# A unified mechanism of O<sub>2</sub> reduction in aprotic Li<sup>+</sup>- electrolytes and its consequences for Li-O<sub>2</sub> batteries

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## ABSTRACT

Understanding the mechanism of  $O_2$  reduction in Li<sup>+</sup>-containing aprotic solvents is essential to unlock the exceptional specific energy of the lithium-oxygen battery. We describe a single unified mechanism, which embraces previous models as limiting cases.  $O_2$  reduction to form solid Li<sub>2</sub> $O_2$  proceeds by an electrode surface or solution pathway depending on the influence of the solvent on the solubility of the LiO<sub>2</sub> intermediate, more precisely the free energy of the reaction LiO<sub>2</sub><sup>\*</sup>  $\rightleftharpoons$  Li<sup>+</sup><sub>(sol)</sub> + O<sub>2</sub><sup>-</sup><sub>(sol)</sub> + ion pairs + higher aggregates (clusters). Ethers are intermediate solvents resulting in simultaneous formation of significant Li<sub>2</sub> $O_2$ surface films and Li<sub>2</sub> $O_2$  particles in solution at high voltages. The unified mechanism shows that low donor number solvents are likely to lead to premature cell death, whereas high donor number solvents, encouraging research on new, sufficiently stable, high donor number solvents. The rechargeable Li-O<sub>2</sub> battery would transform energy storage if a significant proportion of its theoretical specific energy, which exceeds by some margin that of lithium-ion batteries, could be realized in practice<sup>1-10</sup>. At the positive electrode on discharge, O<sub>2</sub> enters the pores of the electrode where it is reduced and combines with the Li<sup>+</sup> ions from the electrolyte to form solid Li<sub>2</sub>O<sub>2</sub>. The process is reversed on charging. However, realizing these processes rapidly, efficiently, and sustainably for many cycles is a formidable challenge<sup>11-38</sup>. In order to overcome the challenges at the positive electrode it is essential to understand the electrochemical mechanism of O<sub>2</sub> reduction in Li<sup>+</sup> containing aprotic electrolytes.

Two different models of  $O_2$  reduction have been proposed: one describes  $O_2$  reduction to form  $Li_2O_2$  as a process taking place on the electrode surface<sup>11,12</sup> and the other involves  $Li_2O_2$  formation in solution (electrolyte)<sup>14-16</sup> and is based on the Hard Soft Acid Base Theory of Pearson<sup>39</sup>. The different models have very different implications for how the hurdles of achieving fast, reversible, formation and decomposition of  $Li_2O_2$  with low polarization and sustainable cycling may be achieved.

Here we investigate  $O_2$  reduction across a range of solvents and show that  $O_2$  reduction can be described by a single unified mechanism, which embraces the previous models as limiting cases. At high voltages (low overpotentials)  $O_2$  undergoes a 1 electron reduction to  $LiO_2$  that is partitioned between  $LiO_2$  dissolved in the electrolyte and  $LiO_2$  adsorbed on the electrode surface, according to the equilibrium  $LiO_2^* \rightleftharpoons Li^+_{(sol)} + O_2^-_{(sol)} +$  ion pairs + higher aggregates (\* indicates surface adsorbed  $LiO_2$ ). High donor number (DN) solvents result in strong solvation of  $Li^+$  or  $Li^+$  containing species and the equilibrium being displaced to the right resulting in mainly soluble  $LiO_2$ , whereas for low DN solvents such solvation is weaker and the equilibrium lies to the left resulting in surface adsorbed  $LiO_2$  being dominant. In the latter case  $LiO_2^*$  then disproportionates or undergoes a  $2^{nd}$  reduction to  $Li_2O_2$  on the electrode surface, whereas in the former disproportionation of  $LiO_2$  in solution dominates, precipitating  $Li_2O_2$ .

Ethers, such as dimethoxyethane (DME), have an intermediate DN and exhibit significant contributions from both solution and surface pathways, which occur simultaneously at high voltages. At low voltages (high overpotentials),  $LiO_2$  is transformed rapidly by a 2<sup>nd</sup> electron reduction to  $Li_2O_2$  on the electrode surface in all solvents. We also demonstrate that the  $Li_2O_2$  morphologies (large particles or particulate surface films) vary with solvent, in accord with the unified mechanism.

The mechanism has implications for the performance of  $\text{Li}-O_2$  cells. The dominance of  $\text{Li}_2O_2$  surface films in low DN solvents is likely to lead to premature cell death. In contrast, the dominance of solution  $\text{Li}_2O_2$  growth in high DN solvents can sustain discharge and a capacity more than three times that of low DN solvents. These results encourage effort on identifying new, sufficiently stable, electrolytes based on high DN solvents for Li-O<sub>2</sub> batteries.

#### **RESULTS AND DISCUSSION**

We examine  $O_2$  reduction in four solvents spanning a wider range of DNs than before and using a range of complementary techniques. We begin by presenting the electrochemical and spectroscopic data for  $O_2$  reduction in the four solvents. This is followed by sections explaining the unified mechanism of  $O_2$  reduction and its origin in the solubility of LiO<sub>2</sub>, how this correlates with the solvent dependence of Li<sub>2</sub>O<sub>2</sub> morphologies and the implications the mechanism has for the future of the Li-O<sub>2</sub> battery.



**Figure 1 | CVs demonstrating the significant effect solvent donor number and cation type have on O**<sub>2</sub> **reduction**. Data collected in O<sub>2</sub> saturated (a) Me-Im, (b) DMSO, (c) DME and (d) CH<sub>3</sub>CN at a Au electrode with various ratios of  $[Li^+]/[TBA^+]$ . The total electrolyte concentration was 100 mM and the numbers on the plots indicate the concentrations of  $Li^+$ , where the remaining concentrations are TBA<sup>+</sup>. The scan rate was 100 mV s<sup>-1</sup> and the anion was  $CIO_4^-$ .  $E_1^{0}$  and  $E_2^{0}$  indicate the standard potentials for the 1<sup>st</sup> and 2<sup>nd</sup> O<sub>2</sub> reductions, respectively.

#### Comparison of CVs in the four solvents

CVs for  $O_2$  reduction in each of the four solvents, collected at a Au electrode and over a wide voltage range, are presented in Fig. 1. This is the first report of  $O_2$  reduction in 1-methylimidazole (Me-Im) or any solvent with such a high DN (Me-Im, 47, dimethyl sulfoxide, DMSO, 30), see Supplementary Discussion and Supplementary Fig. S1 for determination of the DN of Me-Im. For each solvent the cation is varied from 100 mM TBA<sup>+</sup> (tetrabutylammonium cation) to 100 mM Li<sup>+</sup>. In the presence of only TBA<sup>+</sup> the CVs in all four solvents exhibit a single redox process. In contrast, in the presence of only Li<sup>+</sup> there is a strong dependence on the CVs with DN, high DN solvents exhibit two reduction peaks and no oxidation peaks at potential < 3 V, whereas low DN solvents exhibit one reduction peak and no oxidation, < 3 V, in accord with previous studies<sup>14,16</sup>.



Figure 2 | (a, c) CVs showing that the first step of  $O_2$  reduction in high donor number solvents is a reversible 1e<sup>-</sup> process in solution. Data obtained in  $O_2$  saturated Me-Im and DMSO at a Au electrode with various ratios of [Li<sup>+</sup>]/[TBA<sup>+</sup>] and cycled over the high voltage peak alone (see Fig. 1). The total electrolyte concentration was 100 mM in all cases and the numbers on the plot indicate the concentrations of Li<sup>+</sup>, where the remaining concentrations are TBA<sup>+</sup>. The scan rate was 100 mV s<sup>-1</sup> and the anion was ClO<sub>4</sub><sup>-</sup>. b and d show the shifts of E<sup>0</sup><sub>1</sub> (O<sub>2</sub>/O<sub>2</sub><sup>-</sup>) with ln[Li<sup>+</sup>] concentration for Me-Im and DMSO, obtained by fitting to the CV data in a and c. Circles – experimental data, solid line – best fit.



Figure 3 | SER spectra demonstrating that at high voltages (low overpotentials)  $O_2^-$  and  $LiO_2$  species are observed on the electrode surface at short times in high and low donor number solvents respectively, to be replaced by  $Li_2O_2$  with the passage of time. At low voltages (high overpotentials)  $Li_2O_2$  is apparent from short times. Spectra collected at a Au electrode during  $O_2$  reduction in the presence of 100 mM  $LiClO_4$  in various aprotic solvents and recorded at different times while holding at various constant potentials indicated by the matching colored markers in the CVs above each stack of spectra. Vertical dotted lines with grey highlighting show positions of  $O_2^-$ ,  $LiO_2$  and  $Li_2O_2$ . Insets show expanded areas of spectral regions outlined by the dashed circles. Spectra at the bottom were collected at the open circuit potential (OCP).

### 1-Methylimidazole (Me-Im) and dimethyl sulfoxide (DMSO)

To explore  $O_2$  reduction in high DN solvents in more detail, CVs were collected at a Au electrode in Me-Im and DMSO and for various ratios of Li<sup>+</sup> to TBA<sup>+</sup> but now over a restricted voltage range, Fig. 2. It is well known that in TBA<sup>+</sup> electrolytes chemically reversible  $O_2$  reduction to  $O_2^-$  occurs and the CVs in TBA<sup>+</sup> exhibit a single redox peak in accord with this<sup>40-43</sup>. As TBA<sup>+</sup> is continuously replaced by Li<sup>+</sup>, there is little change in the CVs, Fig. 2, only a relatively small continuous shift to more positive potentials. In all cases the CVs fit a 1 electron redox process of freely diffusing species, Supplementary Fig. S2, suggesting that on  $O_2$  reduction,  $O_2^-$  is dissolved in solution in the presence of Li<sup>+</sup> (i.e. LiO<sub>2</sub> is soluble), rather than being confined to the electrode surface. The small differences in the magnitudes of the shifts in the standard potential between DMSO and Me-Im are considered in the Supplementary Discussion.

The potentials in Fig. 2 are below the thermodynamic potential for  $Li_2O_2$  formation, therefore spontaneous disproportionation of  $O_2^{-1}$  to form  $Li_2O_2$  is expected<sup>2,14</sup>. This is observed on reducing the scan rate from 100 mV s<sup>-1</sup> to 5 mV s<sup>-1</sup>; the area of the backward (anodic) peak is now lower than the forward (cathodic) peak, consistent with an EC mechanism, i.e. a chemical step following the 1 electron reduction of  $O_2$  to  $O_2^{-1}$ , Supplementary Fig. S3, which removes the product of reduction,  $O_2^{-1}$  so that it is less available for subsequent oxidation. Fitting the CVs at this scan rate provided a first-order rate constant for the disproportionation in DMSO of 0.03 s<sup>-1</sup>, in satisfactory agreement with the value obtained for the same reaction carried out homogeneously using KO<sub>2</sub> in solution, 0.07 s<sup>-1</sup> (see Supplementary Discussion and Supplementary Fig. S4). 100 mV s<sup>-1</sup> is sufficiently fast compared with the rate of disproportionation such that disproportionation is not observed at that scan rate. Note that the 1 electron reduction of  $O_2$  (1e<sup>-</sup>/O<sub>2</sub>) cannot be detected by Differential Electrochemical Mass Spec (DEMS) because the following chemical reaction, 2LiO<sub>2</sub> = Li<sub>2</sub>O<sub>2</sub> + O<sub>2</sub>, generates O<sub>2</sub> resulting in a net 2e<sup>-</sup>/O<sub>2</sub> ratio and the lifetime of LiO<sub>2</sub> in solution is too short compared with the time to detect the mass changes in DEMS.

Based on the standard potential for the overall formation of  $Li_2O_2$  ( $2Li + O_2 \rightleftharpoons Li_2O_2$ ;  $E^0 = 2.96$  V)<sup>44</sup> and for the 1<sup>st</sup> reduction of  $O_2$  to  $O_2^-$  ( $E^0_1 = 2.65$  V), the standard potential for the 2<sup>nd</sup> reduction  $O_2^-$  to  $Li_2O_2$ ,  $E^0_2$ , is located at 3.27 V<sup>45</sup>, therefore, thermodynamically the 2<sup>nd</sup> reduction to form  $Li_2O_2$  should occur immediately upon the 1<sup>st</sup>. However, as observed in Fig. 1, a 2<sup>nd</sup> reduction peak, associated with  $Li_2O_2$  formation, occurs at a significantly lower potential than the 1<sup>st</sup>, therefore the 2<sup>nd</sup> reduction contributes little to the current at high potentials. Indeed, if this were not the case we would not observe the chemically reversible 1 electron reduction of  $O_2$  to  $O_2^-$  in solution noted above. Also evident in Fig. 1, is a strong dependence of the 2<sup>nd</sup> reduction peak on the Li<sup>+</sup> concentration, the position changing by 300 mV in DMSO on varying the lithium concentration between 1 to 100 mM. In other words Li<sup>+</sup> is directly involved in the 2<sup>nd</sup> reduction step.



Figure 4 | Evidence from rotating ring-disk experiments showing the presence of  $O_2^-$  in solution in high donor number solvents (Me-Im and DMSO), some in the intermediate donor number solvent (DME) and essentially none in low donor number CH<sub>3</sub>CN. Polarization curves obtained in  $O_2$ saturated (a) Me-Im, (b) DMSO, (c) DME and (d) CH<sub>3</sub>CN containing 100 mM LiClO<sub>4</sub> (black line) disk current i<sub>d</sub>, (green area) ring current i<sub>r</sub> and (red area) i<sub>d</sub> – i<sub>r</sub>. The RRDE was a 5 mm diameter Au disk with a GC ring and the rotation rate was 2000 RPM.

While electrochemical data are valuable, in situ electrochemical surface enhanced Raman spectroscopy (SERS) provides direct evidence for the species on the electrode surface and in concert with the other techniques provides evidence for the mechanism of O<sub>2</sub> reduction, Fig. 3. Beginning with DMSO, SERS reveals that there is no evidence of LiO<sub>2</sub> on the electrode surface at any potential during O<sub>2</sub> reduction, thus demonstrating that the surface model does not apply since it predicts formation of LiO<sub>2</sub>\* on the electrode surface as an intermediate, Fig. S5<sup>11</sup>. At high potentials (red and blue spectra in Fig. 3) and short times, formation of  $O_2^{-}$  on the electrode surface is observed exactly in accord with a solution model. Indeed, these spectra are indistinguishable from that obtained in a solution of TBACIO<sub>4</sub>, Fig. S5. Rotating ring-disk electrode (RRDE) studies, where O<sub>2</sub> is reduced at the disk and O2<sup>-</sup> detected at the ring, as explained in the Methods section, show a one-to-one ratio of  $O_2$  generation to  $O_2^-$  collection at these same potentials, confirming the formation of  $O_2^$ as a relatively stable species in solution, Fig. 4. Even at the highest potential some Li<sub>2</sub>O<sub>2</sub> is observed in the SERS after several minutes. This may be due to the 2<sup>nd</sup> reduction, which, as noted above, is thermodynamically allowed (and hence must occur) at all potentials below  $E^0$  for Li<sub>2</sub>O<sub>2</sub> formation, although its contribution to the current is small at these high potentials, Fig. 1. Alternatively, disproportionation near the surface and subsequent nucleation and growth of Li<sub>2</sub>O<sub>2</sub> on the surface could account for the Li<sub>2</sub>O<sub>2</sub> in the SERS at long times. As the  $Li_2O_2$  grows on the electrode it reduces the free surface available for  $O_2^{-1}$ adsorption, resulting in the O2<sup>-</sup> peak reducing in intensity with time (see red spectra). At lower potentials (green spectrum in Fig. 3), corresponding to the region of the second reduction peak in the CVs, Fig. 1, there is clear evidence of Li<sub>2</sub>O<sub>2</sub> in SERS after the shortest time. At these low potentials, the second reduction is fast and follows immediately after the first, leading to rapid formation of Li<sub>2</sub>O<sub>2</sub> on the electrode surface. In addition, RRDE measurements show a deviation from a 1 electron reduction to a 2 electron reduction at comparable potentials, confirming the mechanism, Fig. 4. The SERS in Me-Im are consistent with DMSO, however, a solvent peak in the region of O<sub>2</sub><sup>-</sup> and LiO<sub>2</sub> makes the analysis less clear.

The maximum  $Li^+$  concentration used in Fig. 1 is 0.1 M. Extending to higher  $Li^+$  concentrations continues the trend seen in Fig. 1. The 2<sup>nd</sup> peak moves to yet higher potentials with increasing Li<sup>+</sup> concentration in Me-Im and DMSO, Supplementary Fig. S6, in accord with the 2<sup>nd</sup> reduction being dependent on Li<sup>+</sup> concentration. RRDE measurements at

0.5 M, Supplementary Fig. S7, confirm that  $O_2^-$  is still formed in solution at these higher Li<sup>+</sup> concentrations.

## Acetonitrile (CH<sub>3</sub>CN)

The CVs in Fig. 1 for acetonitrile (CH<sub>3</sub>CN, DN 14) exhibit an abrupt change as TBA<sup>+</sup> is replaced by Li<sup>+</sup>, with the appearance of a new reduction peak at higher potentials, which grows in intensity with increasing Li<sup>+</sup> concentration, rather than the continuous shift of the redox peak observed in high DN solvents. The position of this new peak, more positive of the reversible O<sub>2</sub> reduction peak, is consistent with the formation of a more stable discrete species as proposed by Luntz<sup>11</sup>.

The *in situ* SERS, Fig. 3, provides direct evidence that the surface mechanism dominates in low DN solvents. We have reported SERS in CH<sub>3</sub>CN before, but here we have carried out new experiments under identical conditions to the other solvents to provide a direct comparison<sup>46</sup>. SERS shows that there is no evidence of  $O_2^-$  on the surface at any potential, instead LiO<sub>2</sub> is present. This is consistent with the surface mechanism and NOT the solution mechanism. RRDE measurements also confirm the absence of all but a very small contribution from  $O_2^-$  in solution, Fig. 4. The SERS data reveal that the rate of transfer of LiO<sub>2</sub>\* to Li<sub>2</sub>O<sub>2</sub> increases significantly at lower potentials. LiO<sub>2</sub>\* can transform to Li<sub>2</sub>O<sub>2</sub>\* on the electrode surface by disproportionation and/or a 2<sup>nd</sup> electron transfer. Lower potentials will increase the surface concentration of LiO<sub>2</sub>\* and hence the rate for disproportionation, while at the same time increasing the overpotential driving the rate of the 2<sup>nd</sup> reduction.

Extending the Li<sup>+</sup> concentration range beyond 0.1 M has no significant effect on the electrochemistry in CH<sub>3</sub>CN, Supplementary Fig. S6, in contrast to high DN solvents. RRDE measurements confirm the absence of  $O_2^-$  in solution.

## **Dimethoxyethane (DME)**

CVs for  $O_2$  reduction in DME with varying TBA<sup>+</sup>/Li<sup>+</sup> ratios are shown in Fig. 1. They resemble those observed for low DN solvents, such as CH<sub>3</sub>CN. Due to a solvent peak in the SERS overlapping with the expected  $O_2^-$  and LiO<sub>2</sub> peaks, we could not confirm the nature of the superoxide species, Fig. 3, but *in situ* SERS does confirm the growth of Li<sub>2</sub>O<sub>2</sub>, although more slowly than in CH<sub>3</sub>CN. While the CVs point to a surface model, RRDE measurements reveal a significant concentration of  $O_2^-$  in solution in the same potential range, green region in Fig. 4. The DN of DME (DN 20) lies between that of DMSO (DN 30) and CH<sub>3</sub>CN (DN 14). Previous authors have recently interpreted the switch from large Li<sub>2</sub>O<sub>2</sub> particles at high voltages/low currents to surface films at low voltages/high currents, as implying LiO<sub>2</sub> solubility in ethers<sup>21</sup>. The present results are in accord with this, they provide specific evidence for the presence of  $O_2^-$  in solution at high potentials in DME. However, in addition we identify that both pathways, solution and surface, operate simultaneously at high potentials, consistent with the observations of surface films and particles of  $Li_2O_2$  at the same potential/current (discussed below and in Fig. 7).

The above studies combining electrochemical and spectroscopic methods, demonstrate that  $O_2$  reduction to  $Li_2O_2$  involves  $LiO_2$  as an intermediate dissolved in solution in high DN solvents passing to  $LiO_2$  on the electrode surface with decreasing solvent DN.

## Which pathway solution or surface?

Previously, the hard soft acid-base theory of Pearson was invoked to explain the existence of a solution mechanism for  $O_2$  reduction in aprotic solvents containing Li<sup>+</sup> ions<sup>14</sup>. Li<sup>+</sup> ions in high and low DN solvents were considered to be soft and hard acids respectively. However, all solvated Li<sup>+</sup> ions (typically a Li<sup>+</sup> ion is surrounded by 4 solvent molecules)<sup>47</sup> might be expected to be relatively polarizable and hence soft, irrespective of whether the DN of the solvent is high or low. Here we offer an interpretation of  $O_2$  reduction in aprotic solvents containing Li<sup>+</sup> ions based on the solubility of LiO<sub>2</sub>.

Considering first the mechanism of  $O_2$  reduction at high voltage (low overpotential). Based on combining all the experimental data presented above, on  $O_2$  reduction in all solvents the first step involves  $O_2$  undergoing a 1 e<sup>-</sup> reduction to form LiO<sub>2</sub>, which is distributed between LiO<sub>2</sub> adsorbed on the electrode surface and LiO<sub>2</sub> dissolved in the adjacent electrolyte, according to the equilibrium:

$$\text{LiO}_2^* \rightleftharpoons \text{Li}_{(\text{sol})}^+ + O_2^{-}_{(\text{sol})}^+ + \text{ ion pairs + higher aggregates (clusters)}$$
 (1)

Note the equation simply indicates an equilibrium between adsorbed  $LiO_2$  and  $LiO_2$  dissolved in solution. As is typical in aprotic solvents, free ions, ion pairs, as well as higher aggregates (clusters) are all possible species in solution<sup>48,49</sup>. In high DN solvents, we observe experimentally mainly  $LiO_2$  dissolved in solution, therefore the Gibbs free energy for the dissolved  $LiO_2$  is lower than  $LiO_2^*$  on the electrode surface and the equilibrium lies to the right, with reduction proceeding predominantly by the solution pathway. In low DN solvents, where we observe mainly  $LiO_2$  on the electrode surface, the Gibbs free energy for  $LiO_2^*$  on the surface is lower than  $LiO_2$  dissolved in solution and the equilibrium lies to the left of equation (1) with the surface pathway dominating, Fig. 5. The trend with DN is in accord with the cation solvation being a major determinant of the solubility of  $LiO_2$ , high DN promoting strong solvation and  $LiO_2$  dissolution.

The mechanism of  $O_2$  reduction and equation (1) presented in this paper arise solely from the experimental data. However, the values for  $\Delta G^0$ , and hence predictions of the position of the equilibrium in each solvent, may be estimated somewhat approximately by

combining the Gibbs free energies of the following reactions (equations 2 + 3 + 4 = equation 1):

$$O_{2(sol)} + Li_{(s)} \rightleftharpoons O_{2(sol)} + Li^{+}_{(sol)} + ion pairs + higher aggregates (clusters) (2)$$

$$O_{2(g)} \rightleftharpoons O_{2(sol)} \tag{3}$$

$$\mathrm{LiO}_{2(s)} \rightleftharpoons \mathrm{O}_{2(g)} + \mathrm{Li}_{(s)} \tag{4}$$

The experimental details of how the free energies of the three equations were obtained are given in the Supplementary Discussion. The values of  $\Delta G^0$  for equation (1) are given in Fig. 5 and correctly predict the trend from a solution dominated to a surface dominated pathway as the DN decreases. The values derived for  $\Delta G^0$  of equation (1) can only be considered as a rough approximation. In the absence of data for the  $\Delta G^0$  of LiO<sub>2</sub>\* adsorption we used the value for the formation of solid LiO<sub>2</sub>, but we cannot be certain that the state of LiO<sub>2</sub> on the surface is similar to that of a solid film. The  $\Delta G^0$  values for equation (2) are also only approximations as they are based on measuring the O<sub>2</sub>/O<sub>2</sub><sup>-</sup> and Li/Li<sup>+</sup> redox couples



Figure 5 | (a) Schematic of the O<sub>2</sub> reduction mechanism in an aprotic solvent containing Li<sup>+</sup> showing the surface pathway followed when  $\Delta G^0 >> 0$  (low DN) and the solution pathway followed when  $\Delta G^0 << 0$  (high DN),  $\Delta G^0$  refers to equation (1), also shown above. The table shows the estimated  $\Delta G^0$  for equation (1) in solvents with various DNs. (b) Plot showing the dominant pathway as a function of DN and potential. O<sub>2</sub> reduction in high DN solvents and at high potentials (low overpotentials) follows the solution pathway (blue) and at low potentials (high overpotentials) the surface pathway (red). O<sub>2</sub> reduction in low DN solvents at all potentials follows the surface pathway (red).

separately. The former redox couple is measured in each of the four solvents containing TBACIO<sub>4</sub> and the latter in each of the four solvents containing LiClO<sub>4</sub>. The  $\Delta G^0$  for LiO<sub>2</sub> dissolved in each of the four solvents containing LiClO<sub>4</sub> (the situation that arises on O<sub>2</sub> reduction) cannot be identical because of differences in ion-ion interactions (ion association) between solutions used for the  $Li/Li^{+}$  and  $O_2/O_2^{-}$  redox couples (equation 2) and dissolved LiO<sub>2</sub> (equation 1), for example dissolved LiO<sub>2</sub> includes Li<sup>+</sup> -  $O_2^-$  interactions that are absent when the couples are measured separately. However, despite these approximations the estimated Gibbs free energies for equation (1) are in good agreement with the experimental evidence, predicting the correct trend from solution dominated (negative  $\Delta G^0$ ) to surface dominated (positive  $\Delta G^0$ ) pathways and hence suggesting that the free energies for equations (2-4) do capture the main contributions to the energetics of equation (1), and are reasonable approximations. This is because the ion-solvent rather than ion-ion interactions dominate the differences in the free energies between the different solvents, something that has to be the case since qualitatively DN does predict which solvents will dissolve LiO<sub>2</sub>. Although  $\Delta G^0$  for DME is positive and therefore the surface pathway is significant, the value of  $\Delta G^0$  corresponds to a  $O_2^-$  concentration in solution of 0.4 mM (see Supplementary Discussion for calculation), consistent with some solution growth of Li<sub>2</sub>O<sub>2</sub> and in accord with the RRDE results and the formation of large Li<sub>2</sub>O<sub>2</sub> particles, as discussed later. It should be noted that equation (1) is not a step in the reduction, but simply represents the relative stability of the two possible intermediates  $O_2^-$  and LiO<sub>2</sub>.

The solubility of LiO<sub>2</sub> in the electrolyte and its dependence on the nature of the solvent plays a central role in determining the position of the equilibrium in equation (1) i.e.  $\Delta G^0$ , and hence whether O<sub>2</sub> reduction occurs predominantly in solution or on the electrode surface. Considering the solvation of dissolved LiO<sub>2</sub> and its dependence on the nature of the solvent. The potential for  $O_2/O_2^-$  has been reported to vary with solvent acceptor number indicating a change in  $O_2^-$  solvation with solvent<sup>50</sup>. For the solvents studied here we find a variation of  $E^0$  for  $O_2/O_2^-$  of 60 mV, but the  $E^0$  for Li/Li<sup>+</sup> varies more, by 520 mV from Me-Im (high DN) to CH<sub>3</sub>CN (low DN). What these trends in E<sup>0</sup> for the two redox couples show is that the solvation energy of cations is highly solvent dependent and much greater in high compared with low DN solvents, and that the relative solubility of LiO<sub>2</sub> in the different solvents and hence position of the equilibrium in equation (1) is determined mainly by the solvation of Li<sup>\*</sup>. Of course, for the reasons mentioned above, these estimates of ion solvations are only approximations. Nevertheless, the trend to higher cation solvation with increasing DN fits well with the observed increase in LiO<sub>2</sub> solubility with DN. Note that we do not use DN in any estimate of solvation, recognizing that DN is only a part of what effects solvation.

In the case of solvents where  $LiO_2$  is dissolved in solution (high DN), the second step in  $Li_2O_2$  formation is disproportionation, Fig. 5. We do not know the detailed mechanism by which this occurs. We do know that it does occur from the sweep rate dependence of the CVs (SI page 5), which reveal a chemical step following the 1 electron reduction of  $O_2$ , and from the

fact that addition of KO<sub>2</sub> to a solution containing a Li<sup>+</sup> salt, such as LiClO<sub>4</sub>, results in precipitation of Li<sub>2</sub>O<sub>2</sub>, which can only occur by disproportionation. The measured rate of disproportionation, 0.03 to 0.07 cm<sup>-1</sup> (see SI) is in accord with the rate of precipitation of Li<sub>2</sub>O<sub>2</sub>. Previous modeling studies, have suggested relatively slow disproportionation of larger clusters<sup>49,51</sup>. However we do not know which species (ion pairs, or larger aggregates) might be involved in disproportionation. For this reason the representation of the disproportionation step in Fig. 5 simply represents that the process occurs and does not specify a particular species, other than it must involve Li<sup>+</sup>. Considering the reduction at low potentials (high overpotentials). Based on the data presented above, at these lower potentials LiO<sub>2</sub> by the 2<sup>nd</sup> electron reduction, which is fast at these potentials, forming a film of Li<sub>2</sub>O<sub>2</sub> on the electrode surface regardless of the solvent DN, Fig. 5.

### Consequences for Li-O<sub>2</sub> batteries

Although knowing the reduction mechanism is important, the consequences for  $Li-O_2$  batteries are arguably of greater significance. In a practical cell it is desirable to operate at high potentials (low overpotentials) to ensure high efficiency (this requires good rate capability to sustain high current at such potentials). The consequences of the different  $Li_2O_2$  formation pathways in high and low DN solvents at high potentials are demonstrated in Fig. 6, where we discharge at a planar electrode in each solvent. In low DN solvents the voltage soon decays leading to cell death, due to the  $Li_2O_2$  film growth on the electrode. The



Figure 6 | Potential vs. time at a planar Au electrode in various  $O_2$  saturated aprotic solvents, 100 mM LiClO<sub>4</sub> showing early cell death for low donor number solvents but sustained capacity beyond the 7 nm limit (dashed line) for a Li<sub>2</sub>O<sub>2</sub> film in high donor number solvents. The discharge rate was 60  $\mu$ A cm<sup>-2</sup>. Note that the potentials were measured against LixFePO<sub>4</sub> in each solvent and then 3.45 V subtracted to give the potential vs. Li/Li<sup>+</sup>, i.e. these are the potentials that would be exhibited by a Li-O<sub>2</sub> cell in each solvent. The slight drop and then rise for the potentials corresponding to the high DN solvents at the early stage of discharge, is likely to be due to nucleation and growth<sup>52</sup>.



Figure 7 | SEM images showing the  $Li_2O_2$  morphologies obtained in different solvents and at different potentials. The morphologies are as predicted by the unified mechanism. (a) pristine, (b, d, f, h) high (red markers in Fig. 3 CVs) and (c, e, g, i) low (green markers in Fig. 3 CVs) potentials. Porous carbon cathodes in  $O_2$  saturated 100 mM LiClO<sub>4</sub> in the four aprotic solvents were used.

capacities at cell death correspond to a  $Li_2O_2$  layer of ~5-6 nm, the thickness at which electrochemistry is expected to cease<sup>53</sup>. In contrast, in high DN solvents discharge continues far beyond this limit because most  $Li_2O_2$  grows from solution, Fig. 6. Of course discharge eventually terminates even in high DN solvents, because there is always some  $Li_2O_2$  formation on the electrode surface as noted above in the SERS results for DMSO. The intermediate DN ethers exhibit similar voltage decay to CH<sub>3</sub>CN in Fig. 6, reflecting the significant contribution of surface  $Li_2O_2$  films growth.

Optimized porous cathode structures for low DN (surface  $Li_2O_2$  growth) and high DN (solution  $Li_2O_2$  growth) solvents will be different as described in the Supplementary

Discussion, where it is shown that theoretically high DN solvents can lead to specific capacities more than three times greater than low DN solvents.

Recent important papers by several authors, including Nazar and Shao-Horn, have investigated the growth and morphology of  $Li_2O_2$  in ethers, demonstrating significant differences in morphology with current density<sup>21,24</sup>. These results can now be placed in the wider context of the effect of different solvents and their different pathways of oxygen reduction (solution or surface) on the  $Li_2O_2$  morphology. The morphologies obtained for each solvent at high and low potentials are shown in Fig. 7 and are considered in the Supplementary Discussion. The morphologies are as predicted by the mechanism. Large  $Li_2O_2$  particles are observed in high DN solvents (Me-Im and DMSO) at high potentials (low overpotentials), film formation is observed at low potentials (high overpotentials) and in low DN solvents at all potentials. The intermediate DN DME results in  $Li_2O_2$  films at low potentials and both films and particles at high potentials.

In conclusion, a unified mechanism of  $O_2$  reduction in aprotic solvents is described, which embraces the two previous models, and in which the pathway of  $O_2$  reduction to form Li<sub>2</sub>O<sub>2</sub>, solution or electrode surface, depends on the solubility of the LiO<sub>2</sub> intermediate, specifically the free energy of the reaction LiO<sub>2</sub>\*  $\rightleftharpoons$  Li<sup>+</sup><sub>(sol)</sub> + O<sub>2</sub><sup>-</sup><sub>(sol)</sub> + ion pairs + higher aggregates (clusters). The morphology of Li<sub>2</sub>O<sub>2</sub> has been related to the solvent donor number. In the intermediate donor number ethers, both electrode surface and solution pathways contribute significantly and simultaneously to Li<sub>2</sub>O<sub>2</sub> formation at high voltages, leading to significant Li<sub>2</sub>O<sub>2</sub> surface films and particles in solution. Low donor number solvents lead to Li<sub>2</sub>O<sub>2</sub> film growth, decaying rates, low capacities and early cell death. In contrast, in high donor number solvents the dominance of Li<sub>2</sub>O<sub>2</sub> particle growth in solution leads to sustained discharge and higher capacities, encouraging the search for new, sufficiently stable, high donor number solvents.

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## NOTES

<sup>‡</sup>These authors contributed equally to this work. L.J. and C.L. designed and performed electrochemical and Raman spectroscopy experiments and analyzed the data. Z.L. discharged and performed microscopy of  $Li_2O_2$  on high surface area cathodes. P.C.A. and

B.B.P. built and maintained the Raman microscope and contributed to the Raman measurements and analysis. Y.C. performed the UV-vis spectroscopy experiments and analyzed the data. P.G.B, L.J., Y. C., S. F. interpreted the data. P.G.B. wrote the paper with contributions from L.J.. P.G.B., J-M.T., K.D. supervised the project.

# MATERIALS AND METHODS

Please refer to the Supplementary Information for details of the Materials and Methods.

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