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3 **The 'Avoid the Empty Cup' Hypothesis Does not Explain Great Apes' (*Gorilla gorilla*, *Pan paniscus*,**
4 ***P. troglodytes*, *Pongo abelii*) Responses in two 3-Cup 1-Item Inference by Exclusion Tasks**

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Abstract

20 In the 2-cup 1-item task, subjects are shown a food item which is then hidden inside one of two
21 cups. Several species spontaneously select above chance the baited cup if shown that one of the
22 cups is empty. Although this response may indicate inference by exclusion (if not A, then B), another
23 possibility is that subjects simply avoid choosing the empty cup, not because they expect the food to
24 be in the other cup, but because they know that cup to be empty. I tested whether this hypothesis
25 explains great apes' responses in a 3-cup 1-item task. Subjects saw three opaque cups on a platform
26 with two of them located behind a barrier during baiting. After baiting one of the cups behind the
27 barrier, I revealed the identity of the empty cup that had been located behind the barrier
28 (Experiment 1) or revealed the contents of the center cup (baited in half of the trials), but always
29 removed it before the subjects' choice (Experiment 2). In Experiment 1, subjects preferentially
30 selected the baited cup even though one of the other two cups had not been shown to be empty. In
31 Experiment 2 subjects' preference for the cup that had been located behind the barrier during
32 baiting was modulated by the contents of the removed cup. These results suggest that expectations
33 about the food's location, not just the sight of the empty cup, as postulated by the 'avoid the empty
34 cup' hypothesis, determine apes' responses in the 3-cup 1-item task.

35 Keywords: inference by exclusion, proto-logic, object permanence, expectations, object
36 search, disjunctive syllogism

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39 **The 'Avoid the Empty Cup' Hypothesis Does not Explain Great Apes' (*Gorilla gorilla*, *Pan paniscus*,**
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41 Understanding the psychological processes guiding animals' responses when confronted
42 with a novel problem that requires an immediate solution is a major undertaking of comparative
43 psychology. Broadly speaking, learning and reasoning are the two main forms of adaptation that
44 have been postulated to explain the emergence and acquisition of novel responses in problem-
45 solving situations (Tolman, 1932; Maier & Schneirla, 1935). A key distinction between them is that
46 learning relies heavily on associative processes while reasoning relies on inferential processes.

47 Associative processes require co-presence of cues, responses and outcomes (Premack &
48 Premack, 1994). A gradual improvement over time is one of its main signatures, although
49 acquisition can be speeded up when the problem format is presented repeatedly as in learning sets
50 (Harlow, 1949), or when it relies on more specialized forms of learning such imprinting or taste
51 aversion (Sluckin, 1965; Garcia & Koelling, 1966). All other forms of associative learning are thought
52 to be governed by general principles whose main attraction is that they can be applied to a variety of
53 stimuli and responses.

54 In contrast, inferential processes consist of connecting stimuli and responses despite
55 substantial spatio-temporal gaps between them or combining them to produce novel solutions
56 (Köhler, 1925; Premack & Premack, 1994). This means that inferential processes can produce fully
57 formed responses on the very first trial. In fact, this feature is one of the main signatures used to
58 distinguish learning from reasoning. A point of contention over the years is that inference also
59 requires experience – a fact that some learning theorists have used to attempt to reduce inference
60 to associative processes, effectively equating the kinds of transfer that one sees in associative
61 learning tasks with those observed in inference tasks. Without denying the role that experience
62 plays in inference and problem solving (see Köhler, 1969), it is important not to conflate experience
63 that fuels inferences (often gathered outside the testing situations under different motivational
64 control; see Tolman, 1932) with that obtained while trying to solve the task. More importantly, and
65 leaving theoretical considerations aside, there is ample evidence showing that associative processes
66 fall short of explaining certain responses.

67 Searching for hidden objects and tracking their invisible displacements is one of the areas
68 that produced some of the most compelling comparative evidence for various forms of inferential
69 reasoning (see Voelter & Call, 2017, for a review). Much of this body of work is grounded on the
70 concept of object permanence (Piaget, 1954), more precisely, on the spatio-temporal properties that
71 determine the very existence of objects and how spatial transformations affect them (e.g., objects
72 persist despite visual occlusion, objects do not continue to exist in their original location after they
73 have moved to another location). An organism capable of using such properties during object search
74 can substantially improve its search efficiency, for instance, by not even trying those responses that
75 are incompatible with the spatio-temporal parameters of the testing setup. In one of the simplest
76 tests of inference by exclusion, the so-called 2-cup, 1-item visual task (henceforth cup task), the
77 experimenter hid a food item inside one of two cups located behind a barrier while apes watched
78 (Call, 2004, see also Grether & Maslow 1937, for a precursor of this task). Upon removal of the
79 barrier, the experimenter showed that one of the cups was empty and allowed the subject to choose
80 between the two cups. Subjects preferentially selected the baited cup. According to an object
81 permanence account, the subjects picked the unopened cup because having seen the food
82 disappear behind the barrier during baiting, and not finding it in the cup opened by the
83 experimenter, they expect to find it in the alternative cup. Its easy implementation and ecological
84 relevance have made this task a popular choice to investigate inference by exclusion in primates
85 (e.g., Call, 2004; De Petrillo & Rosati, 2020; Hill et al., 2011; Marsh et al., 2015; Sabbatini &
86 Visalberghi, 2008; Paukner et al., 2009; Schmidt & Fischer, 2009) and non-primate species including
87 goats, dogs, pigs, elephants, corvids and parrots (Bräuer et al., 2006; Erdőhegyi et al., 2007; Jelbert
88 et al., 2015; Mikolasch et al., 2011, 2012; Nawroth & von Borell, 2015; Nawroth et al., 2014;
89 Pepperberg et al., 2013; Plotnik et al., 2014; Schloegl, 2011; Schloegl et al., 2009; Schloegl et al.,
90 2012; Shaw et al., 2013). Despite its simplicity, the task has revealed substantial inter-individual and
91 inter-specific differences with great apes, monkeys and African grey parrots generally outperforming
92 other species. Moreover, at least for great apes, inter-individual variation in performance on this
93 task seems to be associated with performance on other tasks thought to measure inference
94 (Herrmann & Call, 2012).

95 However, there is an alternative explanation for selecting the alternative (baited) cup that
96 does not require inference. Instead of expecting the reward in the unopened cup, as predicted by
97 object permanence, subjects might have used a heuristic based on simply avoiding the cup that they
98 *have seen* to be empty without necessarily expecting the food item inside the alternative cup, which
99 is known as the ‘avoid the empty cup’ hypothesis. As far as I know, this hypothesis was first
100 formulated by Paukner, Anderson and Fujita (2006) in a metacognition study that also tested
101 inferences in capuchin monkeys, and it has been discussed and further elaborated in subsequent
102 work (e.g., Mody and Carey, 2016; Paukner et al., 2009; Penn & Povinelli, 2007; Schmidt & Fischer,
103 2009). Researchers have proposed heuristics based on particular procedural manipulations as
104 alternative explanations to true object permanence with some regularity (see Jaakkola, 2014, for a
105 review). For instance, choosing the first or the last cup touched by the experimenter has commonly
106 been evaluated as an alternative explanation to true object permanence in sequential invisible
107 displacements (e.g., De Blois et al., 1998; Collier-Baker & Suddendorf, 2006). Although some of these
108 heuristics seem to explain some of the results in some species (e.g., Collier-Baker et al., 2004; Deppe
109 et al., 2009), they do not explain other results (Collier-Baker & Suddendorf, 2006; Pepperberg, 2015;
110 but see Jaakkola, 2015).

111 Focusing solely on the results of the 2-cup task, the ‘avoid the empty cup’ hypothesis is
112 entirely viable. Neither the original data, nor those in subsequent studies, can distinguish the ‘avoid
113 the cup that has been shown to be empty’ from the ‘expect the food in the alternative cup’
114 hypotheses. However, three pieces of indirect evidence suggest that merely avoiding the empty cup
115 without forming expectations about the contents of the alternative cup might not be entirely
116 plausible, at least for some species. First, dogs, apes, parrots and macaques encode not just the
117 location but also the type of item that is hidden in that location (Braeuer & Call, 2011; Mendes et al.,
118 2008; 2011; Pepperberg et al., 1997, 2013; Phillips & Santos, 2007; Tinklepaugh, 1928, 1932). More
119 specifically, subjects search more often and/or longer the original container if what they recover is
120 different from what they observed being deposited in that container, suggesting that they had
121 formed an expectation about the container’s contents. Second, apes and parrots can find an item
122 above chance levels after multiple stage 6 invisible displacements involving three cups, even though

123 some cups are not visited and crucially, none of the cups are shown to be empty (e.g., Collier-Baker
124 & Suddendorf, 2006; de Blois et al., 1998; Pepperberg et al., 1997). This makes the use of the 'avoid
125 the empty cup' heuristic moot (see Schmidt & Fischer, 2009). Third, apes and African grey parrots
126 have passed the most complex forms of inference that require encoding both the type of item and
127 the location where it is placed (Call, 2006; Pepperberg et al., 2013; Premack & Premack, 1994). For
128 instance, if subjects see a grape go under cup A and a banana under cup B, and behind a screen the
129 experimenter removes the banana and discards it while the subject watches, subjects pick the cup
130 holding the grape even though they have not seen the experimenter remove the banana from one of
131 the cups. Here again, the subjects do not see any empty cup, just two upside down cups on a
132 platform and they have to infer which one is still baited. Moreover, control conditions show that
133 subjects do not solve this task by learning a conditional discrimination (Call, 2006; Pepperberg et al.,
134 2013).

135 But indirect evidence, no matter how suggestive, is insufficient to conclusively refute the
136 'avoid the empty cup' hypothesis. Paukner et al. (2009) formally tested this hypothesis by presenting
137 capuchin monkeys with three opaque cups, but before they could choose one of them, they showed
138 the monkeys that one cup was empty. The other two cups were baited but monkeys did not know
139 because they had neither witnessed the baiting nor seen the contents of the baited cups. Paukner
140 et al. (2009) found that monkeys did indeed avoid the empty cup under such conditions -a finding
141 that they interpreted as evidence that avoiding the empty could explain monkeys' performance in
142 the inferential cup task that they also administered. However, Paukner's et al. (2009) test is not
143 diagnostic of inference because monkeys were never shown that food might be hidden in any of the
144 cups, and consequently, they might or might not have formed an expectation about food being
145 inside one of the cups. Without this expectation (which was also missing in Grether and Maslow,
146 1937), this is not a test of inference, it is a test of memory which shows that monkeys remember
147 that the empty cup is indeed empty. In other words, although avoiding the empty cup in the cup
148 task does not necessarily constitute evidence of inference, avoiding the empty when no expectation
149 has been formed does not constitute evidence for a lack of inference either.

150 My goal in this study is to directly test the idea that all that apes are doing in the cup task is
151 to avoid the empty cup without forming an expectation about the contents of the other cup. To this
152 end, I conducted two experiments grounded on the concept of object permanence, with
153 expectations about food location playing a key role. Subjects faced three opaque cups on a platform
154 with two of them located behind a barrier. The experimenter showed a food item to the subject and
155 baited one of the two cups behind the barrier. Thus, the subject knew that food had disappeared
156 behind the barrier but did not know under which cup it had been placed. After removing the barrier,
157 the experimenter showed the contents of one of the cups that had been located behind the barrier.
158 In Experiment 1, this cup was always empty and then subjects had to choose between three cups:
159 the cup that had just been shown to be empty and two other cups, one that had been behind the
160 barrier during baiting and one that had not. Unlike previous studies, this experiment offered two
161 alternatives to the lifted (empty) cup, instead of just one, thus testing whether subjects showed a
162 preference for the cup that was behind the barrier when baiting took place, a prediction that the
163 'avoid the empty cup' hypothesis does not make. In Experiment 2, the lifted cup was baited in half
164 of the trials but it was always removed from the platform (including its contents if baited) before
165 subject could choose between the two remaining cups. In other words, this experiment tested
166 whether the preference for the cup that had been located behind the barrier during baiting was
167 modulated by the contents of the removed cup, once again, a prediction that the 'avoid the empty
168 cup' hypothesis does not make. To test the idea that inference rather than learning some heuristic
169 was responsible for the subjects' responses, I also tested whether subjects were capable of solving
170 the task based on observable heuristics (such as the experimenter touching some cups but not
171 others prior to the subject's choice) rather than object knowledge.

172 **Experiment 1**

173 The 'avoid the empty cup' hypothesis postulates that subjects in inference by exclusion tasks with
174 one alternative besides the empty cup, choose the baited container, not because they expect it to be
175 baited but because they are avoiding the empty cup. The experimental condition in the current task,
176 offered not one, but two alternative cups to the empty cup. The only difference between the two
177 alternatives is that one of them was placed behind the barrier (next to the empty cup) when the

178 baiting took place. Based on object permanence, I predicted that subjects would show a preference
179 for the alternative cup that had been behind the barrier, a result that the 'avoid the empty cup'
180 hypothesis does not predict. If subjects expected that the food that they saw move behind the
181 barrier (but that they never saw go into any of the two cups) should still be there, I predicted that
182 they would select the cup that was behind the barrier when the baiting took place.

183 The control condition in this experiment was designed to check whether subjects' responses
184 were based on experimenter-given cues or the use of a heuristic based on the presence of the
185 barrier and touching (or not touching one of the alternative cups). Crucially, the control condition
186 was solvable by combining two heuristics: pick the cup that was behind the barrier *and* was touched
187 before the choice. I chose these cues because they are the kinds of cues that are often invoked as
188 alternatives to object knowledge in object permanence tasks including the current experimental
189 condition. Subjects could learn to use these cues over trials, or if they had some pre-existing
190 preference for them, use them starting on trial 1. If subjects used those arbitrary cues (or any
191 other? experimenter-given cues) to find the food, they should be equally successful in the
192 experimental and control conditions.

193 **Method**

194 **Subjects**

195 Twenty-three great apes housed at the Wolfgang Köhler Research Center in Leipzig Zoo
196 (Germany) participated in the study (see Table 1). They included six bonobos (*Pan paniscus*), six
197 chimpanzees (*Pan troglodytes*), four gorillas (*Gorilla gorilla*) and seven orangutans (*Pongo abelii*).
198 There were 17 females and six males ranging from 5 to 36 years of age ($M = 16.2$, $SD=8.6$). Seven
199 subjects were nursery-reared, 14 mother-reared and two (the oldest ones) had unknown rearing
200 histories. All subjects lived in social groups of various sizes with access to indoor and outdoor areas
201 that included natural vegetation, trees and other climbing structures to increase vertical space.
202 Subjects were individually tested between July 2009 and April 2010 in their indoor cages and they
203 were not food- or water-deprived. Research protocols strictly adhered to the legal requirements of
204 Germany and it was approved by the ethics committee of the Max Planck Institute for Evolutionary

205 Anthropology and the Leipzig Zoo. I followed all applicable international, national and institutional
206 guidelines concerning behavioral (i.e., non-invasive) research with nonhuman primates.

207 -----

208 Table 1

209 -----

210 **Materials**

211 The apparatus consisted of a sliding platform (80 cm x 40 cm) mounted on a frame attached
212 to the cage mesh. Three identical blue opaque cylindrical flower pots (10 cm in diameter x 12 cm in
213 height) and three white paper cup bases (5 cm in diameter x 2 cm in height) were placed on the
214 sliding platform. The paper cup bases could hold a highly preferred food item (banana slice or
215 pellet) and they were covered by the blue cups. The combination of cup and paper cup was used to
216 minimize the possibility that subjects might be able to see the food under the blue cups if no paper
217 bases were present. A cardboard barrier (50 cm x 30 cm) placed in front of the cups allowed the
218 experimenter to bait the cups outside of the subject's view. The three cups on the platform were
219 aligned and each occupied the left, center and right side positions on the platform flush against the
220 platform's front edge farthest away from the experimenter. When the barrier was placed on the left
221 side of the platform, it blocked the subject's view of the left and center cups while when placed on
222 the right side, it blocked the subject's view of the right and center cups (see Figure 1).

223 **Procedure**

224 Subjects were tested individually in their testing or sleeping rooms. They received one
225 experimental session and one control session. In the experimental condition the experimenter sat
226 behind the platform facing the subject. He placed the three paper cup bases on the platform and
227 covered them with the blue cups in an upside down position. Then he placed the barrier on the
228 platform between the cups and the subject, either on the left side (blocking the left and center cups)
229 or the right side (blocking the center and right cups). The experimenter then showed a food item to
230 the subject and hid it under one of the two cups behind the barrier. The experimenter always lifted

231 both cups (left to right) behind the barrier and deposited the food item under one of them. Upon
232 completing the baiting, the experimenter removed the barrier and lifted the empty cup that was
233 behind the barrier. In half of the trials this meant that the center cup was lifted and in the other half
234 the side cup was lifted. After making sure that the subject saw that the lifted cup was empty, the
235 experimenter placed it back in its original position. The control condition was identical to the
236 experimental condition except that the experimenter did not lift the empty cup. Then the
237 experimenter closed his eyes when he pushed the platform forward and opened his eyes as soon as
238 the platform hit the mesh. By that time, subjects had typically already selected one of the cups. If
239 they had not, the experimenter continued to face forward and down until the subject made a
240 choice. I considered a choice as the first cup the subject touched or pointed to. If subjects pointed
241 to the baited cup, they received its contents but if they pointed to one of the empty cups they
242 received nothing.

243 -----

244 Figure 1

245 -----

246 In half of the trials of the experimental and control conditions (touch trials), the
247 experimenter placed each of his hands simultaneously on the baited cup and the empty cup that had
248 been outside the barrier during baiting and removed them after 2-3 seconds right before pushing
249 the platform forward. This manipulation allowed for testing the use of combined heuristics and
250 ensured that the subjects' attention had been drawn to all three cups at some point during the
251 presentation. In the other half of the trials (non-touch trials), the experimenter did not touch any of
252 the cups before pushing the platform forward. Non-touch trials are the usual way to present the 2-
253 cup 1-item task and they served to assess whether subjects would preferentially select those cups
254 that had been behind the barrier during baiting (in the absence of touching) and crucially, they
255 served to assess the effect of the experimenter touching two of the cups before letting subjects
256 choose.

257 Subjects received one experimental and one control session in that order separated by at
258 least one day (range 1-11 days). I did not counterbalance the order of the sessions to be able to test
259 all subjects with the inference condition first, without any potential carry over from the control
260 condition. Each session consisted of 12 trials, six touch and six non-touch, presented in blocks of six
261 consecutive trials within the session and counterbalanced across subjects. Additionally, the
262 experimenter counterbalanced within a session whether 1) the barrier was placed on the left or right
263 side and 2) the baited cup was center or side. Within a session, the food item never appeared in the
264 same position for more than two consecutive trials.

265 **Data coding and analysis**

266 All trials were videotaped and scored in real time on a coding sheet. The dependent variable
267 was the cup selected by the subject. Inter-observer reliability on the dependent variable based on
268 26% of the trials coded by an independent observer was excellent ($\kappa=0.979$, $N=144$). I analysed
269 whether the subjects' choices varied as a function of condition (experimental, control) and touching
270 the non-lifted cups before the subjects' choice (yes/no) and the position of the baited cup (center /
271 side). When the baited cup ended up in the center location, two cups whose contents had not been
272 revealed were adjacent (the lifted cup invariably appeared on one of the sides). In contrast, when
273 the baited cup ended up in one of the side locations, two cups whose contents had not been
274 revealed were non-adjacent (the lifted cup invariably appeared on the center location).

275 I used a Generalized Estimating Equations (GEE) with binomial error structure and logit link
276 function to test the effect of Species (bonobo, chimpanzee, gorilla, orang-utan), Condition
277 (experimental, control), Touched cups before choice (yes, no), Baited location (center, side) and Trial
278 number on the trial-by-trial choices directed at the baited cup. I entered trial number as co-variate,
279 the rest of the factors as fixed effects and subject ID as the subject variable. I checked the model's
280 fit by comparing the Quasilikelihood under the Independence model Criterion (QIC) of the full model
281 with a model without the factors and their interactions included. The full model including all factors
282 and interactions failed to satisfy the convergence criteria. Consequently, I used a reduced model
283 that included the main effects and the 2-way interactions between the fixed factors and compared
284 its fit with a model without including those factors and interactions. Removal of the baited location

285 factor would have allowed me to run a full model but it was deemed more important to include this
286 factor in a reduced model than omit it from the analysis.

287 I also conducted a GEE with the same structure, function, and factors as the previous model
288 to analyse their effect on the types of errors committed by subjects. Subjects could err by either
289 selecting the empty cup that had been behind the barrier during baiting or the cup that had been in
290 the open during the baiting (and was therefore empty). I used a binomial test to assess performance
291 at the individual level. Subjects selected the baited cup above chance levels (expected $P=0.33$) if
292 they chose it in at least eight of the 12 trials. I conducted all analyses in SPSS v. 26 using the
293 commands Generalized Estimating Equations and non parametric statistics to run the GEEs and
294 binomial tests, respectively.

295 Unless otherwise indicated, I used two-tailed statistics for all analyses except for those with
296 directional predictions. Namely, based on the object permanence literature I predicted that subjects
297 would prefer the cups that had been behind the barrier during baiting in both conditions.
298 Additionally, I predicted that subjects would select the baited cup more often in the experimental
299 than the control condition. However, if subjects solved the problem by combining heuristics, they
300 would perform equally well in both conditions.

301 Results

302 Individual analyses revealed that 19 apes selected the baited cup above chance levels in the
303 experimental condition (Binomial test: $P<0.02$, 1-tailed) whereas only four did so in the control
304 condition (see Table 1). Focusing exclusively on the first trial revealed that subjects were above
305 chance in the experimental condition (14/23, Binomial test: $P=0.012$) but not in the control condition
306 (9/23, Binomial test: $P=0.68$).

307 The GEE model that included the main effects and 2-way interactions fit the data on the
308 likelihood of selecting the baited cup better than a model without them, QIC : 689.40 vs. QIC : 726.61,
309 $N=552$, Table 2. Re-running the model after eliminating the non-significant terms confirmed this
310 results and produced a slightly better fitting model, QIC : 686.21, $N=552$. Subjects performed
311 significantly better in the experimental than control condition, $\chi^2=31.38$, $df=1$, $p < .001$, 1-tailed.

312 Additionally, touching the cups significantly reduced performance, $\chi^2=8.69$, $df=1$, $p < 0.003$.
313 However, these results need to be interpreted with caution because there were also significant
314 interactions between Species and Condition, $\chi^2=8.01$, $df=3$, $p = 0.046$, and Species by Touched cup,
315 $\chi^2= 12.17$, $df=3$, $p =0.007$.

316 -----

317 Table 2

318 -----

319 Figure 2 presents the likelihood of subjects selecting the baited cup as a function of species
320 and condition. All species except bonobos, $\chi^2= 0.432$, $df=1$, $p = 0.51$, selected the baited cup
321 significantly more often in the experimental than the control condition, chimpanzees: $\chi^2=7.53$, $df=1$,
322 $p = 0.006$; gorillas: $\chi^2=19.09$, $df=1$, $p < 0.001$; orangutans: $\chi^2=16.21$, $df=1$, $p < 0.001$.

323 -----

324 Figure 2

325 -----

326 Figure 3 presents the likelihood of subjects selecting the baited cup as a function of species
327 and touch. Gorillas performed significantly worse when the experimenter touched the cups prior to
328 their selection, $\chi^2= 15.73$, $df=1$, $p < 0.001$. In contrast, the other species performed equally
329 regardless of the experimenter touching the cups, bonobos: $\chi^2=0.35$, $df=1$, $p = 0.56$; chimpanzees:
330 $\chi^2=0.03$, $df=1$, $p = 0.86$; orangutans: $\chi^2=1.11$, $df=1$, $p = 0.29$).

331 -----

332 Figure 3

333 -----

334 A model investigating the types of errors as a function of the factors included in the previous
335 model provided a better fit than a model without those factors, $Q/C=233.13$ vs $Q/C=259.80$, $N=200$,
336 see Table 3. Re-running the model after eliminating the non-significant terms confirmed this result

337 and produced a better fit, $QIC: 206.93, N=200$. Subjects were significantly more likely to select the
338 empty cup that had been outside the barrier during baiting in the experimental than the control
339 condition, $\chi^2=16.83, df=1, p < 0.001$. Although the factor Baited location was no longer significant in
340 this later model, $\chi^2=2.98, df=1, p = 0.084$, see Table 3, the significant interaction between Condition
341 and Baited location persisted, $\chi^2=4.32, df=1, p = 0.038$.

342 -----

343 Table 3

344 -----

345 Figure 4 presents the likelihood of subjects selecting the cup that had been located outside
346 the barrier during baiting as a function of Condition and Baited location. In the experimental
347 condition, subjects were significantly more likely to select this cup when the baited cup occupied the
348 central as opposed to a side position, $\chi^2= 8.99, df=1, p = 0.003$. In other words, when the two empty
349 cups occupied the two side positions, subjects were more likely to select the cup that had been
350 located outside the barrier during baiting compared to when the two empty cups occupied adjacent
351 positions. In contrast, in the control condition there were no significant differences in the likelihood
352 of selecting the cup that had been located outside the barrier during baiting as a function of the
353 location of the baited cup, $\chi^2=0.03, df=1, p = 0.86$.

354 -----

355 Figure 4

356 -----

357 Discussion

358 Upon seeing that one of the three available cups was empty, subjects showed a preference
359 for the cup that had been located behind the barrier during the baiting as opposed to the cup left in
360 the open. Crucially, chimpanzees, gorillas and orang-utans, unlike bonobos, selected the baited cup
361 more often in the experimental than the control condition. The preference for the baited cup in the
362 experimental condition was already apparent in the first trial. Subjects' preferences occurred

363 regardless of whether the experimenter touched both cups or not at the time choice. However,
364 gorillas, unlike the other species, were less likely to select the baited cup when the experimenter
365 touched the two cups that had not been lifted before subjects made a choice. The error analyses
366 (choosing the empty cup) revealed that when subjects had not seen any empty cup (control
367 condition), they preferentially erred by choosing the empty cup that had been located behind the
368 barrier during baiting, but they shifted their preference towards the cup that had been in the open
369 during baiting in the experimental condition, especially if the two empty cups occupied the two side
370 positions on the platform. Their preferences for the baited and empty cups remained unchanged
371 over trials.

372 The 'avoid-the-empty-cup' hypothesis alone cannot explain the preference for the baited
373 cup over the cup that remained in the open during baiting because this hypothesis predicts no
374 preference for one of the non-lifted cups. Furthermore, a preference for the cup that was located
375 behind the barrier during baiting alone is also insufficient to explain the current results because it
376 does not predict that subjects should perform better in the experimental than the control condition.

377 Having ruled out the use of single heuristics (avoid empty cup, choose hidden cup), one
378 possibility is that individuals succeeded in the experimental condition because they combined these
379 two heuristics: pick the cup that was behind the barrier during baiting that remained untouched by
380 the experimenter after the removal of the barrier. If this were the case, they should have also
381 selected the baited cup at similar levels in the control condition because this combination was
382 deterministic, but they did not do so. Incidentally, focusing on the touched as opposed to the
383 untouched cup could have produced the same positive result as in the control condition, but once
384 again, subjects failed to do so.

385 Apes' failure to distinguish between the two cups that had been located behind the barrier
386 in the control condition is particularly revealing because this condition was always conducted after
387 the experimental condition, precisely to check if they had succeeded in the latter by using this
388 heuristic. If they had used it, they should have continued to perform well in at least one of the touch
389 / no touch manipulations before the subjects' choice. But they did not. Furthermore, it is unlikely
390 that their failure to use this combined heuristic was caused by the weak nature of the cues

391 presented. First, their preference for the cups located behind the barrier during baiting proved that
392 they did pay attention to which cup was located behind the barrier during baiting. Second, touching
393 stimuli (and the order in which this occurs) is one of the main cues invoked (and tested) as an
394 alternative explanation to inferential reasoning and object permanence (e.g., Neiwirth et al., 2003;
395 Collier-Baker & Suddendorf, 2006). In fact, gorillas were affected by the experimenter touching the
396 cups prior to their choice, and still they performed significantly better in the experimental than the
397 control condition.

398 A revised version of the 'avoid the empty cup' hypothesis based on paying attention to the
399 general location of food (e.g., the two cups behind the barrier) and then avoiding the empty cup
400 could also explain the results. However, one would have to make the assumption that apes
401 completely ignored the cup that was in the open during baiting. The results show that this was not
402 the case because they chose it in 12% of the total trials (67/552; experimental=45/276;
403 control=22/276). More importantly, I highlighted that cup in touch trials, precisely to make sure that
404 they paid attention to it, and the results did not change even though the data showed that they paid
405 attention. Note that although gorillas were affected by the experimenter touching the cups prior to
406 their choice, this effect occurred in both the experimental and control condition, and it decreased
407 rather than increased their performance. Thus, touching the cups may have attracted attention to
408 the cup that had been in the open during baiting, thus reducing their choices towards the other
409 touched (and baited) cup.

410 There is another piece of evidence that indicated that the preference for the baited cup was
411 not solely determined by seeing the alternative empty. Subjects also preferred the cups that had
412 been located behind the barrier during baiting in the control condition where no cup was shown to
413 be empty. This is consistent with the data from numerous studies on object permanence and object
414 individuation (see Cacchione & Rakoczy, 2017) and it suggests that subjects had already formed an
415 expectation about the potential food location, thus reinforcing the idea that expectations are a key
416 component of their inferential processes. Indeed, most of the errors in the control condition
417 occurred when subjects selected the empty cup that had been behind the barrier during baiting. In
418 contrast, most of the errors in the experimental condition occurred when subjects selected the

419 empty cup that had been outside the barrier during baiting, which is not surprising because they had
420 just seen the other empty cup. Subjects' likelihood to err by choosing the empty cup that had been
421 outside the barrier during baiting in the experimental condition was particularly pronounced when
422 the baited cup occupied the central position in the array. There are at least two explanations for this
423 finding. One possibility is that they made a retrieval error since it is known that primates'
424 encoding/retrieval accuracy is poorer for the internal compared to external containers in an array
425 (Beran et al., 2005; Hribar & Call, 2011; Kubo-Kawai & Kawai, 2007). Another possibility is that
426 subjects had a priori postulated where the food would be located and when it was not there, they
427 expanded their search to include the other cups. In other words, they may have not conceived that
428 if the food was not under one of the cups that was located behind the barrier during baiting, it
429 should be under the other. Future studies are needed to empirically test these possibilities.
430 Additionally, it is unlikely that this preference merely reflects an attentional effect – the baited cup
431 actually disappeared behind a barrier during baiting, subjects never saw it being baited and as I
432 noted earlier, highlighting two cups in touch trials before their choice did not change the outcome
433 except for gorillas.

434 All species preferentially selected the baited cup in the experimental compared to the
435 control condition except bonobos. This outcome was unexpected because previous studies had
436 found no differences between bonobos and other species in several inferential reasoning tasks, or
437 more broadly, object concept tasks (e.g., Barth & Call, 2006; Call, 2004, 2006; Mendes et al., 2008).
438 One possibility is that this difference reflects individual differences rather than species differences.
439 The larger response variability detected in the bonobo sample seems to support this idea. Another
440 possibility is that more powerful statistical techniques used here (GLMM or GEE) are more suitable
441 for detecting even small inter-specific differences, compared to more traditional techniques. Future
442 studies should investigate this aspect in greater detail.

443 Approximately 12% of the total choices were directed at the cup that had been outside the
444 barrier during baiting, especially in the experimental condition where subjects selected this cup
445 about twice as often than in the control condition (45 vs 22). Moreover, subjects were more likely to
446 select it when the two empty cups occupied the side positions in the platform (and the baited cup

447 was in the center position). One possible explanation for this finding is that cups located in interior
448 positions are less memorable than those occupying more external locations (closer to the platform's
449 edge). Indeed, primates may remember better those locations closer to the edge of the platform or
450 make more accurate selections when multiple baited locations are adjacent rather than non-
451 adjacent (e.g., Beran et al., 2005; Hribar & Call, 2011).

452 In sum, explanations based on heuristics about simply avoiding the empty cup or choosing
453 those cups where the reward disappeared fall short of fully explaining the data. A key missing
454 aspect in those explanations is the role that the contents of the lifted cup and the subsequent
455 expectations that it generates regarding the contents of the remaining unlifted cups. In the next
456 experiment, I tested the importance of this aspect using a modified version of the current task in
457 which subjects sometimes were able to see, but never choose, the contents of the lifted cup.

458 **Experiment 2**

459 In this experiment, just like in Experiment 1, subjects faced three cups on a platform with food
460 hidden under one of them while the barrier blocked two of the cups (either left+center, or
461 center+right). In the experimental condition, I revealed the contents of the center cup (baited in half
462 of the trials) and removed this cup from the platform (including its content). The control condition
463 was identical to the experimental condition except that I did not reveal the contents of the center
464 cup prior to its removal. Based on the results of Experiment 1, I predicted that subjects would show
465 a preference for cups that had been located behind the barrier during baiting. Crucially, if they used
466 their expectations about the food location to solve the task, I predicted that subjects would decrease
467 their preference for the hidden cup when they saw that the removed cup was baited (and therefore
468 the food gone). Conversely, they would increase their preference for the hidden cup when they saw
469 that the removed cup was empty (and therefore the food was still there). This would indicate that
470 knowing about the contents of the lifted cup modulated their preference for the remaining cup that
471 had been located behind the barrier during baiting.

472 **Method**

473 **Subjects**

474 Twenty-three great apes participated in the study (see Table 4). They included four bonobos
475 (*Pan paniscus*), 12 chimpanzees (*Pan troglodytes*), and seven orangutans (*Pongo abelii*) with ages
476 ranging from 5 to 49 years (M = 19.7, SD=12.0). Subjects were tested between December 2014 and
477 December 2015. Two bonobos, three chimpanzees and five orang-utans had also participated in
478 Experiment 1. As in the previous experiment, research strictly adhered to the legal requirements of
479 Germany and it was approved by the ethics committee of the Max Planck Institute for Evolutionary
480 Anthropology and the Leipzig Zoo.

481 -----
482 Table 4
483 -----

484 **Materials**

485 The apparatus was the same as in the previous experiment except that the blue cups were
486 replaced with three identical black opaque bowls (9 cm in diameter x 10 cm in height) and the
487 cardboard barrier was replaced by a grey plastic barrier of the same size.

488 *Procedure*

489 The procedure was the same as in Experiment 1 except that the experimenter always
490 showed the subject that all cups were empty (except for the food holder) at the beginning of the
491 trial (see Figure 5). This is a practice that is used in some studies but not others. In addition, after
492 the baiting was completed, the experimenter only manipulated the center cup and *always* removed
493 it from the platform before the subject could choose. Prior to its removal, however, he showed its
494 contents to the subject by lifting it in half of the trials (experimental condition: contents shown). In
495 the other half of the trials (control condition: contents not shown), the experimenter removed the
496 cup but did not show its contents to the subject. Since the position of the food item was fully
497 counterbalanced across trials, this resulted in half of the experimental trials revealing an empty cup
498 (Contents type: empty) and the other half a baited cup (Contents type: baited). Similarly, in half of
499 the control trials the cup was empty and in the other half it was baited. These manipulations

500 created four types of trials: Experimental (baited), Experimental (empty), Control (baited) Control
501 (empty). Following the removal of the center cup, the experimenter closed his eyes and pushed the
502 platform forward for the subject to choose between the two remaining cups.

503 -----

504 Figure 5

505 -----

506 Subjects received three 16-trial sessions administered 1 to 6 days apart. Each session
507 consisted of 4 trials of each of the four types mentioned earlier, two with the barrier placed on the
508 left side and two with the barrier on the right side. Within a session food appeared 8 times in the
509 center and 8 times in the sides (4 left, 4 right), respectively. The order of presentation of the trials
510 was pseudorandomized with the constraint that it could not appear more than three times under
511 the same cup on consecutive trials.

512 **Data coding and analysis**

513 I used the same basic scoring procedure as in Experiment 1. The dependent variable was
514 the proportion of trials in which subject selected the cup that had been located behind the barrier
515 during baiting. One subject (Jeudi) failed to respond in trial 41 resulting in 1103 valid trials. Inter-
516 observer reliability on the dependent variable based on 22% of the trials coded by an independent
517 observer was excellent ($\kappa=0.983$, $N=240$). Note that unlike Experiment 1, the food in
518 Experiment 2 was still in one of the cups in only half of the trials. I analysed whether the subjects'
519 choices varied as a function of the contents of the removed cup (Contents type: baited empty) and
520 whether this contents was shown to the subject (Contents shown: shown, not shown).

521 I also used the same statistical analysis as in Experiment 1. More specifically, I used the
522 same kind of GEE that I used in Experiment 1 to analyse the effect of Contents shown, Contents type,
523 and Species on the likelihood of selected the cup that had been located behind the barrier during
524 baiting. I used Binomial tests to assess subjects' individual performance, including their first trial
525 performance in each of the conditions. Subjects selected the cup that had been behind the barrier

526 during baiting cup above chance levels (expected $P=0.50$) if they chose it in at least 10 of the 12
527 trials. Unless otherwise indicated, I used two-tailed statistics for all analyses except for those with
528 directional predictions. Namely, based on the object permanence literature I predicted that subjects
529 would be less likely to select the cup that had been behind the barrier if they saw that the removed
530 cup contained the food (=there is no food left on the platform) compared to when they did not see
531 it. Conversely, I predicted that subjects would be more likely to select the cup that had been behind
532 the barrier if they saw that the removed cup contained no food (=there is still food left on the
533 platform) compared to when they did not see it.

534 **Results**

535 Individual analyses considering all trials revealed that five subjects (out of 23) selected above
536 chance levels (Binomial test: $p < 0.02$ 1-tailed) the cup that had been located behind the barrier
537 during baiting when they saw that the removed cup was baited whereas 17 subjects (out of 23) did
538 so when they saw that the removed cup was empty (see Table 4). In contrast, when the contents of
539 the removed cup was not shown (control condition), 14 and 12 subjects selected above chance
540 levels (Binomial test: $p < 0.02$ 1-tailed) the cup that had been located behind the barrier during
541 baiting when the removed cup was baited and empty, respectively. Focusing exclusively on the first
542 trial of each of the conditions depicted on Table 4 revealed that subjects selected the cup that had
543 been located behind the barrier during baiting above chance levels in all conditions, Binomial test: p
544 < 0.05 , 1-tailed, in all four types of trials: shown+empty: 18/23; shown+baited: 20/23; not
545 shown+empty: 16/23; not shown+baited: 16/23.

546 The GEE model that included the three factors (Species, Contents shown, Contents type),
547 their interactions and the trial number as covariate fit the likelihood of selecting the cup located
548 behind the barrier during baiting better than a model without them, QIC : 1167.53 vs. QIC : 1192.84,
549 $N=1103$, Table 5. Re-running the model after eliminating the non-significant terms improved the
550 model's fit, QIC : 1162.22, $N=1103$, and confirmed the significant effects of Contents type, $\chi^2=19.32$,
551 $df=1$, $p < 0.001$, and Contents type x Contents shown ($\chi^2=15.24$, $df=1$, $p < 0.001$), but not Species x
552 Contents shown, $\chi^2= 6.88$, $df=4$, $p < 0.14$.

553 -----

554 Table 5

555 -----

556 Figure 6 presents the likelihood of subjects selecting the cup that had been located behind
557 the barrier during baiting as a function of the Contents type and Contents shown. When the
558 removed cup was empty, subjects were more likely to select the cup that had been behind the
559 barrier if they had been shown the contents of the removed cup, $\chi^2= 4.56$, $df=1$, $p = 0.017$, 1-tailed.
560 In contrast, when the removed cup was baited, subjects were less likely to select the cup that had
561 been behind the barrier if they were shown the contents of the removed cup, $\chi^2= 18.60$, $df=1$, $p <$
562 0.001 , 1-tailed.

563 -----

564 Figure 6

565 -----

566 Discussion

567 Subjects showed an overall preference for the cup that had been hidden behind the barrier
568 when baiting took place, thus replicating the findings of Experiment 1. More importantly, apes'
569 preference for this hidden cup decreased when subjects were shown that the removed cup was
570 baited but it increased when they were shown that the removed cup was empty. This means that
571 information about the contents of the cup modulated subjects' preference for the cup that had been
572 hidden behind the barrier.

573 Preference modulation for the hidden cup is difficult to explain based on the 'avoid the
574 empty cup' hypothesis because this hypothesis does not predict that the preference for the hidden
575 cup will change as a function of the contents of the removed cup. It can only be explained if subjects
576 see the food in the removed cup (or absent from it) as relevant information for solving this task.
577 Similarly, the idea that subjects simply prefer the cups that were hidden behind the barrier also falls
578 short as an explanation because it does not predict that preference will change as a function of the

579 contents of the removed cup. This modulation makes sense only if the subjects establish a
580 connection between the removed food item and the food item that remains. This finding is
581 consistent with the literature on object individuation and object permanence, with subjects having
582 expectations about where food items might be located after invisible baiting and visible
583 displacements. Moreover, this finding reinforces the notion that expectations about the contents of
584 the cups is a basic component of the inferences that subjects made in this task.

585 **General discussion**

586 Great apes faced three identical opaque cups on a platform with two having been located
587 behind a barrier during baiting. After the experimenter revealed the identity of the empty cup that
588 had been located behind the barrier, subjects preferentially selected the other cup that had been
589 located behind the barrier over the cup whose contents had not been revealed either (Experiment
590 1). This suggest that subjects tracked the displacement of the food item and anticipated its potential
591 locations. Even though subjects could have potentially identified the baited cup in the control
592 condition in Experiment 1 by using (or learning to use over repeated trials) heuristics commonly
593 invoked as alternative strategies to object knowledge (i.e., touching the cups, proximity to the
594 barrier), they failed to do so.

595 In Experiment 2, the experimenter revealed the contents of one of the cups (baited in half of
596 the trials) that had been behind the barrier during baiting but always removed it before the subject's
597 choice. Thus, once again subjects had to choose between two cups whose contents was unknown.
598 Again, subjects preferred the cup that had been located behind the barrier but, crucially, their
599 choices were modulated by the observed contents of the removed cup. In particular, apes showed a
600 stronger preference for the remaining cup that had been behind the barrier if they saw that the
601 removed cup was empty rather than baited.

602 Neither the 'avoid the empty cup' hypothesis nor the use of various heuristics commonly
603 invoked as alternatives for object knowledge in object displacement tasks can satisfactory explain
604 the current results. First, subjects selected the baited cup out of three cups, one that they had seen
605 to be empty and two that had not been opened (Experiment 1) or even after eliminating the empty

606 cup (Experiment 2). According to Schmidt and Fischer (2009), this feature already represents an
607 improvement over the original cup task. Furthermore, the current results corroborate previous
608 studies showing that apes can infer the location of hidden food without seeing an empty cup (e.g.,
609 Call, 2006; Hill et al., 2011; Premack & Premack, 1994). Second, what they saw being removed in
610 Experiment 2 modulated their preference for the two remaining cups, which means that the
611 contents (or lack thereof) of the removed cup, not the sight of an empty cup, controlled apes'
612 responses. Other heuristics or their combination also provided incomplete explanations. Proximity
613 to the barrier during baiting is not sufficient because it does not explain modulation observed in
614 Experiment 2. Additionally, subjects failed to use the proximity of the barrier in combination with
615 touching (or not touching) the cups to locate the baited cup in control trials. This is not surprising
616 because using single arbitrary cues, let alone combining them in a conditional discrimination is
617 notoriously difficult for apes, typically requiring dozens and even hundreds of trials (Call, 2006;
618 Hanus & Call, 2011; McGuire & Vonk, 2018).

619 Instead, expectations about the possible location of the food item emerged as a key
620 component to explain subjects' choices. This suggests that great apes may choose the other cup
621 because they expect the food to be there, which is one of the most basic forms of inference. This
622 result is completely consistent with the existing evidence on object individuation, stage 6 object
623 permanence and inferences using two containers and two types of food items (see Voelter & Call,
624 2017 for a review). The current tasks share a number of features with the original 2-cup task. All
625 three tasks use the sight of the empty cup or the contents of the removed cup to trigger the
626 inference. But they also differ in terms of their complexity. On the one hand, the current tasks are
627 more complex than the original 2-cup task because they involve three cups (but see Paukner et al.,
628 2009 for versions of the basic task with three cups) whose contents has not been revealed for two of
629 them. On the other hand, they are simpler than the 2-cup 2-item task (Premack & Premack, 1994)
630 because they only involve one type of food and the subject sees the contents of the eliminated cup,
631 thus potentially reducing the representational demands. However, to what extent complexity in
632 terms of the number of elements translates into difficulty is something that future studies will need

633 to address. Currently, it seems safe to assume that the current tasks might fall between the original
634 2-cup task and the 2-cup 2-item task in terms of difficulty.

635 Mody and Carey (2016) proposed another explanation besides the avoiding the empty cup
636 heuristic that does not require logical reasoning, at least not in its strongest sense. According to
637 these authors, apes may have reasoned that the food may be located in cup A or in cup B, without
638 necessarily connecting the two. Whereas logic requires that the two events are connected (if not in
639 A the food *must* be in B), Mody and Carey's (2016) alternative explanation does not require this
640 crucial connection. Mody and Carey (2016) tested 3- to 6-year-old children in a more complex
641 variation of the cup task. They presented children with two pairs of containers, each pair behind a
642 barrier. They showed children that they placed a toy inside one of the containers of each pair but
643 without revealing its exact location. One can think of this version as a "double 2-cup 1-item" task.
644 Upon removal of the barriers, children were shown the empty container in one of the pairs but not
645 the other and they were allowed to choose one of the four containers available. Only older children
646 managed to find the toy above chance levels, and even then, surprisingly, at not a very high level.
647 According to Mody and Carey (2016), a crucial aspect that makes theirs a truly inferential task is
648 understanding that the likelihood of obtaining a toy in a container is linked within but not between
649 pairs. In other words, although initially each container within a pair has a probability of 0.50 of
650 holding the toy, upon revealing the identity of the empty cup, the other cup necessarily increases to
651 $P=1$, it does not remain at $p=0.50$.

652 Most previous studies investigating inferential / statistical reasoning in nonhuman animals
653 had used probabilities that did not change during the course of a trial (but see Eckert et al., 2018). In
654 fact, some evidence from two previous studies suggest that apes do conceive probabilities in a more
655 fixed manner than humans (Haun et al., 2011; Hanus & Call, 2014). In these studies, apes chose
656 from two sets of cups whose numbers and the quantity of food hidden varied over trials. For
657 instance, Hanus and Call (2014) presented subjects with a choice between a set with two cups
658 holding 1 food item (1 cup baited, 1 cup empty) and another set of six cups holding 1 piece of food
659 (1 cup baited, 5 cup empty). Subjects' choices were consistent with Weber's law, which means that
660 the probability of selecting the most favourable set varied depending on the difference between the

661 probabilities for each set. Interestingly, in some trials one of the sets only contained one cup, which
662 means that the food was located there with certainty. Apes, however, did not take this into account,
663 and instead continued to apply Weber's law. In other words, a trial contrasting 2 vs. 6 cups was
664 equivalent to one with 1 vs. 3 cups, which is consistent with the ratio, but not certainty. Leahy and
665 Carey (2020) used this to suggest a special status for human reasoning about probabilities, one that
666 considers certainty and where probabilities are adjusted depending on what is empty.

667 Pepperberg et al. (2019) tested an African grey parrot (Griffin) using the 4-cup method
668 designed by Mody and Carey (2016). They found that although Griffin initially failed the task twice
669 (two separate attempts involving multiple trials), he eventually passed it, selecting the cup next to
670 the empty one on 94% of the trials (Exp. 2). The authors attributed his initial failures to a bias
671 caused by external factors to the task (preference for a particular color and/or his health status at
672 the time of the test). However, it is conceivable that Griffin may have benefited from his prior
673 experience with the task, and perhaps had learned a heuristic to solve that task which did not
674 require inference. The authors tested the possibility that Griffin had simply learned to select the cup
675 located next to the cup that was shown to be empty. Their results did not support the use of this
676 heuristic and they concluded that Griffin had indeed used inferential reasoning to solve the task,
677 although they conceded that it was unclear whether he did so by entertaining notions of possibility
678 or certainty.

679 More recently, Ferrigno et al. (2021) tested nine olive baboons in the four-cup task except
680 that instead of revealing the identity of one of the cups, they allowed the baboons to make two
681 choices. The first choice served to reveal an empty or a baited cup in one of the two sets, and the
682 second choice informed researchers about whether baboons switched sets depending on what their
683 first search had uncovered. The authors tested nine baboons but only four passed two prerequisite
684 tests: the basic 2-cup 1-item task and an updating working memory task. During the test, three of
685 the four baboons upon finding an empty cup preferentially selected the other cup in the same set as
686 opposed to one of the other cups in the alternative set. Moreover, they were more likely to point
687 before the experimenter offered them a second choice (so-called pre-pointing behavior) when they
688 uncovered the empty rather the baited cup in their first choice (72% empty vs. 9% baited). The

689 authors interpreted this behavior as a confidence indicator for finding the baited cup. This type of
690 response is reminiscent of the increase in choices toward the cup that had been behind the barrier
691 during baiting after apes in the current study saw that the removed cup was empty.

692 Ferrigno et al.'s (2021) findings are intriguing but should be interpreted with caution for two
693 reasons. First, when the baboons found the baited cup in their first search (thus depleting the food
694 in that set), they did not preferentially select one of the cups in the other set, but instead they chose
695 randomly, selecting the empty cup in that same set in 33% of the trials. Second, subjects received a
696 substantial number of trials (about 240) in each of the two pre-tests and the test, and their analyses
697 revealed that subjects improved their performance over time, thus raising the possibility that
698 baboons had learned how to respond during the test. Although the authors addressed this issue
699 analytically, e.g., the learning effect was not detected in trials in which subjects' first choice
700 uncovered the empty cup (which as the authors pointed out are the trials most comparable to Mody
701 & Carey's (2016) original design), Ferrigno et al. (2021) did not report whether the baboons were
702 above chance in the first test session (or the first 24 trials in which subjects selected the empty cup).
703 They did include an analysis assessing first trial performance, but with just three subjects it is unclear
704 how one can determine that baboons performed above chance in the first trial.

705 To the best of my knowledge, the four-cup task has not been tested with apes yet. But the
706 results of Hanus and Call (2014) seem to indicate that chimpanzees may not be able to solve it
707 because chimpanzees did not distinguish between one option with $P=0.50$ and another with $P=1$.
708 The results of Experiment 2, however, suggest that apes might not be so rigid in the way they
709 conceive probabilities as the previous studies seem to suggest. Recall that subjects changed their
710 preference for the hidden cup depending on what they saw removed from the platform. If they saw
711 that the removed cup was baited (recall that the removed cup had always been hidden behind the
712 barrier during baiting), they decreased their preference for the hidden cup, but if it was empty, they
713 increased it, albeit much less so than in the other case. This suggests that apes might have linked
714 the probability between the two cups and revised them when confronted with new evidence.
715 Watson et al. (2001) reported that children who had witnessed the invisible displacement of the
716 target under multiple cups engaged in a sequential search. Crucially, their search speed increased

717 with each cup that they found empty. The authors suggested that children did so because their
718 certainty about the baited location increased after every choice that returned an empty container.
719 In contrast, dogs displayed no such acceleration upon encountering empty containers, in fact they
720 showed a tendency to decrease their search speed, consistent with an extinction strategy. As far as I
721 know, this study has not been done with apes/primates, but the modulation observed in Exp. 2
722 suggests that they may be more similar to children than dogs.

723 Although the results of Exp. 2 are suggestive of a link between the likelihood of obtaining the
724 food under each of the cups, there are several reasons to be cautious. First, Mody and Carey's
725 (2016) task and the current task are different, and therefore their results cannot be directly
726 compared. Second, the magnitude of the change in preference compared to the control condition
727 depended on whether they saw the food being removed or not. More specifically, the decrease in
728 preference after witnessing the food's removal was more substantial in absolute terms than the
729 increase in preference after seeing that no food had been removed. One possible explanation for
730 this asymmetry is that apes might be more sensitive to losses than to gains. Another possibility, not
731 mutually exclusive with a loss aversion, is motivational in nature. Once the food was gone apes lost
732 interest and chose randomly between the two cups. Note, however, that I did not observe an
733 increase in trials without response as a function of condition. But even if this were the case, it would
734 still mean that subjects understood that the food that was just removed was the food that went
735 behind the screen during the initial baiting. This would be entirely consistent not only with the
736 evidence on object permanence and inferential reasoning in the literature but also with the data on
737 object individuation, which demonstrates that apes and macaques track not only the location but
738 also the number of items that are hidden (Mendes et al., 2008, 2011; Santos et al., 2002; Phillips &
739 Santos, 2007; Tinklepaugh, 1928, 1932). Third, the asymmetry could have been caused by apes' high
740 preference (80%) for the hidden cup, a fact that was apparent in both experiments. In other words,
741 whereas they could only increase in preference by 20 points when the food was not removed, they
742 could decrease 80 points (or just 30 if one considers chance responding) after they saw the food
743 being removed. One way to correct for this inherent asymmetry in the design is to find a task with a

744 baseline closer to 50%, something that could be achieved by varying the quality and the quantity of
745 items under the cups.

746 Much progress has been made in the last decade towards mapping out the distribution of
747 inferential abilities across taxa (see Voelter & Call, 2017). However, without the proper controls, it is
748 impossible to conclude that inference is at work. Therefore, much of the work has been devoted to
749 distinguish inferential from non-inferential processes – an analytic approach that is unsurprising
750 because the same strategy has been followed in other areas such as object permanence. It is now
751 well-established that proper control conditions (aimed at ruling out a variety of heuristics) are
752 needed to conclude that subjects display stage 6 object permanence. It is difficult to prescribe
753 which specific controls are the most suitable for inferential tasks because controls should be tailored
754 to 1) the particular features of the inferential task being used and 2) the non-inferential strategies
755 being tested. In Exp. 1, I used the control condition to test the possibility that subjects may have
756 used a combination of touching the cups and the location of the cups behind the barrier to solve the
757 task. This control condition also assessed whether subjects used experimenter-given cues to solve
758 the task. In contrast, the control conditions in Exp. 2 focused on different aspects. The reveal baited
759 condition acted as a control condition for the reveal empty condition (which implemented the
760 original idea of the 2-cup 1 item task). Additionally, the pair of non-revealed conditions provided a
761 preference baseline for the cup that was located behind the barrier during baiting.

762 Equally important to ruling out non-inferential strategies, but far less studied, is the type of
763 inference that individuals use to solve the 2-cup 1-item task, or any other task for that matter.
764 Based on the degree of certainty about the food's location, one can distinguish at least three basic
765 levels of inference of increasing strength (Call, in press; see also Pepperberg et al., 2019). Note that
766 the labels that I used to distinguish the three levels of inference (abduction, induction and
767 deduction) originate in the philosophical literature but other authors use them with different
768 meaning in the comparative literature. I consider inference by abduction (A empty, perhaps B) the
769 weakest form of inference, based on simply forming an expectation about the food's location –
770 heuristics do not even require forming an expectation. Finding that cup A is empty, individuals
771 expect to find the food in the other cup. However, finding cup A empty does not alter the strength

772 of the expectation of finding the food under cup B, which is precisely the key feature of the next
773 level, inference by induction (A empty, B more likely). In this case, upon finding that cup A is empty,
774 the probability that food is located under cup B increases. This means that the probabilities of
775 finding food under A or B are linked. Finally, inference by deduction is the strongest form of
776 inference (A empty, B certain) whereby the likelihood of finding food under cup B upon seeing that
777 cup A is empty is replaced with the certainty of finding the food under cup B. There is plenty of
778 evidence for abductive inference (most studies in Voelter & Call, 2017), very few studies testing
779 inductive inference (Ferrigno et al., 2021; Pepperberg et al., 2019, the current study) and none
780 demonstrating deductive inference. Future studies should continue to test species to establish
781 whether they use inference to solve the original 2-cup 1-tem task and allied tasks, and if they do,
782 which type of inference is implicated in each case.

783 The results of the current study do not prove that subjects who passed the original 2-cup
784 version in previous studies did so by using inference. Moreover, seeing an empty cup may have
785 contributed to the responses in the original 2-cup, and even the 3-cup task in Experiment 1.
786 However, the current results also show that merely avoiding the cup that they saw to be empty
787 without forming expectations about the food's location does not explain the results of the two 3-cup
788 tasks presented here, particularly those in Experiment 2. It is conceivable that subjects may have
789 used a substantially different form of the 'avoiding the empty cup' hypothesis distinct from the
790 original perceptually-based explanation (Paukner et al., 2006). In particular, subjects may have
791 avoided the empty cup because they *inferred* rather than they *saw* that it was empty, but this kind
792 of explanation would invoke an even perhaps more sophisticated form of expectation (about the
793 absence of something) than choosing the baited cup because subjects inferred that it contained the
794 food.

795 In conclusion, the 'avoid the empty cup' hypothesis whereby subjects succeed by avoiding
796 the cup that they *have seen* to be empty cannot satisfactorily explain the results of two experiments
797 on inferential reasoning in the great apes. Similarly, other heuristics like choosing the cups in
798 proximity to (or behind) the barrier during baiting, or the cup that was in proximity to the barrier
799 and touched by the experimenter before the subjects' choice cannot explain the current results

800 either. Instead, subjects located the correct cup based on tracking its displacement behind a barrier
801 and the sight of the empty cup (Exp. 1) or the contents of the cup that was removed (Exp. 2) before
802 the subjects' choice. Thus, forming expectations about the location of food based on indirect
803 evidence emerged as the best explanation for the current results, and it is likely to constitute the
804 basis for more complex forms of inference in the spatio-temporal dimension. Moreover, modulation
805 of preference depending on the contents of the removed cup suggests that they did not see the two
806 cups as completely independent (it can be in A or it can be in B) but that they connected the two -
807 food in one meant a lower likelihood of finding food in the other and vice versa. Future studies are
808 needed to consolidate these results. Besides providing a direct test of the 'avoid the empty cup'
809 hypothesis as the sole explanation for success in object search inferential tasks, this study aimed at
810 providing a test that could distinguish between the various processes leading to the selection of the
811 alternative cup with the idea of establishing solid broad taxonomic comparisons. Adding these tests
812 to the suite of available tests of inference will allow researchers to obtain a more precise
813 understanding of the distribution of inferential abilities in the animal kingdom. However, future
814 studies that use these same tasks, especially when validating them for the first time with a new
815 species, should also include the necessary controls to rule out the possibility that subjects' responses
816 are entirely based on non-inferential processes. Mapping the distribution of inferential abilities
817 across species requires not only distinguishing inference from non-inferential strategies, but requires
818 a more fine-grained analysis pinpointing the type of inference that may underlie subjects' successful
819 performance.

820

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986

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992

993 **Table 1**

994 Demographic information about the subjects included in Experiment 1 and number of correct
 995 choices as a function of Condition (out of 12 possible). Bold lettering for the combined touch / no
 996 touch scores (per condition) denotes $P < 0.025$, 1-tailed, Binomial test, $P_e = 0.33$.

997

Name	Species	Sex	Age	Rearing	Condition			
					experimental		control	
					touched	not touched	touched	not touched
Joey	Bonobo	male	27	nursery	1	1	4	3
Kuno	Bonobo	male	13	nursery	3	5	2	3
Limbuko	Bonobo	male	14	nursery	5	5	3	3
Luiza	Bonobo	female	5	mother	6	5	3	4
Ulindi	Bonobo	female	16	mother	3	0	2	4
Yasa	Bonobo	female	12	mother	5	6	4	4
Alex	Chimpanzee	male	9	nursery	5	6	4	3
Alexandra	Chimpanzee	female	10	nursery	2	5	3	5
Annett	Chimpanzee	female	10	nursery	5	6	3	0
Fifi	Chimpanzee	female	16	mother	6	6	4	3
Gertruida	Chimpanzee	female	16	mother	6	6	4	4
Jahaga	Chimpanzee	female	17	mother	6	4	3	4
Bebe	Gorilla	female	30	unknown	2	6	1	3
Gorgo	Gorilla	male	28	nursery	4	5	2	3
Kibara	Gorilla	female	5	mother	6	6	2	4

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Viringika	Gorilla	female	14	mother	6	6	2	4
Bimbo	Orangutan	male	28	mother	5	5	4	3
Dokana	Orangutan	female	20	mother	3	6	4	2
Dunja	Orangutan	female	36	unknown	3	5	4	2
Kila	Orangutan	female	9	mother	5	5	3	5
Padana	Orangutan	female	11	mother	6	5	2	4
Pini	Orangutan	female	21	mother	4	6	2	1
Rajah	Orangutan	female	6	mother	3	2	2	2

998

999

1000 **Table 2**

1001 Factors included in the GEE model with the likelihood of selecting the baited cup as the dependent
 1002 variable (*QIC*: 689.40, *N*=552).

1003

Factor	Chi-square	df	P-value
(Intercept)	3.552	1	0.059
Condition	26.666	1	0.000
Species	5.153	3	0.161
Touched cups	13.966	1	0.000
Baited location	1.685	1	0.194
Condition * Species	7.989	3	0.046
Condition * Touched cups	0.85	1	0.357
Condition * Baited location	3.036	1	0.081
Species * Touched cups	21.846	3	0.000
Species * Baited location	4.99	3	0.173
Touched cups * Baited location	0.145	1	0.704
Trial number	2.711	1	0.10

1004

1005

1006

1007 **Table 3**

1008 Factors included in the GEE model with likelihood of making an error by choosing the cup that
 1009 remained outside the barrier during baiting as the dependent variable, *QIC*: 233.13, *N*=552.

Factor	Chi-		P-value
	square	df	
(Intercept)	0.615	1	0.433
Condition	39.910	1	0.000
Species	0.547	3	0.908
Touched cups	0.363	1	0.547
Baited location	4.542	1	0.033
Condition * Species	7.512	3	0.057
Condition * Touched cups	0.062	1	0.804
Condition * Baited location	5.683	1	0.017
Species * Touched cups	0.837	3	0.841
Species * Baited location	3.239	3	0.356
Touch * Baited location	0.662	1	0.416
Trial number	0.765	1	0.382

1010

1011 **Table 4**

1012 Demographic information about the subjects included in Experiment 2 and number of choices
 1013 directed at the remaining cup that had been located behind the barrier during baiting as a function
 1014 of the contents of the removed cup and whether it was shown to the subjects (out of 12 possible).
 1015 Bold lettering denotes $P < 0.02$, 1-tailed, Binomial test, $P_e = 0.5$.

1016

Name	Species	Sex	Age	Rearing	Content type x Content shown			
					baited shown	baited not shown	empty shown	empty not shown
Fimi	Bonobo	female	6	mother	7	7	10	8
Kuno	Bonobo	male	18	nursery	5	10	11	9
Lexi	Bonobo	female	15	mother	10	10	12	11
Yasa	Bonobo	female	17	mother	10	10	11	9
Alex	Chimpanzee	male	13	nursery	8	12	11	11
Alexandra	Chimpanzee	female	15	nursery	8	9	12	11
Daza	Chimpanzee	female	29	nursery	6	7	6	7
Fraukje	Chimpanzee	female	39	nursery	7	11	11	12
Frederike	Chimpanzee	female	41	mother	8	9	9	9
Jahaga	Chimpanzee	female	22	mother	9	12	12	12
Jeudi	Chimpanzee	female	49	unknown	5 ¹	11	10	8
Kara	Chimpanzee	female	10	mother	8	9	11	8

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Kofi	Chimpanzee	male	9	mother	10	12	12	11
Lobo	Chimpanzee	male	11	mother	9	10	10	10
Lome	Chimpanzee	male	14	mother	8	11	11	12
Sandra	Chimpanzee	female	21	mother	11	11	10	10
Bimbo	Orangutan	male	34	mother	6	11	12	9
Dokana	Orangutan	female	26	mother	6	8	10	9
Padana	Orangutan	female	17	mother	12	9	8	10
Pini	Orangutan	female	26	mother	8	12	12	12
Rajah	Orangutan	female	11	mother	7	11	9	11
Suaq	Orangutan	male	5	mother	5	7	7	6
Tanah	Orangutan	female	5	mother	5	7	7	6

1017 ¹ based on 11 trials

1018

1019

1020 **Table 5**

1021 Factors included in the GEE model for the likelihood of selecting the cup that that was located
 1022 behind the barrier during baiting as the dependent variable (QIC: 1167.53, N=1103).

1023

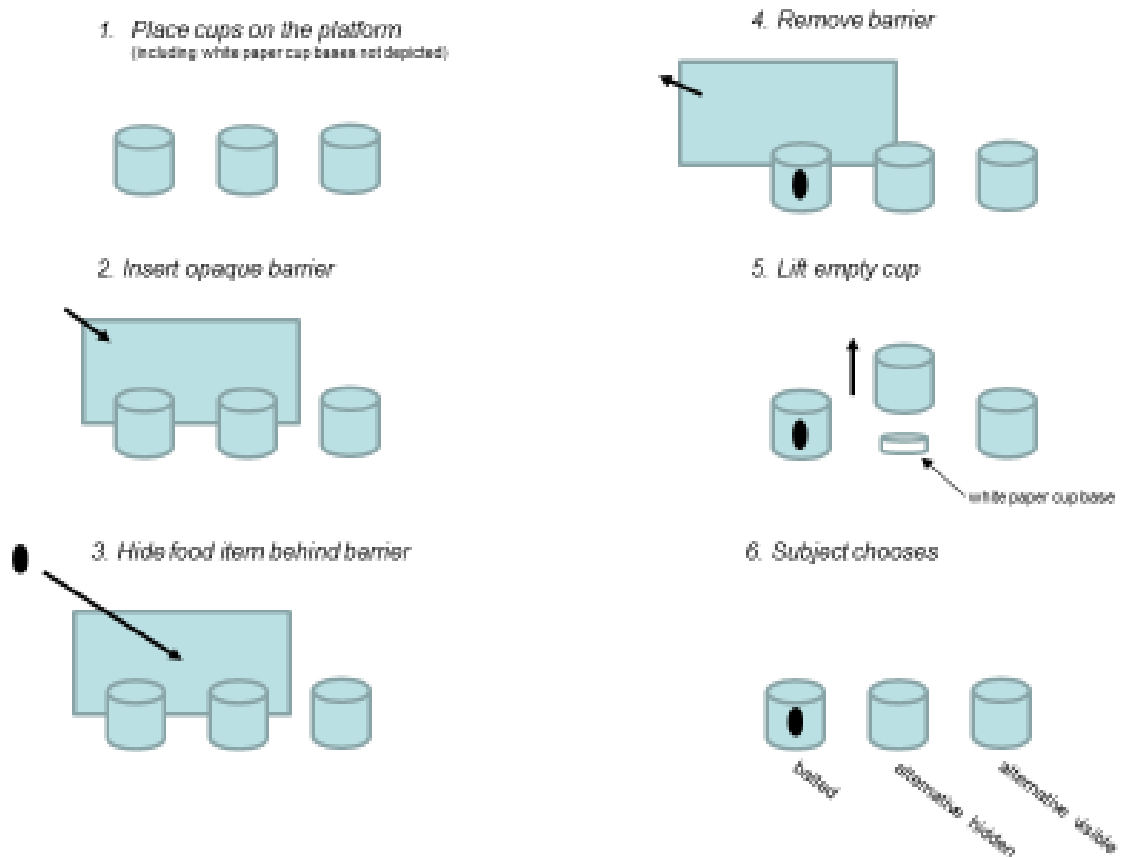
Factor	Chi- square	df	P-value
(Intercept)	38.553	1	0.000
Contents shown	2.004	1	0.157
Contents type	23.713	1	0.000
Species	3.158	2	0.206
Contents shown * Contents type	16.536	1	0.000
Contents shown * Species	6.022	2	0.049
Contents type * Species	1.799	2	0.407
Contents shown * Contents type * Species	1.505	2	0.471
Trial number	0.001	1	0.976

1024

1025 **Figure 1**

1026 Steps followed in the experimental condition of Experiment 1. Not depicted is the touch/no-touch
1027 manipulation before the subject chose. The control condition is identical to the experimental
1028 condition except that the experimenter did not lift the cup in step 5.

1029



1030

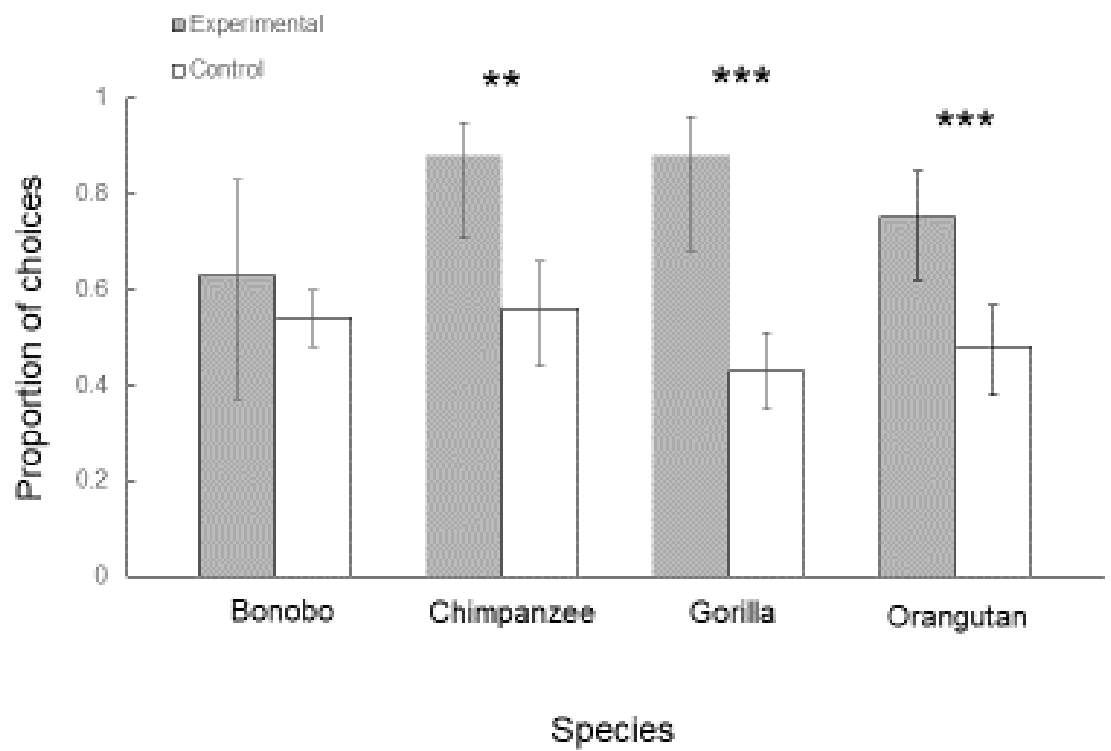
1031

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1033 **Figure 2**

1034 Proportion ($\pm 95\%$ CI) of choices aimed at the baited cup as a function of Species and Condition. **
1035 $P < 0.01$, *** $P < 0.001$.

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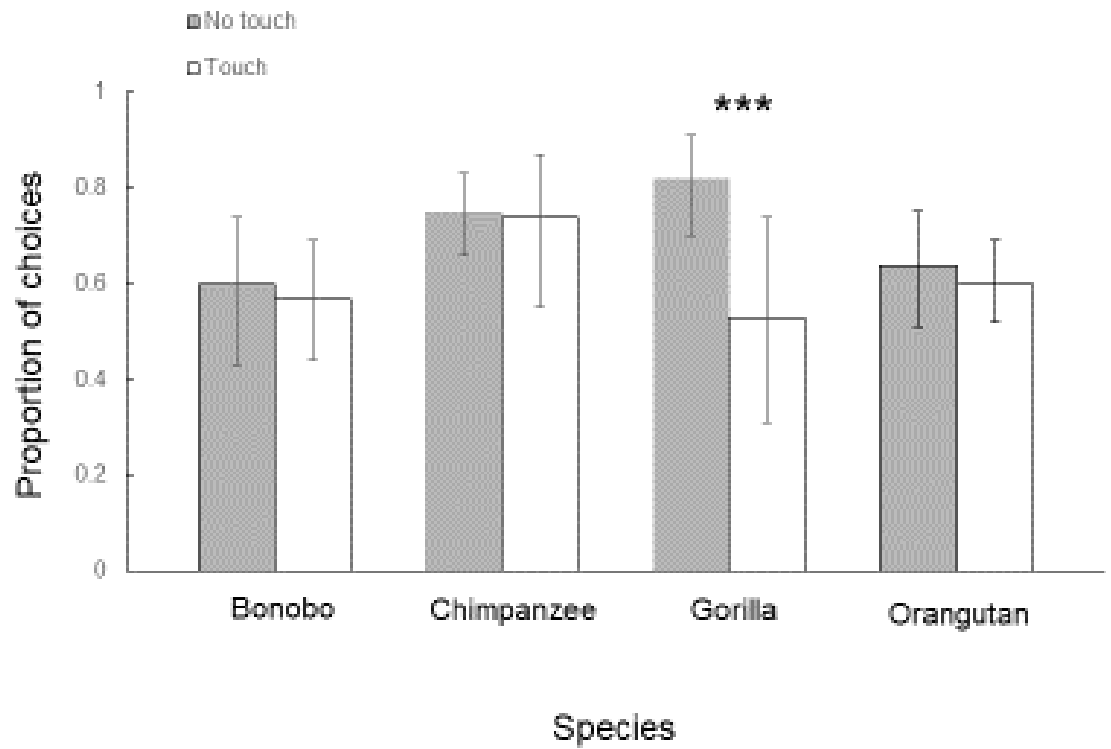
1044

1045

1046

1047 **Figure 3**

1048 Proportion ($\pm 95\%$ CI) of choices aimed at the baited cup as a function of Species and Touch. ***
1049 $P < 0.001$.



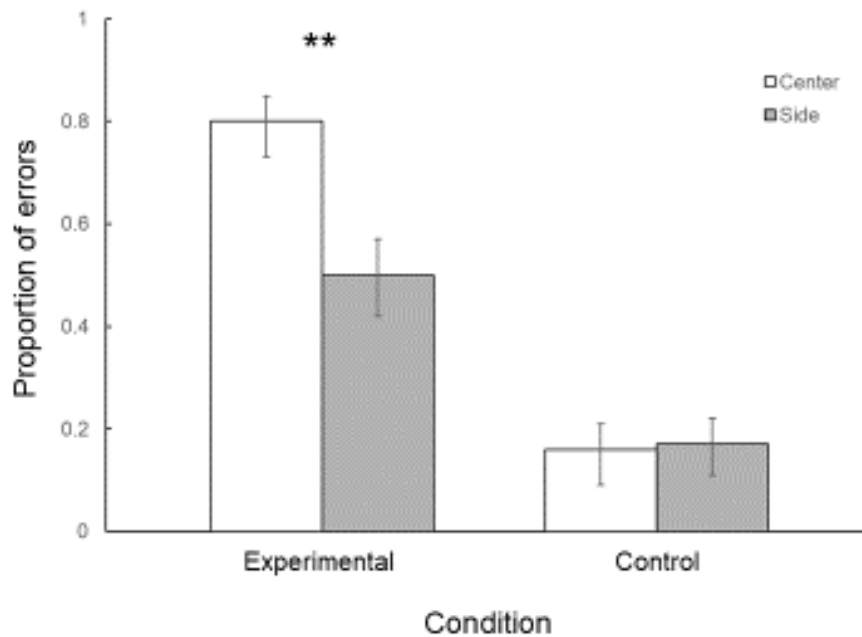
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1052

1053 **Figure 4**

1054 Proportion ($\pm 95\%$ CI) of errors caused by choosing the cup that had been outside the barrier during
1055 baiting as a function of Condition and Baited location, ** $P < 0.01$. Total number of errors:
1056 experimental condition=64, control condition=136.



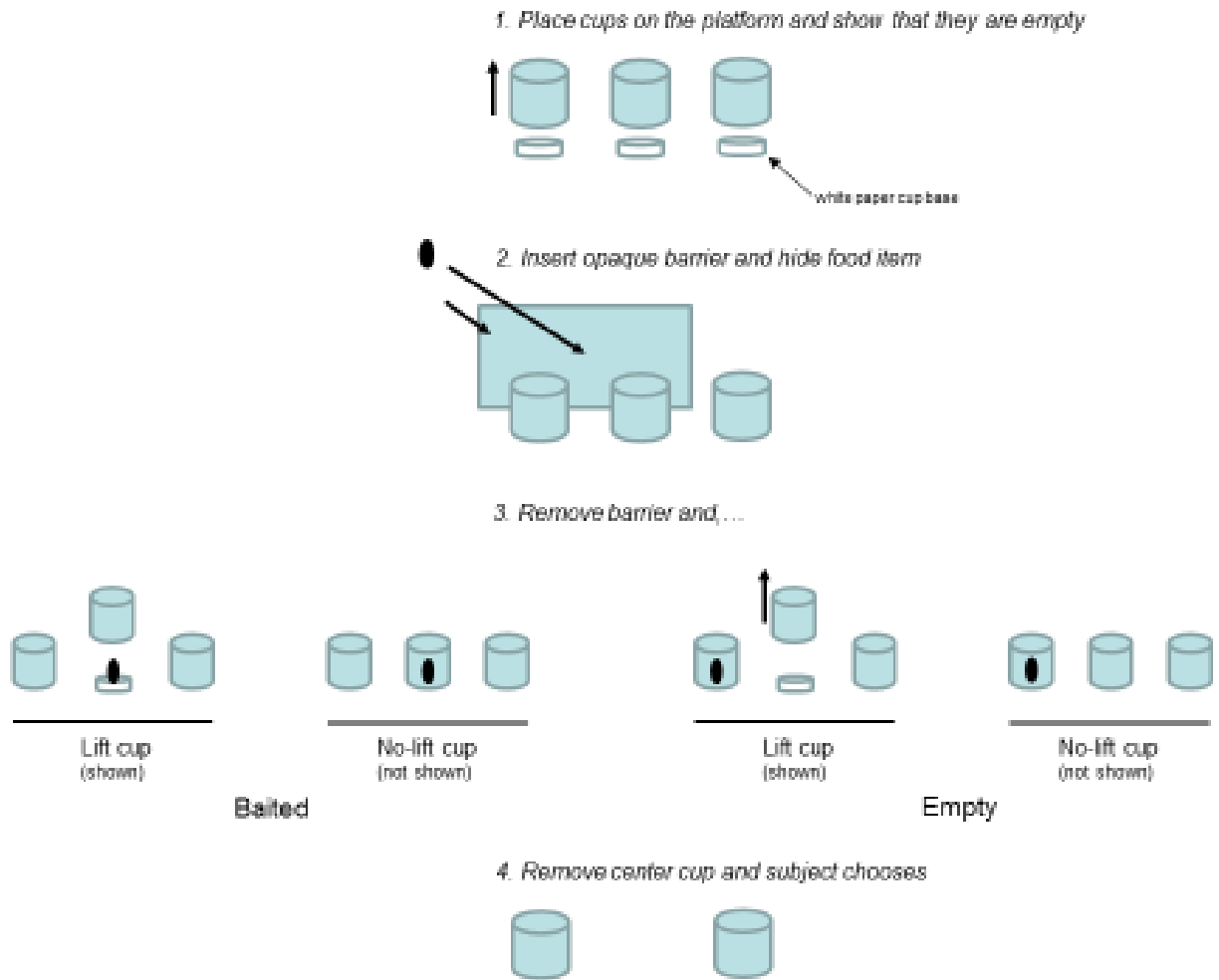
1057

1058 *Note.* When the baited cup was in the center of the platform the two empty cups were non-adjacent
1059 to each other whereas when the baited cup was in one of the sides, the two empty cups were
1060 adjacent to each other.

1061

1062

1063 **Figure 5**
1064 Procedural steps and resulting conditions in Experiment 2.
1065



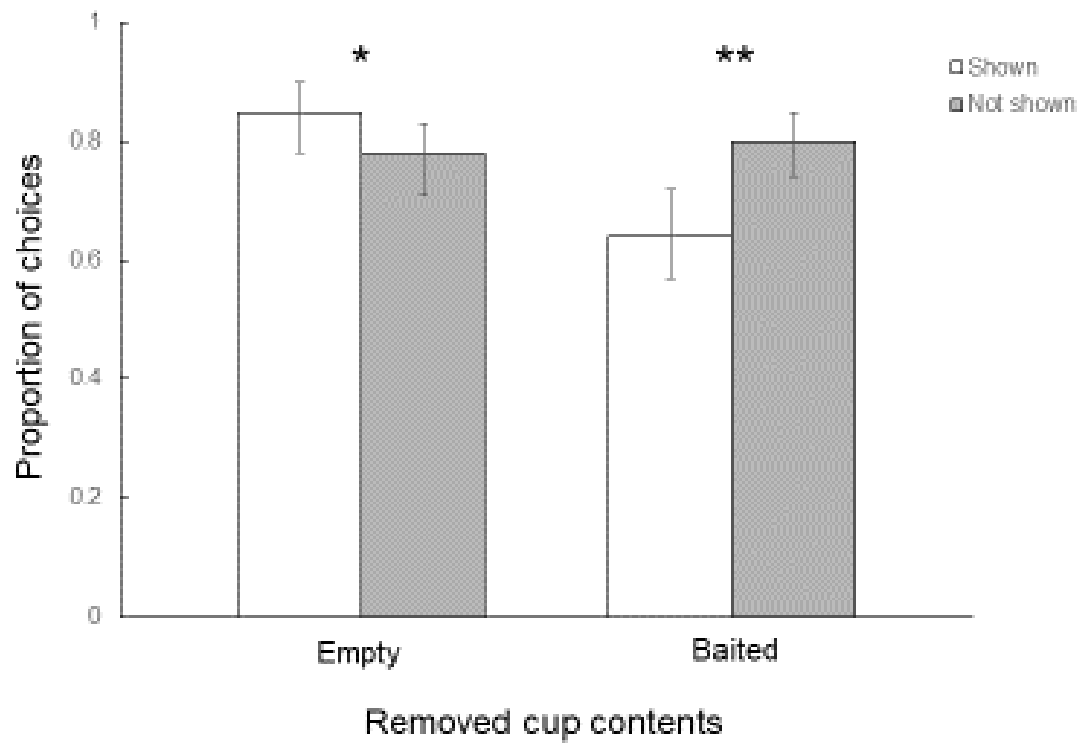
1066

1067 **Figure 6**

1068 Proportion ($\pm 95\%$ CI) of choices aimed at the remaining cup that was located behind the barrier
1069 during baiting as a function of the removed cup's Contents type and whether it was shown to the
1070 subject. * $P < 0.05$, *** $P < 0.01$, 1-tailed.

1071

1072



1073

1074