



Contents lists available at ScienceDirect

Journal of Algebra

journal homepage: www.elsevier.com/locate/jalgebra

An explicit algorithm for normal forms in small overlap monoids

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ARTICLE INFO

Article history:

Received 25 May 2021

Available online 2 May 2023

Communicated by Derek Holt

Keywords:

Monoid

Semigroup

Normal forms

Finite presentation

Small overlap

Small cancellation

ABSTRACT

We describe a practical algorithm for computing normal forms for semigroups and monoids with finite presentations satisfying so-called small overlap conditions. Small overlap conditions are natural conditions on the relations in a presentation, which were introduced by J. H. Remmers and subsequently studied extensively by M. Kambites. Presentations satisfying these conditions are ubiquitous; Kambites showed that a randomly chosen finite presentation satisfies the $C(4)$ condition with probability tending to 1 as the sum of the lengths of relation words tends to infinity. Kambites also showed that several key problems for finitely presented semigroups and monoids are tractable in $C(4)$ monoids: the word problem is solvable in $O(\min\{|u|, |v|\})$ time in the size of the input words u and v ; the uniform word problem for $\langle A|R \rangle$ is solvable in $O(N^2 \min\{|u|, |v|\})$ where N is the sum of the lengths of the words in R ; and a normal form for any given word u can be found in $O(|u|)$ time. Although Kambites' algorithm for solving the word problem in $C(4)$ monoids is highly practical, it appears that the coefficients in the linear time algorithm for computing normal forms are too large in practice.

In this paper, we present an algorithm for computing normal forms in $C(4)$ monoids that has time complexity $O(|u|^2)$ for input word u , but where the coefficients are sufficiently small to allow for practical computation. Additionally, we show that

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the uniform word problem for small overlap monoids can be solved in $O(N \min\{|u|, |v|\})$ time.

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1. Introduction

In this paper we present an explicit algorithm for computing normal forms of words in so-called small overlap monoids. The problem of finding normal forms for finitely presented monoids, semigroups, and groups, is classical, and is widely studied in the literature; some classical examples can be found in [2,18], and more recently in [9]. In a monoid M defined by a presentation $\langle A \mid R \rangle$, the *word problem* asks if given $u, v \in A^*$, does there exist an algorithm deciding whether or not u and v represent the same element of M (i.e. an algorithm which outputs “yes” if (u, v) belongs to the least congruence on A^* containing R and “no” otherwise)? The word problem is said to be *decidable* if such an algorithm exists, and *undecidable* if it does not. If an algorithm for computing normal forms for a monoid presentation $\mathcal{P} = \langle A \mid R \rangle$ is available, then the word problem in the monoid defined by \mathcal{P} is decidable by computing normal forms of u and v , and checking if these two words coincide. In 1947, Markov [15] and Post [16] independently proved that the word problem for monoids is undecidable, in general, and, as such, the problem of finding normal forms for arbitrary finitely presented monoids is also undecidable.

Although undecidable in general, there are many special cases where it is possible to determine the structure of a finitely presented monoid, and to solve the word problem, and there are several well-known algorithms for doing this. Of course, because the word problem is undecidable in general, none of these algorithms can solve the word problem for all finitely presented monoids. The Todd-Coxeter Algorithm [19] terminates if and only if the input finite presentation defines a finite monoid. If it does terminate, the output of the Todd-Coxeter Algorithm is the (left or right) Cayley graph of the monoid defined by the input presentation. The word problem is thus solved by following the paths in the Cayley graph starting at the identity and labelled by any $u, v \in A^*$, and checking whether these paths end at the same node. The Knuth-Bendix Algorithm [12], can terminate even when the monoid M defined by the input (again finite) presentation is infinite. The output of the Knuth-Bendix Algorithm is a finite noetherian complete rewriting system defining the same monoid M as the input presentation. The normal forms of such a rewriting system are just the words to which no rewriting rule can be applied, and a normal form can be obtained for any word, by arbitrarily applying relations in the rewriting system until no further relations apply. This permits the word problem to be solved in monoids where the Knuth-Bendix Algorithm terminates via the computation of normal forms.

In this paper we are concerned with a class of finitely presented monoids, introduced by Remmers [17], and studied further by Kambites [8], [9], and [11].

If $\mathcal{P} = \langle A \mid R \rangle$ is a monoid presentation, then we will refer to the left or right hand side of any pair $(u, v) \in R$ as a *relation word*. A word $w \in A^*$ is said to be a *piece* of \mathcal{P} if w is a factor of at least two distinct relation words, or w occurs more than once as a factor of a single relation word (possibly overlapping). Note that if a relation word u appears as one side of more than one relation in the presentation, then u is not considered a piece. A monoid presentation \mathcal{P} is said to satisfy the condition $C(n)$, $n \in \mathbb{N}$, if the minimum number of pieces in any factorisation of a relation word is at least n . If no relation word in \mathcal{P} equals the empty word, then \mathcal{P} satisfies $C(1)$. If no relation word can be written as a product of pieces, then we say that \mathcal{P} satisfies $C(n)$ for all $n \in \mathbb{N}$. If a presentation satisfies $C(n)$, then it also satisfies $C(k)$ for every $k \in \mathbb{N}$ such that $1 \leq k < n$.

For example, the presentation $\mathcal{P} = \langle a, b, c \mid abc = cba \rangle$ satisfies $C(3)$. The set of pieces is $P = \{\varepsilon, a, b, c\}$ and each relation word can be written as a product of exactly 3 pieces. Hence \mathcal{P} does not satisfy $C(4)$. Similarly, for $\mathcal{P} = \langle a, b, c \mid acba = a^2bc \rangle$ the set of pieces is $P = \{\varepsilon, a, b, c\}$ and \mathcal{P} satisfies $C(4)$ but not $C(5)$. If $\mathcal{P} = \langle a, b, c, d \mid acba = a^2bc, acba = db^3d \rangle$, the set of pieces is $P = \{\varepsilon, a, b, c, d, b^2\}$ and \mathcal{P} satisfies $C(4)$ but not $C(5)$, since the relation words $acba$ and a^2bc can be written as the product of 4 pieces. For the presentation $\mathcal{P} = \langle a, b, c, d \mid a^2bc = a^2bd \rangle$ the set of pieces is $P = \{\varepsilon, a, b, a^2, a^2b\}$ and none of the relation words can be written as a product of pieces since neither c nor d are pieces.

If a finite monoid presentation satisfies the condition $C(4)$, then we will refer to the monoid defined by the presentation as a *small overlap monoid*. Remmers initiated the study of $C(3)$ monoids in the paper [17]; see also [4, Chapter 5]. If a monoid presentation $\langle A \mid R \rangle$ satisfies $C(3)$, then the number of words in any class of $R^\#$ is finite, and so the monoid defined by the presentation is infinite; see [4, Corollary 5.2.16]. The word problem is solvable in $C(3)$ monoids but the algorithm described in [4, Theorem 5.2.15] has exponential complexity. Groups with similar combinatorial conditions have also been studied and such groups are called *small cancellation groups*. Small cancellation groups have decidable word problem; see [13, Chapter 5] for further details.

In [10], Kambites' showed that the probability that a randomly chosen finite monoid presentation is $C(4)$ tends to 1 as the length of the presentation tends to infinity; and the rate of convergence appears to be rather high; see Table 1. Hence, in some sense, algorithms for small overlap monoids are widely applicable. In Kambites [11], an explicit algorithm (**WpPrefix**) is presented for solving the word problem for finitely presented monoids satisfying $C(4)$. If $u, v \in A^*$, then, provided that certain properties of the presentation are known already, Kambites' Algorithm requires $O(\min\{|u|, |v|\})$ time. In Kambites [9], among many other results, it is shown that there exists a linear time algorithm for computing normal forms in $C(4)$ monoids, given a preprocessing step that requires polynomial time in the size of the alphabet and the maximum length of a relation word. The normal form algorithm from [9] is not stated explicitly in [9], and it appears that the constants in the polynomial time preprocessing step are rather large; see Section 4 for further details. The purpose of this paper is to provide an explicit algorithm for computing normal forms in $C(4)$ monoids with sufficiently small coefficients to

Table 1

The number of 2-generated 1-relation monoids with the $C(4)$ condition where the maximum length of a relation word is n . The values for $n \geq 14$ were obtained from a uniform sample of 1000 pairs of words (l, r) of length where $|l| = n$ and $|r| \in \{1, \dots, n\}$.

n	$C(4)$ monoids	monoids	ratio	n	$C(4)$ monoids	monoids	ratio
1	0	1	0.0	26	-	6.755399e+15	0.963477
2	0	14	0.0	27	-	2.702160e+16	0.970104
3	0	76	0.0	28	-	1.080864e+17	0.977796
4	0	344	0.0	29	-	4.323456e+17	0.990216
5	0	1,456	0.0	30	-	1.729382e+18	0.989878
6	0	5,984	0.0	31	-	6.917529e+18	0.994861
7	2	24,256	0.000082	32	-	2.767012e+19	0.995684
8	26	97,664	0.000266	33	-	1.106805e+20	0.996879
9	760	391,936	0.001939	34	-	4.427219e+20	0.998821
10	17,382	1,570,304	0.011069	35	-	1.770887e+21	0.996402
11	217,458	6,286,336	0.034592	36	-	7.083550e+21	0.999423
12	1,994,874	25,155,584	0.079301	37	-	2.833420e+22	0.999776
13	14,633,098	100,642,816	0.145396	38	-	1.133368e+23	0.997902
14	-	4.026122e+08	0.186342	39	-	4.533472e+23	0.999928
15	-	1.610531e+09	0.280811	40	-	1.813389e+24	0.99953
16	-	6.442287e+09	0.374679	41	-	7.253555e+24	0.999982
17	-	2.576948e+10	0.473369	42	-	2.901422e+25	0.999964
18	-	1.030786e+11	0.594068	43	-	1.160569e+26	0.999986
19	-	4.123155e+11	0.681053	44	-	4.642275e+26	0.99999
20	-	1.649265e+12	0.732404	45	-	1.856910e+27	0.999972
21	-	6.597065e+12	0.801495	46	-	7.427640e+27	1
22	-	2.638827e+13	0.843976	47	-	2.971056e+28	1
23	-	1.055531e+14	0.884619	48	-	1.188422e+29	0.999998
24	-	4.222124e+14	0.929988	49	-	4.753690e+29	1
25	-	1.688850e+15	0.941666	50	-	1.901476e+30	1

permit its practical use. If it is already known that the input presentation satisfies $C(4)$, and a certain decomposition of the relation words is known, then the time complexity of the algorithm we present is $O(|w|^2)$ for input word w , and the space complexity is the sum of $|A|$ and the lengths of all of the relation words in R . We will show that it is possible to show that the $C(4)$ condition holds, and that the required decomposition of the relation words can be found, in $O(N + n)$ time where N is the sum of the lengths of the relation words, and n is the number of relation words in Section 3.

In Section 2, we present some necessary background material, and establish some notation. In Section 3, we show that it is possible to determine the greatest $n \in \mathbb{N}$ such that a presentation \mathcal{P} satisfies $C(n)$ in linear time in the sum of the lengths of the relation words in \mathcal{P} using Ukkonen’s Algorithm [20]. In Section 4, we discuss the normal form algorithm of Kambites from [9]. In Section 5, we describe, prove correct, and analyse the complexity of, a subroutine that is required in the practical normal form algorithm that is the main focus of this paper. Finally, in Section 6 we present our normal form algorithm, prove that it is correct, and analyse its complexity.

The algorithm for solving the word problem in $C(4)$ monoids given in [11], and the main algorithm from the present paper, were implemented by the authors in the C++ library `libsemigroups` [14].

2. Prerequisites

In this section we provide some of the prerequisites for understanding small overlap conditions and properties of small overlap monoids.

Let A be a non-empty set, called an *alphabet*. A word w over A is a finite sequence $w = a_0a_1 \cdots a_m$, $m \geq 0$ of elements of A . The set of all words (including the empty word, denoted by ε) over A with concatenation of words is called the *free monoid* on A and is denoted by A^* . A *monoid presentation* is a pair $\langle A \mid R \rangle$ where A is an alphabet and $R \subseteq A^* \times A^*$ is a set of *relations* on A^* . A monoid M is defined by the presentation $\langle A \mid R \rangle$ if M is isomorphic to $A^*/R^\#$ where $R^\# \subseteq A^* \times A^*$ is the least congruence on A^* containing R . A *finitely presented monoid* is any monoid defined by a presentation $\langle A \mid R \rangle$ where A and R are finite, and such a presentation is called a *finite monoid presentation*. For the rest of the paper, $\mathcal{P} = \langle A \mid R \rangle$ will denote a finite monoid presentation where

$$R = \{(W_0, W_1), (W_2, W_3), \dots, (W_{n-2}, W_{n-1})\}.$$

If $s, t \in A^*$ are such that there exist $x_i, y_i \in A^*$ and (W_i, W_{i+1}) or $(W_{i+1}, W_i) \in R$ with $s = x_iW_iy_i$ and $t = x_iW_{i+1}y_i$, then we write $s \rightarrow t$. If there exists a sequence of words $s = w_0, w_1, \dots, w_n = t$ such that $w_i \rightarrow w_{i+1}$ for all $i \in \{0, \dots, n - 1\}$, then we write $s \xrightarrow{*} t$ and we refer to such a sequence as a *rewrite sequence*. It is routine to verify that $(s, t) \in R^\#$ if and only if $s \xrightarrow{*} t$.

We say that a relation word V is a *complement* of a relation word W if there are relation words $V = r_0, r_1, \dots, r_{n-1} = W$ such that either $(r_i, r_{i+1}) \in R$ or $(r_{i+1}, r_i) \in R$ for $0 \leq i \leq n - 1$. We say that a complement V of W is a *proper complement* of W if $V \neq W$. The equivalence relation defined by the complements of the relation words is a subset of the congruence $R^\#$. We will write $u \equiv v$ to indicate that the words $u, v \in A^*$ represent the same element of the monoid presented by \mathcal{P} (i.e. that $u/R^\# = v/R^\#$).

The *relation words* of the presentation \mathcal{P} are W_0, W_1, \dots, W_{n-1} . A word $p \in A^*$ is called a *piece* if it occurs as a factor of W_i and W_j where $W_i \neq W_j$, or in two different places (possibly overlapping) in the same relation word in R . Note that the definition allows for the case when $i \neq j$ but $W_i = W_j$. In this case, neither W_i nor W_j is considered a piece (unless for other reasons), because although W_i is a factor of W_j , it is not the case that $W_i \neq W_j$. By convention the empty word ε is always a piece.

Definition 2.1.1. [cf. [8]] We say that a monoid presentation satisfies the condition $C(n)$, $n \in \mathbb{N}$, if no relation word can be written as the product of strictly less than n pieces. The condition $C(1)$ describes those presentations where no relation word is equal to the empty word.

Having given the definition of the condition $C(4)$, we suppose for the remainder of the paper, that our fixed presentation $\mathcal{P} = \langle A \mid R \rangle$ satisfies the condition $C(4)$.

The following terms are central to the algorithms for $C(4)$ in [9,11] and are used extensively throughout the current paper.

We say that $s \in A^*$ is a *possible prefix* of a word $w \in A^*$ if s is a prefix of some word $w_0 \in A^*$ such that $w \equiv w_0$. The *maximal piece prefix* of u is the longest prefix of u that is also a piece; we denote the maximal piece prefix of u by X_u . The *maximal piece suffix* of u , Z_u , is the longest suffix of u that is also a piece; denoted Z_u . The word Y_u such that $u = X_u Y_u Z_u$ is called the *middle word* of u . Since u is a relation word of a presentation satisfying condition $C(4)$, u cannot be written as a product of three pieces, and so the middle word Y_u of u cannot be a piece. In particular, the only relation word containing Y_u as a factor is u .

Using the above notation every relation word u in a $C(4)$ presentation can be written as a product of the form $X_u Y_u Z_u$. Assume that \bar{u} is a complement of u . Then $\bar{u} = X_{\bar{u}} Y_{\bar{u}} Z_{\bar{u}}$. We will write $\overline{X_u}$ instead of $X_{\bar{u}}$, $\overline{Y_u}$ instead of $Y_{\bar{u}}$, and $\overline{Z_u}$ instead of $Z_{\bar{u}}$. We say that $\overline{X_u}$ is a *complement* of X_u , $\overline{Y_u}$ is a complement of Y_u and similarly for Z_u , $X_u Y_u$ and $Y_u Z_u$.

A prefix of $w \in A^*$ that admits a factorization of the form aXY , for XYZ a relation word, $a \in A^*$ and X and Y the maximal piece prefix and middle word of XYZ respectively, is called a *relation prefix*. If $w \in A^*$ has relation prefixes aXY and $a'X'Y'$ such that $|aXY| = |a'X'Y'|$ for some $a, a' \in A^*$, then $a = a'$, $X = X'$, and $Y = Y'$ as a direct consequence of the $C(4)$ condition. A relation prefix of the form $p = bX_0 Y'_0 X_1 Y'_1 \cdots X_{n-1} Y'_{n-1} X_n Y_n$, $n \geq 1$ and $b \in A^*$, is called an *overlap prefix* if it satisfies the following:

- (i) Y'_i is a proper non-empty prefix of the middle word Y_i of some relation word $X_i Y_i Z_i$; and
- (ii) there does not exist a factor in p of the form $X_m Y_m$ beginning before the end of b .

A relation prefix aXY of a word u is called a *clean relation prefix* of u if u does not have a prefix of the form $aXY'X_0 Y_0$, where Y' is a proper, non-empty prefix of Y . An overlap prefix of u that is also a clean relation prefix is called a *clean overlap prefix* of u . If p is a piece, then the word u is called *p-active* if pu has a relation prefix aXY for some $a \in A^*$ such that $|a| < |p|$.

Example 2.1.2. Suppose that

$$\mathcal{P} = \langle a, b, c, d \mid a^2bc = acba, adca = bd^2b \rangle.$$

The relation words are $W_0 = a^2bc, W_1 = acba, W_2 = adca, W_3 = bd^2b$ and the set of pieces of this presentation is $P = \{\varepsilon, a, b, c, d\}$. Then W_0 is a proper complement of W_1 , and W_2 is a proper complement of W_3 . Since none of the relation words can be written as the product of less than 4 pieces, \mathcal{P} is a $C(4)$ presentation. We have $X_{W_0} = a, Y_{W_0} = ab, Z_{W_0} = c, X_{W_1} = a, Y_{W_1} = cb, Z_{W_1} = a, X_{W_2} = a, Y_{W_2} = dc, Z_{W_2} = a$ and $X_{W_3} = b, Y_{W_3} = d^2, Z_{W_3} = b$.

Let $w = cba^2bd^2a$. The word w has two relation prefixes: $cba^2b = cbX_{W_0}Y_{W_0}$ and $cba^2bd^2 = cba^2X_{W_3}Y_{W_3}$. The relation prefix $cbX_{W_0}Y_{W_0}$ is not clean since w has a prefix of the form $cbX_{W_0}Y'_{W_0}X_{W_3}Y_{W_3}$, where $Y'_{W_0} = a$. In addition, $cbX_{W_0}Y'_{W_0}X_{W_3}Y_{W_3}$ is an overlap prefix since there is no factor of the form $X_{W_i}Y_{W_i}$ beginning before the end of cb . Let $p = a$. The word w is p -active since pw has the relation prefix $X_{W_1}Y_{W_1}$ and clearly $|\varepsilon| < |p|$.

The following results describe some properties of presentations satisfying the $C(4)$ condition mentioned in [8] as weak cancellativity properties.

Proposition 2.1.3 (Proposition 1 in [8]). *Let w be a word in A^* and $aX_0Y'_0X_1Y'_1 \dots X_nY_n$ be an overlap prefix of w . Then there is no relation word contained in this prefix except possibly X_nY_n , in case $Z_n = \varepsilon$.*

In a word $w \in A^*$, an overlap prefix $aX_0Y'_0X_1Y'_1 \dots X_tY_t$ is always contained in some clean overlap prefix $aX_0Y'_0X_1Y'_1 \dots X_sY_s$ for $s \geq t$. In addition, if a word has a relation prefix, then the shortest relation prefix will be an overlap prefix. If a word w contains a relation word u as a factor, then it has a relation prefix of the form aX_uY_u for some $a \in A^*$. It follows that it also has an overlap prefix, this is its shortest relation prefix. Since any overlap prefix is contained in a clean overlap prefix it also follows that w has a clean overlap prefix. Hence, taking the contrapositive, if a word in A^* does not have a clean overlap prefix, then it contains no relation words as factors.

If $aXYZ$ is a prefix of a word w , with aXY an overlap prefix and XYZ a relation word, then aXY is a clean overlap prefix of w . If aXY is a clean overlap prefix of a word w and \overline{XY} is a complement of XY , then aXY and $a\overline{XY}$ are not necessarily clean overlap prefixes of words equivalent to w . However, it can be shown that such clean overlap prefixes are always overlap prefixes of words equivalent to w .

Lemma 2.1.4 (Lemma 2 in [8]). *If a word $w \in A^*$ has clean overlap prefix aXY and $w \equiv v$ for some $v \in A^*$, then v either has aXY or $a\overline{XY}$ for \overline{XY} some complement of XY as an overlap prefix; and no relation word in v overlaps this prefix, unless it is XYZ or \overline{XYZ} .*

In [11], Kambites describes an algorithm that takes as input two words and a piece of a given presentation that satisfies condition $C(4)$ and returns Yes if the words are equivalent and the piece is a possible prefix of the words and No if either of these does not happen. We will refer to this algorithm in the following sections of this paper and $\mathbf{WpPrefix}(u, v, p)$ will be used to denote the result of this algorithm with input the words u and v and the piece p . In [11] it is shown that for a fixed $C(4)$ presentation, this algorithm decides whether u and v are equivalent and whether p is a possible prefix of u in time $O(\min(|u|, |v|))$ given the decomposition of relation words into the form XYZ is known.

3. A linear time algorithm for the uniform word problem

In [8], Kambites explores the complexity of the so-called *uniform word problem* for $C(4)$ presentations. Given a finite monoid presentation $\langle A \mid R \rangle$ and two words in A^* , the uniform word problem asks whether the two words represent the same element of the monoid defined by $\langle A \mid R \rangle$. In particular, determining that the presentation satisfies the $C(4)$ condition is part of the uniform word problem for $C(4)$ presentations. In [8] it is shown that the uniform word problem for $C(4)$ presentations can be solved, in the RAM model of computation, in $O(|R|^2 \min(|u|, |v|))$ time for u, v the two words in A^* and $|R|$ the sum of the lengths of the distinct relation words in the presentation.

We will show that the uniform word problem for $C(4)$ presentations can be solved in $O(|R| \min(|u|, |v|))$ time, where $|R|$ is the sum of the lengths of the relation words, by using a generalized suffix tree to represent the relation words. In the RAM model, we may assume that the following operations are constant time: random access to the letters of a word $w \in A^*$ from an index; concatenation of words; comparison of letters from A for a given total order on A .

Assume A is an alphabet and $s = a_0a_1 \cdots a_{m-1} \in A^*$ such that $|s| = m$. We use the notation $s[i, j]$ for the factor $a_i \dots a_{j-1}$ of s that starts at position i and ends at position $j - 1$ (inclusive). A word of length m has m non-empty suffixes $s[0, m), \dots, s[m - 1, m)$. A word x is a factor of s if and only if it is a prefix of one of the suffixes of s .

Definition 3.1.1. [cf. Section 5.2 in [3]] A *suffix tree* for a word s of length m is a rooted directed tree with exactly m leaf nodes numbered 0 to $m - 1$. The nodes of a suffix tree are of exactly one of the following types: the root; a leaf node; or an internal node. Each internal node has at least two children. Each edge of the tree is labelled by a nonempty factor of s and no two edges leaving a node are labelled by words that begin with the same character. For any leaf i , $0 \leq i < m$ the label of the path that starts at the root and ends at leaf i is $s[i, m)$.

A *generalized suffix tree* is a suffix tree for a sequence of words $S = \{s_0, s_1, \dots, s_{n-1}\}$. In a generalized suffix tree the leaf nodes are numbered by ordered pairs (i, j) for $0 \leq i \leq n - 1$ and $0 \leq j \leq |s_i|$. The label of the path that starts at the root node and ends at leaf (i, j) is $s_i[j, m)$ for $m = |s_i|$. In a generalized suffix tree, a special unique character $\$i$ is attached at the end of each word s_i to ensure that each suffix corresponds to a unique leaf node in the tree. Thus a generalized suffix tree has exactly $N + n$ leaf nodes, where N is the sum of the lengths of the words in S . See Fig. 1 for an example of generalized suffix tree.

A suffix tree for a word w over an alphabet A of length m can be constructed in $O(m)$ time for constant-size alphabets and in $O(m \log |A|)$ time in the general case with the use of Ukkonen’s algorithm [20]. If N is the sum of the lengths of the words in the set of words S , then, a generalized suffix tree for S can also be constructed in $O(N + n)$ time; see [3, Section 6.4] for further details.

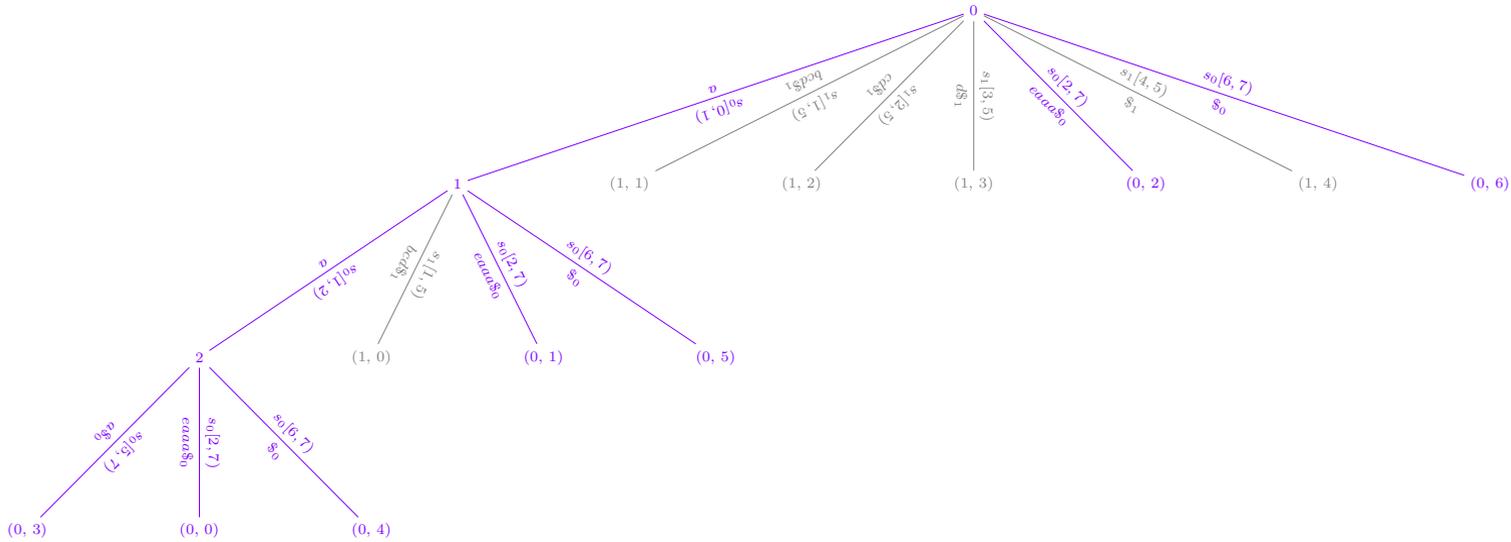


Fig. 1. The generalized suffix tree for the words $s_0 = a^2ea^3s_0$ and $s_1 = abcds_1$. (For interpretation of the colours in the figure(s), the reader is referred to the web version of this article.)

A generalized suffix tree for a set of words S of total length N has at most $2(N + n)$ nodes. By definition, such a suffix tree has exactly $N + n$ leaf nodes and one root. In addition, each internal node of the tree has at least two edges leaving it. These edges belong to paths that will eventually terminate at some leaf node. Hence there can exist at most $N + n - 1$ internal nodes and the total number of nodes in a suffix tree is at most $2(N + n)$.

Generalized suffix trees can be constructed and queried in linear time to provide various information about a set of words. For example, for a sequence of n words S of total length N we can find the longest subwords that appear in more than one word in $O(N + n)$ time, find the longest common prefix of two strings in $O(N + n)$ time, check if a word of length m is a factor of some word in S in $O(m)$ time; see Sections 2.7 to 2.9 in [3]. We are interested in utilizing generalized suffix trees in the study of $C(4)$ presentations. Since generalized suffix trees can be queried to find the longest subwords that appear in more than one word in the set of distinct relation words in R , we can use them to find maximal piece prefixes, for example. In order to do this, however, we need to build the generalized suffix tree of distinct relation words of a presentation since a word p is a piece if it occurs as a factor of W_i and W_j where $W_i \neq W_j$, or in two different places (possibly overlapping) in the same relation word in R .

Given the set of relation words R of a presentation we want to construct the generalized suffix tree of the set of distinct relation words in R without altering the complexity of Ukkonen’s algorithm. In practice, Ukkonen’s algorithm for the generalized suffix tree of the set $S = \{s_0\$0, s_1\$1, \dots, s_{n-1}\$_{n-1}\}$ starts by constructing the suffix tree T for $s_0\$0$. Then, for each $i \in \{1, \dots, n - 1\}$, the edges and nodes that correspond to the word s_i are added to T ; see Section 6.4 in [3] for more detail. We denote this step of the procedure by $\text{AddWord}(T, s_i)$. In order to avoid adding the same word twice, we add the following step to the procedure for each $i \in \{1, \dots, n - 1\}$: before calling $\text{AddWord}(T, s_i)$, we follow the path in T that starts at the root node and is labelled by s_i . If this path ends at an internal node ν and one of the children of ν is a leaf node labelled by $(s_j, 0)$ for some j , then $s_j = s_i$ and hence we do not call $\text{AddWord}(T, s_i)$ for s_i . This additional step only requires traversing at most $|s_i| + 1$ nodes of T for each $i \in \{1, \dots, n - 1\}$. The total number of nodes of T is bounded above by $2(N + n)$ and hence this step does not alter the complexity of the construction of the generalized suffix tree.

Proposition 3.1.2. *Let $\langle A | R \rangle$ be a finite monoid presentation such that the number of relation words in R is n for some $n \in \mathbb{N}$, and let N be the sum of the lengths of the relation words in R . Then from the input presentation $\langle A | R \rangle$ the set of maximal piece prefixes, and suffixes, of R can be computed in $O(N + n)$ time.*

Proof. Using Ukkonen’s Algorithm, for example, a generalized suffix tree for the set $\{W_0\$0, W_1\$1, \dots, W_{n-1}\$_{n-1}\}$ of distinct relation words in R can be constructed in $O(N + n)$ time. The maximal piece prefix of a relation word $W_r \in R$ can be found as follows. The path in the tree labelled by $W_r\$r$ is followed from the root to the (unique) leaf

node $(r, 0)$. Suppose that v_0, v_1, \dots, v_m are the nodes in the path from the root node v_0 to the leaf node $v_m = (r, 0)$. Then the maximal piece prefix of u_r corresponds to the path v_0, v_1, \dots, v_{m-1} . In other words, the maximal piece prefix of W_r corresponds to the internal node that is the parent of the leaf node labelled $(r, 0)$. Hence the maximal piece prefix of each relation word can be determined in $O(|W_r|)$ time, and so every maximal piece prefix can be found in $O(N + n)$ time.

The maximal piece suffixes of the relation words can be found as follows. A generalized suffix tree for the set \tilde{R} of reversals of the relation words in R can be constructed in $O(N + n)$ time, and then used, as described above, to compute the maximal piece prefixes of the reversed relation words in $O(N + n)$ time also.

Alternatively, the generalized suffix tree for R can be used to directly compute the maximal piece suffix of a given relation word W_r by finding the maximum distance, from the root, of any internal node n that is a parent of a leaf node labelled (r, i) for any i . This maximum is the length of the maximal piece suffix of W_r . In this way, the maximum piece suffix of every relation word W_r can be found in a single traversal of the nodes in the tree. Again, since there are $2(N + n)$ nodes in the tree, and the checks on each node can be performed in constant time, the maximal piece suffixes of all the relation words can be found in $O(N + n)$ time using this approach also. \square

For example, the generalized suffix tree for the relation words in the presentation

$$\langle a, b, c, d, e \mid a^2ea^3 = abcd \rangle$$

can be seen in Fig. 1.

Using the approach described in the proof of Proposition 3.1.2, the path from the root 0 (corresponding to a^2ea^3) to the leaf node labelled by $(0, 0)$ consists of the root 0, internal nodes 1 and 2, and leaf node $(0, 0)$. Hence the maximal piece prefix of a^2ea^3 is aa , being the label of the path from the root to the parent 2 of the leaf node $(0, 0)$. Similarly, the maximal piece prefix of $abcd$ is a corresponding to the parent 1 of the node $(1, 0)$. For the maximal piece suffix of a^2ea^3 , the leaf nodes labelled $(0, i)$ for any i with edge labelled by $\$0$ are $(0, 4)$, $(0, 5)$, and $(0, 6)$. The parents of these nodes are 2, 1, and 0, respectively, and hence the maximal piece suffix of a^2ea^3 is aa . The only leaf node labelled $(1, i)$ and with edge labelled by $\$1$ is $(1, 4)$, and so the maximal piece suffix of $abcd$ is ε .

Proposition 3.1.3. *Let $\langle A \mid R \rangle$ be a finite monoid presentation such that the number of relation words in R is n for some $n \in \mathbb{N}$ and let N be the sum of the lengths of the relation words in R . Then from the input presentation $\langle A \mid R \rangle$ it can be determined whether or not the presentation satisfies $C(4)$ in $O(N + n)$ time.*

Proof. In order to decide whether the presentation satisfies $C(4)$ we start by computing the maximal piece prefix X_r and the maximal piece suffix Z_r for each relation word W_r .

By Proposition 3.1.2, this step can be performed in $O(N + n)$ time. The presentation is $C(4)$ if for every relation word W_r , $|X_r| + |Z_r| < |W_r|$ and the middle word Y_r is not a piece. It suffices to show that it can be determined in $O(N + n)$ time whether or not Y_r is a piece for every r .

Any word $w \in A^*$ is a piece if and only if w equals the longest prefix of w that is a piece. In the proof of Proposition 3.1.2, we showed how to compute the maximal piece prefix of the relation words in R using a generalized suffix tree in $O(N + n)$ time. The longest prefix of Y_r that is a piece can be determined in $O(|Y_r|)$ time by finding the last internal node ν on the path from the root of the same generalized suffix tree labelled by Y_r ; the node ν is the parent of the leaf node $(r, |X_r|)$. If ν is the root node, then the longest prefix of Y_r that is a piece is ε . Otherwise, the longest prefix of Y_r that is a piece is the label of the path from the root node to ν . Hence determining whether or not every Y_r is a piece can also be completed in total $O(N + n)$ time. \square

If any of the words in R is empty, then the presentation $\langle A | R \rangle$ is not $C(4)$. If all of the words are non-empty, then the number of relation words n is bounded above by the sum of the lengths of the relation words N . Hence the $O(N + n)$ time complexity in Proposition 3.1.2 and Proposition 3.1.3 becomes $O(N)$.

The presentation $\langle a, b, c, d, e \mid a^2ea^3 = abcd \rangle$ can be seen to be $C(4)$ as follows. The only internal node on the path from the root of the suffix tree depicted in Fig. 1 labelled by ea is the root itself. Hence the maximal piece prefix of ea is ε and so ea is not a piece. Similarly, the only internal node on the path from the root labelled bcd is the root itself, and so bcd is not a piece either. Hence, by the proof of Proposition 3.1.3, the presentation $\langle a, b, c, d, e \mid a^2ea^3 = abcd \rangle$ is $C(4)$.

Proposition 3.1.3 allows us to prove the following theorem.

Theorem 3.1.4. *Let $\langle A | R \rangle$ be a finite monoid presentation such that the number of relation words in R is n for some $n \in \mathbb{N}$, let N be the sum of the lengths of the relation words in R , and let $u, v \in A^*$ be arbitrary. Then the uniform word problem with input the presentation $\langle A | R \rangle$, and the words u and v can be solved in $O((N + n) \min(|u|, |v|))$ time.*

Proof. Given Proposition 3.1.3, the proof of this theorem is essentially identical to the proof of [8, Theorem 2]. \square

4. Kambites’ normal form algorithm

Let $A = \{a_0, a_1, \dots, a_{n-1}\}$ be a finite alphabet and define a total order $<$ on the elements of A by $a_0 < a_1 < \dots < a_{n-1}$. We extend this to a total order over A^* , called the *lexicographic order* as follows. The empty word ε is less than every other word in A^* . If $u = a_i u_0$ and $v = a_j v_0$ are words in A^+ , $a_i, a_j \in A$ and $u_0, v_0 \in A^*$, then $u < v$ whenever $a_i < a_j$, or $a_i = a_j$ and $u_0 < v_0$.

As mentioned above, Kambites in [11] described an algorithm for testing the equivalence of words in $C(4)$ monoids. In [9] it was shown that given a monoid M defined by a $C(4)$ presentation $\langle A \mid R \rangle$ and a word $w \in A^*$ there exists an algorithm that computes the minimum representative of the equivalence class of w with respect to the lexicographic order on A^* . This minimum representative is also known as the *normal form* of w . It is not, perhaps, immediately obvious that such a minimal representative exists, because the lexicographic order is not a well order (it is not true that every non-empty subset of A^* has a lexicographic least element). However, every equivalence class of a word in a $C(3)$ monoid is finite; see, for example, in [4, Corollary 5.2.16]. Since any presentation that satisfies $C(4)$ also satisfies $C(3)$, there exists a lexicographically minimal representative for any $w \in A^*$. We denote the lexicographically minimal word equivalent to $w \in A^*$ by $\min w$.

We note that since all of the equivalence classes of a $C(3)$ monoid are finite, any monoid satisfying $C(n)$ for $n \geq 3$, is infinite. Conversely, if $\langle A \mid R \rangle$ is a presentation for a finite monoid and this presentation satisfies $C(n)$ for some $n \in \mathbb{N}$, then $n \in \{1, 2\}$.

In [9] Kambites proved the following result.

Proposition 4.1.1 (Corollary 3 in [9]). *Let $\langle A \mid R \rangle$ be a finite monoid presentation satisfying $C(4)$ and suppose that A is equipped with a total order. Then there exists an algorithm which, given a word $w \in A^*$, computes in $O(|w|)$ time the corresponding lexicographic normal form for w .*

Although Proposition 4.1.1 asserts the existence of an algorithm for computing normal forms, this algorithm is not explicitly stated in [9]. In the following paragraphs we briefly discuss the algorithm arising from [9].

We require a number of definitions; see [1] for further details. A *transducer* $\mathcal{T} = \langle A, B, Q, q_-, Q_+, E \rangle$ is a 6-tuple that consists of an input alphabet A , an output alphabet B , a finite set of states Q , an initial state q_- , a set of terminal states Q_+ that is a subset of Q , and a finite set of transitions or edges E such that $E \subset Q \times A^* \times B^* \times Q$. A pair $(u, v) \in A^* \times B^*$ is *accepted* by the transducer if there exist transitions $(q_-, u_0, v_0, q_1), (q_1, u_1, v_1, q_2), \dots, (q_{n-1}, u_{n-1}, v_{n-1}, q_+) \in E$ such that $q_+ \in Q_+$ and $u = u_0u_1 \cdots u_{n-1}$, $v = v_0v_1 \cdots v_{n-1}$. The *relation* accepted by \mathcal{T} is the set of all pairs accepted by \mathcal{T} . A relation accepted by a transducer is called a *rational relation*. A rational relation that contains a single pair (u, v) for each $u \in A^*$ is called a *rational function*.

A *deterministic 2-tape finite automaton* is an 8-tuple $\mathcal{A} = \langle A, B, Q_1, Q_2, q_-, Q_+, \delta_1, \delta_2 \rangle$ that consists of the tape-one alphabet A , the tape-two alphabet B , two disjoint state sets Q_1 and Q_2 , an initial state $q_- \in Q_1 \cup Q_2$, a set of terminal states $Q_+ \subset Q_1 \cup Q_2$ and two partial functions $\delta_1 : Q_1 \times A \cup \{\$ \} \rightarrow Q_1 \cup Q_2$, $\delta_2 : Q_2 \times B \cup \{\$ \} \rightarrow Q_1 \cup Q_2$ where $\$$ is a symbol not in A and B . A path of length n in \mathcal{A} is a sequence of transitions of the form

$$\begin{aligned}
 & (q_0, t_0, a_0, \delta_{t_0}(q_0, a_0))(\delta_{t_0}(q_0, a_0), t_1, a_1, \delta_{t_1}(q_1, a_1)) \cdots \\
 & (\delta_{t_{n-2}}(q_{n-2}, a_{n-2}), t_{n-1}, a_{n-1}, \delta_{t_{n-1}}(q_{n-1}, a_{n-1})),
 \end{aligned}$$

where $t_i \in \{1, 2\}$, $q_i \in Q_{t_i}$, $a_i \in A$ if $t_i = 1$ and $a_i \in B$ if $t_i = 2$ for $0 \leq i \leq n - 1$ and $\delta_{t_i}(q_i, a_i) = q_{i+1}$ for $0 \leq i < n - 1$. A path is called *successful* if $q_0 = q_-$ and $\delta_{t_{n-1}}(q_{n-1}, a_{n-1}) \in Q_+$. A pair $(u, v) \in A^* \times B^*$ is the label of a path if u is the concatenation of all the letters a_i , $0 \leq i \leq n - 1$ that belong in A and v is the concatenation of all the letters a_i , $0 \leq i \leq n - 1$ that belong in B . A pair $(u, v) \in A^* \times B^*$ is *accepted* by \mathcal{A} if it labels a successful path in \mathcal{A} . The *relation accepted by \mathcal{A}* is the set of all pairs accepted by \mathcal{A} .

Let $\text{lex}(R^\#) = \{(u, v) \in A^* \times A^* \mid u \equiv v \text{ and } v \text{ be a lexicographic normal form}\}$. In [9] it is shown that $R^\#$ is a rational relation and $\text{lex}(R^\#)$ is a rational function. According to Lemma 5.3 in [7], $\text{lex}(R^\#)$ can effectively be computed from a finite transducer for $R^\#$. Kambites describes the construction of a finite transducer for $\text{lex}(R^\#)$ in [9]. The steps for constructing this transducer are the following:

- Starting from the $C(4)$ presentation $\langle A \mid R \rangle$, an abstract machine called a 2-tape deterministic prefix rewriting automaton with bounded expansion can be computed. The construction is given in the proof of Theorem 2 in [9]. The relation accepted by this automaton is $R^\#$.
- Using the construction in the proof of Theorem 1 in [9], the 2-tape deterministic prefix rewriting automaton can be used to construct a transducer \mathcal{T} realizing $R^\#$.

Let δ be the length of the longest relation word in R and let P be the set of pieces of the presentation. The set $A^{\leq k}$ for $k \in \mathbb{N}$ consists of all words in A^* with length less or equal to k . Similarly, $A^{< k}$ consists of all words in A^* with length less than k . In addition, let $\$$ be a new symbol not in A . The set $A^{< k}\$$ consists of words $u\$$ such that $u \in A^{< k}$.

The state set of the transducer \mathcal{T} , given in the proof of Theorem 1 in [9], is the set $C \times C \times P$ where C is the set

$$A^{\leq 3\delta} \cup A^{< 3\delta}\$.$$

Hence, the number of states of the transducer is extremely large even for relatively small presentations.

For example, let $\langle a, b, c \mid a^2bc = acba \rangle$ be the presentation. In this case $|A| = 3, \delta = 4$ and $P = \{\varepsilon, a, b, c\}$. The size of the state set $Q = C \times C \times P$ of the corresponding transducer is $|C|^2 \cdot |P| = 4|C|^2$. Since $C = A^{\leq 12} \cup A^{< 12}\$$,

$$|C| = \sum_{i=0}^{12} 3^i + \sum_{i=0}^{11} 3^i = 1062881$$

and $|Q| = 4518864080644$.

Another approach arising from [9] for the computation of normal forms is the construction of a deterministic 2-tape automaton accepting $\text{lex}(R^\#)$. This also begins by constructing the transducer \mathcal{T} . The process arising from [9] for the construction of the automaton is: perform the two steps given above to construct the transducer \mathcal{T} , then:

- using the construction in the proof of Proposition 1 in [9], a deterministic 2-tape automaton accepting $R^\#$ can be constructed starting from the transducer \mathcal{T} ;
- the proof of Theorem 5.1 in [6] describes the construction of a deterministic 2-tape automaton accepting $\text{lex}(R^\#)$, starting from the deterministic 2-tape automaton that accepts $R^\#$.

The state set $Q = Q_1 \cup Q_2$ of the 2-tape automaton that accepts $R^\#$ in the second step is the same as the state set of the transducer \mathcal{T} , partitioned in two disjoint sets Q_1 and Q_2 . The state set $Q' = Q'_1 \cup Q'_2$ of the 2-tape automaton that accepts $\text{lex}(R^\#)$ is the union of the sets $Q'_1 = Q_1 \times 2^{Q_1}$ and $Q'_2 = Q_2 \times 2^{Q_1}$, hence the number of states of this automaton is greater than the number of states of the transducer \mathcal{T} .

Although the approach described in [9] allows normal forms for words in a $C(4)$ presentation to be found in linear time, it is impractical to use a transducer with such a large state set. The current article arose out of a desire to have a practical algorithm for computing normal forms in $C(4)$ monoids.

5. Possible prefix algorithm

Before describing the procedure for finding normal forms, we describe an algorithm that takes as input a word w_0 and a possible prefix piece p of w_0 and returns a word equivalent to w_0 with prefix p . As mentioned above the algorithm **WpPrefix**, described in [11] can decide whether a piece p is a possible prefix of some word w_0 by calling **WpPrefix**(w_0, w_0, p).

Algorithm 1 - ReplacePrefix(w_0, p).

Input: A word w_0 and a piece p such that **WpPrefix**(w_0, w_0, p) = Yes.

Output: A word equivalent to w_0 with prefix p .

ReplacePrefix(w_0, p):

- 1: if w_0 does not have prefix p and $w_0 = aXYw'$ with aXY a clean overlap prefix then
 - 2: $u \leftarrow \text{ReplacePrefix}(w', Z)$ with Z deleted
 - 3: $w_0 \leftarrow a\overline{XYZ}u$ where \overline{XYZ} is a proper complement of XYZ such that p is a prefix of $a\overline{X}$
 - 4: end if
 - 5: return w_0
-

Lemma 5.1.1. *Let $w \in A^*$ be arbitrary. If there exists a piece p that is a possible, but not an actual, prefix of w , then the shortest relation prefix of w is a clean overlap prefix.*

Proof. Since p is a possible prefix but not a prefix of w , w contains at least one relation word and hence has a relation prefix. Let aXY be the shortest relation prefix of w . Then aXY is an overlap prefix. If aXY is not clean, then w has a prefix of the form $aXY'X_0Y_0$ such that Y' is a proper non-empty prefix of Y . Hence the shortest clean overlap prefix of w contains aXY' and hence aXY' is also a prefix of every v such that $v \equiv w$ by Lemma 2.1.4. Let w_0 be a word equivalent to w that has prefix p . Then either p is a prefix of aXY' or p contains aXY' . In the former case this would mean that p is also a prefix of w , which is a contradiction. In the latter case XY' is a factor of p . Since p is a piece this implies that XY' is also a piece which is a contradiction since X is the maximal piece prefix of the relation word XYZ . We have shown that the shortest relation prefix of w is a clean overlap prefix, as required. \square

Lemma 5.1.2. *Let $w \in A^*$ be arbitrary. If w has a piece p as a possible, but not an actual, prefix, then the shortest relation prefix of w can be found in constant time, given the suffix tree for the relation words in R .*

Proof. Suppose that $S = \{W_0, W_1, \dots, W_{n-1}\}$ is the set of relation words and let δ be the length of the longest relation word in R . We want to find the shortest relation prefix $tX_{W_i}Y_{W_i}$ for some $t \in A^*$, and $W_i \in S$. Since $X_{W_i}Y_{W_i}$ and p are factors of relation words, $|p|, |X_{W_i}Y_{W_i}| \leq \delta$. Since $tX_{W_i}Y_{W_i}$ is the shortest relation prefix of w , t is prefix of every word equivalent to w , and hence t is a proper prefix of p . In particular, $|t| < |p| < \delta$. If $|w| \geq 2\delta$, then we define v to be the prefix of w of length 2δ ; otherwise, we define v to be w . In order to find the shortest relation prefix of w it suffices to find the shortest relation prefix of v . For a given presentation, the length of v is bounded above by the constant value 2δ .

In practice, in order to find the shortest relation prefix of v , we construct a suffix tree for all words $X_{W_i}Y_{W_i}$ such that $X_{W_i}Y_{W_i}Z_{W_i}$ is a relation word of the presentation. This is done in $O(N + n)$ time, for N the sum of the lengths of the relation words in the presentation. A factor of v has the form $X_{W_i}Y_{W_i}$ for some i if and only if this factor labels a path that starts at the root node of the tree and ends at some leaf node labelled by $(i, 0)$. Hence the shortest relation prefix of v can be found by traversing the nodes of the tree at most $|v|$ times. Since the length of v is at most 2δ this can be achieved in constant time.

The complexity of this procedure is $O((N + n)|v|) = O(2\delta(N + n))$ which is independent of the choice of w . \square

Next, we will show that Algorithm 1 is valid.

Proposition 5.1.3. *If $w_0, p \in A^*$ are such that p is piece and a possible prefix of w_0 , then **ReplacePrefix**(w_0, p) returns a word that is equivalent to w_0 and has prefix p in $O(|w_0|)$ time, given the suffix tree for the relation words in R .*

Proof. We will prove that the algorithm returns the correct result using induction on the number k of recursive calls in line 2. Note that if p is a possible prefix of w_0 and w_0 contains no relation words, then p is a prefix of w_0 . On the other hand, if p is not a prefix of w_0 , then w_0 must contain a relation word, and hence a clean overlap prefix.

We first consider the base case, when $k = 0$. Let p be a piece and w_0 a word such that **ReplacePrefix**(w_0, p) terminates without making a recursive call. This only happens in case p is already a prefix of w_0 and the algorithm returns w_0 in line 5. Hence when $k = 0$ the word returned by **ReplacePrefix**(w_0, p) is w_0 and has prefix p .

Next, we let $k > 0$ and assume that the algorithm returns the correct result when termination occurs after strictly fewer than k recursive calls. Now let p be a piece and w_0 a word such that **ReplacePrefix**(w_0, p) terminates after k recursive calls. It suffices to prove that the first recursive call returns the correct output.

If p is already a prefix of w_0 a recursive call does not happen, hence we are in the case where p is not a prefix of w_0 . Since p is a possible prefix of w_0 , there exists a word that is equivalent but not equal to w_0 and that has p as a prefix. This means that w_0 has a relation prefix and hence it has a clean overlap prefix of the form aXY . By Lemma 2.1.4, every word equivalent to w_0 has $a\overline{XY}$ for \overline{XY} a complement of XY , as a prefix. Hence since p is not a prefix of w_0 , p must be a prefix of $a\overline{XY}$, for \overline{XY} a proper complement of XY . Since p is a piece, $|p| \leq |a\overline{X}|$ because otherwise a prefix of \overline{XY} longer than \overline{X} would be a piece. Hence p is a prefix of $a\overline{X}$. It follows that there exists a word equivalent to w_0 in which aXY is followed by Z and we can rewrite XYZ to \overline{XYZ} . This implies that if w' is the suffix of w_0 following aXY , then Z is a possible prefix of w' . In particular, by the inductive hypothesis, **ReplacePrefix**(w', Z) is Zu for some $u \in A^*$ and $a\overline{XYZ}u$ is a word equivalent to w_0 that has prefix p . Therefore, by induction, the algorithm will return $a\overline{XYZ}u$ in line 5 after making the recursive call in line 2.

It remains to show that the output of **ReplacePrefix**(w_0, p) can be computed in $O(|w_0|)$ time. The recursive calls within **ReplacePrefix**(w_0, p) always have argument which is a factor, even a suffix, of w_0 . Hence if **WpPrefix**(w_0, w_0, p)=Yes, then p is a possible prefix of w_0 , and the number of recursive calls in Algorithm 1 is bounded above by the length of w_0 .

Let δ be the length of the longest relation word of our presentation. In line 1, we begin by checking if p is a prefix of w_0 . Clearly, this can be done in $|p|$ steps and since p is a piece, $|p| < \delta$. In line 1, we also search for the clean overlap prefix of w_0 . As shown in Lemmas 5.1.1 and 5.1.2, this can be done in constant time. Next, in line 2 we delete a prefix of length $|Z|$ from the output of **ReplacePrefix**(w', Z). Since $|Z| < \delta$, the complexity of this step is also constant for a given presentation. The search for a complement \overline{XYZ} of XYZ such that p is a prefix of $a\overline{X}$ can be performed in constant time since the number of relation words is constant for a given presentation and $|p| < \delta$. In line 3, we concatenate words to obtain a word equivalent to w . In every recursive call we concatenate three words hence the complexity of this step is also constant. As we have already seen, the number of recursive calls of the algorithm

is bounded above by the length of w_0 , hence **ReplacePrefix**(w_0, p) can be computed in $O(|w_0|)$ time. \square

For the following examples we will use the notation w_i and p_i for the parameters of the i th recursive call of **ReplacePrefix**(w, p) and we let $w_0 = w$ and $p_0 = p$.

Example 5.1.4. Let \mathcal{P} be the presentation

$$\langle a, b, c, d \mid acba = a^2bc, acba = db^2d \rangle$$

and we let $w = acbdb^2d$. The set of pieces of \mathcal{P} is $P = \{\varepsilon, a, b, c, d\}$. Let $W_0 = acba$, $W_1 = a^2bc$, $W_2 = db^2d$. Clearly $X_{W_0} = a$, $Y_{W_0} = cb$, $Z_{W_0} = a$, $X_{W_1} = a$, $Y_{W_1} = ab$, $Z_{W_1} = c$ and $X_{W_2} = d$, $Y_{W_2} = b^2$, $Z_{W_2} = d$. The algorithm **WpPrefix**(w, w, d) returns Yes and we want to find **ReplacePrefix**(w, d).

We begin with $w_0 = acbdb^2d$, $p_0 = d$ and $u_0 = \varepsilon$. Clearly w_0 does not begin with d but using the process described in Lemma 5.1.2, we can find the clean overlap prefix of w_0 which is $acb = X_{W_0}Y_{W_0}$ and hence w_0 satisfies the conditions of line 1. In line 2, $w_1 \leftarrow db^2d$, $p_1 \leftarrow a$ and in order to compute u_1 we need to compute **ReplacePrefix**(db^2d, a). Since w_1 does not begin with a we need to find the clean overlap prefix of w_1 which is $db^2 = X_{W_2}Y_{W_2}$. Now $w_2 = d$, $p_2 = d$ and **ReplacePrefix**(d, d) returns d . Now w_1 will be rewritten to a complement of db^2d that begins with a , hence we choose one of W_0 and W_1 . If we choose W_0 , $w_1 \leftarrow acba$ and $w_0 \leftarrow db^2dcba$. If we choose W_1 , $w_1 \leftarrow a^2bc$ and $w_0 \leftarrow db^2dabc$. In both cases the algorithm returns a word equivalent to w that begins with d .

6. A practical normal form algorithm

In this section we describe a practical algorithm for computing lexicographically normal forms in $C(4)$ monoids. This section has four subsections: the first contains a description of the algorithm; the second a proof that the algorithm returns a word equivalent to the input word; the third contains a proof that the algorithm returns the lexicographically least word equivalent to the input word; and in the final section we consider the complexity of the algorithm.

6.1. Statement of the algorithm

In this section, we describe the main algorithm of this paper for computing the lexicographically least word equivalent to an input word. Roughly speaking, the input word is read from left to right, clean overlap prefixes of the form uXY for $u \in A^*$ are found and replaced with a lexicographically smaller word if possible. Subsequently, the next clean overlap prefix of this form after uXY is found, and the process is repeated. The algorithm is formally defined in Algorithm 2.

Algorithm 2 - NormalForm(w_0).

Input: A word $w_0 \in A^*$.

Output: The lexicographically least word $v \in A^*$ such that $v \equiv w_0$.

```

1:  $W \leftarrow \varepsilon, v \leftarrow \varepsilon, w \leftarrow w_0$ 
2: while  $w \neq \varepsilon$  do
3:   if  $W = X_r Y_r Z_r, w = Z_r w', w'$  is  $\overline{Z_r}$ -active for some proper complement  $\overline{Z_r}$  of  $Z_r$ ,
       $w'$  is not  $Z_r$ -active and  $a$  is a suffix of  $\overline{Z_r}$  with  $aw' = X_s Y_s w''$  and
      WpPrefix( $w'', w', Z_s$ ) = Yes then
4:     if there exists a proper complement of  $X_s Y_s Z_s$  with prefix  $a$  that is
        lexicographically less than  $X_s Y_s Z_s$  then
5:        $X_t Y_t Z_t \leftarrow$  the lexicographically minimal proper complement of  $X_s Y_s Z_s$  that
        has prefix  $a$ 
6:     else
7:        $X_t Y_t Z_t \leftarrow \varepsilon$ 
8:     end if
9:     if  $X_t Y_t Z_t \neq \varepsilon, X_t = ab$  and WpPrefix( $w_0, v Z_r b Y_t Z_t t, \varepsilon$ ) = Yes where
         $Z_s t = \mathbf{ReplacePrefix}(w'', Z_s)$  then
10:       $W \leftarrow X_t Y_t Z_t$ 
11:       $v \leftarrow v Z_r b Y_t$ 
12:       $w \leftarrow Z_t t$ 
13:     else
14:       $W \leftarrow X_s Y_s Z_s$ 
15:       $v \leftarrow v Z_r X_s'' Y_s$  where  $X_s = a X_s''$ 
16:       $w \leftarrow \mathbf{ReplacePrefix}(w'', Z_s)$ 
17:     end if
18:   else if  $w$  has a clean overlap prefix of the form  $aXY$  and  $w = aXYw'$  then
19:     if WpPrefix( $w', w', Z$ ) = No then
20:        $W \leftarrow \varepsilon$ 
21:        $v \leftarrow vaXY$ 
22:        $w \leftarrow w'$ 
23:     else
24:        $W \leftarrow$  the lexicographically minimal complement  $X'Y'Z'$  of  $XYZ$ 
25:        $v \leftarrow vaX'Y'$ 
26:        $w \leftarrow Z'w'',$  where ReplacePrefix( $w', Z$ ) =  $Zw''$ 
27:     end if
28:   else
29:      $v \leftarrow vw$ 
30:      $w \leftarrow \varepsilon$ 
31:   end if
32: end while
33: return  $v$ 

```

6.2. Equivalence

In this section we show that **NormalForm** terminates and the word returned is equivalent to the input word w_0 . We begin by observing that **NormalForm** rewrites v and w in lines 11-12, 15-16, 21-22, 25-26, and 29-30. For the remainder of this section, v_i and w_i will be v and w after the i -th time the algorithm has rewritten v and w .

The following result will be used to prove that Algorithm 2 terminates and that the word returned by the algorithm is equivalent to its input. We have already proved that if a piece p is a possible prefix of a word v , then algorithm **ReplacePrefix**(v, p) returns

a word equivalent to v with prefix p . If $w \in A^*$, XY is a clean overlap prefix of w , w' is the suffix of w following XY , and Z is a possible prefix of w' , then $w \equiv XYZu$ where $Zu = \mathbf{ReplacePrefix}(w', Z)$. This is straightforward since Z is a possible prefix of w' and hence $w' \equiv Zu$ for $Zu = \mathbf{ReplacePrefix}(w', Z)$.

Lemma 6.2.1. *Assume that $w_0 \in A^*$ is the input to **NormalForm**. Then at each step of **NormalForm**(w_0), $v_i w_i \equiv w_0$.*

Proof. We proceed by induction on i . For $i = 0$, $v_0 = \varepsilon$ and hence $v_0 w_0 = w_0$. Let $k \in \mathbb{N}$ and assume that $v_k w_k \equiv w_0$. We will prove that $v_{k+1} w_{k+1} \equiv w_0$.

In the cases of lines 21-22 and 29-30, it is clear that some prefix of w_k is transferred to the end of v_{k+1} . In particular, $v_k w_k = v_{k+1} w_{k+1} \equiv w_0$. In lines 15-16, a prefix of w_k is transferred to the end of v_{k+1} again and Algorithm 1 is applied. Hence $v_k w_k \equiv v_{k+1} w_{k+1} \equiv w_0$. In lines 25-26 we rewrite the relation word XYZ to $\overline{XY}Z$. Since XYZ begins after the beginning of w_k , there exists some s equivalent to w_k which is obtained by the application of this rewrite. Hence $v_k w_k \equiv v_k s$ with \overline{aXY} being a prefix of s , and $v_k s = v_{k+1} w_{k+1}$. It follows that $v_{k+1} w_{k+1} \equiv w_0$. Finally, in the case of lines 11-12 the result follows immediately from the use of **WpPrefix** in line 9. \square

In [4, Theorem 5.2.14] it is shown that if $w_0, w \in A^*$ are such that $w \equiv w_0$, then

$$|w| < \delta |w_0|$$

where δ is the maximum length of a relation word in R . Since in every step of **NormalForm**(w_0), $v_i w_i \equiv w_0$ by Lemma 6.2.1, we conclude that $|v_i w_i| \leq \delta |w_0|$ for all i . Algorithm 2 terminates when $w_i = \varepsilon$. Since the length of v_{i+1} is strictly greater than the length of v_i , Algorithm 2 terminates for any $w_0 \in A^*$ and the while loop of line 2 will be repeated at most $\delta |w_0|$ times.

Combining Lemma 6.2.1 with the fact that **NormalForm** terminates, we obtain the following corollary.

Corollary 6.2.2. *If $w_0 \in A^*$ is arbitrary, then the word v returned by **NormalForm**(w_0) is equivalent to w_0 .*

6.3. Minimality

We require the following definition and a number of related results to establish that the word returned by **NormalForm**(w_0) is the lexicographic minimum word equivalent to w_0 .

Definition 6.3.1. Let $w \in A^*$. A middle word Y is called a *special* middle word of w if $w = pYq$ for some $p, q \in A^*$ and there exists a word $p'XYZq'$ that is equivalent to w , $p'X \equiv p$, and $Zq' \equiv q$.

In other words, Y is a special middle word of w if it is a subword of w and there exists a word equivalent to w containing XYZ as a factor in the obvious place. Note that if a relation word XYZ is a factor of w , then it follows directly from the definition that Y is a special middle word of w . Since middle words are not pieces, it follows that a middle word Y_i will never occur as a factor of a middle word Y_j unless $Y_i = Y_j$. So, if Y_i and Y_j are special middle words of a word w and they begin at the same position in w , then $Y_i = Y_j$. In the following lemma, we prove that the special middle words of an arbitrary word w do not overlap with each other.

Lemma 6.3.2. *Let Y_i and Y_j be special middle words of w where Y_i occurs strictly before Y_j . Then $w = pY_iqY_jr$ for some $p, q, r \in A^*$.*

Proof. Assume that Y_i and Y_j are such that Y_i and Y_j overlap as factors in w . Let $Y_i = xy$ and $Y_j = yz$ be such that $w = pY_izr = pxY_jr = pxyzr$ for some $x, y, z \in A^*$.

Since Y_i is a special middle word of $w = pY_izr$, there exists $r' \in A^*$ such that $zr \equiv Z_i r'$. If z is a prefix of Z_i , then $yz = Y_j$ is a factor of the relation word $X_i Y_i Z_i$, a contradiction since Y_j is not a piece. If z is a prefix of $Z_i r'$ that is longer than Z_i , then the suffix yZ_i of $X_i Y_i Z_i$ which is longer than Z_i is a factor of Y_j and this contradicts the definition of Z_i . It follows that z is not a prefix of $Z_i r$ and so $Z_i r' \neq zr$ and, in particular, zr contains a relation word as a factor and hence zr has a relation prefix and a clean overlap prefix. Hence $zr = aXYq'$ for aXY a clean overlap prefix and some $q' \in A^*$. In addition, $|a| < |z|$ since a is contained in every word equivalent to $aXYq'$ by Lemma 2.1.4, $aXYq' \equiv Z_i r'$ and z is not a prefix of Z_i . Since $|a| < |z|$, there exists a suffix X' of z that is a prefix of X and $zr = aXYq' = zX''Yq'$ where X'' is such that $X = X'X''$. Since Y_j is a special middle word of w and $w = pxY_jr = pxY_jX''Yq'$, $X''Yq'$ is equivalent to $Z_j t$ for some $t \in A^*$. Clearly, Z_j is not a prefix of $X''Y$ because that would imply that a suffix of $X_j Y_j Z_j$ longer than Z_j is a factor of XY . In addition, $X''Y$ is not a prefix of Z_j because Y is not a piece. It follows that $Z_j t \neq X''Yq'$. Since $Z_j t \equiv X''Yq'$ but Z_j is not a prefix of $X''Yq'$ it follows that $X''Yq'$ has a clean overlap prefix bX_*Y_* with $|b| < |X''Y|$ because otherwise $X''Y$ would be a factor of all words equivalent to $X''Yq'$ and hence a factor of Z_j . If a prefix of $X''Y$ longer than X'' is a factor of b , then a prefix of XY longer than X is a factor of $Y_j Z_j$, a contradiction. It follows that either X_*Y_* is a factor of $X''Y$ or Y is a factor of X_*Y_* and both cases lead to a contradiction.

We conclude that Z_j cannot be a prefix of any word equivalent to r . In particular, it follows that Y_j is not a special middle word of w which contradicts the initial assumption and hence Y_i, Y_j do not overlap. \square

We can order the special middle words of a word $w \in A^*$ by their order of appearance as factors of w from left to right. In particular, for every $w \in A^*$ we will refer to the sequence of special middle words (Y_0, Y_1, \dots, Y_n) of w where $i < j$ whenever Y_i occurs to the left of Y_j in w .

The next lemma collects some basic facts about the decomposition of the relation words u into $X_u Y_u Z_u$ that follow more or less immediately from the definition of the $C(4)$ condition.

Lemma 6.3.3. *Let $W_i = X_i Y_i Z_i$ and $W_j = X_j Y_j Z_j$ be arbitrary relation words in R such that $W_i \neq W_j$.*

- (i) *If a suffix of $Y_i Z_i$ is a prefix of $X_j Y_j$, then a suffix of Z_i is a prefix of X_j .*
- (ii) *If W_i overlaps W_j in a word $w \in A^*$, then either: Z_i overlaps with X_j or X_i overlaps with Z_j .*
- (iii) *If $Y_u = s Y'_u$ for some $s, Y'_u \in A^*$ with $s \neq \varepsilon$, then $X_u s$ is not a factor of any relation word other than u .*
- (iv) *Suppose that $w = X_i Y_i Z_i X'_j Y_j Z_j$ where $X_j = X'_j X''_j$ and X'_j is a suffix of Z_i . If W_k is a relation word in R such that $w \equiv p W_k q$ for some $p, q \in A^*$, then W_k equals a complement $\overline{W_i}$ of W_i , or a complement $\overline{W_j}$ of W_j .*

To prove that the word returned by **NormalForm** is the lexicographically least word equal to the input word, we establish the following theorem.

Theorem 6.3.4. *Suppose that $u, v \in A^*$ are such that $u \equiv v$, that $u = u_0 Y_0 \cdots u_m Y_m u_{m+1}$, and that $v = v_0 \overline{Y_0} \cdots v_n \overline{Y_n} v_{n+1}$ where Y_i and $\overline{Y_i}$ are the special middle words in u and v , respectively. Then $u = v$ if and only if $m = n$ and $Y_0 = \overline{Y_0}, \dots, Y_m = \overline{Y_m}$.*

We establish the proof of Theorem 6.3.4 in a sequence of lemmas. We start by showing that if $u \equiv v$, then there is a 1-1 correspondence between the special middle words of u and v . Using the properties in Lemma 6.3.3 we obtain the following lemma to show that Y is a special middle word of u , if and only if some complement \overline{Y} of Y is a special middle word of v .

Lemma 6.3.5. *Let $u, v \in A^*$. Assume that Y is a special middle word of u such that $u = p Y q$ for some $p, q \in A^*$. Then $u \equiv v$ if and only if one of the following holds:*

- (i) $v = p' Y q'$ such that $p' \equiv p$ and $q' \equiv q$; or
- (ii) $u = p Y q \equiv r X Y Z t \equiv r \overline{X Y Z} t \equiv p' \overline{Y} q' = v$ and $p \equiv r X, q \equiv Z t, p' \equiv r \overline{X}$ and $q' \equiv \overline{Z} t$.

Proof. Clearly, if (i) or (ii) hold then $u \equiv v$. It remains to show that if $u \equiv v$ then (i) or (ii) holds for v . Assume that $u = p Y q$ and let $v \equiv u$. Then there exists a rewrite sequence $u = p Y q \xrightarrow{*} v$. We will prove that no relation applied in this rewrite sequence can overlap Y unless it is XYZ .

It is clear that since Y is not a piece, Y is not a factor of any relation word except XYZ and no relation word is a factor of Y . We start by showing that no relation word

in the rewrite sequence $pYq \xrightarrow{*} v$ overlaps with a proper suffix of Y . Since Y is a special middle word of u , $u = pYq \equiv rXYZt$ for some $r, t \in A^*$ such that $p \equiv rX$ and $q \equiv Zt$. Since $q \equiv Zt$, it follows by Lemma 2.1.4 that there are two cases to consider: either q has a clean overlap prefix aX_1Y_1 with $|a| \geq |Z|$ and Z is a prefix of all words equivalent to q or q has a clean overlap prefix aX_1Y_1 with $|a| < |Z|$ and Z is a prefix of $a\overline{X_1Y_1}$ for some complement $\overline{X_1Y_1}$ of X_1Y_1 . If the former holds, then no relation word in the rewrite sequence can overlap with a suffix of Y because that would imply that either a suffix of XYZ longer than Z is a factor of a different relation word or that a relation word is a factor of YZ . Both of these lead to contradictions. Assume that $q = aX_1Y_1t'$ for some $t' \in A^*$. By Lemma 2.1.4 every word equivalent to q has either aX_1Y_1 or $a\overline{X_1Y_1}$ as a prefix. If a relation word in the rewrite sequence overlaps a suffix of Y , then one of the following holds:

- it is a factor of Ya which is a contradiction since Ya is a factor of YZ ;
- it is a factor of $Ya\overline{X_1Y_1}$ for some complement $\overline{X_1Y_1}$ of X_1Y_1 which is a contradiction because it implies that the relation word can be written as a product of 2 pieces; or
- the relation word contains $\overline{X_1Y_1}$ as a factor which is clearly a contradiction.

It follows that no relation word in the rewrite sequence overlaps with a suffix of Y unless it is XYZ in the obvious place.

Similarly, we can prove that no relation word in the rewrite sequence can overlap with a prefix of Y . Similar to the previous case, since $p \equiv rX$ there are two cases to consider: either X is a suffix of all words equivalent to p or it follows by Lemma 6.3.3(i) and (ii) that $p \equiv r'X_2Y_2Z_2b$ for some $r', b \in A^*$ such that $|b| < |X|$ and there exists a word $r'\overline{X_2Y_2Z_2}b$ for $\overline{X_2Y_2Z_2}$ some complement of $X_2Y_2Z_2$, that has X as a suffix. It follows by Lemma 6.3.3(ii) and (iv) that any word overlapping with $\overline{X_2Y_2Z_2}$ that is not $\overline{X_2Y_2Z_2}$ in a rewrite sequence either overlaps with a prefix of $\overline{X_2}$ or with a suffix of $\overline{Z_2}$ and is XYZ . It follows that no relation word in the rewrite sequence overlaps with a prefix of Y unless it is XYZ in the obvious place.

In conclusion, no relation word in the rewrite sequence $u \xrightarrow{*} v$ overlaps with Y unless it is a complement of XYZ and hence (i) or (ii) holds for every v such that $v \equiv u$. \square

It follows by Lemma 6.3.5 that if $u \equiv v$ and Y_i is a special middle word of u , then some complement $\overline{Y_i}$ of Y_i is a special middle word of v . Note that it also follows by Lemma 6.3.5(i) and (ii) that if Y_i, Y_j are special middle words of u and Y_i occurs to the left of Y_j in u , then the corresponding special middle word $\overline{Y_i}$ occurs to the left of $\overline{Y_j}$ in v . In particular, the following result holds as a corollary of Lemma 6.3.5.

Corollary 6.3.6. *If $u \equiv v$, then (Y_0, Y_1, \dots, Y_n) is the sequence of special middle words of u if and only if the sequence of special middle words of v is $(\overline{Y_0}, \overline{Y_1}, \dots, \overline{Y_n})$ where $\overline{Y_i}$ is a complement of Y_i for each i .*

The next lemma collects some basic facts about special middle words that follow more or less immediately from the definition of special middle words and the definition of clean overlap prefixes. We will use these properties in various results in the remainder of this section.

Lemma 6.3.7. *Let $w \in A^*$.*

- (i) *If Y is a special middle word of w and $w = pYq$ for some $p, q \in A^*$, then Z is a possible prefix of q and $\mathbf{WpPrefix}(q, q, Z) = \text{Yes}$.*
- (ii) *If $w = XYw'$ for some $w' \in A^*$ and $\mathbf{WpPrefix}(w', w', Z) = \text{Yes}$, then XY is a clean overlap prefix of w .*
- (iii) *If Y is the middle word in line 18 of Algorithm 2 and the condition of line 19 is satisfied, then Y is not a special middle word of $w = aXYw'$.*
- (iv) *If $w = a_0X_0Y_0w'$ for some $w' \in A^*$ and Y_0 is the left most special middle word in w , then any word equivalent to w has prefix a_0 .*

We use some of the properties in Lemma 6.3.7 to prove the next lemma which refines the form of a word with respect to a C(4) monoid presentation.

Lemma 6.3.8. *Let $u \in A^*$. Assume that (Y_0, Y_1, \dots, Y_n) , for some $n \geq 0$, is the sequence of special middle words of u . Then*

$$u = a_0X_0Y_0a_1X_1''Y_1a_2X_2''Y_2a_3 \dots a_nX_n''Y_n a_{n+1}$$

where $a_i \in A^*$ and either $X_i'' = X_i$ or X_i'' is a proper suffix of X_i and $a_i = Z_{i-1}$ for all i .

Proof. Since Y_0 is the left most special middle word of u , $u = pY_0q$ and $p \equiv a_0X_0$ for some $a_0 \in A^*$. If a relation word XYZ is a factor of p , then Y is a factor of p and hence it is a special middle word of u occurring on the left of Y_0 . This is a contradiction since Y_0 is the left most special middle word of u and hence p contains no relation words as factors. It follows that $p = a_0X_0$.

Let Y_{k-1}, Y_k be special middle words of u . Then $u = rY_{k-1}b_kY_k t$ for some $r, b_k, t \in A^*$, by Lemma 6.3.2. We will prove that $b_k = a_kX_k''$ where either $X_k'' = X_k$ or X_k'' is a proper suffix of X_k and $a_k = Z_{k-1}$. Since Y_{k-1} is a special middle word of u , $b_kY_k t \equiv Z_{k-1}s$ for some $s \in A^*$. It follows that either Z_{k-1} is a prefix of all words equivalent to $b_kY_k t$ or $b_kY_k t$ has a clean overlap prefix cXY with $|c| < |Z_{k-1}|$ and Z_{k-1} is a prefix of $c\overline{XY}$ for some complement \overline{XY} of XY .

In the latter case, since $b_kY_k t = cXYq \equiv c\overline{XY}q'$ for some $q, q' \in A^*$, it follows that $cXYq \equiv cXYZq''$ for some $q'' \in A^*$ and hence Y is a special middle word of $cXYq$. Since $cXYq$ is a suffix of u it follows by the definition of special middle words that Y is a special middle word of u .

We will prove that $Y = Y_k$ and hence $b_k = cX_k = a_kX_k''$ where $X_k'' = X_k$, as required. Clearly, if $|cXY| = |b_kY_k|$ and $Y \neq Y_k$ then either Y is a suffix of Y_k or Y_k is a suffix of Y . This is a contradiction since middle words are not pieces and hence $Y = Y_k$. Assume that $|cXY| < |b_kY_k|$. Then Y is a special middle word that occurs after Y_{k-1} and before Y_k as a factor of u and this is a contradiction. Assume that $|cXY| > |b_kY_k|$. There are two cases to consider: either Y_k is a factor of cXY , which is clearly a contradiction, or Y_k is a factor of cXY that begins before the end of c . In this case, Y_k must end before the start of Y in cXY because otherwise it would contain a factor of cXY longer than X . Since Y_k is a special middle word, it follows that $b_kY_kt \equiv b_kY_kZ_kt'$ for some $t' \in A^*$. If Z_k is a prefix of t in this case, then either Y is a factor of $X_kY_kZ_k$ or cXY contains a suffix of $X_kY_kZ_k$ longer than Z_k and both of these lead to a contradiction. It follows that Z_k is not a prefix of t , and hence t has a clean overlap prefix dX_*Y_* with $|d| < |Z_k|$. If d ends after the end of Y in $cXYq$ then Y is a factor of $X_kY_kZ_k$, a contradiction. It follows that d ends before the end of Y . But then cXY overlaps with X_*Y_* which is a contradiction because cXY is a clean overlap prefix of $cXYq$. It follows that $Y = Y_k$.

In the former case, Z_{k-1} is a prefix of all words equivalent to b_kY_kt . If b_kY_kt has a clean overlap prefix cXY with $|c| < |Z_{k-1}|$ and $b_kY_kt \equiv c\overline{XY}q'$ for some $q' \in A^*$ and a proper complement \overline{XY} of cXY , then as in the previous paragraph $Y = Y_k$ and hence $b_k = a_kX_k$, as required. Assume that b_kY_kt does not have a clean overlap prefix cXY with $|c| < |Z_{k-1}|$ such that $b_kY_kt \equiv c\overline{XY}q'$ for some $q' \in A^*$ and a proper complement \overline{XY} of cXY . Then, since Y_k is a special middle word of u , if X_k is a suffix of b_k then it follows by Lemma 6.3.7(ii) that X_kY_k is a clean overlap prefix of b_kY_kt . If $b_kY_kt = b'X_kY_kt$ for some $b' \in A^*$ and there is not a clean overlap prefix contained in b' , then $b'X_kY_k$ is a clean overlap prefix of b_kY_kt and $b_k = a_kX_k$, as required. If this is not the case, then either X_k is a suffix of b_k but b_kY_kt has a clean overlap prefix cXY with $|cXY| \leq |b_k|$ such that no equivalent of b_kY_kt has prefix $c\overline{XY}$ for \overline{XY} a proper complement of cXY or X_k is not a suffix of b_k . In the first case, $b_k = a_kX_k$ and hence $X_k'' = X_k$ as required. In the second case, if X_k is not a suffix of b_k , then since no word equivalent to u contains a relation word between Y_{k-1} and Y_k , it follows that $X_k = X'_kX''_k$ and the proper prefix X'_k of X_k is a suffix of some complement $\overline{Z_{k-1}}$ of Z_{k-1} . It follows that $b_k = Z_{k-1}X''_k$, as required. \square

At this point, we have proved results that explain the connection between the sequence of special middle words of a word w and the form of w or a word equivalent to w with respect to this sequence. We would like to be able to compare words based on their sequences of special middle words. We utilize the algorithm **WpPrefix** from [11] to do this. The following results highlight the connection between special middle words in equivalent words u and v and recursive calls from within **WpPrefix**(u, v, ε).

Lemma 6.3.9. *If **WpPrefix**(u_j, v_j, p_j) is a recursive call from within **WpPrefix**(u, v, ε) for $u, v \in A^*$ and $u \equiv v$, then u_j is a suffix of a word equivalent to u and v_j is a suffix of a word equivalent to v . In addition, if $u_j = XYu'$ and XY is a clean overlap prefix of*

u_j , and $v_j = \overline{XY}v'$ and \overline{XY} is a clean overlap prefix of v_j , then Yu' is a suffix of u and $\overline{Y}v'$ is a suffix of v .

Proof. All line numbers in this proof refer to **WpPrefix** in [11]. Clearly, if **WpPrefix**(u_j, v_j, p_j) is the initial call to **WpPrefix**(u, v, ε), then $u_j = u, v_j = v$ and hence u_j and v_j are suffixes of u and v , respectively. In addition if $u_j = u = XYu'$ and XY is a clean overlap prefix of u_j , and $v_j = v = \overline{XY}v'$ and \overline{XY} is a clean overlap prefix of v_j then clearly Yu' is a suffix of u and $\overline{Y}v'$ is a suffix of v . Assume that the result holds for the j -th recursive call **WpPrefix**(u_j, v_j, p_j) from within **WpPrefix**(u, v, ε). We will show that the result holds for the recursive call **WpPrefix**($u_{j+1}, v_{j+1}, p_{j+1}$) that occurs immediately after **WpPrefix**(u_j, v_j, p_j). Since the result holds for u_j , there exists a word $w \equiv u$ with suffix u_j . If **WpPrefix**($u_{j+1}, v_{j+1}, p_{j+1}$) occurs in one of lines 15, 25, 28, 29, 31 or 42, then u_{j+1} is a suffix of u_j and the result holds for u_{j+1} . If **WpPrefix**($u_{j+1}, v_{j+1}, p_{j+1}$) occurs in line 24 or 33 then $u_j = XYZu''$ for some $u'' \in A^*$ and $u_{j+1} = \hat{Z}u''$ for \hat{Z} a complement of Z . Since $u_j = XYZu'' \equiv \hat{X}\hat{Y}\hat{Z}u''$ there exists a word equivalent to w with suffix $\hat{X}\hat{Y}\hat{Z}u''$ and hence $u_{j+1} = \hat{Z}u''$ is a suffix of a word equivalent to u . The proof that v_{j+1} is a suffix of a word equivalent to v is analogous.

It remains to show that if u_j has clean overlap prefix XY and $u_j = XYu'$ and v_j has clean overlap prefix \overline{XY} and $v_j = \overline{XY}v'$, then Yu' is a suffix of u and $\overline{Y}v'$ is a suffix of v . We will prove this for u_j , the proof for v_j is analogous. As stated above, the result holds if **WpPrefix**(u_j, v_j, p_j) is the initial call to **WpPrefix**(u, v, ε) and u has clean overlap prefix XY . If this is not the case, then the algorithm will make a number of calls in line 15 until a suffix of u that has the form XYu' with XY a clean overlap prefix is found and hence the result holds for Yu' in this case as well. We will assume that the result holds for **WpPrefix**(u_j, v_j, p_j) such that u_j has clean overlap prefix X_jY_j and $u_j = X_jY_ju'_j$ and we will show that holds for the recursive call **WpPrefix**(u_k, v_k, p_k) that is the first recursive call after **WpPrefix**(u_j, v_j, p_j) such that u_k has clean overlap prefix X_kY_k and $u_k = X_kY_ku'_k$. Since u_j has clean overlap prefix X_jY_j and $u_j \equiv v_j$, the recursive call to **WpPrefix**($u_{j+1}, v_{j+1}, p_{j+1}$) that occurs immediately after **WpPrefix**(u_j, v_j, p_j) occurs in one of lines 24, 25, 28, 29, 31, 33 or 42. If it occurs in line 25, 28, 29, 31 or 42, then u_{j+1} is a suffix of u_j and since $Y_ju'_j$ is a suffix of u it follows that u_{j+1} is a suffix of u . Since **WpPrefix**(u_k, v_k, p_k) is the first recursive call after **WpPrefix**(u_j, v_j, p_j) such that u_k has clean overlap prefix X_kY_k and $u_k = X_kY_ku'_k$, it follows that any recursive call after **WpPrefix**($u_{j+1}, v_{j+1}, p_{j+1}$) and before **WpPrefix**(u_k, v_k, p_k) can only occur in line 15. It follows that u_k is a suffix of u_{j+1} and hence $Y_ku'_k$ is a suffix of u . If the recursive call to **WpPrefix**($u_{j+1}, v_{j+1}, p_{j+1}$) occurs in line 24 or 33, then $u_j = X_jY_jZ_ju''$, Y_jZ_ju'' is a suffix of u and $u_{j+1} = \hat{Z}_ju''$ for some complement \hat{Z}_j of Z_j . Similar to the previous case, any recursive call after **WpPrefix**($u_{j+1}, v_{j+1}, p_{j+1}$) and before **WpPrefix**(u_k, v_k, p_k) can only occur in line 15. Hence $u_{j+1} = aX_kY_ku'_k$ for aX_kY_k a clean overlap prefix of u_{j+1} . Since \hat{Z}_j is a prefix of u_{j+1} , $|aX_k| \geq |\hat{Z}_j|$, otherwise a prefix of $X_kY_kZ_k$ longer than X_k would be a factor of \hat{Z}_j . It follows that $Y_ku'_k$ is a suffix of u'' and hence a suffix of u . \square

The following result holds as a direct consequence of Lemma 6.3.9 and the definition of special middle words and can be viewed as a tool to “identify” special middle words inside a word w in some cases.

Lemma 6.3.10. *Let $u, v \in A^*$ be such that $u \equiv v$. Assume that there exists a recursive call $\mathbf{WpPrefix}(XYu', \overline{XY}v', p)$ from within $\mathbf{WpPrefix}(u, v, \varepsilon)$ for some $p, u', v' \in A^*$ such that \overline{XY} is a proper complement of XY . Then Y is a special middle word of u and \overline{Y} is the corresponding special middle word of v .*

Proof. Since $u \equiv v$ it follows that $\mathbf{WpPrefix}(u, v, \varepsilon)$ returns Yes and hence since $\mathbf{WpPrefix}(XYu', \overline{XY}v', p)$ is a recursive call from within $\mathbf{WpPrefix}(u, v, \varepsilon)$, $\mathbf{WpPrefix}(XYu', \overline{XY}v', p)$ returns Yes as well. It follows that $XYu' \equiv \overline{XY}v'$. Since \overline{XY} is a proper complement of XY it follows by Lemma 3 in [11] that $u' \equiv Zu''$ and $v' \equiv \overline{Z}v''$ for some $u'', v'' \in A^*$. In addition, it follows by Lemma 6.3.7 (ii) that XY is a clean overlap prefix of XYu' and \overline{XY} is a clean overlap prefix of $\overline{XY}v'$. By Lemma 6.3.9, XYu' is a suffix of a word equivalent to u and hence there exists a word w such that $w \equiv u$ and $XYZu''$ is a suffix of w . It follows that Y is a special middle word of w and by Corollary 6.3.6 some complements of Y are the corresponding middle words in u and v . By Lemma 6.3.9, Yu' is a suffix of u and $\overline{Y}v'$ is a suffix of v and hence Y and \overline{Y} are the corresponding special middle words in u and v , respectively. \square

The next two results will be useful when comparing equivalent words based on the sequences of their special middle words.

Lemma 6.3.11. *Let $u, v \in A^*$ be such that $u \equiv v$. Assume that Y is a special middle word of u and let \overline{Y} be the corresponding special middle word in v . Then there exists a recursive call $\mathbf{WpPrefix}(XYu', \overline{XY}v', p)$ from within $\mathbf{WpPrefix}(u, v, \varepsilon)$ for some $p, u', v' \in A^*$.*

Proof. All line numbers in this proof refer to $\mathbf{WpPrefix}$ in [11]. Assume that (Y_0, Y_1, \dots, Y_n) is the sequence of special middle words of u . By Lemma 6.3.8, $u = a_0X_0Y_0a_1X_1''Y_1a_2X_2''Y_2a_3 \dots a_nX_n''Y_n a_{n+1}$ and $v = a_0\overline{X}_0\overline{Y}_0b_1\overline{X}_1''\overline{Y}_1b_2\overline{X}_2''\overline{Y}_2b_3 \dots b_n\overline{X}_n''\overline{Y}_nb_{n+1}$ where $a_i, b_i \in A^*$ for all i and X_i'' and \overline{X}_i'' are suffixes of X_i and \overline{X}_i , respectively, for all i . We start by showing that the result holds for Y_0 and \overline{Y}_0 . Since $u = a_0X_0Y_0u'$ for some $u' \in A^*$ and Y_0 is a special middle word of u , it follows by definition that $u' \equiv Z_0u''$ for some $u'' \in A^*$. It follows by Lemma 6.3.7(ii) applied to X_0Y_0u' that X_0Y_0 is a clean overlap prefix of X_0Y_0u' . Since there are no relation words contained in a_0 and a_0 is also a prefix of v , $\mathbf{WpPrefix}(u, v, \varepsilon)$ starts by making recursive calls in lines 15 and 28, until the prefix a_0 has been deleted and the recursive call to $\mathbf{WpPrefix}(X_0Y_0u', \overline{X}_0\overline{Y}_0v', p_0)$ occurs for some piece p_0 .

We now assume that there exists a recursive call to $\mathbf{WpPrefix}(X_kY_ku'_k, \overline{X}_k\overline{Y}_kv'_k, p_k)$ from within $\mathbf{WpPrefix}(u, v, \varepsilon)$ for some $p_k, u'_k, v'_k \in A^*$ for special middle words Y_k and \overline{Y}_k , respectively, and we will prove that there exists a recursive call to

WpPrefix($X_{k+1}Y_{k+1}u'_{k+1}, \overline{X_{k+1}Y_{k+1}v'_{k+1}}, p_{k+1}$) from within **WpPrefix**(u, v, ε) for some $p_{k+1}, u'_{k+1}, v'_{k+1} \in A^*$ for special middle words Y_{k+1} and $\overline{Y_{k+1}}$, respectively.

We will show this by examining the recursive calls that occur after **WpPrefix**($X_k Y_k u'_k, \overline{X_k Y_k v'_k}, p_k$). If Z_k is not a prefix of u'_k then the recursive call immediately after **WpPrefix**($X_k Y_k u'_k, \overline{X_k Y_k v'_k}, p_k$) occurs in one of lines 28, 29, 31 and 42. Since Z_k is not a prefix of u'_k and $Y_k u'_k$ is a suffix of u by Lemma 6.3.9, it follows by Lemma 6.3.8 that $a_{k+1}X''_{k+1} = a_{k+1}X_{k+1}$. In addition, since Y_k is a special middle word, u'_k is equivalent to a word with prefix Z_k and hence it has a clean overlap prefix cXY with $|c| < |Z_k|$. Since Z_k is not a prefix of cX then it must be a prefix of $c\overline{X}$ for \overline{X} a proper complement of X .

If the recursive call occurs in one of lines 28, 29 or 31 then the first argument is u'_k which in this case has $a_{k+1}X_{k+1}Y_{k+1}$ as a prefix. If $a_{k+1}X_{k+1}Y_{k+1}$ is a clean overlap prefix of u'_k the algorithm continues by making $|a_{k+1}|$ recursive calls in line 15. Then since $u \equiv v$ it makes the call **WpPrefix**($X_{k+1}Y_{k+1}u'_{k+1}, V, p_{k+1}$) and $V = \overline{X_{k+1}Y_{k+1}v'_{k+1}}$ for some $p_{k+1}, u'_{k+1}, v'_{k+1} \in A^*$. In addition, since this call was preceded by $|a_{k+1}|$ calls in line 15 it follows that v'_k has the prefix $a_{k+1}\overline{X_{k+1}Y_{k+1}}$ and since there is no clean overlap prefix in a_{k+1} , it follows by Lemma 6.3.7(ii) that $\overline{Y_{k+1}}$ is the left most special middle word occurring to the right of $\overline{Y_k}$ in v and hence it is the special middle word that corresponds to Y_{k+1} in u . Assume that $a_{k+1}X_{k+1}Y_{k+1}$ is not a clean overlap prefix of u'_k . Since Y_{k+1} is a special middle word of u , it follows by Lemma 6.3.7 (ii) that $X_{k+1}Y_{k+1}$ is a clean overlap prefix of a suffix of u'_k . It follows that the clean overlap prefix cXY of u'_k is such that $|cXY| \leq |a_{k+1}|$, otherwise either cXY would overlap with $X_{k+1}Y_{k+1}$ or it would contain $X_{k+1}Y_{k+1}$ as a factor and both of these contradict the definition of a clean overlap prefix. It follows that cXY is such that $|cXY| \leq |a_{k+1}|$ and since Y is not a special middle word of u , cXY is not followed by Z and u'_k is only equivalent to words that have cXY as a prefix. It follows that in this case the algorithm makes recursive calls in lines 15 and 28 until a_{k+1} gets deleted. Similar to the previous case, a_{k+1} is a prefix of v'_k , the algorithm makes the recursive call **WpPrefix**($X_{k+1}Y_{k+1}u'_{k+1}, \overline{X_{k+1}Y_{k+1}v'_{k+1}}, p_{k+1}$) for some $p_{k+1}, u'_{k+1}, v'_{k+1} \in A^*$ and $\overline{Y_{k+1}}$ is the special middle word of v that corresponds to Y_{k+1} in u .

If the recursive call occurs in line 42 then by the assumptions of this case a_{k+1} has prefix z_1 and any clean overlap prefix XY of u'_k begins after the end of z_1 . It follows that the result holds in this case following the same argument as in the case of lines 28, 29 and 31 applied to the suffix of u'_k that follows z_1 .

It remains to show that the result holds when Z_k is a prefix of u'_k . In this case the recursive call immediately after **WpPrefix**($X_k Y_k Z_k u''_k, \overline{X_k Y_k v'_k}, p_k$) occurs in one of lines 24, 25 or 33. In case the call occurs in line 33 the result holds by symmetry with line 31. If the recursive call occurs in line 25, then u''_k is not \hat{Z}_{k+1} -active for some complement \hat{Z}_{k+1} of Z_{k+1} and hence a_{k+1} has the same form as in the case of lines 28, 29 and 31. Hence the same argument can show that the result holds in this case.

If X''_{k+1} is a proper suffix of X_{k+1} then u''_k is \hat{Z}_{k+1} -active for some complement \hat{Z}_{k+1} of Z_{k+1} , otherwise no word equivalent to u would contain $X_{k+1}Y_{k+1}$ as a factor in this position. It follows that in this case the algorithm makes a recursive call in line 24 and $\hat{Z}_{k+1}u''_k$

has the clean overlap prefix $bX_{k+1}Y_{k+1}$ for some $b \in A^*$ with $|b| < |\hat{Z}_{k+1}|$. It follows that the algorithm makes $|b|$ recursive calls in line 15 and the result holds for Y_{k+1} . If u''_k is \hat{Z}_{k+1} -active but the clean overlap prefix of $\hat{Z}_{k+1}u''_k$ is cXY with $Y \neq Y_{k+1}$, then a_{k+1} has the same form as in the cases of lines 25, 28, 29 and 31 and the result holds in this case. \square

The following result holds as a corollary of Lemma 6.3.11.

Corollary 6.3.12. *Let $u, v \in A^*$ be such that $u \equiv v$. Assume that Y_k and Y_{k+1} are consecutive special middle words of u . Assume that $u_k, u_{k+1}, v_k, v_{k+1}$ are such that $\mathbf{WpPrefix}(u_k, v_k, p_k)$, $\mathbf{WpPrefix}(u_{k+1}, v_{k+1}, p_{k+1})$ are the recursive calls corresponding to Y_k, Y_{k+1} from within $\mathbf{WpPrefix}(u, v, \varepsilon)$ from Lemma 6.3.11. If $\mathbf{WpPrefix}(u_j, v_j, p_j)$ is a recursive call from within $\mathbf{WpPrefix}(u, v, \varepsilon)$ that occurs after $\mathbf{WpPrefix}(u_k, v_k, p_k)$ and before $\mathbf{WpPrefix}(u_{k+1}, v_{k+1}, p_{k+1})$ and it is not the recursive call that occurs immediately after $\mathbf{WpPrefix}(u_k, v_k, p_k)$, then $\mathbf{WpPrefix}(u_j, v_j, p_j)$ occurs either in line 15 or line 28 of $\mathbf{WpPrefix}$.*

Proof. All line numbers in this proof refer to $\mathbf{WpPrefix}$ in [11]. Assume that $\mathbf{WpPrefix}(u_j, v_j, p_j)$ is the recursive call from within $\mathbf{WpPrefix}(u, v, \varepsilon)$ as described in the statement of this lemma. If $\mathbf{WpPrefix}(u_j, v_j, p_j)$ occurs in one of lines 31, 33 or 42 then $u_j = XYu'$ where XY is a clean overlap prefix of u_j and $v_j = \overline{XY}v'$ where \overline{XY} is a clean overlap prefix of v_j and $u', v' \in A^*$ and \overline{XY} is a proper complement of XY . It follows by Lemma 6.3.10 that there exists a special middle word in a word equivalent to u that occurs between Y_k and Y_{k+1} , a contradiction. In addition, if $\mathbf{WpPrefix}(u_j, v_j, p_j)$ occurs in lines 24, 25 or 29 then either $u_j = XYZu''$ for some relation word XYZ and some $u'' \in A^*$ or $u_j = XYu'$ and Z is a possible prefix of u' . By Lemma 6.3.9 there exists a word equivalent to u containing a relation word XYZ as a factor and hence Y is a special middle word. It follows that there exists a special middle word in a word equivalent to u that occurs between Y_k and Y_{k+1} , a contradiction. It follows that $\mathbf{WpPrefix}(u_j, v_j, p_j)$ can only occur in line 15 or 28. \square

We are now ready to prove the following lemma. Theorem 6.3.4 will hold as a corollary of Lemma 6.3.13. In addition, Lemma 6.3.13 will be used as a tool to compare prefixes of equivalent words when proving the correctness of the algorithm.

Lemma 6.3.13. *Suppose that $u, v \in A^*$ are such that $u \equiv v$, that $u = u_0Y_0 \cdots u_mY_mu_{m+1}$, and that $v = v_0\overline{Y_0} \cdots v_n\overline{Y_n}v_{n+1}$ where Y_i are the special middle words in u and $\overline{Y_i}$ are the special middle words in v . If $Y_0 = \overline{Y_0}, \dots, Y_k = \overline{Y_k}$ for some k , then $u_0Y_0 \cdots u_kY_k = v_0\overline{Y_0} \cdots v_k\overline{Y_k}$.*

Proof. All line numbers in this proof refer to $\mathbf{WpPrefix}$ in [11]. It follows by Lemma 6.3.7(iv) and Lemma 6.3.8, that $u_0Y_0 = a_0X_0Y_0$ and a_0 is a prefix of every word equivalent to u . Since $Y_0 = \overline{Y_0}$, $u_0Y_0 = v_0\overline{Y_0}$ by [11, Lemma 2].

Assume that the result holds for $Y_0 = \overline{Y_0}, \dots, Y_{k-1} = \overline{Y_{k-1}}$ for some $k \geq 1$. Since $u \equiv v$ and Y_k is a special middle word of u , it follows by Lemma 6.3.11 that there exist recursive calls to $\mathbf{WpPrefix}(u_{k-1}, v_{k-1}, p_{k-1})$ and $\mathbf{WpPrefix}(u_k, v_k, p_k)$ from within $\mathbf{WpPrefix}(u, v, \varepsilon)$ such that $u_{k-1} = X_{k-1}Y_{k-1}u'_{k-1}$, that $v_{k-1} = \overline{X_{k-1}Y_{k-1}}v'_{k-1}$, $u_k = X_kY_ku'_k$ and $v_k = \overline{X_kY_k}v'_k$. Since $Y_{k-1} = \overline{Y_{k-1}}$ and $Y_k = \overline{Y_k}$ the next recursive call to $\mathbf{WpPrefix}$ within $\mathbf{WpPrefix}(u_{k-1}, v_{k-1}, p_{k-1})$ must occur in one of lines 24, 25, 28, or 29 (in the other cases the prefix of u_{k-1} is a proper complement of the prefix of v_{k-1}). The only subsequent type of recursive call that can occur between $\mathbf{WpPrefix}(u_{k-1}, v_{k-1}, p_{k-1})$ and $\mathbf{WpPrefix}(u_k, v_k, p_k)$ is in lines 15 and 28 by Corollary 6.3.12. Hence $u_k = v_k$ since in the recursive calls of lines 15 and 28 equal prefixes of the first two arguments are deleted. It follows that $u_0Y_0 \cdots u_kY_k = v_0\overline{Y_0} \cdots v_k\overline{Y_k}$. \square

Having established Theorem 6.3.4, in the next 2 lemmas we consider how the special middle words $u, v \in A^*$ such that $u \equiv v$ interact with the lexicographic order.

Lemma 6.3.14. *Suppose that $u, v \in A^*$, that $u \equiv v$, and that $u = pY_{k-1}Z_{k-1}X''_kY_kq$ for special middle words Y_{k-1} and Y_k in u , some $p, q \in A^*$ and some proper suffix X''_k of X_k . If Y_{k-1} and a proper complement $\overline{Y_k}$ of Y_k are the corresponding middle words in v , then there is a suffix X'_k of a complement $\overline{Z_{k-1}}$ of Z_{k-1} such that $X_k = X'_kX''_k$ and X'_k is also a prefix of $\overline{X_k}$.*

Proof. Since Y_{k-1}, Y_k are special middle words of u , there is a rewrite sequence $u \xrightarrow{*} p'X_{k-1}Y_{k-1}Z_{k-1}X''_kY_kq \rightarrow p'\overline{X_{k-1}Y_{k-1}Z_{k-1}}X''_kY_kq$ where $\overline{Z_{k-1}} = zX'_k$ for some $z \in A^*$. Hence

$$p'\overline{X_{k-1}Y_{k-1}Z_{k-1}}X''_kY_kZ_kq_0 = p'\overline{X_{k-1}Y_{k-1}}zX_kY_kZ_kq_0 \rightarrow p'\overline{X_{k-1}Y_{k-1}}z\overline{X_kY_k}Z_kq_0$$

for some $q_0 \in A^*$. Since Z_{k-1} is a factor of v , but not of $p'\overline{X_{k-1}Y_{k-1}}z\overline{X_kY_k}Z_kq_0$ by the assumption of this case,

$$p'\overline{X_{k-1}Y_{k-1}}z\overline{X_kY_k}Z_kq_0 \xrightarrow{*} p'\overline{X_{k-1}Y_{k-1}Z_{k-1}}\overline{X''_kY_k}q_1 \rightarrow p'X_sY_sZ_{k-1}\overline{X''_kY_k}q_1 \xrightarrow{*} v$$

where $X_sY_sZ_{k-1}$ is a complement of $X_{k-1}Y_{k-1}Z_{k-1}$ with suffix Z_{k-1} and $v = p_0Z_{k-1}\overline{X''_kY_k}q'$. In particular, since

$$p'\overline{X_{k-1}Y_{k-1}}z\overline{X_kY_k}Z_kq_0 \xrightarrow{*} p'\overline{X_{k-1}Y_{k-1}Z_{k-1}}\overline{X''_kY_k}q_1,$$

$\overline{X_k} = X'_k\overline{X''_k}$ and so $X'_k = \overline{X''_k}$ is the required common prefix. \square

In the next lemma, we make use of the following observation: if $u, v \in A^*$ are such that $u < v$ and u is not a prefix of v , then $uw < vw'$ for all $w, w' \in A^*$.

Lemma 6.3.15. *Suppose that $u, v \in A^*$ are such that $u \equiv v$. If $u < v$ and Y_k is the left most special middle word in u such that the corresponding middle word $\overline{Y_k}$ in v is a proper complement of Y_k , then $X_k Y_k Z_k < \overline{X_k Y_k Z_k}$.*

Proof. Let $u := w_0, w_1, \dots, w_n := v$ be any rewrite sequence. By Lemmas 6.3.8 and 6.3.13, there exist $a_k, b_k, p, q, q' \in A^*$ such that $u = pX''_{k-1}Y_{k-1}a_kX''_kY_kq$ and $v = pX''_{k-1}Y_{k-1}b_k\overline{X''_k}\overline{Y_k}q'$ where X''_k and $\overline{X''_k}$ are (not necessarily proper) suffixes of X_k and $\overline{X_k}$, respectively. Since Y_k is a special middle word of u , it follows by Lemma 6.3.5 (ii) that there exists $j \in \{0, \dots, n\}$ such that $X_k Y_k Z_k$ is a factor of w_j and $\overline{X_k Y_k Z_k}$ is a factor of w_{j+1} . It remains to show that $X_k Y_k Z_k < \overline{X_k Y_k Z_k}$.

It follows by Lemma 6.3.9 and Lemma 6.3.11 that there exists a recursive call to **WpPrefix**($X_{k-1}Y_{k-1}a_k X''_kY_kq, X_{k-1}Y_{k-1}b_k\overline{X''_k}\overline{Y_k}q', t$) for some piece t , from within **WpPrefix**(u, v, ε). In addition, $X_{k-1}Y_{k-1}$ is a clean overlap prefix of the first two arguments of this call by Lemma 6.3.7 (i) and (ii). Since the first two arguments of this call begin with the clean overlap prefix $X_{k-1}Y_{k-1}$, the recursive call occurring immediately after **WpPrefix**($X_{k-1}Y_{k-1}a_kX''_kY_kq, X_{k-1}Y_{k-1}b_k\overline{X''_k}\overline{Y_k}q', t$) must occur in one of lines 24, 25, 28, 29 and the only possible recursive calls that can occur before **WpPrefix**($X_kY_kq, \overline{X_kY_k}q', t'$) for some piece t' , are those in lines 15 or 28 by Corollary 6.3.12. Since in the recursive calls of lines 15 and 28 equal prefixes of the first two arguments are deleted, it follows that $a_k = b_k$ and $v = pX_{k-1}Y_{k-1}a_k\overline{X''_k}\overline{Y_k}q'$. Since $u < v$ and $X_k Y_k \neq \overline{X_k Y_k}$, it follows that $X''_k Y_k < \overline{X''_k Y_k}$.

We will show that this implies that $X_k Y_k < \overline{X_k Y_k}$ and hence $X_k Y_k Z_k < \overline{X_k Y_k Z_k}$. There are two cases to consider: when $X''_k = X_k$ and when X''_k is a proper suffix of X_k . If $X''_k = X_k$ then it follows that $\overline{X''_k} = \overline{X_k}$, since otherwise there would not be a recursive call to **WpPrefix**($X_k Y_k q, \overline{X_k Y_k} q', t'$) from within **WpPrefix**(u, v, ε), which contradicts Lemma 6.3.11. It follows that $X_k Y_k < \overline{X_k Y_k}$. But $X_k Y_k$ is not a prefix of $\overline{X_k Y_k}$ because Y_k is not a piece, and so $X_k Y_k Z_k < \overline{X_k Y_k Z_k}$.

Suppose that X''_k is a proper suffix of X_k . It follows by Lemma 6.3.8 that $a_k = b_k = Z_{k-1}$, and so $u = pX''_{k-1}Y_{k-1}Z_{k-1}X''_kY_kq$ and $v = pX''_{k-1}Y_{k-1}Z_{k-1}\overline{X''_k}\overline{Y_k}q'$. In this case, as in the previous case, it follows that $X''_k Y_k < \overline{X''_k Y_k}$. By Lemma 6.3.14, if X'_k and $\overline{X'_k}$ are such that $X_k = X'_k X''_k$ and $\overline{X_k} = \overline{X'_k X''_k}$, then $X'_k = \overline{X'_k}$. Hence $X_k Y_k Z_k = X'_k X''_k Y_k Z_k < X'_k \overline{X''_k Y_k Z_k} = \overline{X_k Y_k Z_k}$. □

We are now ready to prove the correctness of the **NormalForm** algorithm. We have already shown that the output of **NormalForm**(w_0) is a word equivalent to w_0 in Corollary 6.2.2. By Theorem 6.3.4 it suffices to show that if v_n is the output of **NormalForm**(w_0), then the sequences of special middle words of v_n and $\min w_0$ are identical. We accomplish this in the next two lemmas.

Lemma 6.3.16. *Let w_0 be the input to **NormalForm**(w_0) and let v_i with $i \geq 0$ be the value of v after the i -th iteration of the while loop starting in line 2. Then for every special middle word Y_k in w_0 there exists an i such that v_i contains a complement of Y_k .*

Proof. All line numbers in this proof refer to **NormalForm** in Algorithm 2. We will show that there exists an i such that during the i -th iteration of the while loop in **NormalForm** one of the following holds:

- (i) $\overline{Y_k} = Y_s$ in line 3 and all conditions of line 3 are satisfied; or
- (ii) $\overline{Y_k} = Y$ in line 18.

If (i) holds, then v_{i+1} is assigned in line 11 or 15 and v_{i+1} contains a complement of Y_k . If (ii) holds, then v_{i+1} is assigned in line 21 or 25 and v_{i+1} contains a complement of Y_k .

We proceed by induction on the number of special middle words in w_0 . By Theorem 6.3.4, if there are no special middle words in w_0 , then the only word equivalent to w_0 is itself. In particular, if there are no special middle words in w_0 , then neither w_0 nor any word equivalent to w_0 contains a relation word as a factor, by Lemma 6.3.5. It follows that either w_0 does not have a clean overlap prefix or if w_0 has a clean overlap prefix cXY for some $c \in A^*$ such that $w_0 = cXYw'$ for $w' \in A^*$, then **WpPrefix**(w', w', Z)=No because otherwise there exists a word equivalent to w_0 that contains XYZ as a factor in the obvious place. This is a contradiction since there are no special middle words in w_0 . It follows that in every iteration of the while loop in line 2 the conditions of line 3 are not satisfied. If the conditions of line 18 are satisfied, then the condition of line 19 is satisfied as well and if the conditions of line 18 are not satisfied then we have the case of lines 29-30. In particular, in every iteration of the while loop in line 2 $v_iw_i = w_0$ and hence the algorithm returns w_0 , as required.

Suppose that w_0 contains at least one special middle word. Let $w_0 = a_0X_0Y_0q$ where Y_0 is the left most special middle word of w_0 and $a_0, q \in A^*$. Since Y_0 is a special middle word, **WpPrefix**(q, q, Z_0)=Yes by definition and hence X_0Y_0 is a clean overlap prefix of X_0Y_0q by Lemma 6.3.7 (ii). Since Y_0 is the left most special middle word of w_0 , the algorithm begins by finding the clean overlap prefix aXY of w_0 such that $w_0 = aXYw'$. If $aXY = a_0X_0Y_0$, then (ii) holds for Y_0 . If $aXY \neq a_0X_0Y_0$, then $|aXY| < |a_0X_0Y_0|$ because otherwise X_0Y_0 would be a factor of aXY , which contradicts the fact that X_0Y_0 is a clean overlap prefix of X_0Y_0q . In addition, X_0Y_0 does not overlap with a suffix of aXY because aXY is clean. In this case, aXY satisfies the conditions of line 18 and 19 and $w_1 = w'$. Since W is assigned to be equal to ε in line 20 and since no clean overlap prefix of w' overlaps with X_0Y_0 , the same steps as in the first iteration of the while loop are repeated until $w_i = bX_0Y_0w'_i$ for some b, w'_i such that (ii) is satisfied for Y_0 .

We assume that (i) or (ii) holds for the special middle words Y_0, \dots, Y_{k-1} of w_0 for some $k \geq 1$. We will show that (i) or (ii) holds for Y_k also.

Let v_jw_j be the word equivalent to w_0 after the j -th iteration of the while loop in line 2.

Suppose that either (i) or (ii) was satisfied for Y_{k-1} during the j -th iteration of the while loop. In this case, v_j is defined in one of lines 11, 15, or 25, and in any of these cases:

$$v_j = p\overline{X''_{k-1}Y_{k-1}} \text{ and } w_j = b_k\overline{X''_kY_k}q$$

by Lemma 6.3.8 for some $p, b_k, q \in A^*$, $\overline{X''_{k-1}}$ and $\overline{X''_k}$ are suffixes of $\overline{X_{k-1}}$ and $\overline{X_k}$, respectively, and $\overline{Z_{k-1}}$ is a prefix of b_k since w_j was assigned in one of lines 12, 16 or 26. Since $\overline{Y_k}$ is a special middle word of v_jw_j it follows by definition that $\mathbf{WpPrefix}(q, q, \overline{Z_k}) = \text{Yes}$. In addition, by Lemma 6.3.8, either $\overline{X''_k} = \overline{X_k}$; or $\overline{X''_k}$ is a proper suffix of $\overline{X_k}$ and $b_k = \overline{Z_{k-1}}$. If $\overline{X''_k}$ is a proper suffix of $\overline{X_k}$ and $b_k = \overline{Z_{k-1}}$, then, since $\overline{Y_k}$ is a special middle word of v_jw_j , $\overline{X''_kY_k}q$ is either $\overline{Z_{k-1}}$ -active or Z -active for some proper complement Z of $\overline{Z_{k-1}}$ since there exists a word equivalent to v_jw_j containing $\overline{X_kY_kZ_k}$ as a factor in the obvious place. If the latter holds, then the conditions of line 3 are satisfied. In particular, we have that $W = \overline{X_{k-1}Y_{k-1}Z_{k-1}}$ and that $\overline{Z_{k-1}}$ is a prefix of w_j since v_j was assigned in one of lines 11, 15 or 25. In addition $\mathbf{WpPrefix}(q, q, \overline{Z_k}) = \text{Yes}$ since $\overline{Y_k}$ is a special middle word. Hence (i) holds for $\overline{Y_k}$. If the former holds, then the conditions of line 3 are not satisfied and since $\overline{X''_kY_k}q$ is $\overline{Z_{k-1}}$ -active it follows by definition that $z\overline{X_kY_k}$ is a clean overlap prefix of $w_j = b_k\overline{X''_kY_k}q$ for some $z \in A^*$ with $|z| < |b_k|$. Hence (ii) holds for $\overline{Y_k}$.

In the case that $X''_k = X_k$ then $w_j = \overline{Z_{k-1}c_kX_kY_k}w'$ for some $c_k \in A^*$. If the conditions of line 3 are satisfied for w_j then $c_k\overline{X_kY_k}w'$ is Z -active for some proper complement Z of $\overline{Z_{k-1}}$, $c_k\overline{X_kY_k}w' = X''_sY_s w''$ for some $w'' \in A^*$ and $\mathbf{WpPrefix}(w'', w'', Z_s) = \text{Yes}$ and hence there exist $p, q \in A^*$ such that $v_jw_j = pY_sq$ and a word $p'X_sY_sZ_sq'$ with $p'X_s \equiv p, Z_sq' \equiv q$. But this implies that Y_s is a special middle word of w_0 that occurs between Y_{k-1} and Y_k , a contradiction. It follows that in this case the conditions of line 3 cannot be satisfied. Since $w_j = \overline{Z_{k-1}c_kX_kY_k}w'$ and $\overline{Y_k}$ is a special middle word of v_jw_j , $\mathbf{WpPrefix}(w', w', \overline{Z_k}) = \text{Yes}$ and hence $\overline{X_kY_k}$ is a clean overlap prefix of $\overline{X_kY_k}w'$ by Lemma 6.3.7 (ii). It follows by the same argument applied to prove the base case that either $c_k\overline{X_kY_k}$ is a clean overlap prefix of w_j or w_j has a clean overlap prefix cXY such that $|cXY| \leq c_k$ and hence (ii) holds for Y_k . \square

Lemma 6.3.17. *Let v_i, w_i and W_i be the values of v, w and W after the i -th iteration of the while loop of line 2 in Algorithm 2. If $W_i = XYZ$ then Y is a special middle word of v_iw_i .*

Proof. All line numbers in this proof refer to **NormalForm** in Algorithm 2. The value of W_i gets assigned in one of lines 10, 14, 20 and 24. In line 24, $W \leftarrow \varepsilon$ and hence it suffices to examine the cases of lines 10, 14 and 24. In each of these cases, $W_i \leftarrow XYZ$ for some relation word XYZ and $v_i \leftarrow v'Y$ for some $v' \in A^*$. It follows that Y is a factor of v_iw_i .

We prove that Y is a special middle word of v_iw_i by induction. Assume that the k -th iteration of the while loop of line 2 is the first iteration of **NormalForm**(w_0) such that a value not equal to ε gets assigned to W_k . It follows that W_{k-1} did not satisfy the condition of line 3 and the values of W_k, v_k, w_k get assigned in lines 24, 25 and 26, respectively. Hence w_{k-1} has a clean overlap prefix aXY for some $a \in A^*$, $w_{k-1} = aXYw'$ and Z is a possible prefix of w' . It follows that Y is a factor of $v_{k-1}w_{k-1} \equiv v_{k-1}aXYZq \equiv$

$v_{k-1}aX'Y'Z'q = v_k w_k$ for $X'Y'Z'$ the lexicographically minimal equivalent of XYZ and for some $q \in A^*$. Hence, by definition, Y' is a special middle word of $v_k w_k$.

We now assume that the result holds for the first j iterations of the while loop of line 2 and assume that $m \in \mathbb{N}$ is such that the $(j+m)$ -th iteration of the while loop of line 2 is the first iteration after the j -th iteration of **NormalForm**(w_0) such that a value not equal to ε gets assigned to W_{j+m} . Then the value of W_{j+m} gets assigned in one of lines 10, 14 or 24. In the cases of lines 10 and 14, $W_{j+m-1} \neq \varepsilon$ and hence $W_{j+m-1} = W_{j-1}$ and $W_{j+m} = W_j$. It follows that $W_{j-1} = X_r Y_r Z_r$ and $v_{j-1} w_{j-1} = p Y_r q$ for some $p, q \in A^*$ and $v_{j-1} w_{j-1} \equiv p' X_r Y_r Z_r q'$ for some $p', q' \in A^*$. Since the value of W_j gets assigned in one of lines 10 and 14, w_{j-1} satisfies the conditions of line 3. In particular, $w_{j-1} = Z_r w'$, w' is $\overline{Z_r}$ -active, for $\overline{Z_r}$ a proper complement of Z_r and $\overline{Z_r} w' = a X_s Y_s w''$ and Z_s is a possible prefix of w'' . It follows that $v_{j-1} w_{j-1} \equiv p' \overline{X_r} Y_r Z_r q' = p' \overline{X_r} Y_r a X_s Y_s w''$. Since Z_s is a possible prefix of w'' , it follows that $X_s Y_s Z_s$ is a factor of a word equivalent to $v_{j-1} w_{j-1}$ and hence Y_s is a special middle word of $v_{j-1} w_{j-1}$. If the values of W_j, v_j, w_j get assigned in lines 14, 15 and 16, respectively, then $v_{j-1} w_{j-1} = v_j w_j$, $W_j = X_s Y_s Z_s$ and hence Y_s is a special middle word of $v_j w_j$. If the values of W_j, v_j, w_j get assigned in lines 10, 11 and 12, respectively, then $W \leftarrow X_t Y_t Z_t$ is a complement of $X_s Y_s Z_s$ and since Y_s is a special middle word of $v_{j-1} w_{j-1}$, it follows by Lemma 6.3.5 that Y_t is a special middle word of $v_j w_j$.

In the case of line 24 the result follows by an argument that is identical to the argument in the proof of the base case of this proof. \square

Lemma 6.3.18. *Let w_0 be the input to **NormalForm**(w_0) and let v_i with $i \geq 0$ be the value of v after the i -th iteration of the while loop in line 2. Then v_i is a prefix of the lexicographically minimal word $\min w_0$ equivalent to w_0 for every i .*

Proof. All line numbers in this proof refer to **NormalForm** in Algorithm 2. Certainly, $v_0 = \varepsilon$ is a prefix of the lexicographically least word $\min w_0$ equivalent to w_0 . Assume for $j \geq 1$ that v_{j-1} is a prefix of $\min w_0$. We will show that v_j is also a prefix of $\min w_0$. Since v_{j-1} is a prefix of $\min w_0$, the special middle words in v_{j-1} are the initial k special middle words in $\min w_0$ for some k . The value of v_j is assigned in one of lines 11, 15, 21, 25, and 29 and in every case v_{j-1} is a prefix of v_j . We consider each of these cases separately.

line 11: If v_j is defined in line 11, then the conditions of lines 3, 4 and 9 are satisfied. Since the conditions of line 3 are satisfied, $W_{j-1} = X_r Y_r Z_r$ and by Lemma 6.3.17, Y_r is a special middle word of $v_{j-1} w_{j-1}$. Since the value of W_{j-1} is assigned such that Y_r is a suffix of v_{j-1} , it follows that $Y_r = Y_{k-1}$. In addition, since the value of W_j gets assigned in line 10, it follows by Lemma 6.3.17 that $Y_s = Y_k$ in line 3 and $Y_t = \overline{Y_k}$ in line 11. It suffices by Lemma 6.3.13 to prove that the special middle word $\overline{Y_k}$ in v_j is equal to the complement of $\overline{Y_k}$ in $\min w_0$.

Since $\min w_0 \equiv v_j w_j$, it follows that $\min w_0 \leq v_j w_j$. If the $k + 1$ -th special middle word $\overline{Y_k}$ in $\min w_0$ is not $\overline{Y_k}$, then $\min w_0 < v_j w_j$, and so, by Lemma 6.3.15, $\overline{X_k Y_k Z_k} <$

$\overline{X_k Y_k Z_k}$. If a is the suffix of $\overline{Z_{k-1}}$ given in line 3, then $\overline{X_k Y_k Z_k}$ is chosen in line 5 to be the least complement of $X_k Y_k Z_k$ with prefix a . Seeking a contradiction we will show that $\overline{X_k Y_k Z_k}$ also has a prefix a . In order to accomplish this, we show that $\min w_0$ and $v_j w_j$ satisfy the assumption of Lemma 6.3.14. In other words, we will show that $v_j w_j = p Y_{k-1} Z_{k-1} \overline{X''_k Y_k} q$ for some $p, q \in A^*$ and some suffix $\overline{X''_k}$ of $\overline{X_k}$.

The word v_{j-1} was defined to be $v' Y_{k-1}$ for some v' . Since the condition in line 3 holds, $w_{j-1} = Z_{k-1} w'$ for some w' , and $\overline{Z_{k-1}} w' = b X_k Y_k w''$ for some $b \in A^*$ such that $|b| < |\overline{Z_{k-1}}|$. This implies that $X_k = a X''_k$ where a is the suffix of $\overline{Z_{k-1}}$ given in line 3, and X''_k is a prefix of w' . Hence, since w' is Z_{k-1} -active, $w' = X''_k Y_k w''$ and so

$$v_{j-1} w_{j-1} = v' Y_{k-1} Z_{k-1} w' = v' Y_{k-1} Z_{k-1} X''_k Y_k w'',$$

and

$$v_j w_j = v' Y_{k-1} Z_{k-1} \overline{X''_k Y_k} q$$

for some $q \in A^*$. Hence, by Lemma 6.3.14, the word a is a prefix of both $\overline{X_k}$ and $\overline{X''_k}$, giving the required contradiction.

line 15: Similar to the case of line 11, $W_{j-1} = X_{k-1} Y_{k-1} Z_{k-1}$, $v_j = v_{j-1} Z_{k-1} X''_k Y_k$, and we must show that Y_k is in $\min w_0$. If the conditions of line 3 are satisfied but the conditions of line 4 are not satisfied, then $X_k Y_k Z_k$ is the lexicographically minimum relation word with prefix a . If $\min w_0$ does not contain Y_k , then it contains a proper complement $\overline{Y_k}$. As in the previous case, $v_j w_j = v' Y_{k-1} Z_{k-1} \overline{X''_k Y_k} q$, and so by Lemma 6.3.14 (applied to $v_j w_j$ and $\min w_0$) the word a is a prefix of both X_k and $\overline{X_k}$. Thus it follows by Lemma 6.3.15 that $\overline{X_k Y_k Z_k} < X_k Y_k Z_k$. But in this case $\overline{X_k Y_k Z_k}$ is a proper complement of $X_k Y_k Z_k$ that has prefix a and the condition of line 4 is satisfied, a contradiction.

If the conditions of lines 3 and 4 are satisfied but the condition of line 9 is not satisfied, then $\mathbf{WpPrefix}(w_0, v_{j-1} Z_{k-1} \overline{X''_k Y_k} Z_k t, \varepsilon) = \text{No}$. Hence no word equivalent to w_0 contains Y_{k-1} and a proper complement $\overline{Y_k}$ of Y_k where $\overline{X_k Y_k Z_k}$ has prefix a . But, by Lemma 6.3.14, every word equivalent to w_0 that contains Y_{k-1} and a proper complement of Y_k , has the property that the proper complement of Y_k is the middle word of a relation word with prefix a . Hence no word equivalent to w_0 contains both Y_{k-1} and a proper complement of Y_k . In particular, $\min w_0$ contains Y_k , as required.

line 21: In this case, $v_j = v_{j-1} aXY$, $w_{j-1} = aXYw'$ and $\mathbf{WpPrefix}(w', w', Z) = \text{No}$. It follows that Y is not a special middle word of $v_{j-1} w_{j-1}$. By assumption v_{j-1} is a prefix of $\min w_0$ and by Lemma 2.1.4 aXY is a prefix of all words equivalent to w_{j-1} . It follows that $v_j = v_{j-1} aXY$ is a prefix of $\min w_0$.

line 25: In this case, $v_j = v_{j-1} a\overline{XY}$, $w_{j-1} = aXYw'$ and $\mathbf{WpPrefix}(w', w', Z) = \text{Yes}$. It follows that there exists a word equivalent to $v_{j-1} w_{j-1}$ containing XYZ as a factor and hence Y is a special middle word of $v_{j-1} w_{j-1}$. Since v_{j-1} contains the initial k special middle words of $\min w_0$ and Y is a special middle word occurring after Y_{k-1} , it follows

that $Y = Y_k$. In this case, $v_j = v_{j-1}a\overline{X_k Y_k}$ and by Lemma 6.3.13 it suffices to show that $\overline{Y_k}$ is a factor of $\min w_0$. In this case, $w_{j-1} = b_k X_k Y_k w'$ and Z_k is a possible prefix of w' . By Lemma 6.3.15, $\min w_0$ must contain the middle word in $\min X_k Y_k Z_k$. Hence $\min w_0$ contains $\overline{Y_k}$, as required.

line 29: In this case, neither of the conditions in lines 3 or 18 are satisfied. Since the condition of line 18 is not satisfied, w_{j-1} contains no relation words as factors and it is only equivalent to itself. Hence $v_j = v_{j-1}w_{j-1}$ is the normal form of w_0 . \square

The proof of the correctness of **NormalForm** is concluded in the following proposition.

Proposition 6.3.19. *If $w_0 \in A^*$ is arbitrary, then the word v returned by **NormalForm**(w_0) is the lexicographical least word equivalent to w_0 .*

Proof. All line numbers in this proof refer to **NormalForm** in Algorithm 2. In Corollary 6.2.2 it is shown that the word returned by **NormalForm** is equivalent to w_0 . We will use the same notation as in the proof of Corollary 6.2.2; v_i, w_i will be used to denote the values of v and w after the i -th iteration of the while loop in line 2.

In Lemma 6.3.16, we showed that for every special middle word Y_k in w_0 there exists an i such that v_i contains a complement of Y_k . Since v_i is a proper prefix of v_{i+1} for every i , it follows that eventually v_i contains a complement of every special middle word in w_0 . In Lemma 6.3.18, we showed that v_i is a prefix of $\min w_0$ for all i . Together these two statements imply that when **NormalForm** terminates, the middle words in v_i coincide with the special middle words in $\min w_0$ and hence v_i is the lexicographically least word equivalent to w_0 by Theorem 6.3.4. \square

6.4. Complexity

In this section we analyze the complexity of **NormalForm**. Throughout this section we suppose that the maximal piece prefix X , suffix Z , and middle word Y has been computed already for every relation word in the given presentation $\langle A|R \rangle$. The time complexity for doing this is discussed in Section 3. As such we do not include the complexity of determining that the presentation satisfies $C(4)$, nor that of finding the X , Y , and Z , in the statements in this section. We start with two results regarding the complexity of finding a clean overlap prefix for a word w and deciding if a word w is p -active for a piece p . Finally, we show that for a given $C(4)$ presentation $\langle A | R \rangle$, the complexity of **NormalForm**(w) is $O(|w|^2)$ where $w \in A^*$ is the input.

Lemma 6.4.1. *If $w \in A^*$ is arbitrary, then the clean overlap prefix of w , if any, can be found in time linear to the length of w .*

Proof. Let M denote the number of relation words and let δ be the length of the longest relation word in our $C(4)$ presentation. According to Lemma 7 in [8] to check if a word

v has a clean overlap prefix of the form $X_i Y_i$ where $X_i Y_i Z_i = W_i$, $1 \leq i \leq r$ it suffices to check if v' has a clean overlap prefix of this form, where v' is a prefix of v such that $|v| = 2\delta$.

Hence, in order to find the clean overlap prefix of w that has the form $sX_i Y_i$ for $s \in A^*$ it suffices to check at most $|w|$ suffixes of w for clean overlap prefixes of the form $X_i Y_i$. This can be done in $O(|w|)$ time. \square

Lemma 6.4.2. *If $w \in A^*$ is arbitrary and p is a piece, then deciding if w is p -active takes constant time.*

Proof. Again, let M denote the number of relation words and let δ be the length of the longest relation word in our $C(4)$ presentation. According to Lemma 7 in [8] it suffices to check if w' is p -active, where w' is a prefix of w of length 2δ . Since p is a piece, then clearly $|p| < \delta$. A string searching algorithm, such as, for example, Boyer-Moore-Horspool [5], can check if there exists some i , $1 \leq i \leq M$ such that the factor $X_i Y_i$ occurs in pw' before the end of p . This takes $O(M\delta|pw'|) = O(3M\delta^2)$ time. \square

Proposition 6.4.3. *The complexity of **NormalForm** is $O(|w_0|^2)$ where $w_0 \in A^*$ is the input, given that the decompositions of the relation words in the presentation into XYZ are known.*

Proof. Let $\langle A | R \rangle$ be the presentation, let M be the number of distinct relation words in R and let δ be the length of the longest relation word in R . We have already shown that the while loop of line 2 will be repeated at most $|w_0|$ times. We analyze the complexity of each step of the procedure in the loop.

In line 3 the algorithm tests if the word w' is $\overline{Z_r}$ -active for $\overline{Z_r}$ some complement of Z_r in constant time. In addition, checking if $Z_r \neq \overline{Z_r}$ requires comparing at most δ characters. Finding the suffix a of $\overline{Z_r}$ such that $aw' = X_s Y_s w''$ also requires checking at most δ characters, hence these checks can be performed in constant time.

In lines 3,9 and 19, **WpPrefix**(u, v, p) is called. According to [8], the algorithm can be implemented with execution time bounded above by a linear function of the length of the shortest of the words u and v . Since every time **WpPrefix**(u, v, p) is called either $u = w_0$ or u is a suffix of some word equivalent to w_0 , this step can be executed in $O(\delta|w_0|)$ time.

In lines 4-5 we search for proper complements of $X_s Y_s Z_s$ that have the prefix a . Since a is a piece, this step also requires constant time.

In line 9 the algorithm finds the suffix b of $\overline{X_s}$ such that $\overline{X_s} = ab$ and the suffix t of **ReplacePrefix**(w'', Z_s) that follows Z_s . This is also done in constant time since a and Z_s are pieces.

In lines 9, 16 and 26 Algorithm 1 is called. Each time, Algorithm 1 takes as input a suffix of some word s equivalent to w_0 . Since $|s| < \delta|w_0|$, this step can be completed in $O(\delta|w_0|)$ time.

In lines 5 and 25 we search for the lexicographically minimal complement of some relation word $X_i Y_i Z_i$. Clearly, this check can be done by comparing at most δ characters M times, hence it is constant for a given presentation.

In line 18 the algorithm finds the clean overlap prefix of w . As shown in Lemma 6.4.1, this can be done in $O(|w|)$ time. Since w is always a suffix of some word equivalent to w_0 , this step can be executed in $O(\delta|w_0|)$ time.

In lines 11-12, 15, 21-22, 25-26 and 29 Algorithm 2 concatenates v with a word of length at most $\delta|w_0|$ and deletes a prefix of length at most $\delta|w_0|$ from w . Hence these steps require at most $2\delta|w_0|$ time. \square

We end the paper with an example of the application of **NormalForm** to specific $C(4)$ presentation.

Example 6.4.4. Let

$$\langle a, b, c, d \mid ab^3a = cdc \rangle$$

be the presentation and let $w_0 = cdcdcab^3ab^3ab^2cd$. The set of relation words of the presentation is $\{ab^3a, cdc\}$ and each relation word has a single proper complement. The set of pieces of \mathcal{P} is $P = \{\varepsilon, a, b, c, b^2\}$. Let $W_0 = ab^3a$, $W_1 = cdc$. Clearly, $X_{W_0} = a$, $Y_{W_0} = b^3$, $Z_{W_0} = a$ and $X_{W_1} = c$, $Y_{W_1} = d$, $Z_{W_1} = c$.

Algorithm 2 begins with $v \leftarrow \varepsilon$, $W \leftarrow \varepsilon$ and $w \leftarrow cdcdcab^3ab^3ab^2cd$. Since $u = \varepsilon$ the conditions of line 3 are not satisfied. The word w has a clean overlap prefix $X_{W_1} Y_{W_1} = cd$ followed by $Z_{W_1} = c$ hence **WpPrefix**($cdcab^3ab^3ab^2cd, cdcab^3ab^3ab^2cd, c$) returns Yes and **ReplacePrefix**($cdcab^3ab^3ab^2cd, c$) returns $cdcab^3ab^3ab^2cd$. Since $W_0 < W_1$, $v \leftarrow X_{W_0} Y_{W_0} = ab^3$, $w \leftarrow adcab^3ab^3ab^2cd$ and $W \leftarrow ab^3a$ in lines 24-26.

Now $W = ab^3a$, w begins with $Z_{W_0} = a$, $w' = dcab^3ab^3ab^2cd$ is $\overline{Z_{W_1}}$ -active and the prefix $Y_{W_1} = d$ of w' is followed by $Z_{W_1} = c$, hence the conditions in line 3 are satisfied. In addition, $ab^3a < cdc$ but X_{W_0} and X_{W_1} do not have a common prefix. Hence, in lines 14-16 $v \leftarrow ad$, $w \leftarrow \mathbf{ReplacePrefix}(cab^3ab^3ab^2cd, c) = cab^3ab^3ab^2cd$ and $W \leftarrow cdc$.

At this point, $W = cdc$ and $w = cab^3ab^3ab^2cd$ begins with $Z_{W_1} = c$ but $ab^3ab^3ab^2cd$ is not Z_{W_0} -active. The word w has the clean overlap prefix cab^3 that is followed by a , hence in lines 24-25, $v \leftarrow vcb^3$, $w \leftarrow \mathbf{ReplacePrefix}(ab^3ab^2cd, a) = ab^3ab^2cd$ and $W \leftarrow ab^3a$.

Next, $W = ab^3a$ and $w = ab^3ab^2cd$ begins with Z_{W_0} and b^3ab^2cd is not Z_{W_1} -active but it has the clean overlap prefix ab^3 . The clean overlap prefix is followed by a , hence in lines 24-25 $v \leftarrow vab^3$, $w \leftarrow ab^2cd$ and $W \leftarrow ab^3a$.

Finally, $W = ab^3a$, $w = ab^2cd$ begins with Z_{W_0} but b^2cd is not Z_{W_1} -active. Now w has the clean overlap prefix ab^2cd that is followed by ε , hence in line 19 **WpPrefix**($\varepsilon, \varepsilon, c$) returns No and in lines 20-22 $v \leftarrow vab^2cd$, $W \leftarrow \varepsilon$ and $w \leftarrow \varepsilon$. Since $w = \varepsilon$, the algorithm returns $v = ab^3adcab^3ab^3ab^2cd$.

Next, we will apply **NormalForm**, to find the normal form of $w_0 = cdab^3cdc$. We begin with $v \leftarrow \varepsilon$, $W \leftarrow \varepsilon$ and $w \leftarrow cdab^3cdc$. Since $W = \varepsilon$ we do not have the

case of line 3. The word w has the clean overlap prefix cd of the form $X_{W_1}Y_{W_1}$ and $\mathbf{WpPrefix}(ab^3cdc, ab^3cdc, c) = \text{Yes}$, and $\mathbf{ReplacePrefix}(ab^3cdc, c)$ returns $cdcb^3a$. In lines 24-26 $v \leftarrow ab^3$, $w \leftarrow adcb^3a$ and $W \leftarrow ab^3a$.

For this iteration, $u = ab^3a$ and w begins with $Z_{W_0} = a$, $dc b^3a$ is Z_{W_1} -active and clearly $\mathbf{WpPrefix}(cb^3a, cb^3a, c) = \text{Yes}$. In addition, $ab^3a < cdc$ but X_{W_0} and X_{W_1} do not have a common prefix, hence in lines 14-16 $v \leftarrow vad$, $w \leftarrow \mathbf{ReplacePrefix}(cb^3a, c) = cb^3a$ and $W \leftarrow cdc$.

At this stage, $W = cdc$, $w = cb^3a$ begins with $Z_{W_1} = c$ and b^3a is Z_{W_0} -active but X_{W_0} and X_{W_1} do not have a common prefix hence $v \leftarrow cb^3$, $w \leftarrow \mathbf{ReplacePrefix}(a, a) = a$, $W \leftarrow ab^3a$ in lines 14-16.

Finally, a begins with Z_{W_0} but clearly ε is not Z_{W_1} -active. Also a does not have a clean overlap prefix and in lines 29-30 $v \leftarrow va$, $w \leftarrow \varepsilon$ and the algorithm returns $v = ab^3adcb^3a$.

Data availability

No data was used for the research described in the article.

Acknowledgments

The second author would like to thank the School of Mathematics and Statistics of the University of St Andrews and the Cyprus State Scholarship Foundation for their financial support. The authors would also like to thank Mark Kambites for his correspondence on the topic of this paper, and the anonymous referee for their comments that helped to improve the paper.

Appendix A. Supplementary material

Supplementary material related to this article can be found online at <https://doi.org/10.1016/j.jalgebra.2023.04.019>.

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