

A sperm whale cautionary tale about estimating acoustic cue rates for deep divers

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1 Passive acoustic density estimation has been gaining traction in recent years. Cue  
2 counting uses detected acoustic cues to estimate animal abundance. A cue rate,  
3 the number of acoustic cues produced per animal per unit time, is required to  
4 convert cue density into animal density. Cue rate information can be obtained  
5 from animal borne acoustic tags. For deep divers, like beaked whales, data have  
6 been analyzed considering deep dive cycles as a natural sampling unit, based on  
7 either weighted averages or generalized estimating equations. Using a sperm  
8 whale DTAG (sound-and-orientation recording tag) example we compare  
9 different approaches of estimating cue rate from acoustic tags, illustrating that  
10 both approaches used before, might introduce biases and suggest that the natural  
11 unit of analysis should be the whole duration of the tag itself.

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- 13 acoustics, DTAG

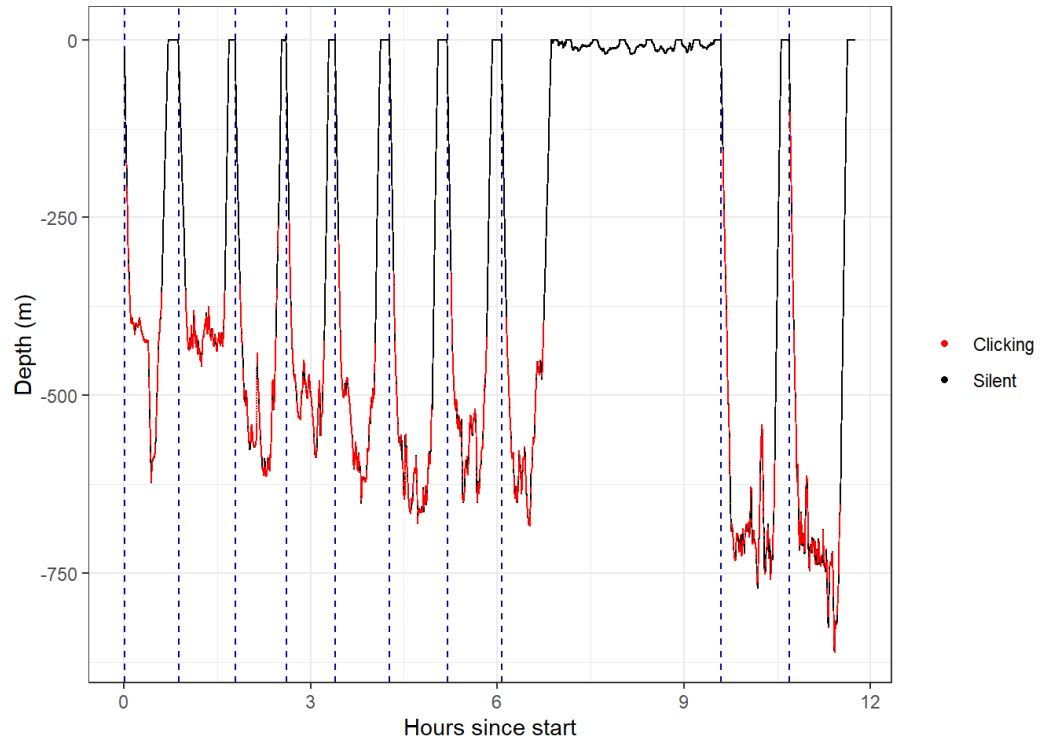
## 14           **1. INTRODUCTION**

15           Using the sounds produced by animals to estimate their abundance via  
16 passive acoustic monitoring (PAM) is becoming increasingly popular for species that  
17 are otherwise hard to detect visually. A prime example of such species are deep  
18 diving cetaceans which spend prolonged periods at depth, making them hard to  
19 survey visually. Therefore, it is not surprising that beaked whales Ziphiidae and  
20 sperm whales *Physeter macrocephalus* densities have been estimated using PAM  
21 methods (e.g. Barlow & Taylor, 2006, Lewis et al. 2007, Marques et al. 2009, Ward et  
22 al. 2012).

23           Cue counting is an indirect PAM approach to estimate animal abundance,  
24 where instead of counting the animals themselves, we count cues produced by the  
25 animals. For PAM the cues are sounds of the species of interest, in the sperm whale  
26 case usually those will be regular echolocation clicks. Cue counting was originally  
27 developed in the 1980s within the realms of the IWC (International Whaling  
28 Commission) for estimating baleen whale abundance from whale blows (e.g. Hiby &  
29 Ward, 1996). If a cue is effectively instantaneous - as for whale blows, a short  
30 duration sound or the onset of a long sound - then the only required multiplier to  
31 convert an estimate of the density of cues into a density of animals is the cue  
32 production rate. We define the cue production rate, or cue rate for short, as the  
33 mean number of cues produced per animal per unit time. Naturally, one would like  
34 to obtain a cue rate that is valid for the time when and place where the main survey  
35 occurs (e.g. Marques et al. 2013). Otherwise, mismatches might potentially induce a

36 bias in cue rate, which will translate into a corresponding bias in the estimated animal  
37 density.

38 Cue production rates reported in the literature for deep divers, namely  
39 beaked whales, have considered deep dive cycles (DDCs) as a natural unit for  
40 analysis. A DDC can be defined as the period corresponding from the time an  
41 animal starts the descent for a deep foraging dive until the time it does the same for  
42 the next DDC. DDCs are highlighted in an example sperm whale sound-and-  
43 movement tag data from DTAG deployments (Johnson & Tyack, 2003) in Fig. 1.  
44 DDCs might be more or less clearly defined units depending on a given species'  
45 behaviour. Marques et al. (2009), considering Blainville's beaked whales (*Mesoplodon*  
46 *densirostris*), estimated the cue rate from a weighted average of the number of cues per  
47 unit time per DDC, where the weights were the durations of the DDCs. Warren et  
48 al. (2017), working with DTAG data from both Blainville's beaked whales and  
49 Cuvier's beaked whales (*Ziphius cavirostris*), considered a generalized estimation  
50 equation (GEE) approach, using as response variable the number of clicks per DDC,  
51 with DDC duration as an offset. Note this offset is equivalent to weighting by DDC  
52 duration.



53

54 Fig. 1. An example sperm whale depth profile with the corresponding  
 55 echolocation clicks overlaid. The 10 full DDCs available from this tag are  
 56 highlighted. The eighth deep dive cycle is longer than the others, with the whale  
 57 taking its time at the surface before submerging into the ninth deep dive.

58 We hypothesize that using the DDC as the unit for analysis when estimating  
 59 cue rates might lead to biased inferences in the case where the DDC duration is  
 60 correlated to the cue rate during a deep dive, which is likely the case by the very  
 61 definition of a DDC. We compare different ways of calculating cue rates, and their  
 62 associated precisions, from tag data, including averages and weighted averages, per  
 63 DDC and per tag, and contrast these with regression modelling approaches to  
 64 evaluate if previously used GEEs perform as expected. We illustrate the potential  
 65 issues with a unique dataset of 104 sperm whale DTAGs and provide practical  
 66 guidance for estimating acoustic cue rates from animal borne tags.

67        **2. METHODS**

68            We first describe the DTAG dataset used, then the methods used to extract  
69 the individual echolocation regular foraging clicks, considered the acoustic cue of  
70 interest, and finally the different analysis options to estimate cue rates from the  
71 acoustic data.

72        **A. Data collection**

73            We consider a sample of 104 DTAGs deployed on sperm whales, at 8  
74 different sites and covering 13 different years. For additional details including counts  
75 of tags per year-site combination see the Supplementary Material. We focus on  
76 estimating a pooled cue rate for the species assuming the sample of tags would be  
77 representative for that purpose. We address potential issues in doing so in the  
78 discussion.

79            The DDCs were defined as periods starting at the moment the whale  
80 submerges into a deep foraging dive all the way till the next time it submerges for the  
81 subsequent deep foraging dive. For simplicity we considered deep foraging dives to  
82 be dives deeper than 100 meters. We note explicitly that a deep dive foraging cycle  
83 might include portions of time where the whale is at the surface not engaged in any  
84 deep foraging behaviour, say while resting or socializing at the surface (cf. eighth  
85 DDC in Fig. 1). This will be one of the reasons for why care must be taken when  
86 using such DDCs as sampling units.

## 87 **B. Data processing**

88 For each tag, the sound files were processed to extract the times of  
89 emission for each regular echolocation click emitted by the tagged whale.  
90 Depending on the tags, custom built MATLAB functions to do so included either  
91 findclicks or findallclicks and findmissedclicks functions. These tools have been  
92 developed by Mark Johnson (freely available at: [https://soundtags.wp-st-](https://soundtags.wp.st-andrews.ac.uk/dtags/dtag-3/)  
93 [andrews.ac.uk/dtags/dtag-3/](https://soundtags.wp.st-andrews.ac.uk/dtags/dtag-3/)). For easier reference the functions are hosted also  
94 at <https://github.com/TiagoAMarques/DeepDiverCueRates> (folder:  
95 [click extraction matlab](#)).

96 To identify the timing of regular clicks from the tagged whale in the on-animal  
97 DTAG sound recording, a semi-supervised click detector was used, as described in  
98 Johnson et al. (2006). The sound files were processed sequentially in 15 second chunks  
99 through a supervised click detector to identify likely clicks from the tagged animal,  
100 using a 4-pole Butterworth band-pass filter (with cut-off frequencies at 3 and 20 kHz)  
101 and a level threshold based on the envelope of the click. An experienced analyst went  
102 through manual inspection of spectrograms (512 sample fast Fourier transform (FFT)  
103 with a Hamming window and 50% overlap) formed by 15s intervals of the sound  
104 recording (as described in Warren et al., 2017), accepting/rejecting the click  
105 identifications or, if needed, manually adding potential missed clicks. Clicks from the  
106 tagged animal were distinguished from those of other nearby whales in two ways  
107 (Johnson et al., 2006). Clicks from the tagged whale have both low-frequency energy  
108 that is absent in clicks recorded from non-tagged whales (Johnson et al., 2009) as well  
109 as a consistent angle of arrival on the tag,  $\theta$ , computed from  $\theta = \sin^{-1}(\tau c/d)$ , where  $c$

110 is the speed of sound in seawater,  $d$  is the hydrophone separation (0.025m) and  $\tau$  is  
111 the time delay between the two hydrophone signals, measured by cross-correlation.  
112 The arrival angle of clicks from the tagged whale, when corrected for the tag  
113 orientation on the whale, will be consistently close to zero, as the sound source from  
114 the tagged animal is directly in front of the tag, while those from other whales will vary  
115 widely as the focal and non-focal whales maneuver. The DTAG click extraction  
116 process, which is a semi-supervised click identification ensures that all clicks produced  
117 by the focal whale are identified.

118 For further analysis of our sperm whale dataset, we consider two datasets  
119 derived from the above procedure: (1) The dataset with all the DDCs and (2) a  
120 dataset corresponding to removing DDCs lasting over one hour. As an example, in  
121 Figure1 this would correspond to exclude the 8<sup>th</sup> DDC. We refer to these as the  
122 complete and the reduced datasets, respectively. These allow us to illustrate different  
123 aspects of the analysis, as if these were two different datasets with slightly different  
124 characteristics, the latter representing species with deep dive behavior similar to a  
125 sperm whale, but without the long resting periods at the surface.

### 126 **C. Data analysis**

127 We focus on the estimation of a pooled (pooling across both years and  
128 locations) cue production rate  $r$  for sperm whales, defined as the number of cues  
129 produced per time unit, per animal:

$$132 \quad r = \frac{\# \text{ sounds}}{\text{time animal}}. \quad (1)$$

130 Note that in general we ignore, in wording and notation alike, the fact that this is a  
131 measure per animal. Here we consider sounds to be regular echolocation clicks and



133 the time unit seconds, for convenience, but the above expression could be used for  
 134 any arbitrary time period, meaning in particular it could be calculated by DDC, by tag  
 135 or by any arbitrary time period (e.g. per 5 minutes). Then one can use those sampling  
 136 units to average across a sample to get a mean cue rate for the population of interest,  
 137 accounting for possible non-independence, as required. The population average cue  
 138 rate, using a standard mean based on DDCs, is then estimated by

$$139 \quad \hat{r}^{ds} = \frac{\sum_{j=1}^{n_d} r_j^d}{n_d} = \frac{\sum_{i=1}^n \sum_{k=1}^{n_{di}} \frac{c_{ik}}{t_{ik}}}{n_d}, \quad (2)$$

140 where the superscripts  $d$  are used for deep Dive and  $s$  for Standard mean,  $r_j^d$   
 141 represents the cue rate for the  $j^{\text{th}}$  dive cycle ( $j=1,2,\dots,n_d$ ),  $c_{ik}$  and  $t_{ik}$  represent  
 142 respectively the number of clicks in, and the duration of, the  $k^{\text{th}}$  DDC of the  $i^{\text{th}}$  whale  
 143 ( $k=1,2,\dots,n_{di}$ ), and  $n_{di}$  is the number of deep dives recorded for whale  $i$ . On the other  
 144 hand, one could Weight (note superscript  $w$  below) for the DDC duration, as was  
 145 done in Marques et al. (2009), leading to

$$146 \quad \hat{r}^{dw} = \frac{\sum_{i=1}^{n_d} \sum_{k=1}^{n_{di}} \frac{c_{ik}}{t_{ik}} t_{ik}}{\sum_{i=1}^{n_d} t_{ik}} = \frac{\sum_{i=1}^{n_d} c_{ik}}{\sum_{i=1}^{n_d} t_{ik}}. \quad (3)$$

147 Note that this otherwise apparently more complex estimator (than the  
 148 standard average, given the weights) actually reduces to a simpler expression, the  
 149 total number of detected cues, across all tags, divided by the total recording time,  
 150 again across all tags. Both of the above consider the DDCs as the sampling unit, as  
 151 has been done before in the literature. We note that, strictly speaking, that is the  
 152 definition of pseudoreplication (Hurlbert, 1984), where the independence came in as  
 153 a stated assumption, for a proof of concept of PAM density estimation (DE) in  
 154 Marques et al. (2009). On the other hand, if we consider the  $n$  tags as the sampling

155 units (i.e., the animals, superscript  $a$ ), we have a standard average (superscript  $s$ )  
156 estimator as

$$157 \quad \hat{r}^{as} = \frac{\sum_{i=1}^n r_i^a}{n} = \frac{\sum_{i=1}^n \frac{c_i}{t_i}}{n} \quad (4)$$

158 where  $r_i^a$  represents the cue rate for the  $i^{\text{th}}$  whale ( $i=1,2,\dots,n$ ),  $c_i$  and  $t_i$   
159 represent respectively the number of clicks in, and the duration of, the  $i^{\text{th}}$  tag. The  
160 corresponding weighted average (superscript  $w$ ) version, now weighting by tag  
161 duration, is

$$162 \quad \hat{r}^{aw} = \frac{\sum_{i=1}^n \frac{c_i}{t_i} t_i}{\sum_{i=1}^n t_i} = \frac{\sum_{i=1}^n c_i}{\sum_{i=1}^n t_i}. \quad (5)$$

163 For each of the approaches we also estimate the corresponding precision and  
164 95% confidence intervals. The variance of a standard mean is straightforward and  
165 present in any introductory statistics book, and the variance for the weighted mean  
166 was calculated considering the methods proposed by Gatz & Smith (1995).

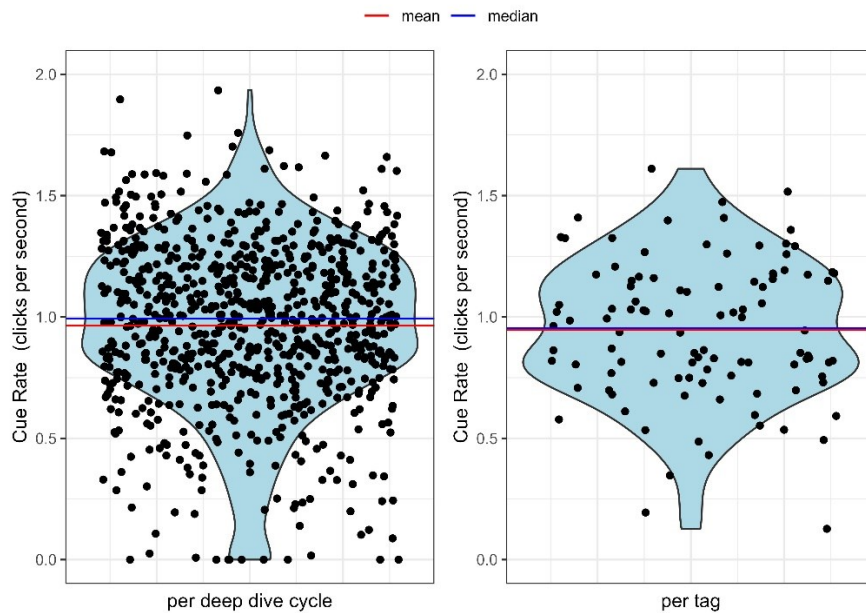
167 For a direct comparison with the methods from Warren et al. (2017), we also  
168 estimate the cue rate based on regression models. For these, the unit of analysis  
169 considered was always the DDC. We consider the same GEE inspired approach:  
170 modelling the number of clicks per DDC, using the DDC duration as an offset,  
171 considering tag as the grouping variable (akin to a random effect), with a log link  
172 function, an “independence” correlation matrix and robust standard errors. The  
173 GEE treats the deep dives as the sampling unit but inflates standard errors on the  
174 estimated cue rate via the correlation structure induced by the grouping variable.  
175 Therefore, in terms of how the correlation structure is dealt with, it represents a half-  
176 way house between treating deep dives or tags as the sampling unit, while

177 considering a regression model instead of an analytical formula for the average. The  
178 intercept of this model corresponds to an estimate of the cue rate. To obtain the  
179 standard error on the response scale we used a delta method approximation (Powell,  
180 2007). To obtain 95% confidence intervals we assumed normality on the link scale  
181 and back-transformed to the response scale. For comparison we also implement the  
182 same GEE analysis without the offset and without both offset and grouping variable,  
183 and use corresponding generalized linear mixed models (GLMM) with whale as a  
184 random effect, instead of the GEEs. When not considering the offset we modelled  
185 the rate directly and considered a Gamma distribution for the response. For 9 DDCs  
186 (corresponding to 2% of the DDCs) there were 0 clicks. To avoid issues with the  
187 Gamma not coping with the response variable being exactly zero we replaced these  
188 observations by 0.5 (or  $1/20^{\text{th}}$  of the observed minimum positive count of 10 clicks  
189 per DDC). The practical impact of this tweak is negligible, but fitting with the  
190 Gamma family becomes possible. Good reviews on GLMMs and GEEs in Ecology  
191 are Bolker et al. (2009) and Pekár & Brabec (2017), respectively.

192         Analysis was implemented using R (R Core Team, 2022). The GEE model  
193 was implemented using the `geeglm` function in the `geepack` package (Højsgaard et al.  
194 2006) and the GLMM model via `glmer` in the R package `lme4` (Bates et al. 2015). All  
195 of the code to reproduce the statistics and figures in the paper is provided as  
196 supplementary pdf file. This pdf is generated via an RMarkdown dynamic report. All  
197 the data and original .Rmd file that allows one to reproduce or update the analysis  
198 are shared as a github repository:  
199 <https://github.com/TiagoAMarques/DeepDiverCueRates>.

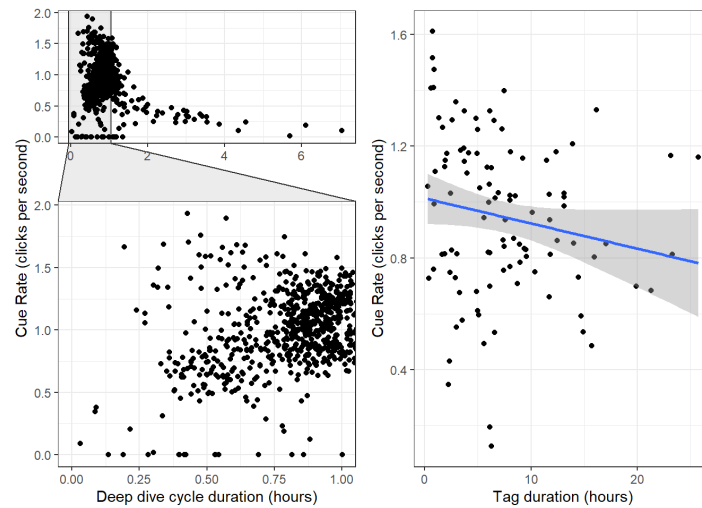
200 **3. RESULTS**

201 We had 826 DDCs across a total of 104 tag deployments from sperm whales,  
202 with a median number of 7 DDC per tag, ranging from 1 to 31 DDC per tag. Tag  
203 durations ranged from 0.3 to 25.8 hours. The observed cue rates per tag varied  
204 between 0.13 and 1.61 clicks per second, with a median value of 0.86, while in the  
205 case of DDC these ranged between 0 and 1.93, with a median value of 0.93. (Figure  
206 2). In the case of our dataset, the cue rate per DDC tended to increase with DDC  
207 duration for the reduced dataset, but in the full dataset, the longer DDC presented  
208 long periods without vocalizations, and hence cue rates tended to decrease with  
209 DDC duration (Figure 3).



210  
211 Fig. 2. Violin plots of the observed cue rates per deep dive cycle (left) and  
212 per tag (right). The x-coordinate is a non-interpretable jitter for improved data  
213 visualization. There are 826 points in the left plot corresponding to the cue rates for

214 all the deep dive cycles available for the 104 tag deployments on whales in the right  
215 plot.



216

217

218 Fig. 3. Observed cue rates as a function of duration, both for deep dive  
219 cycles (DDCs, left) and tags (right). The left bottom panel zooms in on DDCs  
220 shorter than 1 hour. On the right plot a regression line is represented, with the grey  
221 lines representing the corresponding 95% confidence interval on the regression line.

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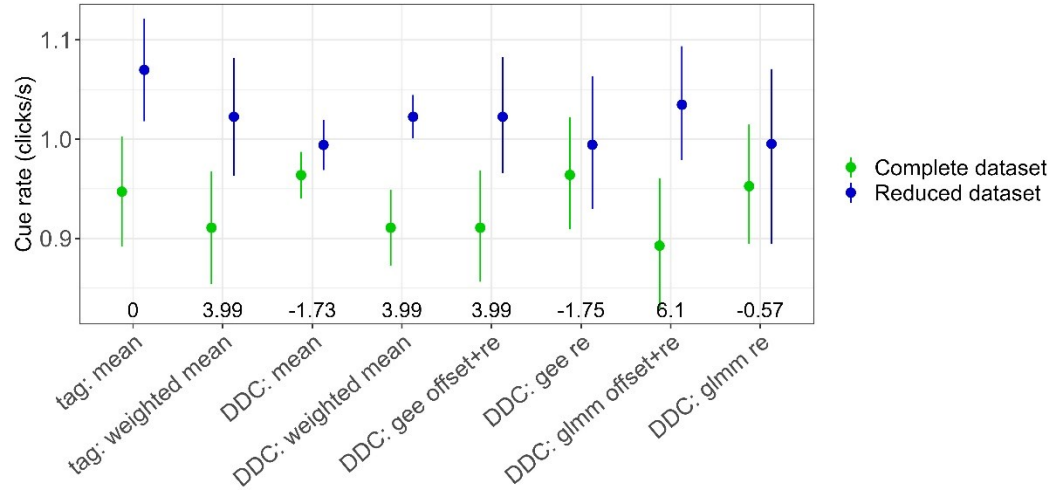
223 The results obtained for each of the analytic estimators and the regression  
224 models are compared in Fig. 4. As expected, for any given method, the estimates  
225 based on the reduced dataset were always higher than those obtained for the  
226 complete dataset. This is a rather obvious consequence of the fact that, by  
227 construction, the longer DDCs tend to include periods when the animal spent  
228 considerable time at the surface, when they are mostly silent. The 8th DDC in Fig. 1  
229 is a good example.

230           The sperm whale cue rate, considering an unweighted average based on the  
231 full dataset at the tag level, was 0.947 clicks per second, with a 95% confidence  
232 interval (CI) of 0.892,1.003. The same tag-level analysis, but weighted by tag  
233 duration, estimated a lower cue rate: 0.911 clicks per second (95% CI 0.854, 0.968).  
234 Corresponding unweighted estimates at the DDC level were lower in the reduced  
235 dataset (the difference in green values from the 2<sup>nd</sup> analysis to the 3<sup>rd</sup> analysis in Fig.  
236 4), but higher for the complete dataset (the difference in blue values from the 2<sup>nd</sup>  
237 analysis to the 3<sup>rd</sup> analysis in Fig. 4). When weighed by DDC, the effect is opposite in  
238 the reduced and the complete datasets, with the cue rate increasing in the former, but  
239 decreasing in the latter. This is the same pattern observed when adding an offset to  
240 the GEE with whale as grouping variable.

241           The analyses weighted by duration, either at the tag or DDC level, lead  
242 necessarily to the same cue rate point estimate, with a marked difference in precision,  
243 naturally higher for the DDC analysis.

244           Similar estimates are obtained for the GEE and the corresponding GLMM  
245 counterparts.

246



247

248 Fig. 4 Estimated cue rates, and corresponding 95% confidence intervals, considering  
 249 the different approaches. Left to right, the first two analyses are at the tag level, the  
 250 remaining ones at the deep dive cycle (DDC) level. For the regression analysis, offset  
 251 means the duration of the DDC was included as an offset and “re” denotes if the  
 252 model included whale as a grouping variable (GEE) or as a random effect (GLMM).  
 253 The number shown for each approach corresponds to the bias in animal density that  
 254 a biased cue rate would induce, assuming as the truth for cue rate the unweighted  
 255 average at the tag level, unbiased by design.

256 **4. DISCUSSION**

257 We presented pooled cue rate estimates for sperm whales across all the tag  
 258 recordings available to us, for the purpose of comparing several methods to estimate  
 259 cue rates, including averages and weighted averages, and a variety of regression  
 260 models. Variability over time and space means that such overall mean cue rates  
 261 might not be representative of any one place at any one time, and therefore we  
 262 recommend these values are not used to inform any sperm whale PAM density

263 estimation exercises. This is the topic of a separate research thread we are currently  
264 pursuing.

265       To inform a PAM density survey, we are interested in estimating a cue rate  
266 for a population of whales. Therefore, the true variability that we are interested in is  
267 the variability across whales. For that reason alone, one should expect that  
268 approaches that consider the whale (i.e. tag record) as the sampling unit would be  
269 preferable. Perhaps surprisingly, that was not considered by earlier attempts (e.g.  
270 Marques et al. 2009, Warren et al. 2017). Here we consider the standard average at  
271 the tag level as a gold standard, since that should be unbiased by design.

#### 272 **A. To weight or not to weight: that is (and might remain) the question**

273       The weighted average at the tag level was lower than the unweighted average,  
274 a reflection of the fact that longer tag records had slightly lower cue rates (cf. Fig. 3,  
275 right panel). There is considerable overlap in the 95% CI between the weighted and  
276 unweighted average at the tag level, and there is no obvious reason for why tags with  
277 longer duration (hence, everything else being the same, also on average with a larger  
278 number of DDCs) might have lower cue rates. We put forward a couple of possible  
279 explanations. The first is that deep diving itself could represent a behavior that  
280 promotes tag displacement and/or release. In such a case, animals spending longer  
281 times at the surface and hence for which the tag would be more likely to stay on  
282 longer would be oversampled, and hence the true cue rate would be biased low.  
283 However, this is unlikely, and in fact one might even argue the bias would be the  
284 other way around, with high pressure experienced by the tag during deep dives  
285 meaning the suction cups would be less prone to displace. The second is that animals



286 available for tagging might be preferentially in a vocal mode (say because they are  
287 found acoustically), and hence they might be, more often than not, engaged in  
288 behaviors that have higher cue rates than the average animal in the population. On  
289 the other hand, Warren et al. (2020) noted, using a subset of the tags used here, an  
290 opposite pattern: if anything a time decaying effect detectable up to the 5th deep dive  
291 with less buzz sounds (but no results are reported for echolocation clicks, the focus  
292 here). There is no strong reason to prefer one estimate over the other; weighted  
293 averages can have higher precision if the weights are sensible. But if they are not,  
294 bias could creep in. Unless there is an alternative explanation that would not induce  
295 bias for why longer tag deployments might have lower cue rates, we suggest that an  
296 unweighted average at the tag level might be preferred. The weighted by DDC  
297 approach might introduce bias, or in this case perhaps differences in the estimates  
298 which might be driven by randomness rather than signal.

299         The weighted averages lead to identical point estimates, irrespective of  
300 whether we consider an analysis at the tag level or at the DDC level (cf. equations 3  
301 and 5), but the precision is higher at the DDC level. This will always be the case, the  
302 analysis at the DDC level is strictly pseudoreplication, but naturally only the analysis  
303 at the tag level is sensible. When Marques et al. (2009) estimated a cue rate to inform  
304 a passive acoustic density estimation exercise for the first time, only 5 tags were  
305 available, and hence considering the DDC as the independent sampling unit was an  
306 attractive choice. That choice, and implicit assumption about independence across  
307 DDCs, meant that instead of a 5-tag sample size, the authors considered a sample  
308 size of 21 DDCs. But as with most assumptions - there are no free lunches in  
309 statistics - that assumption comes at a cost. Here illustrated for the case of the sperm

310 whales, that cost is underestimating the true precision. In other words, we  
311 underestimate the variance of the cue rate estimate, and therefore we would  
312 underestimate the true variance on the corresponding estimated density.

313         For our sperm whale example, considering the unweighted average at the  
314 DDC overestimates the cue rate. This is a consequence of most DDCs being below  
315 1 hour, and therefore, having higher cue rates than all the DDCs together. This is  
316 clearly evidenced when one looks at the contrast in behavior between the reduced  
317 and complete datasets at the DDC level. Cue rate increases in the complete dataset,  
318 but it decreases in the reduced dataset. When you compare just between DDC  
319 averages, naturally you obtain a higher value for the weighted average in the reduced  
320 dataset. Again, this happens because longer DDCs, with lower cue rates, were  
321 removed. On the other hand, you obtain a lower value for the DDC weighted  
322 average in the full dataset, since in that dataset there are a considerable large number  
323 of longer DDCs, typically with lower cue rates, being then given larger weights.  
324 There is a correlation between the cue rate by DDC and the DDC duration, with the  
325 largest DDCs being associated with the lowest cue rates. This happens because these  
326 correspond to DDCs where whales might spend a considerable amount of time at  
327 the surface. In fact, for these instances, the definition we considered for a DDC can  
328 be misleading: for long DDCs the whale might spend much more time doing  
329 something else, like resting or socializing, than actually performing the deep foraging  
330 dive than coins the DDC term used to define the period. Hence, when we weight by  
331 DDC duration, compared to an unweighted tag analysis, we underestimate the cue  
332 rate by about  $100 \times (0.947 - 0.911) / 0.947 = 3.84\%$ , which would correspond to, all else  
333 being equal, an upward bias in density of  $100 \times (1/0.911 - 1/0.947) / (1/0.947) = 3.99\%$ .

334 While 4% might be a relatively small bias given the usual coefficient of variation of  
335 abundance/density estimates, any bias that can be removed necessarily leads to  
336 improved inferences. Additionally, this correlation between cue rate and the weights  
337 (DDC duration) means that properly calculating the variance of the corresponding  
338 weighted mean would require incorporating the covariance between the observations  
339 and the weights, which is far from straightforward.

340         The decision of weighing or not by recording duration is unfortunately more  
341 nuanced than one might hope. One can easily construct a scenario where such  
342 weighting would be desirable. An example is when shorter duration tags do not  
343 provide a reliable cue rate for the tagged animal. On the other hand, when all tags are  
344 long enough to obtain a reliable individual cue rate per tag, weighting could induce  
345 bias towards some animals with unusually long records. The decision will depend on  
346 how variable animals are over time compared to the variability between animals.  
347 Weighting becomes more relevant as variability within animals increases and across  
348 animals decreases, but where to change from a standard average to a weighted  
349 average given said ratio of variabilities and average tag duration might be a hard  
350 question to answer.

## 351 **B. About regression models**

352         The analysis considering (1) the GEE regression model and (2) the weighted  
353 average, considering DDC as the sampling unit, estimate the same quantity, and  
354 hence we get the same point estimates for either dataset. We do note that the GEE  
355 confidence intervals are wider than those for the weighted mean, reflecting lower

356 precision in the estimated means. This is a more sensible precision, since tag  
357 deployments are the independent sampling units, not DDCs.

358         The pattern found in Fig. 3 illustrates that, while required given the definition  
359 of what a cue rate is (cues per unit time), from a regression modelling perspective,  
360 DDC duration might not be a sensible offset, since the relationship between it and  
361 the cue rate is far from being proportional. In other words, an estimated coefficient  
362 for the offset included in the model as a variable would not be 1, which is strictly  
363 what an offset corresponds to. This is a reminder that use of offsets in regression  
364 corresponds to an implicit, often unstated, assumption. Since this is an assumption  
365 that is easy to test, by plotting the data as we did, we suggest in general should be  
366 tested when using an offset.

367         GEEs and GLMMs model different conceptual quantities. GEEs are often  
368 referred to as marginal models, and provide population level averages, while  
369 GLMMs, also known as conditional models, will provide individual level averages  
370 (Fieberg et al. 2009). This is often ignored, especially if the interest of inferences is  
371 on how covariates influence a response. However, in the case of cue rates from tag  
372 data, the distinction might be crucial. We are actually interested on the mean  
373 estimated by the GLMM, a mean across whales, not by that of the GEE as  
374 implemented, a mean across DDCs. At least for our example, differences in point  
375 estimates across the two approaches were minimal, with slightly higher variances  
376 estimated via the GLMM, but that might not be the case in general for other species  
377 that might have a different diving and sound production behaviour.

378         One might wonder why bother with regression models if analytical  
379 expressions of averages provide such similar results for mean cue rates. The power

380 of regression approaches truly emerges when additional covariates that cue rates  
381 might depend on are available. That opens the door to model-based estimates of cue  
382 rate, that can be predicted for the actual survey conditions. As an hypothetical  
383 example, one could imagine cue rate being dependent on survey level covariates, like  
384 study area bottom depth, because animals spend less or more time silent travelling to  
385 the bottom to feed depending on bottom depth. Then a regression model allows one  
386 to estimate the cue rate for the depths at which the survey sensors were placed. One  
387 might additionally be able to model cue rates as a function of animal level covariates  
388 (e.g. sex), or even covariates that change over time within animal (e.g. animal depth).  
389 While this might provide interesting biological information, for a PAM density  
390 estimate we will require an average cue rate, which will have to be averaged over the  
391 survey conditions. Hence, knowing that cue rate differs by sex or by the depth at  
392 which an animal is not enough and might be of little use in practice: To use that  
393 information we would need to know, for all the animals within the survey area, the  
394 sex of the animals or the depths at which they were diving, to average across those  
395 distributions to obtain the correct multiplier. A pragmatic approach in such cases,  
396 which comes at the cost of a strong untestable assumption, is to assume that the  
397 sample of animals we have tagged provides an unbiased sample over which, once  
398 averaged across, we can estimate the corresponding average cue rate.

### 399 **C. Cue rates to inform PAM surveys**

400 As a conclusion, we highlight what we knew from the start. We want a cue  
401 rate estimate to convert a cue density per time into an animal density. Therefore, we  
402 need the average cue rate that applies for the time and place the survey took place.

403 Fundamentally, the variability in this cue rate estimate that we are interested is that  
404 across animals, hopefully obtained from a random sample of animals. Therefore, an  
405 analysis that focuses on the DDCs, where the duration of the DDCs is correlated  
406 with the variable of interest, here cue rate, might be biased. We recommend that  
407 researchers calculating cue rates from similar tag data take due care to consider  
408 analysis that reflect the variability at the level of the individual whales. We encourage  
409 researchers to be careful when considering inferences where DDCs might be  
410 tempting to use as natural sampling units.

411 Cue rates are a required multiplier for cue counting approaches to estimate  
412 animal density and abundance. Reliable methods to estimate the cue rate and its  
413 corresponding variability are needed. For the sake of this paper, with the objective of  
414 evaluating potential bias induced by the methods used, we pooled all the data and  
415 focused on a pooled cue rate across space and time, as if the samples were a suitable  
416 random sample for that purpose. Nonetheless, the sample was not balanced in either  
417 time or space, so it might be biased for any given time or place. Even for a given  
418 time and place we have tags for, one should consider carefully whether a sample of  
419 tagged animals is, in general, a representative sample of the animals available. With a  
420 small sample might be likely to get a few STRANGE animals (a la Webster and Rutz,  
421 2020) that could compromise inferences. As an example, if animals to be tagged are  
422 found acoustically, implying they would be in a “vocally active” mode, cue rates of  
423 these animals could be potentially higher than the cue rates of other animals, biasing  
424 cue rate up and correspondingly density estimates low. Obtaining a cue rate for a  
425 new location or time period should require a good understanding about a species’  
426 cue production and implications of potential spatio-temporal differences in cue rates.

427 While we considered here a pooled mean across all tags, collected at different times  
428 and different places, we know ultimately a cue rate might be affected by a multitude  
429 of factors, be it season, region, demography, etc. That is the objective of current  
430 research and is unlikely to lead to an answer that fits all questions.

431         We did not consider any additional covariates in the regression models. A  
432 model-based approach where cue rate can be predicted for the time and place one's  
433 survey was conducted, conditional on observed covariates, might be desirable. For  
434 cues like echolocation clicks the cue rate variability and factors affecting it might be  
435 tamed, and a reasonable value obtained in such a way. At the other extreme, say for  
436 social sounds, said variability might preclude obtaining estimates with acceptable  
437 precision. In such cases, or when cue rate is density dependent, the only option to  
438 use a cue counting approach for density estimation might be to use a sample of  
439 tagged animals to estimate the cue rate for the place and time the survey took place.  
440 This remains a considerable drawback in estimating animal abundance from passive  
441 acoustic data via cue counting, and further work is required to understand drivers of  
442 cue rate variability and to identify for which species and cues these might be stable  
443 enough to lead to reliable estimates of abundance.

444

## 445 **SUPPLEMENTARY MATERIAL**

446         See supplementary material at [URL will be inserted by AIP] for a dynamic  
447 report that reproduces all the analysis and outputs statistics and figures on the paper  
448 via RMarkdown. The data and the dynamic report are hosted at a github repository:

449 <https://github.com/TiagoAMarques/DeepDiverCueRates/>. We also host there the  
450 custom-built MATLAB functions used to identify the clicks of the tagged whales.

451

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## 466 **AUTHOR DECLARATIONS**

467         The authors have no conflicts of interest to declare. The data collection was  
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470 ACCURATE, and the project got a secondary data Ethics Approval from the School  
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