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6 **A general framework for animal density**  
7 **estimation from acoustic detections across a fixed**  
8 **microphone array**

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26 **Abstract**

- 27
- 28 1. Acoustic monitoring can be an efficient, cheap, non-invasive  
29 alternative to physical trapping of individuals. Spatially expli-  
30 city capture-recapture (SECR) methods have been proposed to  
31 estimate calling animal abundance and density from data col-  
32 lected by a fixed array of microphones. However, these methods  
33 make some assumptions that are unlikely to hold in many situ-  
34 ations, and the consequences of violating these are yet to be  
35 investigated.
- 36 2. We generalize existing acoustic SECR methodology, enabling  
37 these methods to be used in a much wider variety of situations.  
38 We incorporate time of arrival (TOA) data collected by the  
39 microphone array, increasing the precision of calling animal es-  
40 timates. We use our method to estimate calling male density  
41 of the Cape peninsula moss frog *Arthroleptella lightfooti*.
- 42 3. Our method gives rise to an estimator of calling animal density  
43 that has negligible bias, and 95% confidence intervals with ap-  
44 propriate coverage. We show that using TOA information can  
45 substantially improve estimate precision.
- 46 4. Our analysis of the *A. lightfooti* data provides the first statisti-  
47 cally rigorous estimate of calling male density for an anuran  
48 population using a microphone array. This method fills a meth-  
49 odological gap in the monitoring of frog populations, and is  
50 applicable to acoustic monitoring of other species that call or  
51 vocalize.

52     **Key-words: Anura, Bootstrap, frog advertisement call, maximum**  
53 **likelihood, Pyxicephalidae, spatially explicit capture-recapture, time**  
54 **of arrival**

## 55   **1 Introduction**

56 Population size is one of the most important variables in ecology and a crit-  
57 ical factor for conservation decision making. Distance sampling and capture-  
58 recapture are both well-established methods used for the estimation of animal  
59 abundance and density. Both approaches calculate estimates of detection prob-  
60 abilities, and these provide information about how many animals in the survey  
61 area were undetected. Estimates of abundance and density are then straightfor-  
62 ward to calculate. One particular point of difference is that distance sampling  
63 uses locations of detected individuals in *space*, while typically capture-recapture  
64 records the initial capture, and subsequent recaptures, of individuals at various  
65 points in *time*. The relatively recent introduction of spatially explicit capture-  
66 recapture (SECR) methods (Efford, 2004; Borchers & Efford, 2008; Royle &  
67 Young, 2008; Royle *et al.*, 2013, see Borchers, 2012, for a non-technical over-  
68 view) has married the spatial component of distance sampling and the temporal  
69 nature of capture-recapture approaches. Indeed, Borchers *et al.* (in press) linked  
70 the two under a unifying model to show that they exist at opposite ends of a  
71 spectrum of methods, which vary with the amount of spatial information em-  
72 ployed.

73     Data collected from SECR surveys are records (known as the capture histor-  
74 ies) of where and when each individual was detected. Detection may occur in  
75 a variety of ways, e.g., by physical capture, or from visual recognition of a par-  
76 ticular individual. SECR methods treat animal activity centres as unobserved  
77 latent variables, and the positions of detectors that did (and did not) detect a

78 particular individual are informative about its location; an individual’s activity  
79 centre is likely to be close to the detectors at which it was detected.

80 Efford *et al.* (2009) first proposed the application of SECR methods to de-  
81 tection data collected without physically capturing the animals themselves, but  
82 from an acoustic survey using an array of microphones (see Section 9.4, Royle  
83 *et al.*, 2013, for a summary of acoustic SECR methods). This is appealing  
84 when the species of interest is visually cryptic and difficult to trap physically,  
85 but is acoustically detectable. Moreover, it is less disruptive and invasive than  
86 physical capture. When individuals can be detected (virtually) simultaneously  
87 on multiple detectors (e.g., by virtue of the same call being recorded at mul-  
88 tiple microphones), then “recaptures” (or, more accurately, redetections) occur  
89 at different points in space rather than across time, thus removing the need  
90 for multiple survey occasions. This has the advantage of substantially reducing  
91 the cost of fieldwork. In this case, the capture histories simply indicate which  
92 microphones detected each call, and no longer have a temporal component. The  
93 latent locations are no longer considered activity centres, but simply the phys-  
94 ical location of the individual when the call was made. The use of SECR for  
95 these data is advantageous over competing approaches (e.g., distance sampling)  
96 as these often assume that the locations can be determined without error, and  
97 this does not hold in many cases.

98 The method of Efford *et al.* (2009) used signal strengths (i.e., the loudness  
99 of a received call at a microphone) to improve estimates of individuals’ locations:  
100 Microphones that received a stronger signal of a particular call are likely to be  
101 closer to the latent source locations than those that received a weaker signal.  
102 Such additional information is capable of improving the precision of parameter  
103 estimates (Borchers *et al.*, in press).

104 Naturally, acoustic detection methods are unable to estimate the density of

105 non-calling individuals. Any density estimates obtained from acoustic surveys  
106 therefore correspond to the density of calling individuals, or density of calls  
107 themselves (i.e., calls per unit area per unit time), rather than overall population  
108 density. If the proportion of individuals in the population that call is known  
109 (or can be estimated) then it is straightforward to convert estimated calling  
110 animal density to population density. Otherwise, the utility of measures related  
111 to abundance or density (e.g., relative abundance indices) has been shown for  
112 a variety of taxa, of which only subsets of the populations are acoustically  
113 detectable.

114 For example, females do not call for almost all anuran species. It is therefore  
115 only possible to obtain an estimate of calling male density from an acoustic  
116 survey. Nevertheless, qualitative estimates of call density (i.e., density recorded  
117 on a categorical scale) for frog populations have been found to correlate well  
118 with capture-recapture estimates (Grafe & Meuche, 2005), and male chorus  
119 participation is the best known determinant of mating success in many frog  
120 species (Halliday & Tejedo, 1995). As a result, call density is often used as a  
121 proxy for frog density (e.g., Corn *et al.*, 2000; Crouch & Paton, 2002; Pellet  
122 *et al.*, 2007).

123 Further examples of taxa for which measures related to abundance and dens-  
124 ity have been estimated using acoustic methods include birds (e.g., Buckland,  
125 2006; Celis-Murillo *et al.*, 2009; Dawson & Efford, 2009), cetaceans (e.g., Harris  
126 *et al.*, 2013; Martin *et al.*, 2013), insects (e.g., Fischer *et al.*, 1997), and primates  
127 (e.g., Phoonjampa *et al.*, 2011). See Marques *et al.* (2013) for an overview on  
128 the use of passive acoustics for the estimation of population density.

129 While the method of Efford *et al.* (2009) shows promise in estimating calling  
130 animal abundance and density using fixed arrays of acoustic detectors, a major  
131 practical issue was not addressed in this work: The method as described is only

132 appropriate if each individual makes exactly one call. The likelihood presented  
133 assumes independent detections between calls, thus independence between call  
134 locations. This is unlikely to hold when individuals emit more than a single call,  
135 as locations of calls made by the same individual are almost certainly related.  
136 This issue was not explicitly acknowledged, and as a result the subsequent ana-  
137 lyses presented by Marques *et al.* (2012), Martin *et al.* (2013), and Dawson &  
138 Efford (2009), which all apply the method of Efford *et al.* (2009), are problem-  
139 atic. We outline these studies below.

140 Marques *et al.* (2012) and Martin *et al.* (2013) applied acoustic SECR meth-  
141 ods to data collected by underwater hydrophones, which detected vocalizations  
142 from minke whales *Balaenoptera acutorostrata* Lacépède. As the location of a  
143 whale's call is likely to be close to the location of its previous call, this analysis  
144 suffers the assumption violation mentioned above. The consequences of this  
145 violation are not clear.

146 Furthermore, calls were treated as the unit of detection meaning that each  
147 call (rather than each individual) was given its own capture history. The res-  
148 ulting density estimate was therefore of call density rather than calling whale  
149 density. Distance sampling analyses have previously used independently es-  
150 timated call rates to convert from call density to calling animal density (e.g.,  
151 Buckland, 2006), and Efford *et al.* (2009) suggest using the same approach. The  
152 efficacy of this approach in an SECR setting is yet to be investigated, and a way  
153 of estimating variance of animal density estimates generated in this way has not  
154 yet been proposed.

155 Dawson & Efford (2009) estimated density of singing ovenbirds *Seiurus auro-*  
156 *capilla* (Linnaeus) using small arrays of microphones. Only the first detection  
157 from each individual was retained for analysis. The authors claim that this  
158 allows for the direct estimation of calling animal density, as calling individuals

159 are now the unit of detection. There are two problems with this practice: First,  
160 it can only be carried out in situations where individuals are recognizable from  
161 their calls, and on many surveys this is not the case. Second, detection probabil-  
162 ities calculated using this method correspond to calls, but when calling animals  
163 are the unit of detection it is necessary to calculate the detection probabilities  
164 of *individuals* instead. The approach of Dawson & Efford (2009) ignores a tem-  
165 poral component of individual-level detection – the longer the survey, the more  
166 likely it is that *at least one call* from a particular individual will be detected.  
167 Individuals are detectable multiple times (i.e., every time they call) while calls  
168 are not, so call detection probabilities are necessarily smaller than individual  
169 detection probabilities. This results in the overestimation of the density of un-  
170 detected individuals, causing (potentially substantial) positive bias in calling  
171 animal density estimates.

172 Putting the method of Efford *et al.* (2009) into practice is therefore problem-  
173 atic. It is necessary to investigate the consequences of violating assumptions of  
174 call location independence, and propose suitable estimators based on acoustic  
175 detection data from a microphone array. In this manuscript we present a general  
176 method that gives rise to estimators of calling animal density. We also develop  
177 methodology that can be used to estimate variance of the proposed estimators.  
178 We show by simulation that both perform well under reasonable assumptions.

179 An additional improvement is possible, which we also incorporate into our  
180 estimator. While Efford *et al.* (2009) suggest the use of received signal strengths  
181 to further inform call locations (in addition to detection locations), Borchers  
182 *et al.* (in press) demonstrate the utility of time of arrival information in this  
183 regard. Multichannel arrays are capable of recording the precise times at which a  
184 signal is detected by each individual microphone, and subtle differences between  
185 these times are informative about the location of the sound source. For example,

186 a call's source location is likely to be closest to the microphone with the earliest  
187 detection time. The use of such auxiliary data informative on call locations  
188 in acoustic SECR is further motivated by Fewster & Jupp (2013), who show  
189 that incorporating response data from additional sources leads to estimators  
190 that are asymptotically more efficient. Indeed, we show via simulation that our  
191 estimator has less bias and is more precise when it incorporates time of arrival  
192 data.

193 We use our method to estimate calling male density of the Cape peninsula  
194 moss frog *Arthroleptella lightfooti* (Boulenger) from an acoustic survey. The  
195 genus *Arthroleptella* (moss frogs; family Pyxicephalidae) are tiny (adults are  
196 typically 7–8 mm total length), visually cryptic, and inhabit seepages on moun-  
197 tain tops in South Africa's Western Cape Province (Channing, 2004). Due to  
198 the region's topography, many species are severely range restricted, endemic to  
199 individual mountains, such that most of the genus are on the IUCN red list (1  
200 Critically Endangered, 1 Vulnerable, 3 Near Threatened, and 2 Least Concern;  
201 Measey, 2011).

202 Individuals are extremely hard to find (approximately 3–4 person-hours per  
203 individual), and therefore prohibitively expensive to monitor via direct observa-  
204 tion. However, males can be heard calling throughout the austral winter from  
205 within montane seepages, making an acoustic survey ideal. Movement of in-  
206 dividuals is minimal over the course of such surveys; during physical searches  
207 frogs appear to call from the same precise locations (Measey, pers. obs.). Cur-  
208 rently, these populations are monitored with a subjective estimate of calling  
209 male abundance (Measey *et al.*, 2011). Such subjective methods are typically  
210 employed in anuran monitoring methodologies (Dorcas *et al.*, 2009). These es-  
211 timates have no corresponding measure of estimate uncertainty. Additionally,  
212 there is no formal way of accurately determining the survey area within which

213 individuals are detected, and so estimates of calling male density are not avail-  
214 able. Indeed, Dorcas *et al.* (2009) conclude that current auditory monitoring  
215 approaches to surveying anuran populations are restricted in their ability to  
216 estimate abundance or density. At present, no method exists that is capable of  
217 generating both point and interval estimates of either call or calling male dens-  
218 ity in a statistically rigorous manner. For the genus *Arthroleptella* (amongst  
219 others), this problem is further compounded by the lack of any method capable  
220 of identifying individuals from their calls, so it is not known how many differ-  
221 ent individuals have been detected. The method we present overcomes these  
222 problems.

## 223 2 Materials and methods

### 224 2.1 OVERVIEW

225 Our method has three main components:

- 226 1. An acoustic SECR survey from which call density is estimated (Section  
227 2.3).
- 228 2. Estimation of the average call rate (Section 2.4), allowing for conversion  
229 of the call density estimate into a calling animal density estimate.
- 230 3. A parametric bootstrap procedure (Section 2.5) for variance estimation.

231 Once call density is estimated in Step 1, establishing an estimate for the mean  
232 call rate in Step 2 allows for the estimation of calling animal density. Measures  
233 of parameter uncertainty (such as standard errors and confidence intervals) are  
234 calculated using a parametric bootstrap approach. Parameter estimates from  
235 both Step 1 and Step 2 are required in order to carry out this procedure.

236 The SECR model we present for Step 1 assumes that individual calls are  
237 identifiable, i.e., it is known whether or not two detections at different micro-  
238 phones are of the same call. Some acoustic pre-processing is required in order  
239 to ascertain how many unique calls were detected across the array, and which  
240 of these were detected by each of the microphones. The details of this process  
241 will vary from study to study depending factors such as the acoustic properties  
242 of the focal species' calls. We describe a simple method in Section 2.6 which is  
243 suitable for our survey of *A. lightfooti*.

244 We do not assume that individuals are identifiable, i.e., our method does  
245 not require knowledge of whether or not two detected calls were made by the  
246 same animal. This is more difficult than identifying calls; there is less informa-  
247 tion available from which to determine individual identification, and one must  
248 contend with between-call variation in whatever acoustic properties of the calls  
249 are measured.

## 250 2.2 NOTATION AND TERMINOLOGY

251 We consider a survey of duration  $T$  with  $k$  microphones placed at known loca-  
252 tions within the survey region  $A \subset \mathbb{R}^2$ . Vocalizations from members of the focal  
253 species are detected by these microphones, and measurements of the received  
254 signal strength and time of arrival are collected for each detection. A detection  
255 is defined to be a received acoustic signal of a call that has a strength above a  
256 particular threshold,  $c$ , so that is easily identifiable above any background noise.  
257 Detections with strengths below this threshold are discarded.

258 The observed data comprises the number of unique calls detected,  $n_c$ , cap-  
259 ture histories of the detected calls,  $\mathbf{\Omega}$ , recorded signal strengths,  $\mathbf{Y}$ , and times  
260 of arrival measured from some reference point (typically the beginning of the  
261 survey),  $\mathbf{Z}$ . These are defined as follows.

262 Let  $\omega_{ij}$  be 1 if call  $i \in \{1, \dots, n_c\}$  was detected at microphone  $j \in \{1, \dots, k\}$ ,

263 and 0 otherwise. We denote  $\boldsymbol{\omega}_i = (\omega_{i1}, \dots, \omega_{ik})$  as the capture history for the  
 264  $i^{\text{th}}$  call on the  $k$  detectors, and  $\boldsymbol{\Omega}$  contains the capture histories for all  $n_c$  calls.  
 265 If the  $i^{\text{th}}$  call was detected by the  $j^{\text{th}}$  microphone then we also observe  $y_{ij}$  and  
 266  $z_{ij}$ , the measured signal strength and the recorded time of arrival from the start  
 267 of the survey, respectively. The sets of all these observations are given by  $\mathbf{Y}$  and  
 268  $\mathbf{Z}$ , and  $\mathbf{y}_i$  and  $\mathbf{z}_i$  contain the signal strength and time of arrival information  
 269 associated with the  $i^{\text{th}}$  call.

270 The detected calls have unobserved locations  $\mathbf{X} = (\mathbf{x}_1, \dots, \mathbf{x}_{n_c})$ , where  $\mathbf{x}_i \in$   
 271  $A$  provides the Cartesian coordinates of the location at which the  $i^{\text{th}}$  call was  
 272 made. We also use  $\mathbf{x}$  generically to denote a particular location within the survey  
 273 region. Note that locations of calls emitted by the same individual cannot be  
 274 considered independent. As it is not known which calls were made by the same  
 275 individual, call locations in general are not independent.

276 The parameter vector  $\boldsymbol{\theta} = (D_c, \boldsymbol{\gamma}, \boldsymbol{\phi})$  is estimated from the acoustic survey  
 277 data. The scalar  $D_c$  is call density (calls per unit area per unit time), which is  
 278 assumed to be constant across the survey area covered by the array (although see  
 279 Section 4.5 for some discussion on modelling spatial variation in calling animal  
 280 and call density), while the vectors  $\boldsymbol{\gamma}$  and  $\boldsymbol{\phi}$  contain parameters associated with  
 281 the signal strength and time of arrival processes respectively.

282 The detection function and the effective sampling area (ESA) play import-  
 283 ant roles in both SECR and distance sampling, and so they are worth briefly  
 284 introducing here. The detection function  $g(d; \boldsymbol{\gamma})$  gives the probability that a call  
 285 is detected by a microphone, given that their respective locations are separated  
 286 by distance  $d$ . This is usually a monotonic decreasing function as calls further  
 287 from a microphone are usually less detectable. Here we use the signal strength  
 288 detection function (Efford *et al.*, 2009, further detail provided in Section 2.3.1),  
 289 and this depends on the signal strength parameters  $\boldsymbol{\gamma}$ . Assuming independence

290 across microphones, the probability that a call made at  $\mathbf{x}$  is detected at all  
 291 is therefore  $p(\mathbf{x}; \boldsymbol{\gamma}) = 1 - \prod_{j=1}^k 1 - g(d_j(\mathbf{x}); \boldsymbol{\gamma})$ , where  $d_j(\mathbf{x})$  is the distance  
 292 between the location  $\mathbf{x}$  and the  $j^{\text{th}}$  microphone. The ESA depends on the de-  
 293 tection function, and is given by  $a(\boldsymbol{\gamma}) = \int_A p(\mathbf{x}; \boldsymbol{\gamma}) d\mathbf{x}$  (Borchers & Efford, 2008;  
 294 Borchers, 2012).

295 The average call rate of calling members of the population at the time of  
 296 the survey,  $\mu_r$ , is estimated from a separate, independent sample of  $n_r$  call  
 297 rates,  $\mathbf{r} = (r_1, \dots, r_{n_r})$ . If  $\mathbf{r}$  is used to estimate a parametric distribution for  
 298 population call rates, then the vector  $\boldsymbol{\psi}$  holds the associated parameters. The  
 299 final parameter of interest is calling animal density,  $D_a$ .

300 Throughout this manuscript we do not explicitly differentiate between a  
 301 random variable and its observed value, instead this should be clear from its  
 302 context. Likewise, we use the function  $f(\cdot)$  to generically denote any probability  
 303 density function (PDF) or probability mass function (PMF) without explicit  
 304 differentiation. The random variable(s) that  $f(\cdot)$  is associated with should be  
 305 clear from its argument(s). From Equation (2) onwards we omit the indexing  
 306 of parameters in PDFs and PMFs for clarity.

### 307 2.3 CALL DENSITY ESTIMATOR

308 The estimator we propose for  $\boldsymbol{\theta}$  is based on an SECR model, which we describe  
 309 in this section.

The full likelihood is the joint density of the data collected from the acoustic  
 survey, as a function of the model parameters:

$$\begin{aligned} L(\boldsymbol{\theta}) &= f(n_c, \boldsymbol{\Omega}, \mathbf{Y}, \mathbf{Z}; \boldsymbol{\theta}) \\ &= f(n_c; D_c, \boldsymbol{\gamma}) f(\boldsymbol{\Omega}, \mathbf{Y}, \mathbf{Z} | n_c; \boldsymbol{\gamma}, \boldsymbol{\phi}). \end{aligned} \tag{1}$$

310 Note that  $D_c$  does not appear in the second term of Equation (1). This is

311 a consequence of assuming that call density is constant over the survey area  
312 (Borchers & Efford, 2008).

313 SECR approaches often assume that the number of animals detected is a  
314 Poisson random variable, as animal locations are considered a realization of a  
315 Poisson point process. Because we do not know how many unique individuals  
316 have been detected, the distribution of the random variable  $n_c$  is not known  
317 (indeed, it is certainly not a Poisson random variable if individuals call more  
318 than once, see Appendix C). This issue is linked to the dependence of within-  
319 animal call locations; independence in call locations implies that said locations  
320 are a realization of a Poisson point process, but any dependence violates this.

321 We use the so-called *conditional likelihood* approach of Borchers & Efford  
322 (2008), which we extend here to include signal strength and time of arrival  
323 information. This allows for estimation of  $\theta$  without any distributional assump-  
324 tion on  $n_c$ , by conditioning on  $n_c$  itself. Parameters  $\gamma$  and  $\phi$  can be estimated  
325 directly using this likelihood, which is the second term in Equation (1):

$$L_n(\gamma, \phi) = f(\Omega, \mathbf{Y}, \mathbf{Z}|n_c). \quad (2)$$

326 Once the estimate  $\hat{\gamma}$  has been obtained, an estimate of  $D_c$  can then be calculated  
327 using a Horvitz-Thompson-like estimator. This is accomplished by dividing the  
328 number of detected calls by the estimated ESA and the survey length, i.e.,

$$\hat{D}_c = \frac{n_c}{a(\hat{\gamma})T}. \quad (3)$$

329 Estimates for SECR model parameters that are obtained via maximization  
330 of the full likelihood are in fact equal to those obtained via maximization of the  
331 conditional likelihood and use of a Horvitz-Thomson-like estimator (Borchers &  
332 Efford, 2008), so there is no practical difference in the two approaches if we are

333 only interested in point estimates (though note that this only holds when density  
 334 is assumed constant across the survey area). Indeed, specifying the distribution  
 335 for the number of detections (here denoted as  $n_c$ ) only serves to allow calculation  
 336 of estimate uncertainty; here  $\widehat{D}_c$  depends on  $n_c$ , and so uncertainty in  $\widehat{D}_c$  is  
 337 subject to the variance of  $n_c$ .

Let us now describe the conditional likelihood, Equation (2), in further detail. The capture histories,  $\mathbf{\Omega}$ , received signal strengths,  $\mathbf{Y}$ , and times of arrival,  $\mathbf{Z}$ , all depend on the call locations  $\mathbf{X}$ : The closer a call is made to a microphone, the higher the probability of detection, the louder expected received signal strength, and the earlier the expected measured time of arrival. We therefore obtain the joint density of  $\mathbf{\Omega}$ ,  $\mathbf{Y}$ , and  $\mathbf{Z}$ , conditional on  $n_c$ , by marginalizing over  $\mathbf{X}$ :

$$\begin{aligned}
 L_n(\boldsymbol{\gamma}, \boldsymbol{\phi}) &= \int_{A^{n_c}} f(\boldsymbol{\Omega}, \mathbf{X}, \mathbf{Y}, \mathbf{Z} | n_c) d\mathbf{X} \\
 &= \int_{A^{n_c}} f(\boldsymbol{\Omega}, \mathbf{Y}, \mathbf{Z} | \mathbf{X}, n_c) f(\mathbf{X} | n_c) d\mathbf{X} \\
 &= \int_{A^{n_c}} f(\mathbf{Y}, \mathbf{Z} | \boldsymbol{\Omega}, \mathbf{X}, n_c) f(\boldsymbol{\Omega} | \mathbf{X}, n_c) f(\mathbf{X} | n_c) d\mathbf{X}.
 \end{aligned}$$

By assuming independence between the detected calls' recorded signal strengths and times of arrival, conditional on  $\mathbf{X}$  (i.e., the time of a call's detection does not depend on its strength) we obtain

$$L_n(\boldsymbol{\gamma}, \boldsymbol{\phi}) = \int_{A^{n_c}} f(\mathbf{Y} | \boldsymbol{\Omega}, \mathbf{X}, n_c) f(\mathbf{Z} | \boldsymbol{\Omega}, \mathbf{X}, n_c) f(\boldsymbol{\Omega} | \mathbf{X}, n_c) f(\mathbf{X} | n_c) d\mathbf{X}.$$

338 The conditional likelihood presented above is intractable for two reasons: i)  
 339 In general, the joint density of the call locations,  $f(\mathbf{X} | n_c)$ , is unknown due to the  
 340 uncertain identification problem; we are unable to allocate calls to individuals  
 341 (see Section 2.2), and ii) The integral is of dimension  $2n_c$ , usually rendering any

342 method of its approximation too computationally expensive to be feasible.

343 Instead, we compute the *simplified likelihood* which overcomes these two  
344 problems by treating call locations as if they are independent. Justification  
345 for this is that treating non-independent data as if they are independent often  
346 has minimal effect on the bias of an estimator (though variance estimates may  
347 be affected substantially). This gives  $f(\mathbf{X}|n_c) = \prod_{i=1}^{n_c} f(\mathbf{x}_i)$ , and results in a  
348 separable integral, allowing for the evaluation of a product of  $n_c$  2-dimensional  
349 integrals instead of a single  $2n_c$ -dimensional integral:

$$L_s(\boldsymbol{\gamma}, \boldsymbol{\phi}) = \prod_{i=1}^{n_c} \int_A f(\mathbf{y}_i|\boldsymbol{\omega}_i, \mathbf{x}_i) f(\mathbf{z}_i|\boldsymbol{\omega}_i, \mathbf{x}_i) f(\boldsymbol{\omega}_i|\mathbf{x}_i) f(\mathbf{x}_i) d\mathbf{x}_i. \quad (4)$$

350 Estimates for  $\boldsymbol{\gamma}$  and  $\boldsymbol{\phi}$  are found by maximising the log of the simplified  
351 likelihood function, i.e.,

$$(\hat{\boldsymbol{\gamma}}, \hat{\boldsymbol{\phi}}) = \arg \max_{\boldsymbol{\gamma}, \boldsymbol{\phi}} \log(L_s(\boldsymbol{\gamma}, \boldsymbol{\phi})), \quad (5)$$

352 and our estimator for  $D_c$  remains as shown in Equation (3).

353 In situations where call locations *can* be considered independent, the condi-  
354 tional and simplified likelihoods are equivalent. Otherwise, the simplified likeli-  
355 hood is not a true likelihood per se, and should not be treated as such. That is,  
356 any further likelihood-based inference (such as the calculation of standard errors  
357 based on the curvature of the log-likelihood surface at the maximum likelihood  
358 estimate, or likelihood-based information criteria) should not be directly used.

359 The following sections focus on providing further details about each term  
360 that appears in the integrand of Equation (4).

361 *2.3.1 Signal strength*

362 The use of signal strength to improve estimator precision in SECR models was  
 363 first proposed by Efford *et al.* (2009).

364 Assuming independence between received signal strengths (see Section 4.4  
 365 for discussion on this point), the first component of the integrand in Equation  
 366 (4) is

$$f(\mathbf{y}_i|\boldsymbol{\omega}_i, \mathbf{x}_i) = \prod_{j=1}^k f(y_{ij}|\omega_{ij}, \mathbf{x}_i).$$

367 The expected received signal strength of the  $i^{\text{th}}$  call at the  $j^{\text{th}}$  microphone  
 368 can be any sensible monotonic decreasing function of  $d_j(\mathbf{x}_i)$ , the distance between  
 369 the  $j^{\text{th}}$  microphone and the location of the  $i^{\text{th}}$  call. Here we simply use

$$E(y_{ij}|\mathbf{x}_i) = h^{-1}(\beta_{0s} - \beta_{1s}d_j(\mathbf{x}_i)),$$

370 where  $h^{-1}(\cdot)$  is the inverse of a link function (typically chosen to be either the  
 371 identity or log function). We account for Gaussian measurement error in the  
 372 received signal strengths, i.e.,

$$y_{ij}|\mathbf{x}_i \sim N(E(y_{ij}|\mathbf{x}_i), \sigma_s).$$

373 The parameter vector  $\boldsymbol{\gamma}$  therefore comprises  $\beta_{0s}$ ,  $\beta_{1s}$ , and  $\sigma_s$  which have  
 374 direct signal strength interpretations:  $\beta_{0s}$  is the source signal strength of calls  
 375 (on the link function's scale),  $\beta_{1s}$  is the loss of strength per metre travelled  
 376 due to signal propagation (on the link function's scale), and  $\sigma_s$  is the standard  
 377 deviation of the normal distribution used to account for signal measurement  
 378 error.

379 However, recall that  $y_{ij}$  is only observed if the received signal strength ex-  
 380 ceeds the microphone threshold of detection, i.e., if and only if  $y_{ij} > c$  (or,

381 equivalently,  $\omega_{ij} = 1$ ). Otherwise,  $y_{ij}$  is discarded and  $\omega_{ij}$  is set to 0. There-  
 382 fore, we set  $f(y_{ij}|\omega_{ij} = 0, \mathbf{x}_i)$  to 1, and  $(y_{ij}|\omega_{ij} = 1, \mathbf{x}_i)$  is a random variable  
 383 from a truncated normal distribution, giving

$$f(y_{ij}|\omega_{ij} = 1, \mathbf{x}_i) = \frac{1}{\sigma_s} f_n \left( \frac{y_{ij} - E(y_{ij}|\mathbf{x}_i)}{\sigma_s} \right) \left( 1 - \Phi \left( \frac{c - E(y_{ij}|\mathbf{x}_i)}{\sigma_s} \right) \right)^{-1}, \quad (6)$$

384 where  $f_n(\cdot)$  and  $\Phi(\cdot)$  are the PDF and the cumulative density function of the  
 385 standard normal distribution, respectively.

### 386 2.3.2 Probability of detection

387 Based on the previous section, Efford *et al.* (2009) proposed the *signal strength*  
 388 *detection function*, to be used when signal strength information has been col-  
 389 lected by the detectors during an SECR survey. This takes the form

$$g(d; \gamma) = 1 - \Phi \left( \frac{c - h^{-1}(\beta_{0s} - \beta_{1s}d)}{\sigma_s} \right),$$

390 thus giving the probability of a call's received signal strength exceeding  $c$  (and,  
 391 therefore, the probability of detection).

392 The  $i^{\text{th}}$  capture history,  $\boldsymbol{\omega}_i$ , is only observed if the  $i^{\text{th}}$  call is detected, i.e.,  
 393 if  $\sum_{j=1}^k \omega_{ij} > 0$ . Thus, we observe  $\boldsymbol{\omega}_i$  conditional on detection, and so  $f(\boldsymbol{\omega}_i|\mathbf{x}_i)$   
 394 must incorporate the probability of detection in the denominator. Assuming  
 395 independent detections of each call across all microphones, the third component  
 396 of the integrand in Equation 4 is therefore

$$f(\boldsymbol{\omega}_i|\mathbf{x}_i) = \frac{\prod_{j=1}^k f(\omega_{ij}|\mathbf{x}_i)}{p(\mathbf{x}_i; \gamma)}.$$

397 As  $\omega_{ij}$  is 1 if the  $i^{\text{th}}$  call is detected by the  $j^{\text{th}}$  microphone, and 0 otherwise, we

398 have

$$f(\omega_{ij}|\mathbf{x}_i) = \begin{cases} g(d_j(\mathbf{x}_i); \gamma) & \omega_{ij} = 1, \\ 1 - g(d_j(\mathbf{x}_i); \gamma) & \omega_{ij} = 0. \end{cases} \quad (7)$$

### 399 2.3.3 Time of arrival

400 A single detection time on its own is not informative on call location. It is  
 401 only *differences* between precise arrival times that provide information about  
 402 the relative position of a call in relation to the locations of the microphones  
 403 at which it was detected. Time of arrival data are therefore only informative  
 404 for calls detected at two or more microphones; the arrival times,  $\mathbf{z}_i$ , depend  
 405 on  $\boldsymbol{\omega}_i$  through  $m_i$ , the number of microphones that detected the  $i^{\text{th}}$  call, i.e.,  
 406  $m_i = \sum_{j=1}^k \omega_{ij}$ ,  $m_i \in \{1, \dots, k\}$ . Therefore  $f(\mathbf{z}_i|\boldsymbol{\omega}_i, \mathbf{x}_i) \equiv f(\mathbf{z}_i|m_i, \mathbf{x}_i)$ , and we  
 407 set  $f(\mathbf{z}_i|m_i = 1, \mathbf{x}_i)$  to 1.

408 Information about call locations improves the precision of parameter estim-  
 409 ates, though here we do not assume that times of arrival allow perfect triangulation  
 410 of call locations. Instead, we account for uncertainty in recorded times  
 411 of arrival due to Gaussian measurement error, controlled by the parameter  $\sigma_t$ .  
 412 For calls detected at two or more microphones, inference can be made by mar-  
 413 ginalizing over the time the call was made, a latent variable, and this integral  
 414 is available in closed form (see the online supplementary material of Borchers  
 415 *et al.*, in press),

$$f(\mathbf{z}_i|m_i > 1, \mathbf{x}_i) = \frac{(2\pi\sigma_t^2)^{(1-m_i)/2}}{2T\sqrt{m_i}} \exp\left(\sum_{\{j:\omega_{ij}=1\}} \frac{(\delta_{ij}(\mathbf{x}_i) - \bar{\delta}_i)^2}{-2\sigma_t^2}\right). \quad (8)$$

416 The term  $\delta_{ij}(\mathbf{x}_i)$  is the expected call production time, given call location  $\mathbf{x}_i$ , and  
 417 the time of arrival collected by detector  $j$ , i.e.,  $\delta_{ij}(\mathbf{x}_i) = z_{ij} - d_j(\mathbf{x}_i)/v$ , where  
 418  $v$  is the speed of sound. The average across all detectors on which a detection  
 419 was made is  $\bar{\delta}_i$ .

#### 420 2.3.4 Call locations

421 We assume individuals' locations are a realization of a homogeneous Poisson  
422 point process across the survey area,  $A$ . As the dependence between call loc-  
423 ations is not clear, it is not possible to specify their joint density,  $f(\mathbf{X})$ , from  
424 data collected by the acoustic survey alone. Under the simplified likelihood  
425 (Equation (4)) this is now tractable:  $\mathbf{X}$  itself is a realization of a filtered homo-  
426 geneous Poisson point process – the intensity of *emitted* calls is constant across  
427 the survey area, but the intensity of *detected* calls is highest closest to the micro-  
428 phones. The filtering is therefore through the detection probability surface (see  
429 Section 2.2). We now have  $f(\mathbf{X}) = \prod_{i=1}^{n_c} f(\mathbf{x}_i)$ , and  $f(\mathbf{x}_i)$  is proportional to the  
430 intensity of the point process, i.e.,  $f(\mathbf{x}_i) \propto p(\mathbf{x}_i; \gamma)$ . As  $a(\gamma) = \int_A p(\mathbf{x}; \gamma) d\mathbf{x}$ ,  
431 the ESA is the normalizing constant, and we obtain

$$f(\mathbf{x}_i) = \frac{p(\mathbf{x}_i; \gamma)}{a(\gamma)}.$$

432 We have now provided details for all terms in the integrand of the simplified  
433 likelihood, Equation (4).

#### 434 2.4 CALLING ANIMAL DENSITY ESTIMATOR

435 Although call density,  $D_c$  may be informative in situations where a species' call  
436 rate is of primary interest, it is usually the density of calling individuals per  
437 unit area,  $D_a$  that is required.

438 First used in distance sampling by Hiby (1985), a common method used to  
439 obtain an estimate for calling animal density from call density involves dividing  
440 call density by the average call rate across the calling population, i.e.,  $\widehat{D}_a =$   
441  $\widehat{D}_c / \widehat{\mu}_r$  (see Buckland *et al.*, 2001, pp. 191–197). See Appendix B for justification  
442 for this estimator from its asymptotic properties.

443 If  $\mu_r$  is not known *a priori* then it must be estimated separately from call

444 rate data,  $\mathbf{r}$ , collected independently of the acoustic survey. In the simplest  
 445 case, the sample mean  $\bar{r} = n_r^{-1} \sum_{i=1}^{n_r} r_i$  is an estimator for  $\mu_r$ . If the average  
 446 call rate is known to vary (e.g., perhaps due to covariates such as rainfall,  
 447 season, or temperature) then it is important to observe  $\mathbf{r}$  at the same time as  
 448 the acoustic survey. Alternatively, given call rate data collected across a range  
 449 of such covariates, a model could be fitted to estimate the average call rate for  
 450 specific conditions of a future survey, thereby reducing future field effort.

451 In any case, for calculation of variance estimates (Section 2.5) one has to  
 452 simulate call rate data from whatever model is used to estimate  $\mu_r$ . In the case  
 453 of taking a simple random sample of  $n_r$  call rates, this can be done using the  
 454 empirical distribution function (EDF). Otherwise, if a parametric model has  
 455 been fitted to  $\mathbf{r}$  (potentially using covariates, as described above), then such  
 456 data can be generated from  $f(\mathbf{r}; \hat{\boldsymbol{\psi}})$ .

## 457 2.5 THE BOOTSTRAP PROCEDURE

458 We calculate estimate uncertainty (i.e., standard errors and confidence inter-  
 459 vals for the model parameters) using a parametric bootstrap. By combining  
 460 parameter estimates from Sections 2.3 and 2.4, we can simulate data in a way  
 461 that mimics the real data generation process, including dependencies in call  
 462 locations.

463 Here we use the superscript \* to denote simulated data, or parameters es-  
 464 timated from simulated data. We propose the following algorithm:

- 465 1. Simulate animal locations as a realization of a homogeneous Poisson point  
 466 process with intensity  $\hat{D}_a$ .
- 467 2. Determine the number of calls made by each individual by simulating call  
 468 rates from either the EDF of  $\mathbf{r}$  or  $f(\mathbf{r}; \hat{\boldsymbol{\psi}})$ .

- 469 3. Generate  $\mathbf{X}^*$  by repeating each location from Step 1 the appropriate num-  
470 ber of times, given by Step 2.
- 471 4. Obtain  $\mathbf{\Omega}^*$  by simulating from  $f(\omega_{ij}|\mathbf{x}_i^*; \hat{\gamma})$  (Equation (7)). Omit all rows  
472 from  $\mathbf{\Omega}^*$  and  $\mathbf{X}^*$  that are associated with undetected calls.
- 473 5. Obtain  $\mathbf{Y}^*$  by simulating from  $f(y_{ij}|\omega_{ij}^* = 1, \mathbf{x}_i^*; \hat{\gamma})$  (Equation (6)), and  
474  $\mathbf{Z}^*$  by simulating from  $f(\mathbf{z}_i|\boldsymbol{\omega}_i^*, \mathbf{x}_i^*; \hat{\phi})$  (Equation (8)) for all detections.
- 475 6. Calculate  $\hat{\boldsymbol{\theta}}^*$  from  $\mathbf{\Omega}^*$ ,  $\mathbf{Y}^*$ , and  $\mathbf{Z}^*$  using Equations (3) and (5).
- 476 7. Obtain  $\mathbf{r}^*$  by simulating from either its EDF or  $f(\mathbf{r}; \hat{\boldsymbol{\psi}})$ , calculate  $\hat{\boldsymbol{\psi}}^*$  and  
477 therefore  $\hat{\boldsymbol{\mu}}_r^*$ .
- 478 8. Calculate  $\hat{D}_a^* = \hat{D}_c^*/\hat{\boldsymbol{\mu}}_r^*$ .
- 479 9. Repeat the above steps  $R$  times and save the parameter estimates from  
480 each iteration.

481 Here we treat  $D_a$  as the sole parameter of interest, but in practice the fol-  
482 lowing holds for any other estimated parameter. Let the saved density estim-  
483 ates from the simulated data be  $\hat{\mathbf{D}}_a^* = (\hat{D}_{a1}^*, \hat{D}_{a2}^*, \dots, \hat{D}_{aR}^*)$ . Bias can be es-  
484 timated by subtracting the parameter estimate from the mean of the estimates  
485 from the bootstrap samples (Davison & Hinkley, 1997), i.e.,  $\bar{D}_a^* - \hat{D}_a$ , where  
486  $\bar{D}_a^* = R^{-1} \sum_{i=1}^R D_i^*$ .

487 Confidence intervals can be calculated using any suitable bootstrap confid-  
488 ence interval method, many of which are outlined by Davison & Hinkley (1997).  
489 The simplest approach is to calculate confidence intervals based on a normal  
490 approximation, using  $\text{SD}(\hat{\mathbf{D}}_a^*)$  as the standard error. Note that the normal ap-  
491 proximation may be more suitable for a transformation of  $\hat{D}_a$  (e.g.,  $\log(\hat{D}_a)$ ),  
492 and so a back-transformation of a confidence interval based on this transformed

493 parameter may have better coverage properties. Other possible approaches in-  
494 clude the so-called *basic* and *percentile* methods, although note that the latter  
495 requires  $R$  to be larger in comparison to the normal approximation and basic  
496 methods.

497 Note that Step 5 above makes the assumption that individuals do not move  
498 over the course of the survey. See Section 4.2 for some discussion on accounting  
499 for animal movement.

## 500 2.6 APPLICATION TO *Arthroleptella lightfooti*

501 We use the method presented above to generate estimates of call and calling  
502 male density of *A. lightfooti*, and estimate associated variances.

### 503 2.6.1 *Equipment and survey design*

504 The data we use were generated from a 25 s subset of a recording carried out  
505 on 16 May, 2012.

506 The recording was made using an array of six Audio-Technica AT8004 hand-  
507 held omni-directional dynamic microphones, connected to a DR-680 8-Track  
508 portable field audio recorder via Hosa Technology STX-350F Professional 1/4  
509 inch TRS male to XLR female cables. Each of the six microphones were placed  
510 in microphone holders which were fastened atop 1 m tall wooden dowels. The  
511 immediate vicinity was vacated during the recording. The configuration of our  
512 array is shown in Figure 1.

### 513 2.6.2 *Acoustic pre-processing*

514 The open-source software package PAMGUARD (Gillespie *et al.*, 2009, see  
515 [www.pamguard.org](http://www.pamguard.org)) was used in order to identify calls of *A. lightfooti* males,  
516 which have a signature frequency of 3.8 KHz. The first 600 s of the recording  
517 were ignored in case any disturbance to the frogs during set-up affected calling

518 behavior. Furthermore, a detection was only recorded if the strength of the  
519 received signal was above a threshold of 130 units. Along with signal strengths,  
520 precise times of signal arrival (accurate to  $2.083 \times 10^{-5}$  s) were also recorded  
521 for each detection.

522 In order to construct the observed  $\mathbf{\Omega}$ ,  $\mathbf{Y}$ , and  $\mathbf{Z}$ , it was necessary to determ-  
523 ine which detected sounds on different microphones were of the same call from  
524 the same frog. As individuals are not recognizable from their calls, this was  
525 done as follows: If two calls were detected within  $d/330$  seconds of one another  
526 by two microphones that were  $d$  meters apart, then they are assumed to have  
527 the same source (using  $330 \text{ ms}^{-1}$  as the speed of sound in air).

528 Note that this approach to call identification will never result in detections  
529 of the same call being attributed to different frogs, however there is potential for  
530 calls from different frogs to be falsely identified as the same individual. This is  
531 unlikely, however, as calls from males are temporally negatively correlated; they  
532 tend to call in turn in an attempt to increase their likelihood of being heard by  
533 a female (Altwegg & Measey, pers. obs.).

### 534 2.6.3 *Bootstrap details*

535 No individual call rate data were collected concurrently with the acoustic survey.  
536 Instead, we use call rate data collected at another time and location so that we  
537 are able to demonstrate the methods described in Sections 2.4 and 2.5. Call  
538 rate data were obtained by finding locations of 8 individual calling males and  
539 placing a microphone in close proximity; this ensured that all calls they emitted  
540 were detected, and were easily identifiable from calls of other males.

541 We ran the bootstrap procedure (Section 2.5) for 10 000 iterations in order  
542 to reduce the relative Monte Carlo error associated with the standard error  
543 (calculated using Equation (9) in Koehler *et al.*, 2009) to below 1%.

544      2.7   SIMULATION STUDY

545    We test our method using a simulation study. A total of 1 000 datasets were  
546    independently simulated using Steps 1–5 and Step 7 in Section 2.5. Values used  
547    for the simulation parameters were set at the corresponding estimates obtained  
548    from the real data analysis. For each simulated dataset, we use the method we  
549    outline above to obtain both point estimates and confidence intervals for  $D_a$  and  
550     $D_c$ . We used 500 bootstrap repetitions for each iteration in order to prevent  
551    the simulation from being prohibitively time-consuming. For comparison, we  
552    also calculate confidence intervals based on the approach of Efford *et al.* (2009),  
553    which ignores the dependence between call locations.

554    We also conduct a simulation study to investigate the impact of using time  
555    of arrival information in addition to the signal strength data. A total of 10 000  
556    datasets were independently simulated, the same way as above, and two estim-  
557    ates of both  $D_a$  and  $D_c$  were obtained from each: One from a model that used  
558    time of arrival information, and another from a model that did not.

559      2.8   SOFTWARE IMPLEMENTATION

560    Implementation (in Section 3, below) of the methods we present was accom-  
561    plished using the R package `admbsec` (Stevenson & Borchers, 2014, see [https://github.com/b-](https://github.com/b-steve/admbsec)  
562    `steve/admbsec`). This software can be used to obtain parameter estimates via  
563    numerical maximization of the log of the simplified likelihood. Optimization is  
564    carried out by a call to an executable generated by AD Model Builder (Fournier  
565    *et al.*, 2012). Numerical integration is used to approximate marginalization over  
566    call locations.

567    The code used to carry out analysis of the *A. lightfooti* data can be found  
568    in Appendix A.

## 569 3 Results

### 570 3.1 REAL DATA ANALYSIS

571 A total of 225 unique calls were detected by the six microphones over the course  
572 of the 25 s survey.

573 Density parameter estimates, their associated standard errors, and estimated  
574 biases (obtained from the bootstrap procedure of Section 2.5) are provided in  
575 Table 1. We use  $\hat{\gamma}$  to plot the detection function, shown in Figure 2. To illustrate  
576 the utility of the time of arrival information, we plot uncertainty surrounding  
577 the estimation of a location of one of the detected calls in Figure 1.

578 Normal QQ plots for  $\hat{D}_a^*$  and  $\hat{D}_c^*$  both indicated approximate normality,  
579 and so confidence intervals based on a normal approximation using the standard  
580 errors shown in Table 1 were deemed to be appropriate. Setting the nominal  
581 coverage at 95%, this approach gave an interval of (239.42, 492.75) for  $D_a$  and  
582 an interval of (65.06, 133.23) for  $D_c$ ;  $D_a$  is calling males per hectare and  $D_c$  is  
583 calls per hectare per second.

### 584 3.2 SIMULATION STUDY

585 We show the performance of a number of confidence interval calculation meth-  
586 ods in Table 2. Coverage is only significantly different (at the 5% level) to the  
587 nominal 95% coverage rate for both intervals calculated using the basic boot-  
588 strap method, and for naïve confidence intervals that rely on call locations being  
589 independent (as per the method of Efford *et al.*, 2009).

590 Estimates of bias, variance, and mean square error of the estimators invest-  
591 igated in the second simulation study are shown in Table 3. The estimator that  
592 utilizes time of arrival data is more precise and less biased. Estimated sampling  
593 distributions of the estimates obtained both with and without the time of arrival  
594 information are shown in Figure 3.

## 595 4 Discussion

### 596 4.1 SUMMARY

597 The method we have proposed to estimate calling animal density from a fixed  
598 microphone array relies on maximizing a simplified likelihood (Equation (4)).  
599 We then use a parametric bootstrap (Section 2.5) to account for dependence  
600 between call locations.

601 In our simulation studies, parameter estimates were shown to have negli-  
602 gible bias (in all cases, bias was estimated at substantially less than 1% of the  
603 estimate sizes; see Tables 1 and 3). Note that this is despite the simplified like-  
604 lihood treating call locations as independent. Our findings suggest that density  
605 estimates obtained via acoustic SECR methods are robust to this violation. The  
606 bootstrap confidence interval methods generated intervals with coverage close  
607 to their nominal level (Table 2). Indeed, these easily outperformed the method  
608 that does not account for dependence among call locations in the construction  
609 of confidence intervals.

610 Using time of arrival information led to decreased bias and substantially  
611 increased precision in density estimates (Figure 3, Table 3) in comparison to  
612 the approach of Efford *et al.* (2009). In applications like ours, time of arrival  
613 data is far more informative on animal location than trap location and signal  
614 strength information (Figure 1). With more information on where calls are  
615 located the detection function parameters can be estimated more precisely. In  
616 turn, this results in higher precision estimates of the ESA, call density, and  
617 calling animal density.

### 618 4.2 ANIMAL MOVEMENT

619 The approach we present here assumes that calls made by the same individual  
620 are associated with the same location, which is a reasonable assumption for

621 our case study of *A. lightfooti*. A natural extension is to account for animal  
622 movement. We outline two ways of doing this here.

623 The first is to adjust our bootstrap method. This requires the fitting of a  
624 movement model (e.g., Jonsen *et al.*, 2005; McClintock *et al.*, 2012, see King,  
625 2014, for an overview) to independently collected data, explaining between-call  
626 animal movement patterns. Rather than the bootstrap procedure allocating  
627 all calls to the same location, movement can be introduced using parameter  
628 estimates from the movement model, resulting in appropriate variance estimates.  
629 However, we recognize that this may represent an infeasible amount of field effort  
630 in addition to the acoustic survey.

631 If individuals can be identified from their calls, then the analysis of Ergon  
632 & Gardner (in press) suggests an alternative way forward. A new SECR ap-  
633 proach was used to analyze live-trapping data of field voles *Microtus agrestis*  
634 (Linnaeus), where individuals' home range centres moved (due to a dispersion  
635 model) from one survey session to the next. Similar approaches could possibly  
636 be used to account for animal movement in acoustic SECR surveys. There are  
637 complications, however, associated with detections in continuous time rather  
638 than allowing movement across discrete sessions: One must integrate over all  
639 possible paths an individual could have taken between detection occasions, con-  
640 siderably increasing computational complexity.

#### 641 4.3 INFERENCE VIA THE CONDITIONAL LIKELIHOOD

642 It would be beneficial to propose estimators based on the maximization of  
643 the conditional likelihood (Equation (2)) rather than the simplified likelihood  
644 (Equation (4)). Such an approach would deal directly with call location depend-  
645 ence, removing the need to collect data or make restrictive assumptions about  
646 call rates and animal movement. Under a classical framework, this would also  
647 result in maximization of a true likelihood, allowing for use of further likelihood-

648 based inference.

649 It is not clear how this could be achieved when animal identification is  
650 not possible; a solution to this so-called *unknown identification problem* would  
651 present a significant breakthrough. One possible approach is to use a reversible  
652 jump Markov chain Monte Carlo procedure under a Bayesian framework. The  
653 number of unique detected individuals, as well as the allocations of calls to in-  
654 dividuals, would vary from iteration to iteration. Alternatively, inference could  
655 potentially be made using methods that deal with the estimation of parameters  
656 from intractable likelihoods (e.g., the synthetic likelihood approach of Wood,  
657 2010).

658 Otherwise, if animal identities *can* be determined, possible methods of in-  
659 corporating animal movement and call rate into the conditional likelihood are  
660 a little clearer. The dependence between latent locations of calls from the same  
661 individual is obvious under the assumption of no animal movement, and poten-  
662 tially estimable via a movement model otherwise.

663 Direct estimation of the average call rate,  $\mu_r$  (and therefore calling animal  
664 density) is also likely to be possible from the acoustic survey. In order to obtain  
665 this, one must specify a distribution with mean  $\mu_r$  for the number of calls made  
666 by individuals to account for the call production process. This is then filtered  
667 by the detection process, giving rise to the observed data and call identities.

#### 668 4.4 FURTHER GENERALIZATIONS

669 Our method is more general than that of Efford *et al.* (2009), as we do not rely  
670 on assumptions regarding independence of call locations for variance estimation.  
671 Further generalizations are possible, and we outline two of them here. First,  
672 our method assumes that individuals all emit calls with the same strength,  
673  $\beta_{0s}$ , which may not hold. Second, there is the issue of directional calling: The  
674 orientation of an individual may result in the loss of strength per metre,  $\beta_{1s}$ , due

675 to signal propagation at a lower rate in some directions. Our method assumes  
676 signal propagation occurs uniformly across all directions.

677 It is likely that further latent variables will be required to fit models ap-  
678 propriate for either case, i.e., latent call source strengths or latent individual  
679 orientations, respectively. With additional latent variables comes further com-  
680 putational complexity: Under a classical framework these must be integrated  
681 out of the likelihood. A Bayesian approach presents a viable alternative; latent  
682 variables can be sampled from rather than marginalized over, which is poten-  
683 tially simpler.

#### 684 4.5 SPATIOTEMPORAL CHANGES IN DENSITY

685 In some situations it is not necessarily animal density that is of particular eco-  
686 logical interest, but rather temporal or spatial variation in density. Our method  
687 can be used to make inference in either case. Independent microphone arrays set  
688 out at various points in time and space will generate separate density estimates,  
689 from which temporal and spatial shifts of animal abundance can be determined.

690 There is also potential for an alternative: In general, SECR methods are  
691 capable of directly estimating a density intensity *surface*, rather than a constant  
692 intensity over the survey area. We have skirted this issue for brevity; assuming  
693 a constant density is reasonable in many cases over small survey areas.

#### 694 4.6 ANALYSIS OF *Arthroleptella lightfooti* DATA

695 Regarding the survey of *A. lightfooti*, our method obtained an estimate of 366.08  
696 calling males per hectare. Alternative methods used to monitor abundance of  
697 threatened species in the genus *Arthroleptella* make use of auditory estimates  
698 (Measey *et al.*, 2011). Trained practitioners stand at a set locale and listen to  
699 an assemblage, placing call abundance into a category (Dorcas *et al.*, 2009); the  
700 assemblage calling in this study was assessed using this method, falling into the

701 highest category,  $> 100$  individuals. It is difficult to compare the two estimates  
702 as this abundance cannot be converted into a density.

703 Our estimates of call density and calling male density are associated with  
704 coefficients of variation of approximately 17.5% from just 25 s worth of recording  
705 using only six microphones (Table 1). The relatively high precision of  $\widehat{D}_a$  is in  
706 part due to the fact that variance in the recorded call rates,  $r$ , was very low  
707 as individual *A. lightfooti* call at very regular intervals. This allowed for a  
708 precise estimate of  $\mu_r$  which was used in the calculation of  $\widehat{D}_a$ . Uncertainties  
709 associated with our density estimators decrease as survey length and  $n_r$  increase  
710 (see Appendix B, Figure 1).

#### 711 4.7 CONCLUDING REMARKS

712 Our method advances acoustic SECR methodology by improving estimator pre-  
713 cision via time of arrival information, and by proposing an unbiased estimator  
714 for calling animal density. Our confidence intervals account for dependence in  
715 call locations, which had previously been ignored. Our analysis here is the first  
716 to provide reliable point and interval estimates of both the call and calling male  
717 density of a frog species from an acoustic survey. This approach is general, and  
718 can be applied to estimate calling animal density for a wide variety of species.

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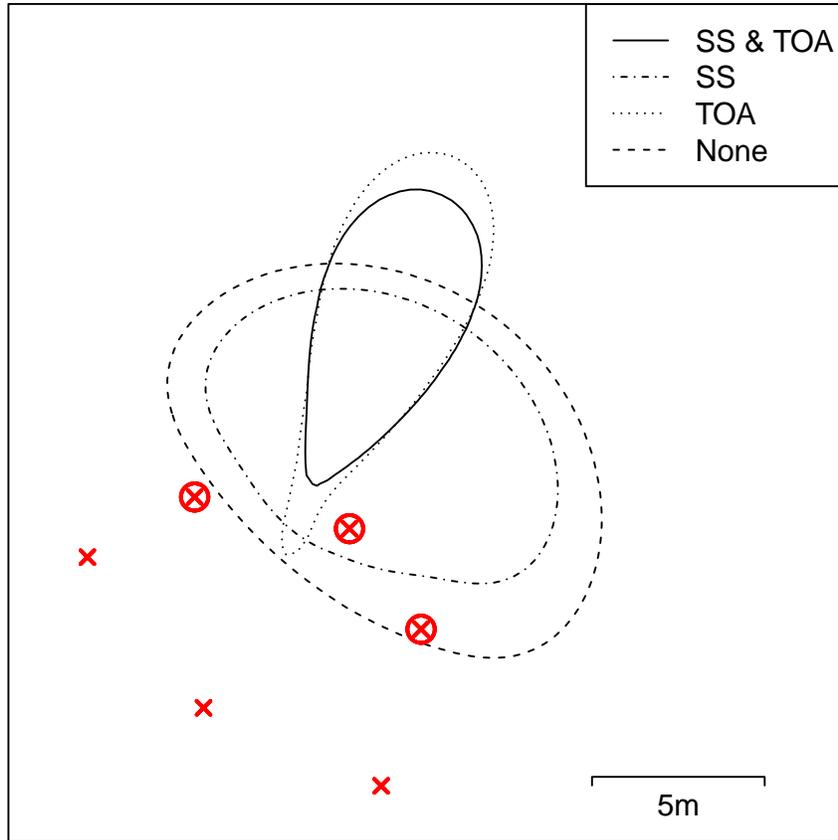
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**Table 1** Parameter estimates, standard errors, and estimated biases from analysis of the *A. lightfooti* data. Parameters above the horizontal line are those that were estimated directly from the acoustic survey.  $D_c$  is in calls per hectare per second,  $D_a$  is in calling males per hectare,  $\sigma_t$  is in milliseconds, and  $\mu_r$  is in calls per individual per 25 s.

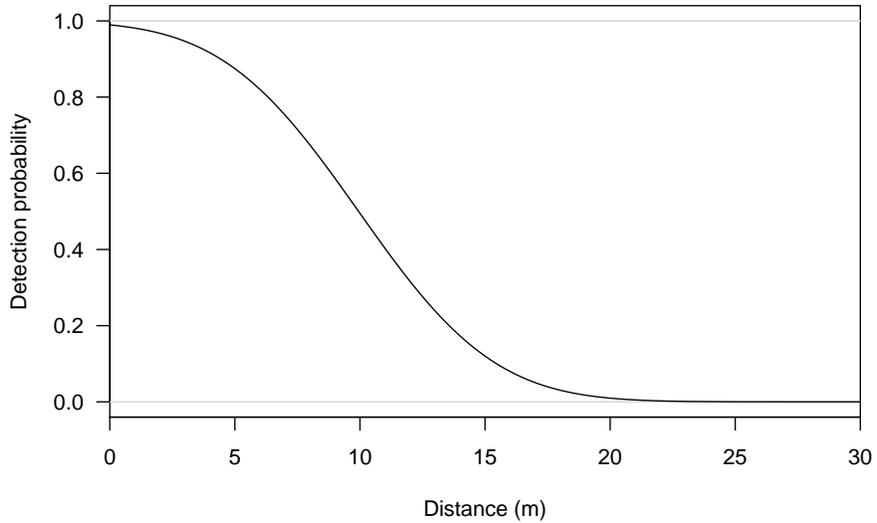
Parameter	Estimate	Standard error	Bias (%)
$D_c$	99.15	17.39	0.59
$\beta_{0s}$	156.57	1.81	-0.14
$\beta_{1s}$	2.67	0.18	-0.22
$\sigma_s$	11.50	0.44	-0.07
$\sigma_t$	1.96	0.12	0.60
$D_a$	366.08	64.63	0.62
$\mu_r$	6.77	0.12	0.01

**Table 2** Coverage of various confidence interval methods for the parameters  $D_a$  and  $D_c$ . Nominal coverage was set at 95%. The methods above the horizontal line are calculated from the bootstrap approach from Section 2.5; the naïve method assumes independence between call locations.

CI method	$D_a$	$D_c$
Basic	0.924	0.927
Normal	0.942	0.941
Percentile	0.942	0.946
Naive	–	0.729



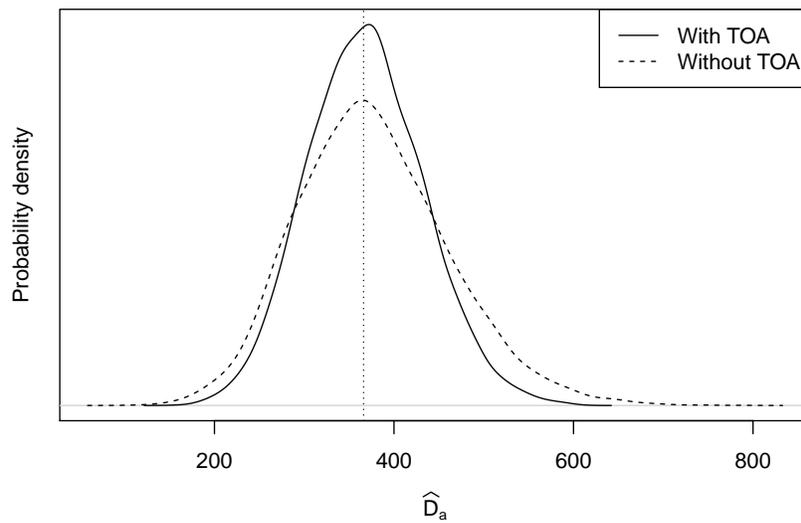
**Fig. 1** Estimated locations of a detected call from SECR models with various levels of supplementary information. Crosses show the microphone locations, while circled crosses indicate the microphones at which this particular call was detected. Each contour shows the area within which the call was estimated to have originated with a probability of 0.9. As more additional data is used, the area inside the contour decreases, representing a more precise location estimate.



**Fig. 2** Estimated detection function,  $g(d; \hat{\gamma})$ , from the *A. lightfooti* data.

**Table 3** Performance of  $D_a$  estimators with and without the use of time of arrival data. Calculated bias is  $\hat{E}(\hat{D}_a - D_a)$  as a percentage of  $D_a$ . CV gives the coefficient of variation as a percentage. MSE gives the mean square error. The simulated data were generated with  $D_a$  set at 366.08.

Estimator	Bias (%)	CV (%)	MSE
With TOA	0.62	17.65	4181.73
Without TOA	2.93	23.08	7256.95



**Fig. 3** Estimated sampling distributions of  $\hat{D}_a$  for models with and without time of arrival information incorporated. The dotted vertical line shows the value of  $D_a$  used to generate the simulated data.