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8 **Why is eusociality an almost exclusively terrestrial phenomenon?**

9 **Graeme D Ruxton¹, Stuart Humphries², Lesley J. Morrell², David. M Wilkinson³**

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- 11 1. School of Biology, University of St Andrews, St Andrews KY12 9TH, UK.
- 12 2. School of Biological, Biomedical and Environmental Sciences, University of Hull, Hull HU6
13 7RX, UK.
- 14 3. School of Natural Sciences and Psychology, Liverpool John Moores University, Liverpool L3
15 3AF, UK.

16

17 Author for correspondence: GDR gr41@st-andrews.ac.uk; tel 07969 765340; fax 01334 624825

18

19 **Summary**

- 20 1. Eusociality has evolved multiple times across diverse terrestrial taxa, and eusocial species
21 fundamentally shape many terrestrial ecosystems. However, eusocial species are far less
22 common, and have much less ecological impact, in aquatic than terrestrial environments.
- 23 2. Here we offer a potential explanation for these observations. It appears that a precondition
24 for the evolution of eusociality is the defence and repeated feeding of offspring in a nest or

25 other protected cavity, and so eusocial species must be able to exploit a predator-safe, long-
26 lasting (multigenerational) expandable nest. We argue that a range of factors mean that
27 opportunities for such nests are much more widespread and the advantages more
28 compelling in terrestrial than aquatic ecosystems.

29

30 Keywords: sociality, social insects, ants, termites, shrimp

31

32 **Introduction**

33 Ants dominate many terrestrial ecosystems: for example, it is estimated that in the Brazilian Amazon
34 there is approximately four times more ant- than vertebrate-biomass (Hölldobler and Wilson, 1994).
35 In many systems other social insects, such as termites, can be just as dominant. Given the key role
36 ants and other social insects play in terrestrial systems, the absence of eusociality from almost all
37 aquatic habitats is at first glance surprising. Also surprising is the observation that this key difference
38 between terrestrial and aquatic ecosystems has received very little discussion in the scientific
39 literature. Here we begin to rectify this omission by speculating on why eusocial species are so rare
40 in aquatic systems.

41

42 **Defining eusociality and describing its prevalence and importance in terrestrial environments**

43 Eusociality, broadly defined, is characterised by cooperative brood care, overlapping adult
44 generations and division of labour by reproductive and (sometimes partially) non-reproductive
45 individuals (Andersson 1984). Eusocial insects in particular have been conspicuously successful
46 across diverse terrestrial habitats since at least the late Mesozoic (Hölldobler and Wilson, 2009).
47 For example ants are found on all continents except Antarctica; and only a few large islands such as
48 Greenland, Iceland, parts of Polynesia and the Hawaiian Islands lack native ant species (Lach et al.
49 2010). Ants occupy a wide range of ecological niches, and are able to exploit a great diversity of food
50 resources: either as direct or indirect herbivores, predators, or scavengers. Their ecological
51 dominance can be measured by their biomass, and estimates suggest that they contribute 15–20%
52 (on average and nearly 25% in the tropics) of the total terrestrial animal biomass, which exceeds that
53 of all the vertebrates (Lach et al 2010). Given that Grime (1998) and others have argued that species
54 that dominate a community's biomass control the main fluxes of matter and energy through that
55 system – such insects are likely of great importance in many terrestrial ecological processes. More

56 than 12,000 species of ant are currently known (with upper estimates of the potential existence of
57 about 22,000); all these species are eusocial (Hölldobler and Wilson 1990).

58 All the termites (Isoptera) are also eusocial (Andersson 1984). Ten per cent of the estimated 4,000
59 species (about 2,600 taxonomically known) are economically significant as pests that can cause
60 substantial damage to buildings, crops or plantation forests (Pearce 1997). Globally, termites are
61 found roughly between 50 degrees north and south, with the greatest biomass in the tropics and the
62 greatest diversity in tropical forests and Mediterranean shrublands. They are major detritivores,
63 particularly in subtropical and tropical regions, and their recycling of wood and other plant matter –
64 aided by microbial symbionts - is of considerable ecological importance (Turner, 2004). As
65 detritivores, termites clear away leaf and woody litter and so reduce the severity of the annual bush
66 fires in African savannas; which are not as destructive as those in Australia where termite densities
67 are lower (Milewski et al. 1994). Termites are also considered to be a major source (11%) of
68 atmospheric methane, a key 'greenhouse gas' (Rasmussen & Khalil 1983); and their biomasses in
69 many tropical regions are comparable to those of ants (Milewski et al. 1994).

70 The 250 species of bumblebee (*Bombus* spp) and approximately 500 species of stingless bees (tribe
71 Meliponini) are all eusocial, as well as the 7 species of honeybees (*Apis* spp; Roubik 1989). Together
72 these species are considered fundamental to pollination networks in many diverse natural
73 ecosystems, as well as providing essential pollination services to 20-30% of all global human
74 agriculture (Abrol 2012). Among the wasps, eusocial species include the 1100 species of the paper-
75 wasp subfamily *Polistinae*, the 24 hornet species of the genus *Vespa*, and the “yellow-jackets” (23
76 species of the genus *Vespula* and 20 species of the genus *Dolichovespula*; Hoell et al. 1998). Their
77 ecological importance is less obvious than those of bees, termites and ants; but they can certainly
78 exist at sufficient local densities to be agricultural pests, especially to soft fruit production (Edwards
79 & Archer 1980); and may also be ecologically important predators of some other invertebrate insect

80 pests. This distribution of eusociality across the bees and wasps suggests at least 11 separate
81 evolutionary origins of eusociality in this group (Crozier & Pamilo 1996).

82 As well as these ecologically important insect groups, eusociality has evolved independently several
83 other times in terrestrial environments. Amongst mammals, the burrow-living naked mole rat
84 *Heterocephalus glaber* and Damaraland mole rat *Fukomys damarensis* are eusocial; these two
85 species are generally considered to involve independent evolutionary adoptions of eusociality (Jarvis
86 & Bennett 1993). Two species of Australian gall-making thrips have also been demonstrated to be
87 eusocial (Crespi 1992), as have some gall-making aphids (Tanka & Ito 1994). Colonies of the
88 Australian weevil beetle *Austroplatypus incompertus* excavate and live in tunnels in living wood and
89 have recently also been demonstrated to be eusocial (Kent & Simpson 1992). Finally, the spider
90 *Anelosimus eximius* lives in colonies of several thousand individuals within a silken nest and shows
91 strong evidence of eusociality (Vollrath 1986).

92 Hence, eusociality has evolved multiple times across diverse terrestrial taxa, and eusocial species
93 have also powerfully shaped many terrestrial ecosystems.

94

95 **The prevalence and importance of eusocial species in aquatic environments**

96 The overwhelming majority of the aquatic realm is marine, and marine insects are conspicuously
97 uncommon: there are over one million living insect species, but only about 1400 live in marine
98 habitats. Within this group, only 46 species (all gerrid skaters) inhabit the surface of the seas that
99 cover the majority of the surface area of the earth and of these, no more than five are completely
100 oceanic (Andersen & Cheng 2005). Since the overwhelming majority of eusocial terrestrial species
101 are insects, the scarcity of fully aquatic insects is one potential explanation for the lack of eusociality
102 in aquatic ecosystems. However, this scarcity of aquatic insects need not lead to rarity of eusociality.
103 Eusociality occurs in other groups (as discussed above) including aquatic crustaceans (as we discuss

104 directly below). There are thought to be over 50,000 species of crustacean (Margulis and Chapman,
105 2009), of which most are aquatic but very few (three species, all belonging to the “gambarelloides
106 group” within the same *Synalpheus* genus) have become eusocial.

107 In 1993, Spanier et al. published a paper entitled “Why are there no reports of eusocial marine
108 crustaceans?” In fact, at that time there were no records of eusocial organisms of any kind in any
109 type of aquatic habitat. We should point out that there are a great many colonial aquatic animals
110 (such as the sponges, ascidians, cnidarians and bryozoans) but these all lack the cooperative brood
111 care that is characteristic of eusocial species. Such coloniality provides the potential for kin selection
112 to be important in marine environments (Kamel and Grosberg, 2013), making it even more
113 noteworthy that eusociality is so rare in the seas. Spanier et al. argued that it was plausible that at
114 least some marine crustaceans might be eusocial, and urged marine biologists to explore this
115 possibility. Soon afterwards, their suggestion was confirmed in obligate sponge-living shrimps of the
116 genus *Synalpheus* (Duffy 1996). Eusociality seems to have evolved at least three times in the
117 *Synalpheus* genus of around 100 described species (Duffy et al. 2000). Specifically, the three species
118 known to be eusocial (*S. regalis*; *S. filidogitus*, and *S. chacei*) are not closely related, and phlogenetic
119 reconstructions of life history strategies in this genus suggest that all three evolved eusociality
120 independently (Duffy et al. 2000). The genus *Synalpheus* is one of the most species rich genera of
121 tropical crustaceans and is present across coral reef faunas worldwide (Chace 1989). Most of the
122 described species form specific and obligate associations with sessile invertebrates (mostly sponges
123 and crinoids) and feed either on host tissue or microalgae and detritus (Duffy 1998). Since the ‘nest’
124 of most *Synalpheus* colonies is a living sponge, the size of the colony must be limited by the
125 dimensions of the host. The shrimps must only harm the host in a limited way, since the colony
126 requires host survival over several shrimp generations; so the ecological impact of the shrimp colony
127 must be less than that of the host. These factors impose severe constraints upon the ecological
128 impact of the crustacean compared with any eusocial species that can build its own nest. Hence the

129 three known aquatic eusocial species have modest ecological impact compared with many of the
130 terrestrial eusocial groups discussed in the last section.

131 Since the discovery of sponge-dwelling eusocial shrimps, no further reports of eusociality in any
132 other aquatic species have emerged. It seems likely that eusocial species are less common in aquatic
133 environments than in terrestrial environments (that is, very few aquatic species are eusocial), and
134 even more likely that eusocial species have much less ecological impact on aquatic environments
135 than terrestrial environments due to small biomass densities. Below we offer a potential explanation
136 for these observations.

137 **Can the paucity of eusocial species in aquatic environments be explained by physical factors?**

138 Many previous studies (Andersson 1984, Alexander et al. 1991 Spanier et al. 1993, Crespi 1994,
139 Wilson 2008; Nowak et al. 2010; Wilson, 2012) have argued that a critical (necessary but not
140 sufficient) precondition for the evolution of eusociality is the defence and repeated feeding of
141 offspring in a nest or other protected cavity, and so a eusocial species must be able to exploit a
142 predator-safe, long-lasting (multigenerational) expandable nest. Such nest sites are a consistent
143 feature of all the terrestrial examples of eusociality discussed earlier and are also used by the
144 eusocial shrimp discussed in the previous section. All three eusocial shrimp species have an obligate
145 association with a host sponge in which they live.

146 In this section, we suggest that physical differences between air and water (and thus between
147 terrestrial and marine environments) are likely drivers of the relative lack of eusociality in water.

148 One key difference is that the much greater density of water gives in much greater inertia and
149 momentum than air. Another difference relates to the generally reduced oxygen availability in
150 water. The high heat capacity of water means that temperature fluctuations are much less rapid and
151 much less extreme than in terrestrial ecosystems. Finally, water absorbs light much more readily

152 than air and so sunlight penetrates only into shallow and surface waters. Each of these issues will be
153 picked up and developed in this section.

154 We suggest that these issues combined mean that availability of suitable nest sites for eusocial
155 organisms is much more constrained in aquatic than terrestrial environments. Some evidence
156 consistent with this comes from the *Synalpheus* eusocial shrimps where “virtually all suitable host
157 sponges are occupied in the field” (Duffy et al. 2000), suggesting an extreme shortage of suitable
158 nest sites for these species.

159 We first consider the consequences of the greater density of water. One general consequence of this
160 is that a given volume of water has greater inertia and momentum than air, and so moving water
161 can apply greater forces to objects such as nests. Secondly, objects are much more buoyant in water;
162 and one consequence of this is the greater mobility of aquatic sediments, which can impact on nest
163 building.

164 The two eusocial mammals, together with many termites and ants make large and complex nest
165 structures in the substrate that last over multi-generational timescales. This is more difficult in
166 aquatic substrates than terrestrial substrates for two reasons. Firstly, aquatic substrates that are soft
167 enough to burrow in are less cohesive and more prone to collapse, particularly in the absence of
168 investment in animal-derived coatings – generally silk or mucous - to the walls of tunnels (Hansell
169 1984; 2005; Wildish & Kristmanson 1997). Secondly, at larger scales marine and lotic freshwater
170 sediments are more mobile than terrestrial ones, and thus a burrow in such aquatic environments is
171 more vulnerable to either being destroyed by bulk movement of substrate or buried too deep by the
172 same (Wildish & Kristmanson 1997; Little 2000; Herring 2002).

173 An alternative to a substrate-burrowed nest is the use of a self-created nest such as those of many
174 eusocial wasp species. Again, there are several challenges to these in aquatic environments. In
175 deepwater environments, the biomass of potential food for the eusocial species is concentrated in

176 the upper sunlit layer (except in unusual situations like hydrothermal vent communities), where
177 there will be no solid substrates to attach a self-created nest to (Herring 2002). Some deepwater
178 marine species do construct structures larger than themselves: most famously the houses of
179 larvaceans. However, these mucous houses are fragile and short-lived (Hansell 1984). There is no
180 naturally-secreted or easily-collected substance that would allow a notional open-water species to
181 construct a nest that was simultaneously strong enough to deter predatory attacks and close enough
182 to the density of water to allow it to maintain its depth in the water column over timescales relevant
183 to juvenile development (Hansell 2005).

184 Nest construction by fish is an uncommon but taxonomically widespread phenomenon whose
185 occurrence is not confined to particular ecological niches (see Barber 2013 for a review). But here,
186 nests only survive for a short duration: unlike many avian nests, previous nests are not reused for
187 subsequent breeding attempts. Further, active maintenance to avoid physical damage or burial of
188 the nest is often a very substantial cost to nesting in fish, and likely explains its uncommonness
189 (Jones & Reynolds 1999; Olsson et al. 2009).

190 In tube-living polychaete worms, the tube is made of calcareous crystals set in an organic matrix; and
191 (in contrast to fishes' nests) this provides a durable structure that can survive long after the death of
192 its builder. However, this type of construction can only be expanded relatively slowly and at
193 considerable energetic costs by adding additional material to the end, rather than by remodelling.
194 Specifically, Dixon (1980) argued that a need to move up the tube during ontogenic growth means
195 that the tube can often end up being four times the length of the worm inside. Dixon (1980) also
196 estimated that the worm devotes 68% of its energy production over its lifetime to tube construction,
197 compared to 20% to somatic growth and 12% of gamete production; and so tube worms have a very
198 slow life history strategy. This argues that such rigid structures would not be effective homes for
199 eusocial species that benefit from the ability of colonies to grow rapidly to take advantage of

200 temporal variation in food availability, but require a home that can expand to accommodate such
201 rapid growth.

202 In shallow-water environments, it might be theoretically possible to site a self-created nest on a
203 rigid structure in the substrate. However, construction of a self-created structure like a paper wasps'
204 nest would be difficult because of the much greater likelihood of rigid structures buckling due to
205 lateral pressure from bulk movement of water than air (because water is a factor of a 800 times
206 denser; Denny 1993). Clearly, breaking waves in shallow marine waters and bulk transport of water
207 in lotic systems would be a huge challenge to the structural integrity of any such nest (Denny 1988).
208 Based on these physical arguments, "stand alone" self-constructed nests seem practical nowhere
209 except perhaps shallow water margins of still-water lakes. But here except in very unusual
210 circumstances, winds can produce strong turbulence causing substantial sediment movement
211 (Denny 1988). Also such shallow waters can experience very strong seasonal variation in physical
212 factors (compared to larger, deeper bodies of water), making them a more challenging environment
213 in which to make a permanent home in (Williams 2006). Finally, these areas are often characterised
214 by soft, unstable silt-like substrates that are difficult to build on because times of low water
215 movement allows the settling of fine particles.

216 A large constructed nest has great potential to attract predators and may be difficult to conceal. It
217 can be protected by being inaccessible, structurally impregnable and/or by behaviour defence by the
218 inhabitants. Wasps' nests, for example, may be protected by all three of these. Hanging the wasps'
219 nest from a tree renders access difficult for ground predators; but the enhanced buoyancy of water
220 around a nest may rule out this kind of protection. As previously discussed, an outer protective cover
221 through a tough carton-like material may not be possible in water. As for a standing army of
222 inhabitants, many crustacea (for example shrimp, crabs and lobsters) are certainly able to defend
223 themselves at an individual level, so that does not appear to impose an obvious evolutionary

224 constraint. Hence the greatest evolutionary impediment to self-made structures for a putative
225 marine social species is likely to come from risk of mechanical damage rather than predation.

226 Another alternative for nesting is to use already-existing cavities; again this is likely to be more
227 difficult in aquatic systems. Bumblebees generally use burrows made and subsequently abandoned
228 by rodents; however as argued above, such burrows would quickly collapse in aquatic sediments
229 without constant investment. For example, many marine organisms (such as the variety of species
230 collectively known as mud-shrimp) construct sometimes elaborate burrows in soft sediment.

231 However, burrow maintenance involves substantial time and energy costs (Stamhuis et al. 1997) that
232 are only sustainable for such creatures because maintenance can be combined with foraging activity
233 (Stamhuis et al. 1996); this would not hold for structures created primarily for protection of the
234 young in a eusocial species. Mud shrimp burrows quickly collapse in the absence of regular
235 maintenance, allowing burrow openings to be a reliable means of population estimation. Living and
236 dead woody plants also offer cavities to (for example) honeybees. However, whereas terrestrial
237 vegetation commonly invests in lignin to give structural strength to resist wind, aquatic 'plants' (both
238 true plants and other groups such as brown algae) generally are flexible; and so living or dead
239 aquatic 'plants' do not offer woody material that is suitable for extensive burrowing (Niklas 1988). At
240 a smaller scale, the tendency of aquatic plants to be compliant in the face of currents and waves
241 may even make small structural cavities within plants such as the galls found in many terrestrial
242 plants less attractive homes in aquatic than in terrestrial environments. Although such structures
243 might structurally survive the plant being whipped around by breaking waves, any animals inside the
244 cavities would be subject to extreme accelerations and decelerations, and damage through being
245 thrown into each other and the walls of their nest seems likely (Denny 1988) . The only wood that
246 might offer tunnelling opportunities in aquatic systems will be dead wood from terrestrial origins
247 that has fallen into water bodies and come to rest on substrates with the correct properties to avoid
248 the wood sinking so deeply into the substrate that it becomes inaccessible to potential burrowers.

249 Cracks in rocks offer effective homes to many ants, and the same might be true for a notional

250 aquatic eusocial species. However, again the higher movement of soft sediments in aquatic
251 environments acts against this, and many otherwise suitable crevices in rocks will fill more readily
252 with soft sediments in aquatic environments than terrestrial cavities, animal-excavated ones would
253 be in constant danger of refilling or even being entirely buried in bulk transport events. Solid rough
254 surfaces are readily available at least in the intertidal and immediately subtidal zones, provided by
255 shelled creatures such as oysters and barnacles, whether these are living or just their remaining
256 shells; but these niches are prone to periodic loss in storm events.

257 Another relevant physical aspect of aquatic ecosystems driven by the higher density of water than
258 air may be buoyancy. Firstly, this may reduce the need for nest building generally in aquatic
259 ecosystems because it makes it much less expensive for adults to carry their offspring on their body
260 even to advanced stages of development. Secondly, buoyancy may reduce the likelihood of
261 evolution of eusociality specifically, since the buoyancy of water makes broadcast dispersal of very
262 early stage organisms much more prevalent in aquatic than terrestrial systems, this life history
263 strategy of broadcasting very early stages is at odds with the retention and long-term care of
264 offspring that is central to eusociality.

265 In addition to the mainly structural challenges described so far, large underwater nests may be
266 difficult to maintain because of the challenges of delivering fresh oxygen at a sufficient rate to the
267 nest. Oxygen diffuses much more slowly through water than air (Denny 1993), and free convection
268 is less powerful. Free convection, the tendency for which is defined by the Grashof number, depends
269 on density gradients, most often resulting from temperature differences. Water has a very much
270 lower thermal expansion coefficient than air, so ventilation driven by free convection is much less of
271 a feature of aquatic than terrestrial systems (Denny 1993). Further the costs of ventilation by active
272 pumping are orders of magnitude higher in water than in air (Vogel 1994). One way round this may
273 be to position the nest to take advantage of natural water currents for ventilation, as some burrow-
274 living fish do (Hansell 1984); but (for small organisms especially) the greater potential for structural

275 damage through water than air currents may argue against this solution. Another simple solution is
276 to be tolerant of hypoxia. However, there is strong evidence from a range of burrowing aquatic
277 species that although they can survive periods of hypoxia, such conditions curtail the levels of
278 activity required for burrow construction and maintenance (Weissberger et al. 2009). Before
279 leaving the subject of temperature, we mentioned at the start of this section that temperature
280 fluctuations are less rapid and less extreme in aquatic habitats. This may be very relevant for the
281 relative uncommonness of nesting in aquatic ecosystems: the lack of need in the aquatic
282 environment for the types of nest structures that protect terrestrial species from temperature
283 fluctuations may largely eliminate an important selection pressure that might otherwise promote
284 nest building.

285 Another key difference between air and water mentioned at the start of this section was light
286 penetration. Huge volumes of the marine and even freshwater habitats experience insufficient
287 sunlight for photosynthesis and therefore, with minor local exceptions like hydrothermal vents, have
288 no primary productivity. Consequently fixed aquatic plants are limited to shallow water areas.
289 However, water is not just a supportive medium but a nutritive one, so primary productivity can
290 occur in the upper, light penetrating waters across whole oceans. These features have two
291 important habitat consequences. Firstly, many aquatic habitats are structurally simpler than many
292 heavily vegetated terrestrial ones. There may be a shortage of 'tangled bank' habitats compared
293 with terrestrial habitats to promote species diversity and provide opportunities for the evolution of
294 eusocial lifestyles. Secondly, vast areas of open water provide not simply a medium for dispersal or
295 migration but also, unlike air, a feeding ground. As a result, open water is a medium for the
296 development of immature or larval stages (e.g. many crustaceans) or of whole life cycles (e.g. pelagic
297 fish). In other words it offers an evolutionary incentive for offspring to leave home or for organisms
298 not to have a home at all, both rendering the evolution of eusociality less likely.

299 From our previous arguments, the most suitable environment for a notional eusocial aquatic
300 organism will be within a relatively stiff living organism with a complex structure offering crevices to
301 act as a nesting site for our focal species. Living examples of the host organism will be more
302 attractive than dead examples, because they likely have some ability to combat sediment build-up in
303 crevices, and mechanisms promoting relative water movement potentially providing increased local
304 oxygen availability. The host-organisms should also be long-lived relative to the focal eusocial one
305 (since a single nest site must survive long enough to support several generations of the eusocial
306 species). We see all these criteria met in the sponges utilised by the only known aquatic eusocial
307 species already discussed. Shrimps of the genus *Synalpheus* form colonies in sponges, and each
308 shrimp species inhabits a different sponge species, making *Synalpheus* one of the most diverse
309 crustacean genera. Eusociality has evolved several times within this group, which is the main group
310 of organisms with a strong association with sponges. The living host will generally require substantial
311 water movement around and through it, in order to feed on suspended material; and this may
312 benefit eusocial lodgers in terms of oxygen (and potentially food) delivery, and avoiding sediment
313 built up in cavities. However, we may also find tension with the living host: significant water
314 movement may make water-borne chemical communication by the eusocial species much more
315 difficult by flushing water-borne signals before they are detected by nest-mates, and may even
316 potentially be powerful enough to expel members of the eusocial species. Chemical communication
317 is extensively used within social insect nests and is also the most common form of communication
318 among the crustaceans (Breithaupt & Thiel 2011). Sponges can produce currents of the order of 0.2
319 ms^{-1} , often pumping their own volume of water every five seconds (Nickel 2004).

320

321 **Discussion**

322 In the preceding section we have argued that the apparent extreme scarcity of eusocial aquatic
323 organisms arises because differences in the physical properties of air and water make finding, or

324 making, suitable nesting sites for a eusocial colony more challenging in aquatic environments; and
325 reduce some incentives for investing in nest construction. However, we should also acknowledge
326 that other factors may also be important. The overwhelming majority of eusocial species are
327 arthropods, and (even considering that terrestrial species have been more extensively studied) there
328 is greater species diversity of arthropods in terrestrial than aquatic environments (Brusca & Brusca
329 2003). Hence, phylogeny may be an important factor, although the two eusocial mole rat species
330 demonstrate that eusociality is not restricted only to the arthropods. Food supply may also be a
331 factor, eusociality clearly requires spatial aggregation of individuals that will need a substantial local
332 food supply, and it may be that as a generality that the nature of food availability in many aquatic
333 habitats (generally characterised by more spatially diffuse primary productivity than terrestrial
334 systems) discourages aggregation. However against this argument colonial organisms thrive in
335 aquatic environments (as previously discussed) and aggregations of organisms is not uncommon
336 (consider the shoaling tendencies of many fish). Clearly vast expanses of macro algae or sea grass
337 *Zostera* spp beds also provide high biomasses of potential food for aquatic species with a leaf cutter
338 ant like lifestyle.

339 Our argument above that physical aspects of water make nest building more challenging and less
340 advantageous for eusocial species should also apply to non-eusocial species – and indeed nest
341 building does seem more prevalent amongst terrestrial species (see survey in Chapter 1 on Hansell
342 1984). We argue that this might be for two separate but non-exclusive reasons – relative shortage of
343 suitable nest sites and relative shortage of nest materials. The relative importance of these two
344 factors is unclear. Lower levels of vegetation in aquatic systems likely contribute to both these
345 factors, but their relative importance will likely vary between different aquatic habitats. We note
346 that *Synalpheus* species, which contain the only eusocial aquatic organisms, do not appear to use
347 materials to build anything, but depend entirely upon microhabitats provided by the structure of
348 another organism for nesting.

349 We should be clear that we consider the ability to exploit a protected nest site appears to be a
350 necessary pre-condition for the evolution of eusociality. In this we have reached a similar conclusion
351 about the key role of the nest to recent – controversial – ideas on the evolution of eusociality
352 (Nowak et al, 2010; Wilson, 2012), albeit by rather different arguments. However, a large nest is
353 certainly not a sufficient condition. For example, many social mammals have large and complex
354 nesting burrows that survive over multi-generational timescales, but only two burrowing
355 mammalian species are eusocial.

356 We should also consider the plausibility of a notional amphibious eusocial species that builds its nest
357 in a terrestrial environment but forages in an aquatic environment. This might seem plausible in (for
358 example) mangrove habitats, and ants can be common in such habitats; however this life-history
359 tactic is not known for any mangrove species, and only seen in one known species with a very
360 specialist foraging niche. The unusual foraging mode of that species is insightful as to the rarity of
361 amphibious ants. The ant *Camponotus schmitzi* lives in symbiosis with the Bornean pitcher plant
362 *Neptenthes bicarata*. Ants regularly dive and swim in the pitcher's digestive fluid to forage for food,
363 on their foraging trips they repeatedly enter and leave the fluid apparently unrestricted by surface
364 tension forces. However, Bohn et al. (2012) showed that ants become stuck on the surface of pure
365 water, and their behaviour in the fluid of pitchers is only possible because that fluid contains plant-
366 produced detergents that lower surface tension. As a generality, eusocial species appear relatively
367 small-bodied, and surface tension makes breaking through the surface of water a greater challenge
368 for smaller organisms (that have a proportionally greater surface area in comparison to their weight
369 and the propulsive power they can generate) (Denny 1993). Small body size in eusocial animals
370 might well be related to the need to fit multiple adult individuals into the protective nest, thus the
371 limitation imposed by surface tension may be important generally in explaining the extreme rarity of
372 amphibious eusocial insects. Many insect species have an aquatic juvenile stage and an aerial adult
373 stage, and thus must overcome surface tension once in their lifetime when they emerge from that
374 water. However, if such emergence is costly in energy and/or time, then these costs can be more

375 easily borne once in a life-time than repeatedly as they would have to be by a notional amphibious
376 eusocial species.

377 While the two eusocial mammals show that eusociality is possible at body-sizes that would make
378 overcoming surface tension a trivial challenge, other factors may argue against an amphibious
379 eusocial lifestyle. One such factor may be that eusociality requires the production of cheap workers
380 and the unit cost of workers is much greater if they have a physiology that can deal with the two
381 very different environments in air and water (e.g. in terms of respiration, water balance,
382 communication mechanisms and sensory systems). In fact, many ant species exploit terrestrial
383 ecosystems like mangroves where their nests are periodically engulfed by rising water levels. Such
384 species survive by nest design and/or behaviours that limit water penetration into the nest,
385 sometimes combined with the ability of foraging ants to return safely to the nest by swimming
386 across the water surface (reviewed in Nielsen 1977). However these should be considered as aspects
387 of flooding tolerance, rather than exploitation of the aquatic zone; since there are no reported cases
388 where such ants collect food from the water. The fire ant *Solenopsis invicta* can make rafts out of
389 their own bodies that allow migration events across the water's surface that can last many months
390 (Mlot et al. 2011); however again this adaptation to flooding should be seen as a lifeboat
391 mechanism, and the ants do not feed until they return to the terrestrial substrate. More generally
392 the ability to cope with the different physical regimes of air and water likely explains why
393 amphibious lifestyles are relatively uncommon across the animal kingdom, and in this context there
394 being only one example of an amphibious eusocial species is unsurprising.

395 **Conclusion**

396 The near absence of eusociality in aquatic systems is a striking but often-overlooked ecological
397 difference between terrestrial and aquatic habitats. We feel that it can be explained through a
398 combination of physical properties of water than are markedly different from those of air. In
399 addition, asking why there are no aquatic 'ants' provides a useful thought experiment; the results of

400 which might usefully inform our understanding of the evolution and diversity of terrestrial
401 eusociality, and the evolution of building behaviours in aquatic environments.

402

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405

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