

1 **Baseline characteristics of participants in the Treatment of Advanced Glaucoma Study**
2 **(TAGS): A multicentre randomised controlled trial.**

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33 **Key words**

34 Glaucoma, advanced glaucoma, glaucoma surgery, glaucoma drops, Quality of Life, Randomised
35 Clinical Trial, socioeconomic deprivation.

36 **Abstract**

37 Purpose: To report the baseline characteristics of participants enrolled in the Treatment of
38 Advanced Glaucoma Study (TAGS)

39 Design: Pragmatic randomised control trial (RCT).

40 Participants: Patients with open angle glaucoma presenting with advanced glaucoma in at least
41 one eye as defined by the Hodapp-Parrish-Anderson (HPA) criteria of severe defect.

42 Methods Participants with newly diagnosed advanced glaucoma in at least one eye were
43 recruited. When both eyes were eligible, the same intervention was undertaken in both eyes
44 and the index eye for analysis was the eye with the less severe visual field mean deviation (MD).

45 Main Outcome Measures: Visual field profile defined by the HPA classification, clinical
46 characteristics, Quality of life measured by the National Eye Institute Visual Function
47 Questionnaire 25 (VFQ-25), EuroQual-5 Dimension (EQ-5D 5L), Health Utility Index-3 (HUI-3)
48 and Glaucoma Profile Instrument (GPI)

49 Results: Four hundred and fifty-three patients were recruited. The mean visual field MD was -
50 15.0dB (SD 6.3) in the index eye and -6.2dB in the non-index eye. Of index eyes (HPA 'severe'
51 classification) at baseline, over 70% had a mean deviation < -12.00dB and nearly 90% had more
52 than 20 points defective at the 1% level. The mean LogMAR visual acuity of the index eye was
53 0.2 (SD 0.3),

54 Conclusions: TAGS is the first RCT to compare medical and surgical treatments for patients
55 presenting with advanced open angle glaucoma in a publicly funded health service. It will
56 provide clinical, health related quality of life and economic outcomes to inform future
57 treatment choices for those presenting with advanced glaucoma

58 **Introduction**

59 Glaucoma is a common, chronic, irreversible, optic neuropathy affecting peripheral vision in
60 predominantly older adults(1) . Primary open angle glaucoma (POAG) affects over 2% of those
61 over 40 years(2). It is the second leading cause of blind registrations(3), a major cause of
62 disability in the elderly (4, 5) and worsening of Health-Related Quality of Life (HRQoL)(6-11).

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64 The incidence of POAG is estimated at 11,000 per year in people aged 40-70 in the UK(12, 13).
65 Approximately 1 in 4 patients present with advanced disease(14-18). However, the most recent
66 UK estimate (2006) indicated 39% of newly diagnosed cases had advanced disease in at least
67 one eye(19). Having advanced glaucoma at diagnosis is associated with a higher risk of
68 blindness compared with early stage detection(20-26).

69

70 Effective treatment stops or delays disease progression (27-29). The American Academy of
71 Ophthalmology does not specifically recommend a treatment approach for those presenting
72 with advanced glaucoma(30), however, in the UK, the National Institute of Health and Care
73 Excellence (NICE) recommends primary augmented trabeculectomy for patients presenting
74 with advanced glaucoma(13). A recent survey of ophthalmology consultants(31) suggests
75 these guidelines are not commonly adhered to within the UK because of concerns regarding
76 surgery risk and uncertainty about the best primary therapeutic option for such patients. The
77 Treatment of Advanced Glaucoma Study (TAGS) addresses this uncertainty and fulfils a
78 recommendation of a recent Cochrane review(32) to undertake research to determine
79 whether primary medicine or primary surgery is best for patients presenting with advanced
80 glaucoma. TAGS will be the first study to evaluate the best treatment for patients presenting
81 with advanced glaucoma who are those most at risk of developing blindness in their
82 lifetime(20-24).

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84 The primary objective of this report is to characterise the baseline features of the TAGS cohort
85 and further explore the profile of the advanced visual field loss in terms of the Hodapp-Parrish-
86 Anderson criteria of visual field loss.

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95 **Methods**

96 TAGS is a pragmatic multicentre randomised controlled trial and the design of the study has
97 been described in detail elsewhere(33). Eligible patients with advanced POAG in either eye
98 were randomised to have augmented trabeculectomy or intraocular pressure (IOP) lowering
99 drops as their primary intervention and followed up for 24 months. Randomisation was based
100 on the participant (not the eye), but for those where both eyes were eligible, clinical outcomes
101 are based on the index eye defined as the eye with better mean deviation (MD) value.

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103 Disease Classification: Eligible patients had primary open angle glaucoma (including pigment
104 dispersion and pseudoexfoliation). Advanced glaucoma was defined according to the Hodapp-
105 Parrish-Anderson (HPA) classification of severe glaucoma(34). At baseline participants
106 eligibility was determined with 2 SITA Standard 24-2 visual field examinations and visual fields
107 in addition to mean deviation value, were graded according to which of the 5 potential criteria
108 defining severe glaucoma according to the HPA grading system they fulfilled.

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110 Interventions: In the primary medical treatment arm, participants start on one or more
111 medications at their initial visit depending upon the judgement of the treating clinician and as
112 advised by the NICE glaucoma guidelines(1) Subsequent additional medications are based on
113 clinician judgement. If drops fail to lower the IOP adequately, oral carbonic anhydrase
114 inhibitors may be used. If medical treatment fails patients will be offered glaucoma surgery. In
115 the primary trabeculectomy group, surgery should be undertaken within three months of
116 randomisation by a surgeon who specialises in glaucoma or a glaucoma fellow who has
117 performed at least 30 trabeculectomies. Patients' IOP will be medically controlled until
118 glaucoma surgery is undertaken. Trabeculectomy will be augmented with mitomycin-C. After
119 glaucoma surgery, medical treatment may be introduced if the IOP is above the desired target.

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121 Outcome Measures: Clinical measurements for visual field loss MD and HPA Criteria on
122 Humphrey visual field testing. Logarithm of the mean angle of resolution (logMAR) visual
123 acuity (VA), IOP. Incidence of blindness(35) at diagnosis, family history of glaucoma and self
124 reported frequency of contact with primary care optometry in years prior to diagnosis. Health
125 Related Quality of Life (HRQoL) generic health status [EuroQual-5 dimension – 5 level (EQ-5D-
126 5L)(36) and Health Utility Index (HUI-3)(37), visual health status [National Eye Institute Visual
127 Function Questionnaire 25 (VFQ-25)](38), glaucoma health status [glaucoma utility index
128 (GUI)](39), patient experience.

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130 Follow-up: In addition to the baseline visit patients will attend 3 scheduled study visits at
131 approximately 4, 12 and 24 months post-randomisation. Clinical data is collected at each of
132 these visits. HRQoL information is collected on self-reported questionnaires at baseline, 1, 3,
133 4, 6, 12, 18 and 24 months, and participant costs and healthcare utilisation for health economic
134 evaluation are collected at 4, 12, 18 and 24 months. The discrete choice experiment was
135 elicited at 27 months. Study schedule is described in Supplementary Table 1. Where a data
136 collection time was not a clinic visit data was collected via postal questionnaire.

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Statistical analysis: Baseline characteristics are described using numbers and percentages for dichotomous variables, numbers, median and interquartile range (IQR) for the number of times the participant visited the optometrist in the last 10 years and mean and standard deviation (SD) for all remaining continuous variables. For participants in whom both eyes were eligible, data are summarised for both the index and non-index eye., In addition, for visual fields MD, better eye (higher MD score) and worse eye (lower MD score) are also reported. For participants who declined to participate in the trial, age and gender were compared with participants randomised using a t-test and chi-squared test, respectively. EQ-5D-5L was calculated following the method by Van Hout et al(41) and GUI was calculated following the method by Burr et al(39) . All analyses were performed in Stata 15 software. (42)

151 **Results**

152 **Participant flow**

153 Eligible patients were recruited from 27 secondary care hospital centres in the UK between 3rd
154 June 2014 and 31st May 2017 (Appendix 1). The trajectory of recruitment from all centres is
155 shown in Appendix 2.

156 There were 951 patients identified to be potentially eligible, of these 453 were randomised.
157 Patients (N=498) were excluded because they were ineligible (N=229) or declined (N=268); for
158 one participant the reason is unknown (Appendix 3). The commonest reason why patients
159 were not eligible was that they could not be randomised in the 3 months window following
160 diagnosis (23%) or the visual fields at a screening visit did not fulfil eligibility criteria (23%). Of
161 those who declined to participate the main reasons were they did not want to have surgery
162 (19%) or lifestyle considerations (16%); over 28% of patients did not indicate why they
163 declined. The reason why two patients were not randomised was not recorded (Appendix 3).

164 **Baseline characteristics**

165 The baseline participant characteristics are shown in Table 1. The mean age of participants was
166 67 (SD 12.3) years; 303 (67%) of participants were male. For those individuals who declined to
167 participate in the trial (n=265), the mean age was slightly, but statistically significantly, greater
168 than that of participants at 69 (SD 12.8) years (p-value 0.04); 165 (62%) were males (p-value
169 0.17). Participants were mainly Caucasian (82%)

170 Primary open angle glaucoma was the commonest form of open angle glaucoma accounting
171 for 97% of the cohort. Advanced glaucoma was present in both eyes in 19.4% of participants.

172 Baseline patient experience measures are shown in Table 2. The mean VFQ-25 was 87.1 (SD
173 13.5), the general vision and general health subscales were most affected. For generic health
174 status the HUI-3 scored lower than the EQ-5D-5L at 0.81 and 0.84 respectively and just over
175 one third (37.7%) self-reported that they felt their glaucoma was getting worse. There was a
176 10dB difference on average between the better eye visual field loss [-5.5 (SD 6.1) dB] and the
177 worse eye visual field loss [-15.7 (SD 6.7) dB] at presentation.

178 Baseline clinical characteristics for the index and non-index eye are shown in Table 3. The eyes
179 are similar for most measurements. However, the mean VF loss was greater in the index eye
180 (MD = -15.0 dB) compared to -6.2 dB in the non-index eye. Similarly, the IOP was greater in
181 the index eye both at diagnosis and baseline. The mean IOP (mmHg) at diagnosis and baseline
182 was 26.4 and 19.2 respectively in the index eye, and 22.9 and 17.9, respectively, in the non-
183 index eye. Participants were mainly taking prostaglandin analogue drops at baseline, 81.2% in
184 their index eye and 70.6% in their non-index eye. The mean visual acuity was LogMAR 0.2 in
185 the index eye and LogMAR 0.1 in the non-index eye. Binocular visual acuity was LogMAR 0.1 (n
186 =441; SD 0.01). Six percent of the cohort were eligible for sight impairment registration in the
187 UK at the time of diagnosis.

188 The vast majority of patients were phakic (>90%) and about a fifth had associated ocular co-
189 morbidity.

190 The HPA criteria leading to a 'severe' classification of glaucoma in the index eye at baseline is
191 shown in Table 4. Over 70% had a mean deviation < -12.00dB and nearly 90% for more than
192 20 points defective at the 1% level.

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200 Discussion

201 TAGS was designed to be a pragmatic trial comparing established options, medications or
202 surgery, as initial treatment for people diagnosed with severe glaucoma. Only the primary
203 intervention was dictated by the trial protocol (33).

204 Recruitment to RCTs comparing surgical and non-surgical interventions can be challenging(43).
205 TAGS recruited to time and to target which may reflect the considerable pre-trial effort to
206 ensure that the trial was conducted in a way acceptable to patients and information presented
207 in a way that was understood(44).

208 Nearly one third of our cohort reported a family history of glaucoma which is similar to three
209 previous primary intervention studies of patients with early glaucoma(45-47). Suggesting that
210 having a family history of glaucoma does not reduce your risk of presenting with advanced
211 disease.

212 One mechanism for minimising risk of presentation with advanced glaucoma is a regular visit
213 to an eye care professional. In England, current policy facilitates visits to a community
214 optometrist annually for those over 40 years with a family history of glaucoma. In addition,
215 all people over the age of 60 years are entitled to a free eye test every 2 years. Nearly one
216 third of the participants in TAGS had a known family history of glaucoma (so entitled to annual
217 glaucoma screening) and the vast majority were over 60 years old. The participants report a
218 median of 5 visits to their optometrist in the 10 years prior to diagnosis with advanced
219 glaucoma. These findings suggest that, despite a robust public health provision to prevent
220 diagnosis with advanced disease, a large number of patients are still not being diagnosed at an
221 early stage. Indeed over 6% of the cohort were eligible for sight impairment registration in the
222 UK at the time of diagnosis(35). The reason these opportunities to diagnose glaucoma earlier
223 are missed is unknown. It is possible that participants have some recall bias and over-estimated
224 the frequency of visits to their optometrists prior to diagnosis or that they were rapid
225 progressors as previously suggested by Fraser(48). However, it has also been suggested that
226 delays in diagnosis may occur at several points, from failure of recognition/diagnosis of
227 glaucoma by optometrists, to failure to refer appropriately or delays in this process
228 occurring(49).

229 One reason this reduced vision may not have prompted patients to seek attention earlier is a
230 resignation among older people that poorer vision is a natural consequence of ageing(52) and
231 they may not therefore pay much attention to the subtle and slowly developing deterioration
232 associated with visual field loss, especially if only affecting one eye .

233 Visual Field loss

234 VF damage is the major clinical measure of the functional impact of glaucoma, which adversely
235 influences QoL(8, 9, 54, 55).

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237 There are few RCTS which have explored treatment outcomes in patients with advanced
238 glaucoma and none which have explored primary interventions in a treatment naïve cohort. In
239 the TAGS, the mean visual field MD score of the index eye was -15.0 dB. Although an
240 Advanced Glaucoma Intervention Study (AGIS) has already been undertaken, that study
241 defined 'advanced' as "When maximum effective, accepted, and tolerated medications fail to
242 reduce intraocular pressure adequately and there has been some visual field loss, the patient
243 is said to have advanced glaucoma" (56). In AGIS the extent of visual field loss was not an entry
244 criterion. The MD for the AGIS cohort was not reported as a whole but was about -10.5 dB
245 (mean defect -11.3dB for black participants and -9.4dB for white participants(57). In AGIS,
246 participants had also already exhausted possible medical interventions. The Tube Versus Trab
247 (TVT) study recruited participants with previous surgical intervention and an MD of -16.7 (SD
248 9.32) dB, however the patients had uncontrolled glaucoma already, despite previous medical
249 and surgical interventions(58). Similarly, the Primary Tube Versus Trab study (PTVT) recruited
250 patients with inadequately controlled glaucoma on maximum tolerated medical therapy but
251 no previous surgery; these patients had an MD of -14.7 dB(59). Neither of these study cohorts
252 examines primary interventions in treatment naïve patients with advanced glaucoma and all
253 tested different interventions compared to those being explored by TAGS.

254 There have been several previous RCTs of primary medical versus surgical treatment(32). In
255 these, disease severity has been variable, and, since they were undertaken, medical and
256 surgical interventions have evolved. In the Moorfields Glaucoma Trial, the stage of glaucoma
257 was not described(60, 61). In the Glasgow Trial, 35% of participants had severe glaucoma
258 (according to the study definition)(62). In the Moorfields Primary Treatment Trial, 48% of
259 participants had severe glaucoma (according to the study definition >12 absolute defects on
260 Friedman perimetry)(63). In CIGTS, most participants had mild glaucoma based on the average
261 MD of -5.5 dB; one hundred and sixty-eight (27%) participants had no visual field defect, and
262 were included on the basis of IOP \geq 27 mmHg and an optic disc appearance compatible with
263 glaucoma. Thus, TAGS is the first and largest cohort of patients with advanced glaucoma
264 evaluated with the Humphrey Visual Field Analyser which will provide a more precise method
265 of evaluation of long-term visual field changes in patients with primary advanced visual field
266 loss.

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268 Two recent RCTs assessing treatment in OAG, the Laser in Glaucoma and Ocular Hypertension
269 Trial (LiGHT)(29)and the United Kingdom Glaucoma Treatment Study (UKGTS)(28) recruited
270 cohorts with mild glaucoma. In the LiGHT, the mean baseline MD for the OHT participants was
271 -1.25 (SD 2.05) dB and for POAG participants was -3.81 (SD 3.68) dB and for UKGTS the median
272 (IQR) baseline VF loss was -2.9 (-1.6 - -4.8) dB so both these cohorts had considerably less
273 baseline VF loss than those entered into TAGS. TAGS, therefore, provides valuable information
274 not already available for patients presenting with advanced visual field loss and complements
275 previously undertaken studies exploring interventions in patients with mild visual field loss.

276

277 Quality of Life

278 Glaucoma is a bilateral disease and the severity of visual field loss in both the more and less
279 affected eyes affects the VFQ-25 score(10, 64). Additionally, central location of visual field(65)
280 loss also decreases HRQoL. For patients with progressive glaucoma, having more advanced
281 binocular loss disproportionately results in more HRQoL reduction for each further dB loss of
282 visual field(11). Table 4 demonstrates that both global and localised central defects are well
283 represented in the cohort ensuring that TAGS is uniquely designed to explore further these
284 observations in a large group of patients with advanced glaucoma.

285
286 No previous primary treatment RCTs for advanced glaucoma have assessed patients reported
287 outcomes. The Tube versus Trabeculectomy (TVT) study reported the VFQ-25 in patients with
288 advanced glaucoma but these patients had longstanding glaucoma prior to recruitment(66).
289 For the TAGS, the vision specific VFQ-25 composite score was 87.1 (SD 13.5) which is better
290 than the level reported in the TVT study of 71.9 (SD 17.9). This difference may reflect that
291 patients in the TVT study had longstanding glaucoma, had previous incisional surgery prior to
292 recruitment and a mean visual field MD of -16.7dB. As the VFQ-25 is a measurement
293 influenced by bilateral visual function(10, 64), rather than just the index eye visual function, it
294 is possible that patients in TVT with longstanding glaucoma also had worse baseline visual
295 function in the non-index eye.

296
297 TAGS is the first study of patients with advanced glaucoma to report values for the generic
298 health status instrument EQ-5D-5L. One previous study of patients with early POAG and OHT
299 (LiGHT), reported an average value of 0.92 (SD 0.13), which is better than that recorded for
300 TAGS of 0.84 (0.18) suggesting a considerable difference in generic HRQoL for patients with
301 advanced glaucoma compared to those with early disease, albeit that the LiGHT cohort were
302 on average about 3 years younger (64.1 vs 67.2) than TAGS patients at baseline. To explore
303 whether including a generic health instrument with a vision specific domain better reflects
304 HRQoL in patients with advanced glaucoma, we also collected data with the HUI-3, which found
305 a small 3 point score reduction compared with the EQ-5D-5L. It is, therefore, uncertain if the
306 HUI-3 incorporating vision disability into its composite score is more effective as a generic
307 HRQoL in patients whose vision is affected. Long term follow-up of the TAGS cohort will
308 provide further insight into which, if any, of these two measurement tools is more effective in
309 capturing change in glaucoma status.

310
311 The GUI was used to report glaucoma specific health status. For the GUI in LiGHT, the mean
312 score was 0.89 for the POAG group(47). In TAGS the mean score was also 0.89 (SD 0.12). This
313 suggests a poor ability for the GUI to discriminate between early and late disease, and this may
314 reflect the modest number of people in the reference cohort of GUI development with
315 advanced glaucoma(39). However, this may alternatively be a reflection that there was
316 relatively good function of the non-index eye in many of our cohort, masking this difference.

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318 Although several previous RCTs of primary medication vs trabeculectomy have been
319 undertaken only one collected any patient-reported outcome measures (PROMS)(67, 68). The
320 Collaborative Initial Glaucoma Treatment Study (CIGTS) recruited patients with early glaucoma
321 and collected a battery of PROMS reporting both systemic and local effects of treatment.
322 There was no difference at baseline between surgery and medicine groups in this study(68),
323 however it did not use any of the instruments employed in the TAGS. TAGS is the first study
324 where generic, vision and glaucoma specific PROMS have been collected systematically in
325 patients with advanced disease at presentation and the first glaucoma RCT to report HUI-3,
326 which contains a vision specific domain.

327

328 In conclusion, the baseline characteristics of the TAGS cohort show advanced visual field loss
329 is well represented with both global and central visual field loss at baseline. This cohort
330 provides a unique opportunity to establish which primary interventions best preserves the
331 vision of those presenting with advanced glaucoma

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Ethics approval: The study adheres to the tenets of the Declaration of Helsinki and the principles of Good Clinical Practice (GCP), and is in accordance with all applicable regulatory guidance, including, but not limited to, the Research Governance Framework. TAGS' protocol and patient-facing documentation were prospectively reviewed and approved by the Derby 1 Research Ethics Committee (ref number 13/EM/00395). Local NHS Research and Development (R&D) approvals were obtained prior to commencement of the trial at the participating sites. An independent Trial Steering Committee and separate independent Data and Safety Monitoring Committee oversee the trial.

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Supplementary Table 1: Outcome Measurements

		Post-randomisation (months)						
	Baseline	1	3	4	6	12	18	24
Clinical								
• Medical History	x							
• Humphrey Visual Field Mean Deviation	x			x		x		x
• Esterman Visual Field	x							x
• LogMAR Visual Acuity	x			x		x		x
• Intraocular Pressure	x			x		x		x
• Standard clinical examination	x					x		x
Patient Experience								

• NEI – VFQ-25	x			x		x		x
• EQ-5D-5L*	x	x	x		x	x	x	x
• HUI-3*	x	x	x		x	x	x	x
• GUI*	x	x	x		x	x	x	x
• Patient experience questions	x	x	x		x	x	x	x
Health economics								
• Health Care Utilisation (including hospital visits)				x		x		x
• Participant Cost				x		x		x
• Participant Time and travel							x	

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557 ^aNEI-VFQ25 – National Eye Institute Visual Function Questionnaire (25 items); HUI-3 –
558 Health Utility Index; GUI – Glaucoma Utility Index; LogMAR – Logarithm of the mean angle
559 of resolution.

560 * additional questionnaire undertaken immediately prior to trabeculectomy surgery; Discrete
561 Choice Experiment at 27 months;

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