausch (2000).

Low Flow Controls



High Flow Controls

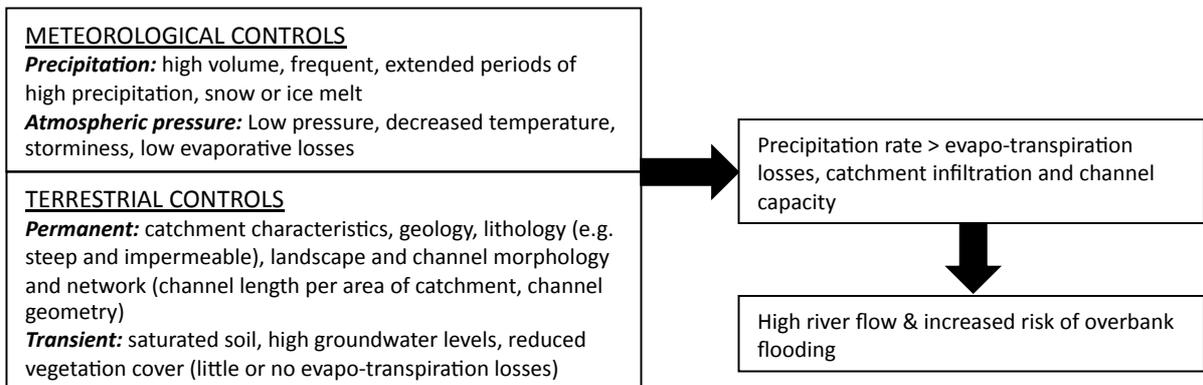


Fig. 1

Table 1. Life-stage specific impact of contrasting flow characteristics of salmonids among seasons (modified from Nislow and Armstrong, 2012) (NA = not applicable, ? = not known).

Season	Fry		Parr		Smolt	
	Low flow	High flow	Low flow	High flow	Low flow	High flow
Spring	Lower or higher survival	Lower survival	Reduced growth	?	Migratory delay and lower survival	Positive or negative depending on timing
Summer	Reduced growth	Reduced growth	Reduced growth	Increased shelter seeking	NA	NA
Autumn	NA	NA	Reduced growth	?	NA	NA
Winter	NA	NA	Positive or negligible effect on growth	Increased shelter seeking	NA	NA

Table 2. Effects of fine sediment on survival of embryonic stage of salmonids.

Sediment size/description	Effect	Reference
<0.063mm – 0.5mm Silts/clays – coarse sand	Reduced survival in egg stage	Julien and Bergeron (2006)
<0.125mm Silt and fine sand	>0.2% in redds leads to <50% embryo survival	Levasseur et al. (2006)
Clay sediment	Thin film on egg surface reduces oxygen exchange across membrane	Greig et al. (2005)
Fine sediment	>15% fine material in redds deleterious to survival	O'Connor and Andrew (1998)
0.43-0.85 mm	Reduced embryo survival with increased fine sediment. Emergent fry weight also reduced.	Argent and Flebbe (1999)

Table 3. Summary of environmental flow setting categories, example methods, scale of application, and type of situation employed. Adapted from Acreman and Dunbar (2004).

Method category	Example [Country]	Scale of application	Situation type
Look up table	Tennant (Montana) method [USA] Texas method [USA] Basque method [Spain]	Catchment	Scoping/planning
Desktop analysis	Range of Variability Approach (RVA) [USA & others] Resource Assessment and Management framework (RAM) [England and Wales]	Catchment/multiple or single sites	Planning/high level impact assessment
Functional analysis	Building Block Method (BBM) [South Africa and others] Expert Panel Assessment Method (EPAM) [Australia]	Multiple or single sites	Impact assessment
Hydraulic-habitat modeling	Instream Flow Incremental Method (IFIM)/ Physical Habitat Simulation (PHABSIM) [USA and others] Computer Aided Simulation model for Instream flow Requirements (CASIMIR) [Germany] River Simulation System (RSS) [Norway] Numerical habitat modeling (NHM) [Canada]	Multiple or single sites	Impact assessment River restoration (including flow regime)