

1 **Complexity in crisis: The volcanic cold pulse of the 1690s and the**
2 **consequences of Scotland's failure to cope**

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19 **Abstract**

20 Recent work has linked historical crises, both regional and local, with palaeoclimatic
21 estimates of global and hemispheric climate change. Such studies tend to underemphasize
22 the spatiotemporal and socioeconomical disparity of human suffering and adaptive
23 capacity as well as the complexities of past climate change. We focus herein on the

24 effects in Scotland of a severely cold climate episode in the 1690s, associated with major
25 tropical volcanic events including a large unidentified tropical eruption in 1695. A tree-
26 ring based summer temperature reconstruction from the northern Cairngorms region
27 identifies the 1690s as the coldest decade in Scotland for the last 750 years. Archival
28 sources meanwhile reveal the 1690s as likely the worst era of crop failure, food shortage,
29 and mortality ever documented in Scottish history. The connection appears simple -
30 volcanic cooling triggered famine - but the drivers towards famine are far more complex.
31 Although the unusual coldness of the 1690s was near-hemispheric in scale, it had a
32 differential impact across north-western Europe. Within Scotland, both lowlands and
33 highlands experienced dire conditions, but distinct factors exacerbated the suffering in
34 each region. We integrate historical and palaeoclimatic records to explore the influence
35 of the volcanic cold pulse of the 1690s and its consequences in Scotland. We find that
36 while cooling temperatures characterized the regional to larger-scale climate,
37 vulnerability and response potential were diverse and shaped by local circumstances. The
38 Scottish crisis of the 1690s, in the context of the kingdom's failing economy, influenced
39 investors from all parts of society, including the nobility and entire communities, to fund
40 the ill-fated expedition to colonize Darien in modern-day Panama. The climate crisis and
41 the colony's collapse hindered Scotland's already sluggish economy, motivating
42 unification with England soon after. (279 Words)

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49 **1 Introduction**

50 The decade of the 1690s is known to have been unusually cold with associated
51 widespread crop failures, food shortages and mortality crises in many areas of Europe
52 (Ladurie 1971, Appleby 1979, 1980, Bellettini 1987, Pfister 1988, Glaser 1993, Lamb
53 1995, Kington 1999, Slavin 2016, Huhtamaa and Helama 2016, Huhtamaa and Helama
54 2017, Alfani, Mocarrelli and Stangio 2018, Camenisch and Rohr 2018, DeGroot 2018).
55 Scotland was no exception (Tyson 1986, Dawson 2009, Cullen 2010; Klinger 2019),
56 though some parts of northern Europe were less affected (Wrigley and Schofield 1981,
57 Walter and Schofield 1989, Clarkson and Crawford 2001, Hoyle 2013, Dijkman 2017,
58 Curtis and Dijkman 2017). The cooling, unusual enough that some have considered it to
59 represent one of the peaks of the so-called Little Ice Age (LIA) in Europe (Grove 1988,
60 Matthews and Briffa 2005), was likely predominantly forced by a cluster of volcanic
61 events, including one of the more significant, although not the largest, eruptions of the
62 late Holocene - the unidentified tropical event of 1695 ± 2.5 (Sigl et al 2015, Toohey and
63 Sigl 2017). Recent dendroclimatological studies have now affirmed that the extreme
64 summer cooling of the late seventeenth century was near-hemispheric or hemispheric in
65 scale (Wilson, et al. 2016). Some have gone so far as to associate the cooling of the
66 1690s with the tail end of the “Global Crisis”, a period of political turmoil characterized
67 by persistent war and unrest in many regions of the world with which it broadly
68 overlapped (e.g. Parker 2013).

69 Exceptionally cold conditions in Scotland in the 1690s have been inferred from
70 historical and instrumental observations, as well as paleoclimate proxies from continental
71 Europe (e.g. Lamb 1995, Dawson 2009, Cullen 2010). Until recently, however, no purely

72 temperature-sensitive high-resolution record existed for Scotland that could more directly
73 corroborate the historical data and provide a long-term, quantitative perspective for the
74 unusually cold conditions experienced in Scotland during the 1690s. Here we use a
75 recently published tree-ring based summer temperature reconstruction for Scotland
76 (1200-2010 CE, Rydval et al. 2017) to scrutinize, in more detail, the 1690s with respect
77 to the temperatures of the last 800 years (**Figure 1A**). This reconstruction, termed
78 NCAIRN, is derived from living trees and lake-preserved subfossil wood material from
79 Scotland's northern Cairngorms region.

80 Recent work has linked palaeoclimatic estimates of global and hemispheric
81 climate change with major crises in the prehistoric, Roman, medieval, and early modern
82 eras (Gill 2000, Parker 2013, Brooke 2014, Cline 2015, Campbell 2016, Harper 2017
83 Weiss 2017). As engaging as they are, such large-scale analyses can understate the
84 complexity of the causal relationships between climate and society (Haldon et al 2018,
85 Sessa 2019). Indeed, broad-stroke histories of this sort often fail to capture the intricacies
86 of past climate change and the marked disparity, on multiple scales, in societal
87 vulnerability and adaptive capacity to environmental change. Here we attempt to
88 circumvent the reductionism inherent in such interpretations. We integrate historical and
89 palaeoclimatic records to explore the cold pulse of the 1690s and assess its consequences
90 and reactions in Scotland. We confirm that the Scots experienced extreme cold conditions
91 throughout the 1690s, with possibly only minimal evidence of hydroclimatic related
92 impacts (see below). The Scots suffered more than most, with 10-15% of their population
93 lost (ca. 100,000 people) (Flinn 1977, Tyson 1986, Cullen 2010, Dodgshon 2004, 2005),
94 certainly more than their immediate neighbours within the British Isles, while northern

95 France only lost 5% (Appleby 1979, Lachiver 1991, Béaur and Chevet 2018). Within
96 Scotland itself, both the lowlands and highlands experienced dire conditions, but with
97 distinctive factors contributing to the suffering in each region, as we describe.

98 Our focused perspective emphasizes the value of more micro or regional scale
99 analyses in understanding histories of past climate crises. This case also illuminates how
100 the climate induced suffering of the mid-1690s, exacerbated by an already fragile
101 economy, influenced the ill-fated Darien expedition, its subsequent failure, and the
102 eventual Union of Scotland with England. Further, it demonstrates that not all early
103 modern European famines were contingent on high population density, as recently
104 claimed (Alfani and Ó Gráda 2018). The suffering experienced in both the Scottish
105 Highlands (less populated and agriculturally marginal) and lowlands (more populated and
106 productive) in the 1690s indicates clearly that environmental extremes and multifarious
107 societal factors, but not population pressure, precipitated the Scottish crisis. Population
108 pressure certainly worsened the situation in urban contexts but did not itself cause the
109 crisis.

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111 **2 The cold pulse of the 1690s**

112 **2.1 Summer temperatures in Scotland in the 1690s in the context of the last 800** 113 **years**

114

115 The climate crisis of the 1690s is almost universally understood as a period of
116 pronounced cooling. Previously, high resolution temperature reconstructions for Northern
117 Britain had relied on a multi-proxy approach to combine long instrumental records,

118 historical documentary indices, tree rings and ice cores to provide spatially detailed
119 seasonal information over much of Europe (e.g. Luterbacher et al. 2004). However, no
120 relevant proxy data for the 1690s had originated from Scotland directly. Now however,
121 there is a high-resolution, purely temperature-sensitive record for Scotland - the NCAIRN
122 reconstruction (**Figure 1A**). This reconstruction is based on ring-width and latewood
123 Blue Intensity tree-ring density data (see Rydval et al. (2017) for details) and explains
124 57% of the instrumental summer temperature variance for Scotland (**Figure 1B**). In order
125 to provide a long-term perspective for the 1690s cold episode, we evaluated the NCAIRN
126 reconstruction over its 800-year length from 1200-2010 CE (**Figure 1A** and **Table 1**).
127 Sample replication is lower before ~1550, with associated greater uncertainty (Rydval et
128 al. 2017), underscoring the need for additional future sampling of subfossil wood
129 material. The maximum (1284, 1.9°C) and minimum (1232, -2.80°C) annual values are in
130 this less confident period (**Table 1**) while 1698, in the more confident post-1550 period,
131 is the 3rd coldest reconstructed value (-2.57°C). The coldest decade in NCAIRN is 1224-
132 1233 (-1.64°C), followed by 1695-1704 (-1.56°C), and then 1632-1641 (-1.47 °C), the
133 latter two following a protracted period of declining temperatures from the 1500s into the
134 seventeenth century. 1612-1711 is the coldest century (-1.01°C) and was followed by an
135 overall return to milder summers after another cold interval in the late 1700s to early
136 1800s. The warmest century of the whole record is 1911-2010 (0.05°C). The summers of
137 the 1690s, therefore, were some of the coldest of the last ~800 years in Scotland and,
138 significantly, they occurred at the end of a prolonged period of cooling.

139 The extreme nature of the 1690s can be further highlighted when compared to the
140 seasonal, multiproxy temperature reconstructions from Luterbacher et al. (2004) for the

141 Scotland region (**Figure 2**). Importantly, the Luterbacher et al. (2004) dataset includes
142 the long Central England Temperature record (CET, Parker et al. 1992 and Manley
143 1974), an important instrumental data source that provides UK-specific climate
144 information through this period. The coolest decade over the 1600-1750 period is
145 consistently around the 1690s for all four of these seasonal records from Luterbacher et
146 al. (2004). The extreme cooling of the 1690s was therefore *not* restricted to only an
147 expression of summer temperatures. When the accumulated temperature influence of all
148 five records (including NCAIRN) is assessed by examining the number of records with
149 cool years exceeding 1 standard deviation below the mean, 1698 is expressed as the
150 combined, coldest year in Scotland (**Figure 2**).

151

152 **2.2 The 1690s cooling in a hemispheric context**

153 Other palaeoclimatic, historical, and instrumental records for the British Isles,
154 Europe, and elsewhere at northern latitudes corroborate the evidence found in the
155 NCAIRN record for the exceptional coldness of the 1690s in Scotland. The spatial extent
156 of summer cooling of the 1690s was neither limited to Scotland nor northern Europe but
157 was virtually hemispheric in scale – at least at the mid-to-high latitudes (Esper et al.
158 2018).

159 Documentary data for mainland Europe also describe unusually low temperatures,
160 crop failures and famine at this time, indicating that causes for these events were not
161 merely local but interregional in extent (Lamb 1995). For example, severe food shortages
162 were documented in Estonia, Sweden and Finland (the Great Famine, 1695-1697,
163 Lappalainen 2012, Lappalainen 2014, Huhtamaa and Helama 2017) and throughout large

164 stretches of western Europe (Ladurie 1971, Appleby 1979, 1980, Bellettini 1987, Pfister
165 1988, Glaser 1993, Lamb 1995, Kington 1999, Slavin 2016, Huhtamaa and Helama 2017,
166 Camenisch and Rohr 2018, DeGroot 2018), but with notable exceptions for England and
167 parts of Ireland and the Netherlands (Wrigley and Schofield 1981, Walter and Schofield
168 1989, Clarkson and Crawford 2001, Hoyle 2013, Dijkman 2017, Curtis and Dijkman
169 2017). Concurrently, more sea ice was reported in Iceland in the 1690s than in any other
170 decade of the seventeenth century and only one “good” winter (1698) was observed there
171 between 1694 and 1700 (Ogilvie 1992). The extent and duration of sea ice recorded off
172 Iceland was far above average in 1694-1695 in particular (Ogilvie 1996, Devine and
173 Wormald 2012). Ice also hindered Dutch shipping well into the summer of 1695 (Degroot
174 2018) and Royal Navy ships’ log books for the English Channel and vicinity indicate
175 enhanced frequency of gales between 1685-1700, albeit in the colder months (Wheeler et
176 al. 2010).

177 To provide a macro-regional, albeit summer growing season biased perspective,
178 we present the longitudinal quadrant tree-ring composite records detailed in Wilson et al.
179 (2016) for western/eastern North America and western/eastern Eurasia (**Figure 3**) which
180 strongly indicate that the cooling of the late seventeenth century was both near-
181 hemispheric in nature and strongly expressed in the European (and North American)
182 proxy records. In each of the records the coldest summer was either 1698, 1699 or 1700,
183 strongly lending support to the argument for a volcanic cause (see next section). Finally,
184 an ensemble plot of CMIP5 climate model runs (Wilson et al. 2016) clearly shows the
185 same period of cooling at the end of the seventeenth century centred on 1696. There is
186 thus broad consistency among the various palaeoclimatic, instrumental and historical

187 records regarding the severity of cooling at the end of the 1600s. Importantly for this
188 study, it is clear that the Scottish cooling is mirrored across much of the Northern
189 Hemisphere and must therefore be forced by large-scale factors.

190

191 **2.3 Possible causes of the 1690s cooling**

192 The 1690s cold pulse took place during one of the coolest periods of the interval
193 known broadly as the Little Ice Age (~1450-1850 according to Grove 1988; ~1250
194 according to Luterbacher et al. 2016; see also Matthews and Briffa 2005, Wilson et al.
195 2016) and overlaps with the latter half of the Maunder minimum, the most pronounced of
196 recent grand solar minima (Luterbacher et al. 2001, Usoskin et al. 2015). However, recent
197 attribution-based studies find little evidence for the sun being a direct causal influence on
198 the cooler conditions through the 17th century (Owens et al. 2017), although such studies
199 are sensitive to the data used.

200 It is possible that the cold climate conditions of the 17th century in Scotland were
201 amplified by a shift towards a negative phase of the North Atlantic/Arctic Oscillation
202 (NAO/AO, Shindell et al. 2001). Due to its proximity to the North Atlantic, Scotland
203 summer temperatures are highly correlated with, and influenced by, features of the
204 atmosphere-ocean circulation for the general region, including the summer NAO
205 (Linderholm and Folland 2017). Comparison of NCAIRN with the summer NAO index
206 (Folland et al. 2009) for July-August shows correlations of 0.43 and 0.60 (undifferenced
207 and first differenced respectively - 1899-2010, $n = 111$, $p < 0.0001$). However,
208 independent reconstructions of the summer (Linderholm and Folland (2017), winter (e.g.
209 Cook et al. 2019) and annual/decadal (Trouet et al. 2009, Proctor et al. 2000) NAO, for

210 example, do not indicate a significant negative phase in the 1690s, suggesting that this
211 internal forcing mode was likely not a major influence on the extreme climate in Scotland
212 and Scandinavia at this time. Alternatively, however, the monthly NAO reconstruction
213 of Luterbacher et al. (2002) does indicate persistent negative NAO values from
214 September 1694 to December 1695, and the two lowest reconstructed values for the 17th
215 century take place in January and February 1695.

216 Therefore, with only a minimal NAO influence, we thus consider that the most
217 likely explanation for the severe cold pulse felt in Scotland (**Figure 2**) and across much
218 of the northern hemisphere (**Figure 3**) in the 1690s is volcanically forced cooling
219 (D'Arrigo et al. 2013). As well as the seven-month-long eruption of Mount Hekla,
220 Iceland in 1693 (starting 13 January, VEI 4; Dawson 2009, Rydval et al. 2017), two
221 tropical eruptions, identified in sulphate layers from bipolar ice core composite records,
222 occurred in 1693 and 1695 (Sigl et al. 2015; Toohey and Sigl 2017; Irawan et al. 2009).
223 The apparent lagged expression of cooling observed in the northern hemispheric
224 longitudinal quadrant tree-ring records (**Figure 3**), could suggest a slight re-dating of
225 these eruptions may be needed.

226

227 **2.4 Hydroclimate anomalies in the 1690s**

228

229 Although commonly considered solely as a period of pronounced coldness,
230 precipitation variability also affected crop productivity in the 1690s, at least in some
231 regions of north-western Europe. Precipitation is more spatially heterogeneous than
232 temperature and coherent trends in hydroclimate at a hemispheric or European scale are

233 not discernible for the 1690s (Pauling et al. 2006; Cook et al. 2015). Consideration of the
234 societal implications of precipitation anomalies therefore demands a micro-regional
235 perspective. This presents a substantial difficulty for Scotland, as reconstructions of past
236 hydroclimate for early modern Scotland must be inferred from English and continental
237 long instrumental, tree-ring and historical sources (Pauling et al. 2006; Cook et al. 2015).
238 While archival and tree-ring data demonstrate conclusively that heavy rains, followed by
239 drought conditions, contributed to the famine in northern France of 1693-94 (Appleby
240 1979, Cook et al. 2015), without a high-resolution purely hydroclimate proxy record for
241 Scotland only tentative conclusions can be made regarding the contribution of
242 precipitation anomalies to Scottish famine.

243 Within the caveats of substantial uncertainty on the reliance of mainly continental
244 and southern English proxy archives to extrapolate across Northern Britain, the available
245 hydroclimate reconstructions for the Scottish sector are (1) the Old World Drought Atlas
246 (OWDA - Cook et al. 2015) which provides estimates of the summer Palmer Drought
247 Severity Index (PDSI) – a measure of drought severity via assessing water moisture,
248 precipitation and temperature and (2) a multi-proxy (mainly long instrumental data-sets
249 and historical indices) spatial seasonal reconstruction of precipitation from across Europe
250 (Pauling et al. 2006). The OWDA estimates hint at relatively dry summer conditions
251 through the 1690s which are in some agreement with the winter and spring precipitation
252 reconstructions from Pauling et al. (2006) although summer precipitation appears
253 average. Only autumn precipitation appears to express wet conditions at the end of the
254 17th century. When the combined extreme values (< or > 1 standard deviation from the
255 1600-1750 mean) are examined across all 5 records, the 1690s do not stand out in any

256 significant way (**Figure 4**). We again emphasise that no proxy data from Scotland were
257 included in the Pauling et al. (2006) and Cook et al. (2015) studies, so any hydroclimate
258 impacts during the 1690s can only be assessed from archival sources. As discussed
259 below, archival evidence for precipitation extremes is spotty and does not always
260 resonate in the aforementioned proxies. Recorded anomalies, like the summer drought
261 conditions reported in 1695 and 1696, may have been too brief or local to register in
262 more regional-scale indices (**Figure 4**).

263

264 **3. Climate and society: Scotland and Northern Europe in the 1690s**

265 **3.1 The Scottish “Ills”: Extreme weather, crop failures and famine in Scotland**

266 As the seventeenth century drew to a close, Scotland experienced, among various
267 socioeconomic and political challenges, the “Ills”, at least five years (1695-1699/1700) of
268 kingdom-wide dearth-like conditions and famine stemming from late harvests and crop
269 failures suffered across much of the country (Tyson 1986, Dawson 2009, Cullen 2010,
270 Klinger 2019). The exceptional cold pulse of the 1690s disrupted and likely shortened the
271 growing season, adversely impacting plant health and growth in both spring and summer.
272 Precipitation variability is less well known through this period (**Figure 4**) but likely
273 aggravated the situation. As discussed below, the consequences were grave. Although
274 losses certainly did not approach those of the Black Death as previously claimed (Lamb
275 1995; cf. Benedictow 2004), conditions were so severe that Scotland lost ca. 10-15% of
276 its population in the mid-1690s, with a few regions losing perhaps 25% (Flinn 1977,
277 Tyson 1986, Cullen 2010, Dodgshon 2004, 2005). Similarly, northern France lost about
278 5% of its population in 1693-94 through famine and associated epidemic disease

279 (Appleby 1979, Lachiver 1991, Béaur and Chevet 2018), and in Finland an estimated 25-
280 to-33% of the population died through famine in 1696 (Huhtamaa and Helama 2016,
281 Huhtamaa and Helama 2017).

282 Our understanding of the crop failures, food shortages and mortality crises, and
283 the responses to those events in Scotland in the 1690s is far from perfect. NCAIRN
284 reflects regional summer temperatures for both the highlands and the lowlands (**Figure**
285 **1**), but archival documents provide much more information for the lowlands. It is clear
286 nevertheless that the suffering, which was unprecedented in recent memory, affected both
287 regions.

288 Archival records document the crisis in some detail. They corroborate the summer
289 coldness discernible in the NCAIRN series, suggest some precipitation extremes, and
290 illuminate the severity of the suffering. From the lowlands we have many perspectives.
291 For instance, in 1696 Daniel Hamilton, chamberlain of the Duke of Hamilton's lands,
292 writing from Kinneil, Edinburgh, and Bo'ness, claimed that "there was never such
293 difficulty of getting money since I began the world nor greater hardships for the people to
294 live in it this 300 years, there being a general famine through the whole countrie for want
295 of victual." This was caused by "could [cold] weather a gray frosts of which we had
296 plentie the first three weeks of july almost every night" (D. Hamilton 1696). "Very
297 rainie" weather was observed by Susan Hamilton, countess of Dundonald, in 1696.
298 During the harvest in autumn, the "wind [was] always in the east [and] somewhat cold"
299 and there was "deep snow" (Dundonald 1696 and Scrymgeour 1696). According to
300 David Crawford, the secretary to the Duchess of Hamilton, the exceptional weather of
301 1696 carried through to the fall and into winter. He observed "an extraordinary great

302 storm of frost and snow” occurring in December 1696, which damaged crops still not
303 harvested near Berwick (Crawford 1696).

304 But dire conditions had set in earlier. David Scrymgeour, of Cartmore [Gartmore],
305 near Stirling, described the winter of 1695/96 as severe with cold temperatures and snow
306 until April, which delayed sowing. May too was cold, but July and August were
307 strikingly hot and dry, enough so as to damage grasses and crops (Scrymgeour 1696).
308 Meanwhile Hugh Montgomerie, a Glasgow merchant, argued that Scotland’s “extreme
309 dearth” began in September 1695 since the “two hardest months of September and
310 October [1695] proved so bad and unseasonable, and the cropt [sic] so defective over the
311 whole kingdom that the nation was generally alarmed with apprehensions of a scarcity
312 and famine.” As bad as the harvest of 1695 was, to Montgomerie the harvest of 1696
313 appeared “much worse,” especially for the poor (Montgomerie 1698). At the start of
314 1695, Daniel Hamilton reported from Kinneil, that the farmers had endured “a fortnight
315 of most bitter cold weather of frost and snow” making it impossible to venture outside
316 and preventing planting (Crawford 1695). Later that year, cattle had to be relocated for
317 want of pasture, as there was “such a drought that it hath destroyed both grass and coves
318 whereby in all probability many of them will not shear this season, as for our hay we
319 have not a load where we used to have.” To make matters worse, the cut hay had started
320 to rot because “the rain is lyke to be as excessive as the heat was (D. Hamilton 1695
321 (B)).” In total, Hamilton estimated that they would be lucky to produce half as much hay
322 as they had the previous year (D. Hamilton 1695 (A)).

323 Difficult conditions carried on into 1697, as archival records and climate proxies
324 illustrate (**Figure 2**). Mary Campbell (Caithness), Countess of Breadalbane, who

325 controlled both lowland and highland farms, described 1697 as having “cold misty
326 weather such as the oldest people alive hath not seen” (Breadalbane, 1697). George
327 Fraser, chamberlain for the earl of Mar, writing from Kildrummy near Aberdeen,
328 meanwhile observed that the harvesting of crops throughout Scotland had been delayed in
329 1697 because of the intensity and frequency of storms and that “ther was never such a
330 year seen in this countrey be any that is alive” (Fraser, 1697). A 1697 petition signed by
331 at least 25 farmers (mainly of the Scott family) in Teviotdalehead (Roxburghshire),
332 protested that the state of the country had reduced them to want. They blamed the unusual
333 summer weather for this, commenting on “the general calamity of the countrie by the
334 blasting and frosting of the corn [grain].” The stalk retained “nothing but the shap of Bear
335 [grain] without any kirnell att all and what had any kirnell through frost is altogether
336 unfitt for food...” Unsurprisingly, these tenants were unable to pay their rent. They were
337 “starving” because they used their limited grain to plant the next years’ crop rather than
338 eating it or using it to pay rentals (National Records of Scotland 1688-1702).

339 Yet, the Ills were their worst in 1698, as the written sources, NCAIRN and other
340 records (**Figure 2**) demonstrate. Like 1696 and 1697, growing conditions were poor in
341 1698. David Crawford claimed that “the whole country is in a bad condition... all things
342 here are very backward, I never saw worse weather in January for frost and snow which
343 looks like a plague for in Alendale and Leomahag they have no fother [fodder] for the
344 beasts that labours the ground has no seed to sow what is labored. They have had a very
345 bad years and this looks worss then any the Lord pitie it (Crawford 1698 (a)).” The
346 planting season saw “unseasonable... storms and cold” unlike anything they had “had
347 here since the memory of man (Caithness 1698).” Conditions failed to improve in the

348 spring. Daniel Hamilton observed that “we hitherto have had the worst season that any
349 living ever saw being extremely cold and dry.” On June 4 snow fell and several of the
350 hills near Hamilton had retained snow on them through June. Unsurprisingly, Daniel
351 Hamilton wrote “our crop is generally bad and late and in both these respects worse than
352 ever in our age.” Much of the ground was barren, which also included fruits and smaller
353 garden vegetables, and “we cannot tell whether to complain of scarcity or dearth.” Even
354 at that point, he remarked that they “are still expecting worse (D. Hamilton 1698).” The
355 harvest again failed. 1698 is the coldest reconstructed summer in the NCAIRN
356 reconstruction between 1600 and 1750 (**Figure 2**). In October, the country was “knee
357 deep of snow and hard frost, and the corn’s not halfe cutt down (Crawford 1698 (C)).”
358 James Hamilton commented on this early snowfall claiming that “the frost [and snow] is
359 this day also great as if it were December or January.” The harvest was consequently
360 “very late” and “generally a very scarce crop (J. Hamilton 1698).”

361 As in previous years, contemporaries could not remember more dire conditions
362 for crops or the country. David Crawford wrote that “such bad weather and a bad cropt as
363 is just now here as was never seie. We have constant rains and high cold winds, which
364 with the thrie last bad years will quit[e] ruin this country (Crawford, 1698 (B)).” Basil
365 Hamilton expressed disbelief over the condition of the country in two of his accounts at
366 the end of 1698. This was “the coldest weather,” he detailed, with frosts damaging much
367 of the unharvested grain (B. Hamilton 1698). The record of unusual cold across the
368 lowlands carries on into 1699 and 1700, and even further at a regional level, but
369 nationally, the worst was over by the start of 1700.

370

371 **3.2 Causes and Consequences in Scotland**

372 While some circumstances which limited adaptive capacity and facilitated the
373 gestation of severe food shortages in the 1690s were kingdom-wide -- such as a general
374 agricultural 'backwardness' and a limited crop base, the Corn Act, which encouraged the
375 exportation of grains leaving little in reserve for insufficient harvests, and overburdened
376 poor laws -- there were a few distinctive factors which contributed to the suffering in the
377 lowlands and highlands. Notably, the more congested and urbanized lowlands may have
378 experienced more morbidity and mortality, but this was not a crisis confined to urban
379 environments or contingent on high population density. There is little evidence for
380 population growth in the decades leading up to the crisis of the 1690s (Flinn 1977 and
381 Cullen 2010). The combined archival and palaeoclimate records suggest that temperature
382 extremes and multifarious societal factors led to the Scottish crisis and not population
383 pressure, contrary to recent claims based on large-scale analysis (Alfani and Ó Gráda
384 2018). As noted here, sparsely populated Finland likewise suffered gravely in the 1690s.

385 While climatic extremes created many problems for the Scots in the 1690s, the
386 structure of Scottish society and agriculture, actions taken by the Scottish government
387 and recent political events allowed for the situation to unfold as it did. In the seventeenth
388 century, Scottish agriculture was comparatively underdeveloped, and along with animal
389 husbandry, practises were generally inferior to those of the English and Irish. For
390 example, the Scots did not lime their soils, which were generally more acidic, on a large
391 scale until the eighteenth century (Smout and Felton 1965, Sibbald, 1698). They also
392 worked (for subsistence and for profit) more marginal lands than their neighbours and
393 unlike the English they did not widely break up their agricultural production or grow

394 subsistence crops in multiple seasons (Appleby 1979, Houston and Whyte 2005, Devine
395 and Wormald 2012). Sir Robert Sibbald, the natural philosopher who commented
396 extensively on seventeenth-century Scottish living conditions and history, lamented about
397 the inferiority of Scottish agriculture and animal husbandry. He posited that this
398 backwardness stemmed from naturally poor soils, the failure to lime them, and the Scots'
399 inability to develop larger cattle stock (Sibbald, 1698). Poor and un-limed soils
400 heightened their vulnerability to crisis, but no matter their size, livestock would have
401 suffered in the 1690s. There is no extant data for livestock mortalities for this period, but
402 cold conditions in the 1670s/80s, almost as extreme as the 1690s (**Figure 2**), caused
403 substantial animal die-offs (Law 1695, Flinn 1977) and archival evidence for cattle
404 exports in the 1690s suggests Scots were unable to fodder and pasture their animals
405 (Smout 1963, Koufopoulos 2005). Not only were the Scots more prone to suffer during
406 periods of climatic stress, but in the midst of the Ill Years the Scottish Parliament passed
407 the 1695 “Act encouraging the exportation of victual,” more commonly referred to as the
408 “Corn Act.” The timing was exceptionally poor. The law incentivized growing for export
409 and grain exported from Scotland in 1695 was recalled because of the failed harvest that
410 year, but at two or three times the original price (Paterson and Bannister 1859).

411 There was also charity and public welfare, of course, which was essential to
412 temper premodern famines. In Scotland during the 1690s, the responsibility for the poor
413 fell to the church through parishioner contributions and a system of “poor laws.” Yet,
414 compared to the English system, the Scottish system was “weak and mean” and
415 overburdened (Tyson 1986, Smout 1987, Cullen 2010). In 1699, David Crawford, the
416 secretary to the Duchess of Hamilton, a leading political family, claimed that mortality

417 and living conditions were much worse in many of the parishes in Scotland that had not
418 “taken a regular course for providing their poor” (Crawford 1699). A year earlier, the
419 politician Andrew Fletcher of Saltoun remarked on the scale of the famine and how it
420 added an additional 100,000 poor, contributing to the failure of the poor law system
421 (Fletcher 1698). Part of the problem was the transition from an Episcopal to a
422 Presbyterian Church government, and the removal of the ministers that administered
423 Scottish poor relief, only a few years prior to the onset of famine and dearth-like
424 conditions. Ultimately, this denuded the quantity, and upset the distribution, of resources
425 for the poor. To the south, comparatively stable English poor laws and structures for poor
426 relief proved successful in helping to prevent lean harvests from devolving into a full-
427 fledged famine (Flinn 1977, Cullen 2010, Hoyle 2013). Likewise, religious conflicts and
428 rebellions, which had plagued the Scottish economy prior to the crisis (in the 1680s and
429 1689-91 especially), heightened the vulnerability of the Scots’ to crop failure and food
430 shortage. To cope, many emigrated to other parts of Europe and the American colonies
431 (Cullen 2010).

432

433 **3.3 Highland contexts**

434 We know less about the crisis in the highlands, but it is nonetheless clear that in the
435 relatively remote and marginal and upland areas agriculture had several inherent
436 disadvantages. The growing season was generally shorter; crops, like barley-like bere, for
437 example, went in one month later and were harvested one month earlier than in the rest of
438 the country (Dodgshon 2004). This limited the crop varieties that could be grown. Oats
439 (*Avena strigosa*) were an option, though they were not favoured everywhere. More

440 intense highland winds were known to shake their crop seeds close to the harvest. They
441 liked cool-wet summers and therefore suffered greatly in the cold and perhaps drier
442 conditions of the 1690s. Many growers preferred four-row bere (sometimes bear, beir, or
443 beer), a grain better suited for shorter growing seasons and windy areas. Nevertheless, all
444 crops grown in marginal highland areas yielded less than in the rest of the country at a
445 ratio between 2.5 and 4 to 1 compared to 3 or 5 to 1 (Dodgshon 2004, Smout 1987). The
446 situation was such that a single crop failure could impel highland farmers to utilize seed
447 crop for their subsistence, reducing their subsequent yields.

448 Andrew Fletcher, writing at the end of the seventeenth century, claimed that
449 Scottish tenants grew crops too frequently in “to [too] remote places, and at unseasonable
450 times”, indicating much marginal land was then brought under the plow (Fletcher 1698).
451 He even referred to the highlands as a central location of the poor in Scotland. Similarly,
452 Robert Sibbald observed that “a vast deal of ground [was] now tilled and labored that was
453 before pasture (Sibbald 1698).” Modern historians have confirmed that the cultivation of
454 marginal land was widespread in the seventeenth century (Flinn 1977).

455 Resting land was a common practice in Scotland during the seventeenth century.
456 Land could be fallowed for a growing season to help replenish soils and increase yields,
457 or to restore fields damaged by overuse, grazing, drought, flooding, or even conflict.
458 Resting, naturally, resulted in agricultural and revenue losses. Exchequer records, which
459 provide insight into the Crown’s land revenues, although spotty, indicate that ‘rests’ were
460 particularly common in marginal and highland shires, especially in northern and western
461 Scotland (Shetland, Orkney, Caithness, Ross, and the western isles) and that lands were
462 rested often during the Ill Years (**Table 2**).

463 George Mackenzie [McKenzie], a tax collector (subtacksman) of the northern
464 shires provided a vivid account of these marginal lands in 1697. In his petition to the
465 Lord Chancellor and Commissioners of Treasury and Exchequer, he attempted to explain
466 the tax losses he recorded as a consequence of six months of famine in 1697: “there was
467 great scarcity and dearth in most shyres of the kingdom yet the northern shyres hade it to
468 the degree of famine.” McKenzie also argued that prices had been excessive for some
469 time. The highlands had indeed suffered worse inflation. In his words, prices were “very
470 ordinarie” in “the south and west” but “are excessive in the north.” He lamented that even
471 if people could have afforded grain (bear, bere) there was none to be had, as the harvest
472 had failed. He claimed that taxes needed to be put in arrears to allow tenants to pay them
473 back in time because the “loss of the subtacksman is vast.” Consequently, losses would
474 soon increase by three times, he posited, because no one would be left to pay taxes
475 (Mackenzie 1697). Table 2 reports ‘rest’-related losses in years for which we have
476 information, shortly before the Ills and in the midst of the crisis. The total losses recorded
477 in 1697, which either reflect damages sustained in that year alone or possibly across
478 1695-97 (Mackenzie 1697), are nearly double the losses recorded for 1687-89 combined.

479 The harvest of 1698 was likewise poor in the highlands because of extreme
480 weather. John Campbell wrote that “desolation is universal in the Highlands, Lord help
481 it.... The weather is so tempestuous that there is nather meall nor men can be sent up to
482 yow till it setle[.] make what shift you can till then.” The situation was such that he was
483 “out of humour (Campbell 1698).”

484

485 **3.4 The Darien expedition**

486 The dire economic conditions from the crisis of the 1690s was also one of several
487 motivators for investment into the ill-fated Darien expedition in 1696-98 (Cullen 2010,
488 Prebble 2000, Edwards 2007, Miller 2016, Paul 2016). An initial five ships set out on a
489 four-and-a-half-month-long journey on 12 July 1698. The scheme's goal was to establish
490 a Scottish colony on the Isthmus of Panama (**Figure 1C**). The expectation, from the plans
491 developed by William Paterson, was that this colony would serve as a gateway to the
492 riches of the Orient, by connecting the Atlantic and Pacific Oceans (a premodern Panama
493 Canal), in the hope of boosting the Scottish economy and increasing its global standing
494 independent of England. This catastrophic venture, to what is still one of the most
495 isolated regions of the American tropics, now the Darién Gap, was finally abandoned in
496 March 1700 when upwards of one third of Scotland's wealth sank into the swamps
497 (Prebble 2000, Whatley 2014), and many of the 2,500 would-be colonists perished or
498 deserted the isthmus. Mosquito-borne diseases, likely falciparum malaria and yellow
499 fever, with which the colonists would have been only faintly, if at all, acquainted, may
500 have contributed to the demise of the venture (McNeil 2010), though many were already
501 unwell upon arrival. As the marquess of Tweeddale and the earl of Panmure, two
502 investors of the expedition and Scottish peers, observed, many volunteered to take part to
503 escape the Ills and famine, but they instead found a scarcity of healthy settlers and
504 supplies (Tweeddale 1699 and Panmure 1699). In any case, the Company of Scotland
505 records suggest that poor communication between New and Old Edinburgh, ineffective
506 leadership at both ends, and Spanish attacks on the nascent settlement played a critical
507 role in the expedition's collapse (Royal Bank of Scotland, Insh 1932, Prebble 2000).
508 However, the anomalous climate of the 1690s was also a significant factor in the colony's

509 demise. Failed and failing harvests in Scotland repeatedly delayed resupply ships in 1699
510 and 1700 and finding alternative sources of grain for the colony proved difficult (Klinger
511 2019). As such, both the expedition and its failure illustrate the complex interaction of
512 climatic and environmental factors with geopolitical and social efforts to cope with
513 catastrophe.

514

515 **4. Conclusions**

516 We have integrated historical and palaeoclimatic records to explore the impacts of the
517 cold pulse of the 1690s, one of the most severe climatic events and human disasters of the
518 Little Ice Age in Scotland. Our perspective is supported by archival and multi-proxy
519 paleoclimate records, as well as NCAIRN, an 800-year long tree-ring based summer
520 temperature reconstruction specific to Scotland (Rydval et al. 2017). NCAIRN is part of a
521 growing network of such data from multiple regions around the northern hemisphere
522 (Wilson et al. 2016) which provide a quantitative and spatial perspective for the
523 unusually cold conditions experienced in Scotland during the 1690s.

524 The NCAIRN reconstruction identifies 1695-1704 as the coldest decade of the last
525 750 years. Of several potential causes for the mid-1690s cold pulse, two tropical volcanic
526 eruptions in 1693 and 1695 (Sigl et al 2015, Toohey and Sigl 2017) likely forced the
527 summer cooling discernible in Scotland, across northern Europe and the northern
528 hemisphere more broadly. Although attention to the extreme climate of the 1690s has
529 focused almost solely on cooling, pronounced coldness may not alone have defined the
530 decade. The evidence for summer [and winter] cooling in Scotland is stronger, but as yet
531 there is no high-resolution purely hydroclimate record for Scotland (although a multi-

532 proxy approach does identify concurrent precipitation anomalies in north-western
533 Europe). To what degree hydroclimatic variability contributed to the Scottish Ills is still,
534 however, an open question.

535 Recent histories of climate crises have tended to link palaeoclimatic estimates of
536 global or hemispheric climate change with events occurring on much smaller scales, such
537 as wars, famines, epidemics and population declines (Parker 2013, Brooke 2014,
538 Campbell 2016, Harper 2017). This scholarship tends to underemphasize the complexity
539 of climate change and the spatiotemporal and socioeconomical inconsistency of human
540 suffering experienced following extreme climatic events. In focusing on Scotland in
541 particular, and north-western Europe in general in the 1690s, we have emphasized that
542 despite large-scale cooling, there was significant disparity in suffering and adaptive
543 capacity. Scots endured a great crisis, but many across north-western Europe, including
544 their closest neighbours, did not. Our focussed perspective underlines the importance of
545 considering multiple climate variables as well as regional and local circumstances if we
546 are to understand the complexity and impacts of, in this case, volcanic climate forcing.
547 Climatic conditions in Scotland and England were similarly poor in the 1690s, but the
548 choices made by each government, in some cases many years prior to the anomalous
549 climatic conditions, and in others during the immediate build up to the crisis, did not take
550 into account the state of the agrarian regime which determined the vulnerability of each
551 country. England fared well, but tens of thousands died in Scotland.

552 The Scots' crisis influenced the Darien expedition as well as its failure. Together
553 the Ills, the colony's collapse, and Scotland's subsequent economic depression motivated
554 the Scottish Parliament's decision to end its independence and join the United Kingdom

555 in 1707. Many (but not all) Scottish parliamentarians voted for union, thinking it was in
556 the Scotland's best interest, be it financially, politically or socially. In this way, Scotland
557 could benefit from England's international trade and political strength, as well as its
558 social structures and systems, which undeniably helped England avert famine in the
559 1690s and limit the effects of later crop failures.

560 Scotland underwent wide-scale agricultural improvement only in the mid
561 eighteenth century (Whyte 2001 and Kidd 2005), but access to English grain markets and
562 poor relief curbed food shortages after the union. When cold weather again damaged
563 crops in 1708-09 and the early 1740s, English grain imports prevented famine (Klinger
564 2019 and Post 1984), and despite prolonged cold conditions from the mid eighteenth to
565 the beginning of the nineteenth centuries (**Figure 2**), Scotland did not suffer another
566 major subsistence crisis. Notably, the very large eruption of Laki in 1783-84 (Sigl et al
567 2015, Toohey and Sigl 2017) contributed to a cold pulse that worsened the Highlands
568 Crisis of 1782-84, but did not cause large-scale mortality (Cullen 2019), as did the crisis
569 of the 1690s. Although the radical cultural changes enforced upon Scotland after the final
570 Jacobite rising in 1745 should not be overlooked, nor the impacts of the following period
571 of Highland Clearances (Devine and Wormald 2012), the 1690s do seem to provide a
572 cautionary tale suggesting that a country's resilience to a complex amalgam of natural
573 (climatic or otherwise) and geopolitical factors are more easily buffered when part of a
574 larger union. Current UK politicians should heed such lessons from the past.

575

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971 **Figures and Tables**

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Years	Cold	Anomaly	Warm	Anomaly
1	1232	-2.80	1284	1.96
2	1782	-2.71	1285	1.67
3	1698	-2.57	1307	1.55
4	1799	-2.51	1310	1.45
5	1227	-2.49	1282	1.34
6	1639	-2.23	2010	1.33
7	1667	-2.23	2003	1.31
8	1441	-2.05	1859	1.20
9	1202	-2.04	1990	1.18
10	1696	-2.03	1949	1.18
Decades				
1	1224-1233	-1.64	1303-1312	0.98
2	1695-1704	-1.56	1279-1288	0.96
3	1632-1641	-1.47	2001-1010	0.63
Centuries				
1	1612-1711	-1.01	1911-2010	0.05

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975 **Table 1:** Top ten (annual), three (decadal) and centennial cold and warm periods in
 976 NCAIRN. Temperature anomaly values are with respect to the mean of 1961-1990. 17th
 977 century values bolded.

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Location	Losses 1687-89	Losses 1697
Orkney and Shetland	13038	7148
Caithness	2594	3428
Sutherland	1711	1376
Ross	6173	13857
Inverness	7822	4734
Cromarty	0	115
Murray and Nairn	1889	9832
Banff	6697	10941
Aberdeen	9918	37988
Dundee Town	N/A	7848
Forfar Shyre	8685	15864
Kinkardy	2242	7761
Total	60769	120892

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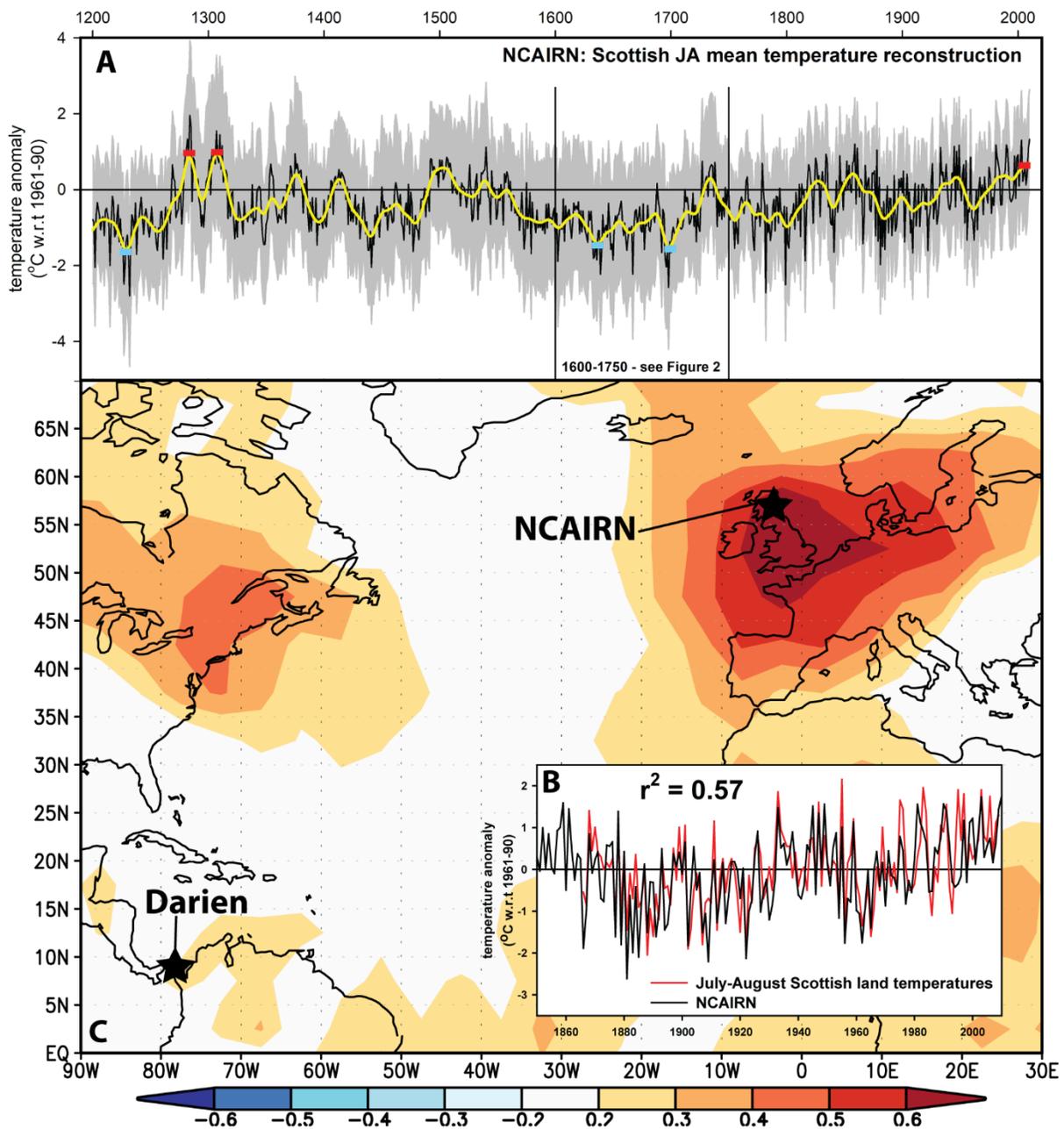
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Table 2: Agricultural losses before and in the midst of the Ills. John Drummond’s record of Crown agricultural revenue losses for years 1687-89 is presented in column two (Drummond 1689). George McKenzie’s report of Crown agricultural revenue losses suffered in the “northern Shyres” is presented in column three. Note McKenzie’s tallies may reflect losses sustained following six months of famine in 1697 or possibly losses accumulated over the course of the mid-1690s, likely 1695-97 (Mackenzie 1697). Although reported by different authors using different temporal scales, the latter of which is somewhat unclear, Drummond and McKenzie’s records do illuminate the extent of agricultural losses from rests suffered during the 1690s in several locations. Losses increased significantly in at least eight of these 12 locations between the late 1680s and the late 1690s. If the losses reported by McKenzie do in fact reflect damages sustained in 1697 alone, they were clearly catastrophic.

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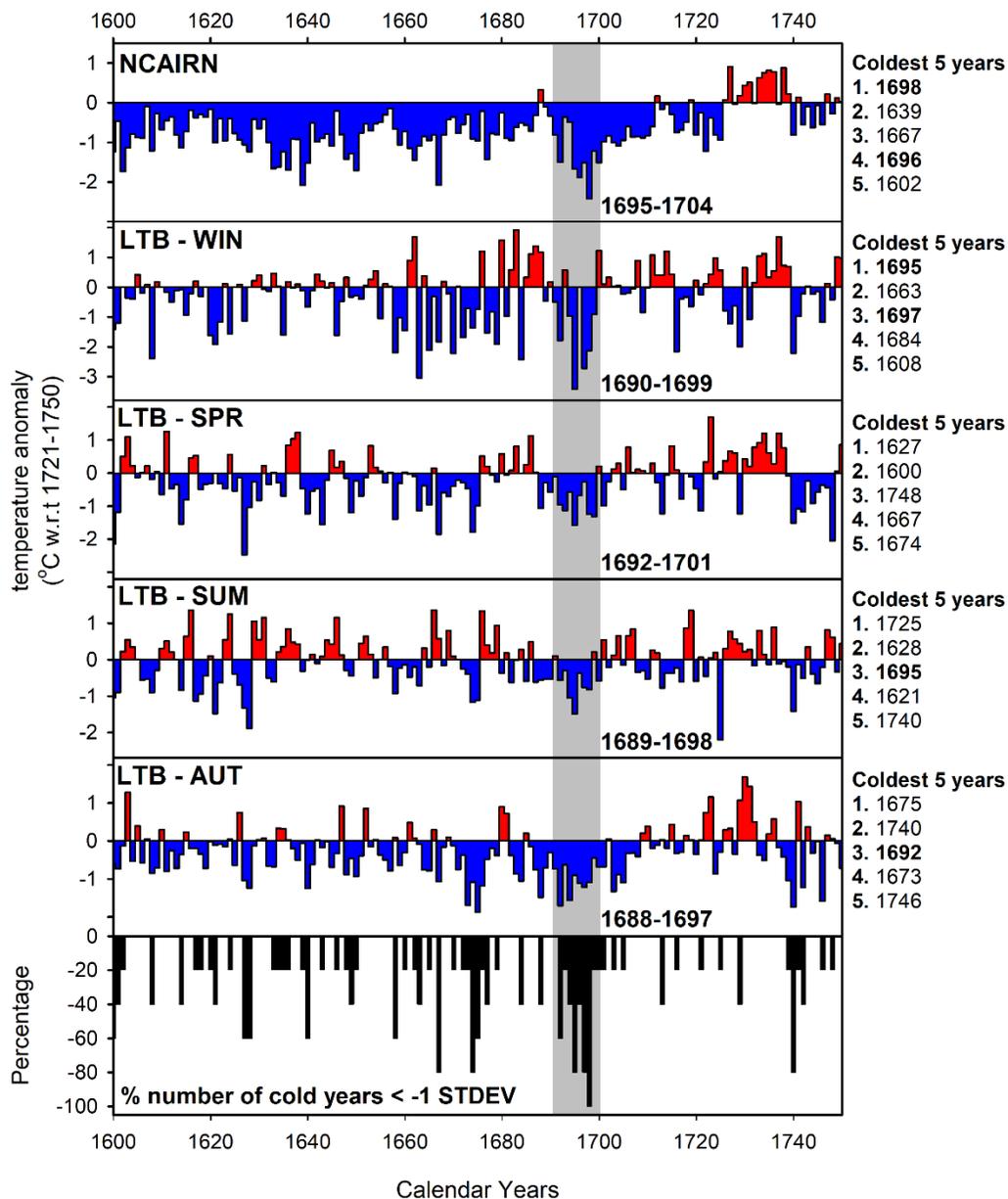


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1000 **Figure 1. A:** Scottish NCAIRN reconstruction (Rydval et al. 2017) of July-August
 1001 Scottish mainland temperatures (Jones and Lister 2004). The three coldest and warmest
 1002 decades are highlighted in blue and red, respectively (see **Table 1**). Yellow line is
 1003 smoothed 20-year low pass filtered version; gray lines are error bars. **B:** Calibration
 1004 between NCAIRN and Scottish mainland mean July-August temperatures (Jones and
 1005 Lister 2004). **C:** Spatial correlations (1850-2010) between the NCAIRN reconstruction
 1006 (Rydval et al. (2017)) and July-August mean temperatures (HadCRUT4 (Morice et al.
 1007 (2012), with Cowtan and Way (2014) infill). Black stars denote the NCAIRN study
 region (northern Cairngorms, Scotland) and Darien, Panama.

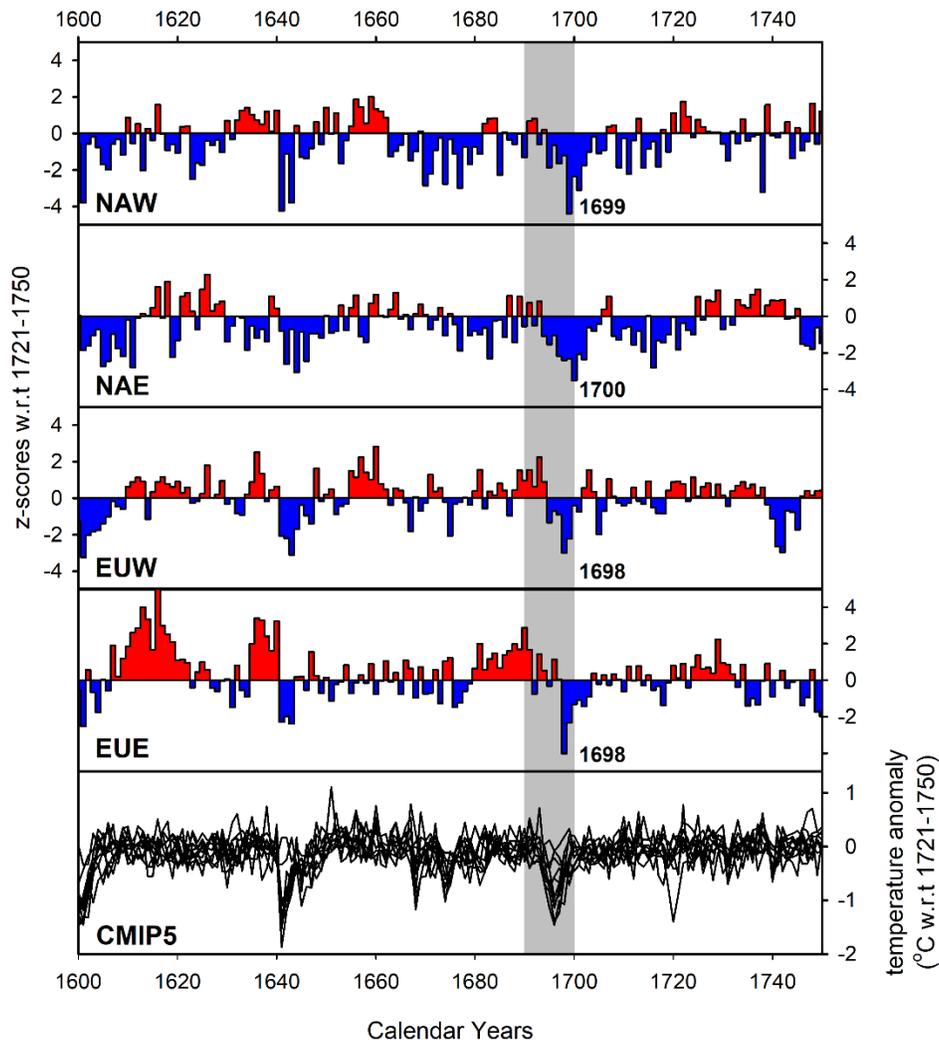


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1010 **Figure 2.** Comparison (1600-1750) between NCAIRN (Rydval et al. 2017) and the four
 1011 temperature seasons relevant to Scotland from Luterbacher et al. (2004). The temperature
 1012 series are expressed as anomalies with respect to 1721-1750. The coldest decade over the
 1013 1600-1750 period is detailed on each panel while the top 5 coldest years are listed on the
 1014 right. Cold years within the 1690s are bolded. The lower histogram denotes the %
 1015 number of seasonal records (NCAIRN + Luterbacher et al. 2004) that express cold
 1016 seasonal values 1 standard deviation below the 1600-1750 mean. The 1690-1700 period
 1017 is highlighted in grey.

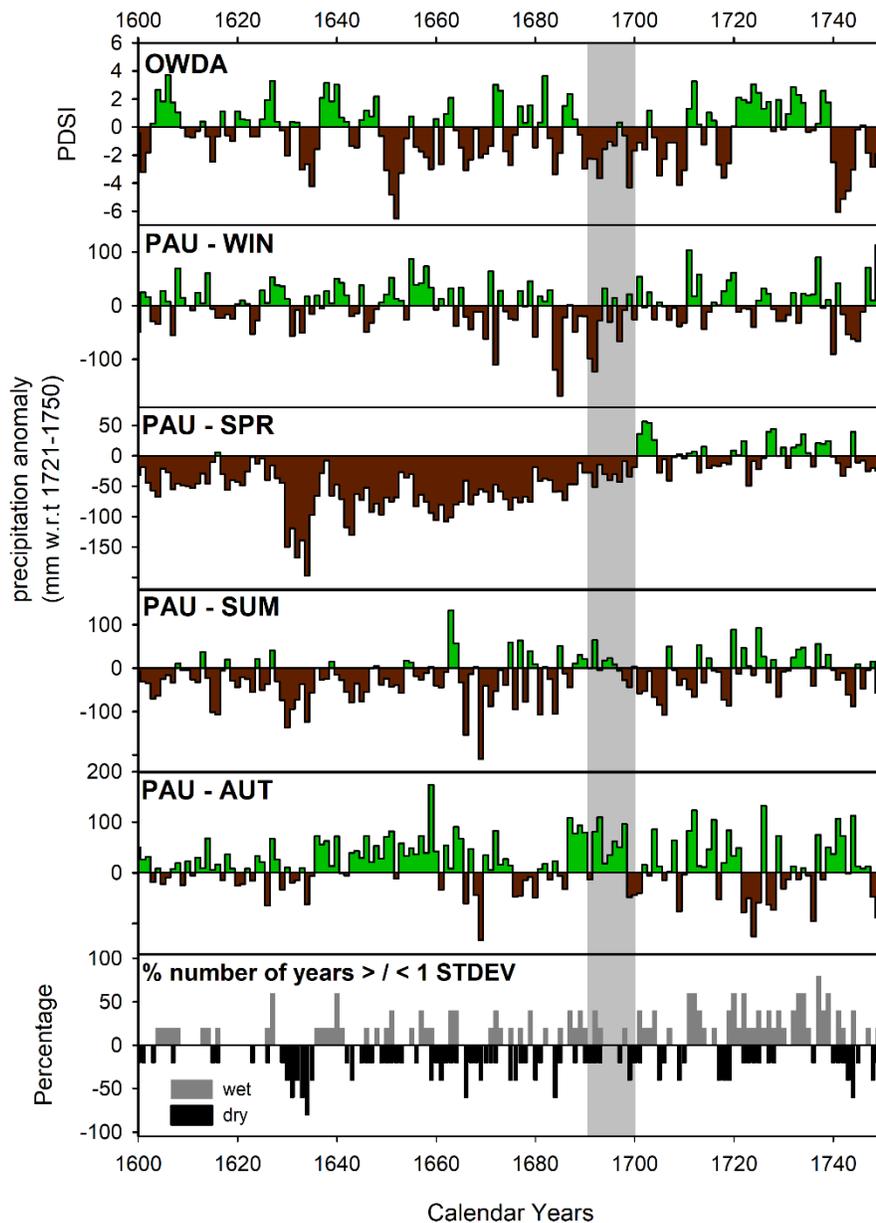
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1021 **Figure 3:** Longitude quadrant composite mean series (Wilson et al. 2016) for the
 1022 latitudinal band 40-70°N for western North America (NAW - 170°W – 100°W); eastern
 1023 North America (NAE - 100°W – 10°W); Western Eurasia (EUW - 10°W – 80°E) and
 1024 eastern Eurasia (EUE - 80°W – 170°W). The series have been normalized to z-scores
 1025 w.r.t. the 1721-1750 period and the coldest anomaly within the 1690s is indicated. The
 1026 lower panel shows an ensemble of CMIP5 model runs (see Wilson et al. 2016 for details)
 1027 which are expressed as temperature anomalies with respect to 1721-1750. The 1690-1700
 1028 period is highlighted in grey.
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Figure 4. Hydroclimate gridded proxies for the Scottish region. From upper to lower: June-August scPDSI tree-ring reconstruction (Cook et al. 2015); Season precipitation reconstructions of Winter through to Autumn derived from multi-proxy sources (Pauling et al. 2006). The lower histograms denote the % number of records (Cook et al. 2015 + Luterbacher et al. 2004) that express both dry and wet seasonal values $>$ or $<$ 1 standard deviation from the 1600-1750 mean. The 1690-1700 period is highlighted in grey.