

1 Ultrasonic vocalizations of female Norway rats (*Rattus norvegicus*) in response to  
2 social partners

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15 **Abstract**

16 In many species of animals, male vocalizations function to attract mating partners and coordinate  
17 sexual interactions. While male vocalizations have been well studied in several species, the  
18 function of female vocalizations in mating contexts is not fully understood. In Norway rats  
19 (*Rattus norvegicus*), both males and females produce ultrasonic vocalizations (USVs) during  
20 sexual encounters with opposite-sex partners. The aim of this study was to test the hypothesis  
21 that female vocalizations play a role in sociosexual interactions by examining how rates of  
22 50kHz USV production vary in relation to the sex and gonadal status of the partner, and by  
23 examining whether the proportion of frequency modulated (FM) and constant frequency calls  
24 differs between these categories of social partner. The results showed that females produced a  
25 higher total number of 50kHz USVs to intact males than castrated males, and produced similar  
26 numbers of calls to both categories of females. Females also produced a higher proportion of FM  
27 calls to male partners than to female partners, and spent more time in the vicinity of male than  
28 female partners, regardless of the partners' gonadal status. Female USVs therefore potentially  
29 provide a measure of sexual motivation and may function to promote female mate choice in this  
30 species with multi-male mating and a high risk of infanticide.

31 **Keywords** Communication, rodents, 50kHz, frequency modulated calls

## 32 **Introduction**

33 In many animal species, male vocalizations are important in mate attraction and courtship  
34 (Bradbury & Vehrencamp, 2011), and sexual selection theory has provided convincing  
35 explanations for the evolution of male vocal traits (Andersson, 1994). In contrast, questions  
36 regarding female vocalizations have been somewhat neglected, despite growing evidence that  
37 females produce vocalizations in mating contexts in several taxonomic groups, including reptiles  
38 (Young, Mathevon, & Tang, 2014), birds (Odom et al., 2014) and mammals (Neunuebel, Taylor,  
39 Arthur, & Egnor, 2015; Pradhan, Engelhardt, van Schaik, & Maestripieri, 2006). Some studies  
40 have shown that female vocalization rates vary according to the stage of the reproductive cycle  
41 (Langmore & Davies, 1997; Matochik, White, & Barfield, 1992a; Schön et al., 2007), which  
42 raises the possibility that selection will have favoured males that allocate mating effort on the  
43 basis of female vocal characteristics. Both male and female mating partners are likely to benefit  
44 from using USVs to co-ordinate mating encounters during the fertile period. However, in  
45 situations where conflicts of interest occur over matings, females could also benefit from  
46 vocalizing by promoting male-male competition, gaining matings with multiple partners and  
47 encouraging sperm competition (Pradhan et al., 2006). Thus, a greater understanding of female  
48 vocalizations could shed light on how sexual selection has acted on between-sex communication.

49         The ultrasonic vocalizations (USVs) produced by rodents provide opportunities to study  
50 vocalizations in a laboratory setting. Rodent USVs are elicited in a range of social situations  
51 (Wöhr & Schwarting, 2013), and production of USVs provides an indicator of affective state  
52 (Brudzynski, 2013). Male rodents produce USVs around 50kHz in frequency during courtship  
53 (McIntosh & Barfield, 1980), and females respond to playbacks of these calls with approach  
54 behavior (Willadsen, Seffer, Schwarting, & Wöhr, 2014). Different sub-types of 50kHz USVs  
55 have been recorded: *frequency modulated* (FM) 50kHz USVs are the most commonly produced  
56 calls during mating interactions (Burgdorf et al., 2008), while *constant frequency* 50kHz calls are

57 more often given during aggressive encounters (Burgdorf et al., 2008). Female Norway rats  
58 (*Rattus norvegicus*) have also been reported to produce 50kHz USVs during sexual interactions  
59 (Thomas & Barfield, 1985; White & Barfield, 1989; White, Colona, & Barfield, 1991).  
60 However, whether female vocalizations function to attract mating partners remains unclear  
61 (Snoeren & Ågmo, 2013), and the sub-types of 50kHz USVs given by female rats in mating  
62 contexts have yet to be fully investigated.

63 Here, we tested the hypothesis that female 50kHz USVs play a role in sociosexual  
64 interactions by examining how rates and sub-types of 50kHz USV production vary in relation to  
65 the sex and gonadal status of the partner. USVs were recorded from female rats following brief  
66 exposure to male or female partners that were either gonadally intact or had been  
67 gonadectomised. Rates of 50kHz USV production were predicted to be higher in response to  
68 male than female partners, and higher for gonadally intact than castrated males, as in previous  
69 studies (White et al., 1991; McGinnis & Vakulenko, 2003). In addition, we examined whether  
70 the proportion of FM 50kHz calls was higher for male than female partners, as previous studies  
71 have used bat detectors that do not allow discrimination of call sub-types (White et al., 1991;  
72 McGinnis & Vakulenko, 2003). USVs were recorded following removal of the partner (as in  
73 McGinnis & Vakulenko, 2003; Yang, Loureiro, Kalikhman, & Crawley, 2013) to ensure that  
74 vocalizations were recorded from the subject only. Time spent in the vicinity of the partner prior  
75 to removal was also measured and was predicted to be highest for intact males.

76

## 77 **Methods**

### 78 *Subjects and stimuli animals*

79 The subjects were eight female Lister-hooded rats, and the stimuli animals were eight Lister-  
80 hooded rats: two intact males, two castrated males, two intact females, two ovariectomized

81 females (all animals were supplied by Harlan, UK; gonadectomies were carried out by the  
82 supplier). All animals were housed as same-sex pairs with *ad libitum* access to food and water.  
83 Housing rooms were on a 12hr light/dark cycle (lights on 07:00) with temperature and humidity  
84 control. All appropriate guidelines regulations were observed, as set out in the Principles of  
85 Laboratory Animal Care (NIH, Publication No. 85-23, revised 1985) and the UK Home Office  
86 Animals (Scientific Procedures) Act 1986. (UK Home Office Animals (Scientific Procedures)  
87 Act 1986).

88

### 89 *Apparatus*

90 Tests were conducted in a rectangular arena (length=70cm, width=48cm, height=45cm; **Figure**  
91 **1a**) with grey-painted wooden walls, a solid floor and transparent lid, located in a testing room  
92 with dim white lighting (15lux). The arena was divided into a larger section (length=50cm) and a  
93 smaller section (length=20cm) using a removable, transparent partition with small air holes. The  
94 lid of the larger section was marked half-way to visually distinguish the half closest to the  
95 partition. Real-time behavioral data were collected on a computer running in-house software.  
96 USVs were recorded using an UltraSoundGate Condenser Microphone CM16/CMPA (Avisoft-  
97 Bioacoustics, Germany; frequency range 10–200kHz), which was suspended above the larger  
98 section of the arena (40cm above floor level) through a hole in the lid. The analogue microphone  
99 output was digitized using an Edirol FA101 sound card (Roland Corp., Japan; 192kHz sampling  
100 rate in 24-bit format) and stored as a wave file. The sound card was operated using open source  
101 software (Panguard, version Beta1.11.02).

102

103

104 *Experimental design*

105 Each female subject animal (henceforth ‘subject’) was tested eight times over a two-week  
106 period, once with each stimulus animal (henceforth ‘partner’), with order of exposure counter-  
107 balanced across subjects. At the start of a test, a subject and partner were transported to the  
108 testing room in separate boxes. The partner was placed into the smaller section of the arena, with  
109 the partition lowered, before the subject was placed into the larger section, and the lid closed. For  
110 the first 5 minutes, the position of the subject was recorded in real-time (i.e., subject located in  
111 the half of the larger section nearest to the partition or in the half of the larger section furthest  
112 from the partition). The partner was then removed from the arena and testing room and the  
113 partition raised. For the next 5 minutes, the subject had access to the whole arena, and USVs  
114 were recorded. The arena was cleaned after each test with 70% alcohol.

115

116 *Behavioral and USV analysis*

117 For behavioral data, we calculated the percentage of time spent in the half of the section nearest  
118 to the partition during the 5 minutes when the partner was present. For USV data, we examined  
119 the number and sub-type of USV produced by subjects during the 5 minutes after the partner had  
120 been removed. Wave files were visualised in spectrographic displays using Audacity (version  
121 2.0.1.). Spectrograms were computed using Fast Fourier Transformations with a Hanning  
122 window (50% overlap frame) and an FFT size of 512. Each USV was labelled as either a 22kHz  
123 call (near constant frequency of ~20-25kHz) or 50kHz call (range of ~35-75kHz, with mean  
124 frequency of ~50kHz) (based on Burgdorf et al. 2008; Wright, Gourdon, & Clarke, 2010).  
125 Vocalizations that did not fall into either of these two categories (<1.5%) were excluded, and  
126 22kHz calls (<1% of remaining calls) were not further analysed. All 50kHz vocalizations were  
127 categorised as either FM (i.e., bandwidth >8kHz) or constant frequency (i.e., bandwidth ≤8kHz),

128 based on visual estimation of previously published calls (Wright et al., 2010). Inter-observer  
129 reliability scores were found to be robust (intraclass correlation coefficient  $\geq 0.95$ ).

130

### 131 *Statistical analyses*

132 Statistical analyses were conducted in SPSS (version 22). After checking the assumptions of  
133 normality and sphericity (using Kolmogorov-Smirnoff and Mauchly's tests), all data were  
134 analysed using parametric statistics. Percentage of time spent in the half of the section nearest to  
135 the partition was compared to chance (50%) across all subjects using a one-sample t-test. All  
136 other data were analysed using two-way within-subject, repeated measures ANOVAs, with  
137 partner's sex, gonadal status and the interaction term as categorical predictor variables.  
138 Significant interactions were further analysed using simple effects *post hoc* tests. Effect sizes  
139 were calculated as partial eta squared ( $\eta_p^2$ ) for main effects and interactions, and as Cohen's *d*  
140 for pair-wise comparisons. Data are presented as means and 95% confidence intervals (CI).

141

## 142 **Results**

### 143 *Time spent near to partner*

144 Across all partner categories, subjects spent more time in the half of the section nearest to the  
145 partition than expected by chance (75.2%, CI [72.5, 77.9];  $t_{31}=18.99$ ,  $p<0.001$ ). Subjects also  
146 spent a significantly higher percentage of time next to the partition when the partner was male  
147 rather than female, although the difference was relatively small ( $F_{1,7}=8.54$ ,  $p=0.022$ ,  $\eta_p^2=0.55$ ;  
148 **Figure 1b**). The main effect of the partner's gonadal status was not significant ( $F_{1,7}=0.96$ ,  
149  $p=0.360$ ,  $\eta_p^2=0.12$ ), and the interaction between sex and gonadal status was also not significant

150 ( $F_{1,7}=0.51$ ,  $p=0.497$ ,  $\eta_p^2=0.07$ ; intact male = 79.3%, CI [75.1, 83.5]; castrated male = 75.4%, CI  
151 [70.34, 80.5]; intact female = 73.2%, CI [64.2, 82.1]; ovariectomized female = 73.0%, CI [67.4,  
152 78.6]).

153

#### 154 *Total number of USVs*

155 The total number of USVs made by the subjects differed significantly according to the sex of the  
156 partner ( $F_{1,7}=6.93$ ,  $p=0.034$ ,  $\eta_p^2=0.50$ ), and the interaction term between sex and gonadal status  
157 was also significant ( $F_{1,7}=7.53$ ,  $p=0.029$ ,  $\eta_p^2=0.52$ ; **Figure 2a**). Simple effects tests revealed that  
158 females gave significantly more USVs to intact males than castrated males ( $p=0.039$ ,  
159  $d=1.14$ ), while the total number of calls given to intact and ovariectomized female did not differ  
160 ( $p=0.323$ ,  $d=0.50$ ). The main effect of gonadal status was not significant ( $F_{1,7}=2.32$ ,  $p=0.172$ ,  $\eta_p^2$   
161  $=0.25$ ).

162

#### 163 *Proportion of 50kHz USVs that were FM*

164 The proportion of 50kHz calls that were FM, rather than constant frequency, was significantly  
165 higher when the partner was male (0.62, CI [0.56, 0.69]) than when the partner was female (0.51,  
166 CI [0.39, 0.62]; main effect of sex:  $F_{1,7}=8.88$ ,  $p=0.021$ ,  $\eta_p^2=0.56$ ; **Figure 2b**). The main effect of  
167 gonadal status was not significant ( $F_{1,7}=0.81$ ,  $p=0.397$ ,  $\eta_p^2=0.10$ ), and the interaction between  
168 sex and gonadal status was also not significant ( $F_{1,7}=0.07$ ,  $p=0.801$ ,  $\eta_p^2=0.01$ ; intact male = 0.59,  
169 CI [0.48, 0.70]; castrated male = 0.66, CI [0.55, 0.76]; intact female = 0.49, CI [0.34, 0.64];  
170 ovariectomized female = 0.52, CI [0.31, 0.74]).

171 **Discussion**

172 The results showed that production of 50kHz USVs by female Norway rats varied with the sex  
173 and gonadal status of the partner, with intact male partners eliciting the highest total number of  
174 calls. In comparison, the number of 50kHz USVs given to castrated males was relatively low and  
175 similar to that given to female partners, while the rate of calling to females did not differ with the  
176 partners' gonadal status. The finding that females produce higher rates of 50kHz USVs to intact  
177 than castrated males is consistent with two earlier studies that were conducted using a different  
178 strain of Norway rat (Long Evans: White et al., 1991; McGinnis & Vakulenko, 2003). Female  
179 subjects were potentially responding to multiple cues from intact males, including vocal,  
180 olfactory and visual cues, which all vary with male hormonal status (Harding & Velotta, 2011).  
181 The current study also provided novel evidence that the proportion of FM 50kHz USVs was  
182 higher for male than female partners, regardless of the partner's gonadal status. High rates of  
183 female 50kHz USV production, particularly FM calls, are potentially indicative of high female  
184 sexual motivation. Contrary to our prediction, females did not spend more time next to partition  
185 with intact male partners compared to castrated males, which suggests that 50kHz USVs could  
186 provide a better measure of female sexual motivation than proximity measures alone.

187 While the ovarian status of the female subjects was not investigated in the current study,  
188 rates of 50kHz calling by female rats have been shown to be highest during the fertile phase of  
189 the ovarian cycle (Matochik et al., 1992a) and to be elicited by estrogen and progesterone  
190 treatment (Matochik, Barfield, & Nyby, 1992b). Previous studies have also shown that  
191 devocalizing female rats disrupts sociosexual behavior (White & Barfield, 1987; 1989) and that  
192 playbacks of female USVs facilitate mating interactions with male partners (White & Barfield,  
193 1989). Female vocalizations could function to signal sexual motivation in female rats and also to  
194 attract multiple mating partners and promote sperm competition, which potentially benefits  
195 females by confusing paternity and reducing the risk of male infanticide (Ebensperger &

196 Blumstein, 2007). In support of this hypothesis, female rats mate with multiple males during an  
197 ovarian cycle (Solomon & Keane, 2007) and can have litters sired by several different males  
198 (Miller et al., 2010). Where females mate with multiple partners during a single cycle and sperm  
199 competition is therefore high, males are predicted to allocate mating effort selectively according  
200 to likely reproductive payoffs (Ramm & Stockley, 2014). Female USVs could thus be used in  
201 male mate choice. Rather than focusing on the mutual benefits that both sexes are likely to gain  
202 from co-ordinating mating activities, this alternative perspective highlights the potential role that  
203 USVs could play in situations where conflicts of interest occur over matings.

204         Future studies could examine whether male rats preferentially attend to 50kHz FM USVs  
205 and whether female traits that are correlated with fertility status, such as 50kHz USVs, influence  
206 male mating strategies. A recent study reported that female USV playbacks do not evoke more  
207 approach behavior by male rats than background noise (Snoeren & Ågmo, 2013). However, this  
208 negative result could have been influenced by the open shape of the testing arena (c.f., Willadsen  
209 et al., 2014), which may have prevented the playback stimulus from having clear directionality.  
210 The role of 50kHz USVs in female-female interactions in rats could also be investigated further.  
211 In the current study, the rates of calling did not differ for intact versus ovariectomized female  
212 partners, in contrast to a previous study reporting that female rats called more to females that had  
213 been primed with estrogen and progesterone than to ovariectomized partners (McGinnis &  
214 Vakulenko, 2003). The difference in results between the two studies could reflect the fact that  
215 the hormone-primed stimulus females in the study by McGinnis and Vakulenko (2003) produced  
216 a different set of vocal, olfactory and visual cues than the intact females in the current study. In  
217 summary, the current evidence indicates that 50kHz USVs provide a valuable insight into  
218 hormone-related vocal communication patterns in rats.

219

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223

224 **References**

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303 **Figure legends**

304

305 **Figure 1a)** Testing arena showing the partner animals in smaller section and subject in larger  
306 section, separated by a transparent partition with holes along the lower edge. b) Percentage of  
307 time spent by the subject next to the partition when the partner was male or female, where the  
308 dashed line represents the 50% chance level (means $\pm$ SEMs; \* p<0.05).

309

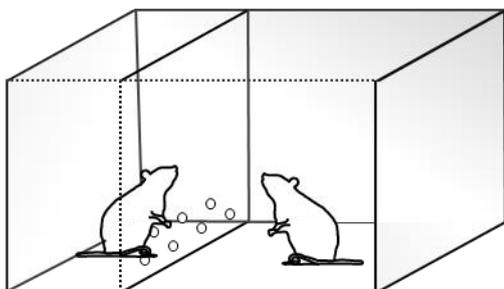
310 **Figure 2a)** Total number of 50kHz USVs given by subjects per minute following exposure to  
311 male or female partners that were either intact (grey bars) or gonadectomised (white bars)  
312 (means $\pm$ SEMs; \* p<0.05). b) Proportion of 50kHz USVs that were FM following exposure to  
313 male or female partners (means $\pm$ SEMs; \* p<0.05).

314

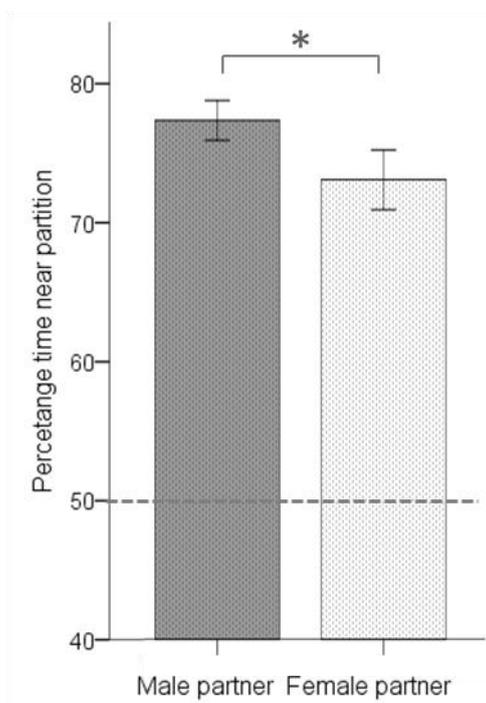
315 **Figure 1**

316

a)



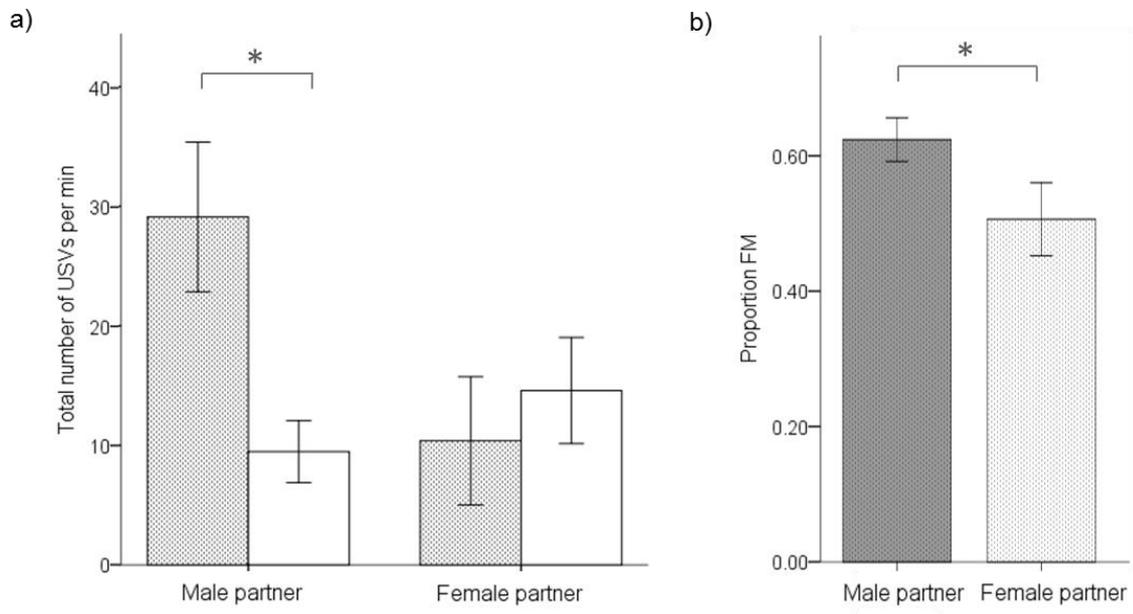
b)



317

318 **Figure 2**

319



320