

A Pd₃L₆ Supramolecular Cage Incorporating Photoactive

[2.2]Paracyclophane Units

Diego Rota Martir,^a Lucie Delforce,^a David B. Cordes,^a Alexandra M. Z. Slawin,^a Stuart L.

Warriner,^b Denis Jacquemin,^c and Eli Zysman-Colman^{a}*

^a Organic Semiconductor Centre, EaStCHEM School of Chemistry, University of St Andrews, St Andrews, Fife, UK, KY16 9ST, Fax: +44-1334 463808; Tel: +44-1334 463826; E-mail: eli.zysman-colman@st-andrews.ac.uk;

^b School of Chemistry, University of Leeds, Woodhouse Lane, Leeds, LS2 9JT, UK.

^c CESIAM Laboratory, UMR CNRS 6230, University of Nantes, 2, rue de la Houssinière, 44322 Nantes, Cedex 3, France.

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Abstract. [2.2]Paracyclophane (pCp) scaffolds, unlike many π -conjugated building blocks, have been rarely explored in supramolecular self-assembly. Herein we report the synthesis and characterization of two ligands, **pCpd3py** and **pCpd4py**, composed of a pCp core functionalized at its 7- and 15-positions respectively with 3-pyridyl and 4-pyridyl units. The self-assembly of **pCpd4py** with Pd²⁺ metal ions afforded a [Pd₃(**pCpd4py**)₆]⁶⁺ cage structure, **pCpd4py-Pd**, where the six **pCpd4py** ligands doubly bridge each edge of the Pd₃ triangular core. The ligand **pCpd4py** and the palladium cage **pCpd4py-Pd** have been characterized by NMR spectroscopy, ESI-MS spectrometry and X-ray diffraction analyses and their photophysical properties investigated by steady-state and time-resolved emission spectroscopy as well as Time-Dependent Density Functional Theory calculations.

Introduction.

The [2.2]paracyclophane (pCp) is a compact scaffold in which two benzene rings are rigidly held in phase with respect to each other by two para-disposed ethylene bridges.¹ Such a skeleton offers the unusual opportunity to study through-space (π - π transannular) and through-bond [σ (bridge)- π (annular)] electronic interactions that strongly perturb the chemical, optical, and electronic properties of the molecule.²⁻³ In recent years synthetic methodologies to functionalise the pCp core have been developed and a variety of functional groups have been precisely positioned on the rings and bridges of pCp.⁴⁻⁵ For example, Spuling, Sharma and co-workers⁶ have recently reported the first examples of pCp compounds emitting via a thermally activated delayed fluorescence mechanism⁷ due to the presence of a diphenylamine donor and a benzophenone acceptor units located on different decks of the pCp. Zafra and co-workers⁸ reported a pCp skeleton functionalized with stilbenoid compounds possessing terminal donor-donor, acceptor-acceptor or donor-acceptor units that have the ability to promote charge and exciton migration. Park and co-workers⁹ reported a pCp core functionalized with triarylamine moieties that served as an efficient hole-transporting material in hybrid perovskite solar cells.

The use of pCp as a photoactive scaffold in supramolecular self-assembly is, however, rare and almost exclusively limited to 1D-supramolecular architectures formed through transannular π -stacking or H-bonding interactions between pCp rings.¹⁰ These 1D assemblies often exhibit a high electron delocalization across the entire supramolecular chains as a result of strong intermolecular π -stacking interactions between adjacent pCp units that produce materials with low-energy optical gaps, infrared emission, and high conductivity.¹⁰

There exist examples of 3D-photoactive cage assemblies that have been synthesized through integration of phosphorescent metal complexes,¹¹⁻¹² porphyrins¹³ or fluorescent aromatic compounds¹⁴⁻¹⁶ into the ligand backbone of the metallocupramolecular architectures. These cages generally show red-shifted emission with lower photoluminescence quantum yields, Φ_{PL} , and shorter emission lifetimes, τ_{PL} , than the corresponding luminescent ligands¹² used in self-assembly. In the majority of the cases this is due to the formation of lower-energy charge-transfer states that involve both the photoactive ligand and the metal ions used as the structural component. To date, there are only three examples of pCp scaffolds used in metallocupramolecular self-assembly.^{5,17-18} The first is a small PdL₂ macrocyclic structure where L represents pCp compounds functionalized with two ethynyl-2-pyridyl groups,¹⁷ the second example reported by Lützen and co-workers,⁵ describes a Pd₂L₄ cage structures incorporating chiral pCp ligands functionalized with 3-pyridyl units, while in the third most recent example by Lützen and co-workers,¹⁹ a Fe₄L₆ helicate was formed upon mixing iron(II) triflate, 2-formylpyridine and a pCp ligand functionalized with aniline units (Figure 1). Although these pCp ligands and their cage structures are photoactive, the emission properties were not discussed.

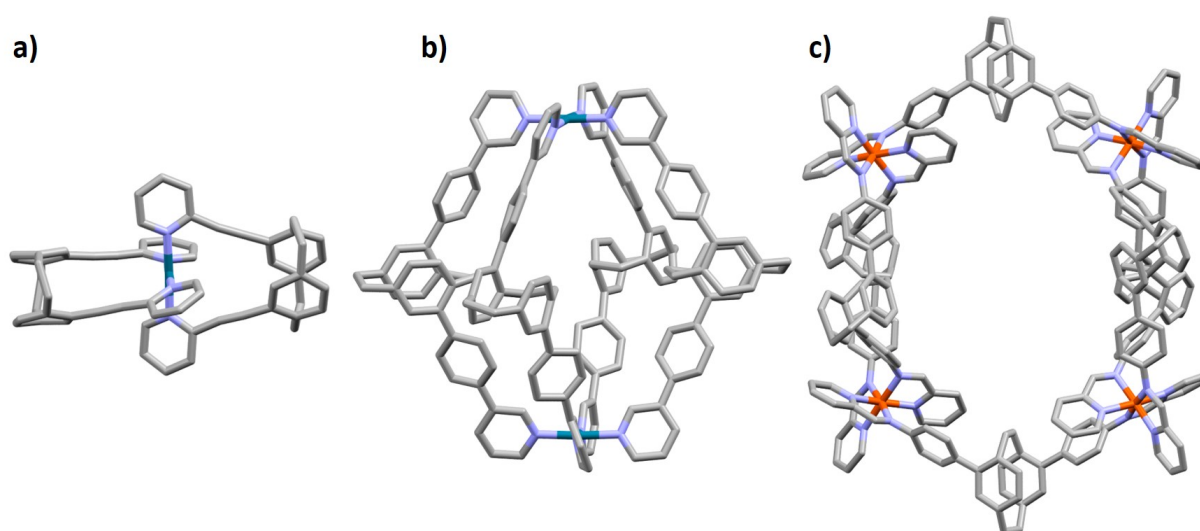


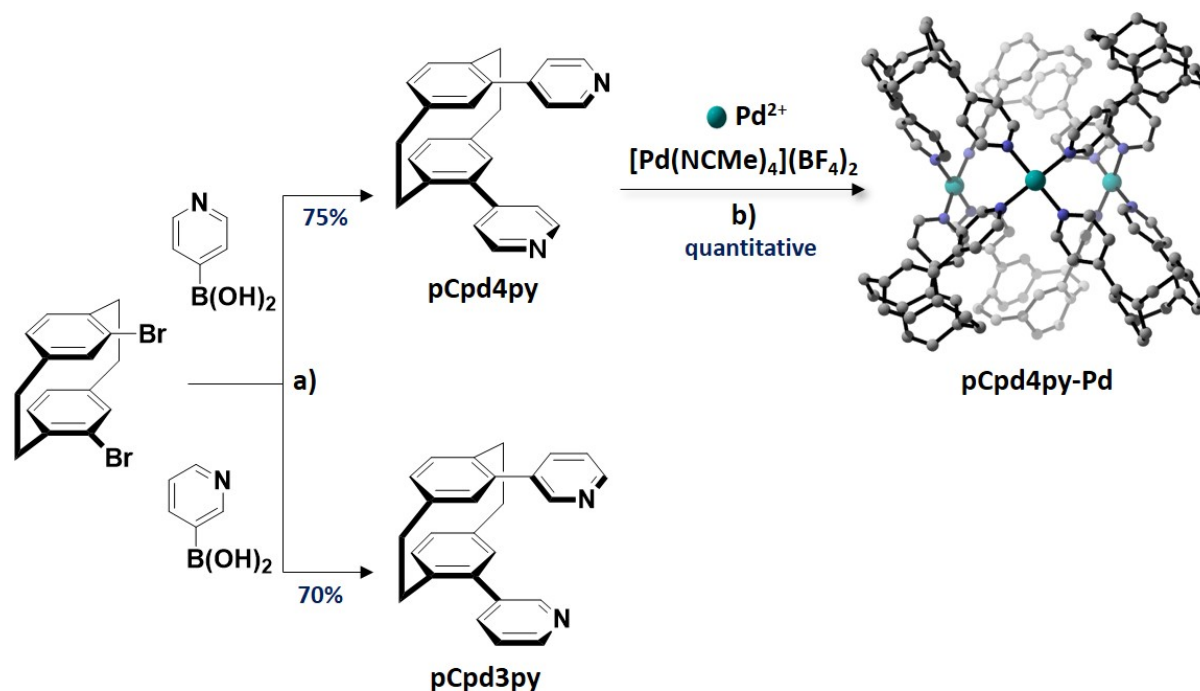
Figure 1. Illustration of the X-ray crystal structures of a) PdL₂ macrocyclic structure¹⁷, b) Pd₂L₄ cage structure⁵ and c) Fe₄L₆ helicate¹⁹ incorporating pCp compounds. Hydrogen atoms, solvent molecules and counterions have been omitted for clarity.

We report herein two pCp compounds functionalized at the 7- and 15-positions with 3- and 4-pyridyl coordinating units, **pCpd3py** and **pCpd4py** (Scheme 1). The self-assembly of **pCpd4py** with Pd²⁺ ions gives rise to a luminescent cage of composition [Pd₃(**pCpd4py**)₆]⁶⁺, **pCpd4py-Pd** while under the same conditions the reaction of **pCpd3py** with Pd²⁺ produces multiple products, the structures of which could not be identified by ESI-mass spectrometry. As a result of the six photoactive pCp molecules rigidly assembled in the 3D cage-like structure, **pCpd4py-Pd** is emissive in both dichloromethane solution, λ_{PL}= 455 nm, and as a powder, λ_{PL}= 450 nm, with Φ_{PL} of 5% and 3%, respectively in solution and the solid state.

Results and Discussions.

The ligands **pCpd4py** and **pCpd3py** (Scheme 1) were prepared in a single step *via* Suzuki-Miyaura palladium-catalysed cross-coupling of 7,15-dibromocyclophene with the corresponding pyridylboronic acid in yields of 75% and 70%, respectively. When **pCpd4py** and [Pd(NCMe)₄](BF₄)₂ were heated in a 2:1 ratio in DMSO-*d*₆ at 85°C for 12 h, the proton resonances associated with **pCpd4py** broadened and shifted downfield (Figure 2a). The broad ¹H NMR signals are indicative of the formation of a large structure, the tumbling motion of which is very slow on the NMR timescale.¹⁶ Evidence for the formation of a single species is confirmed by ¹H DOSY NMR spectroscopy where the diffusion coefficient, D, in DMSO-*d*₆ attains 9.2 × 10⁻¹¹ m²/s. The magnitude of D correlates to the presence of a larger

structure than the **pCpd4py** ligand, which shows a diffusion coefficient of $2.5 \times 10^{-10} \text{ m}^2/\text{s}$ (Figure 2b). The corresponding hydrodynamic radius (r_s) of **pCpd4py-Pd** is estimated to be 12.2 Å (Table S1), larger than that of the Pd_2L_4 cage structure reported by Lützen and co-workers (10.4 Å, measured in $\text{DMSO-}d_6$, Figure 1b) but smaller compared to the Fe_4L_6 helicate illustrated in Figure 1c (14.0 Å, measured in CD_3CN).



Scheme 1. Synthesis of ligands **pCpd4py** and **pCpd3py** and cage **pCpd4py-Pd**. Reagents and conditions. ^a2.0 equiv. CsCO_3 , 5 mol% $\text{Pd}(\text{PPh}_3)_4$, N_2 , 1,4-dioxane/ H_2O (4:1 v/v), 105 °C, 56 h; ^b DMSO , 85 °C, 12 h.

The composition of the assembly **pCpd4py-Pd** has been unequivocally established to be $[\text{Pd}_3(\text{pCpd4py})_6](\text{BF}_4)_6$ by HR-ESI-mass spectrometry, showing isotopically resolved peaks for $[(\text{pCpd4py-Pd})-(\text{BF}_4)_n]^{n+}$ ($n = 2 - 5$) (Figures 2 and S10-S13). The angle between the two 4-pyridyl coordinating motifs in **pCpd4py** is 50.2° , which is adequate for the formation of a Pd_3L_6 cage.²⁰ Under similar reaction conditions, the self-assembly of **pCpd3py** with

$[\text{Pd}(\text{NCMe})_4](\text{BF}_4)_2$ produced multiple products the structure of which could not be identified by ESI-MS.

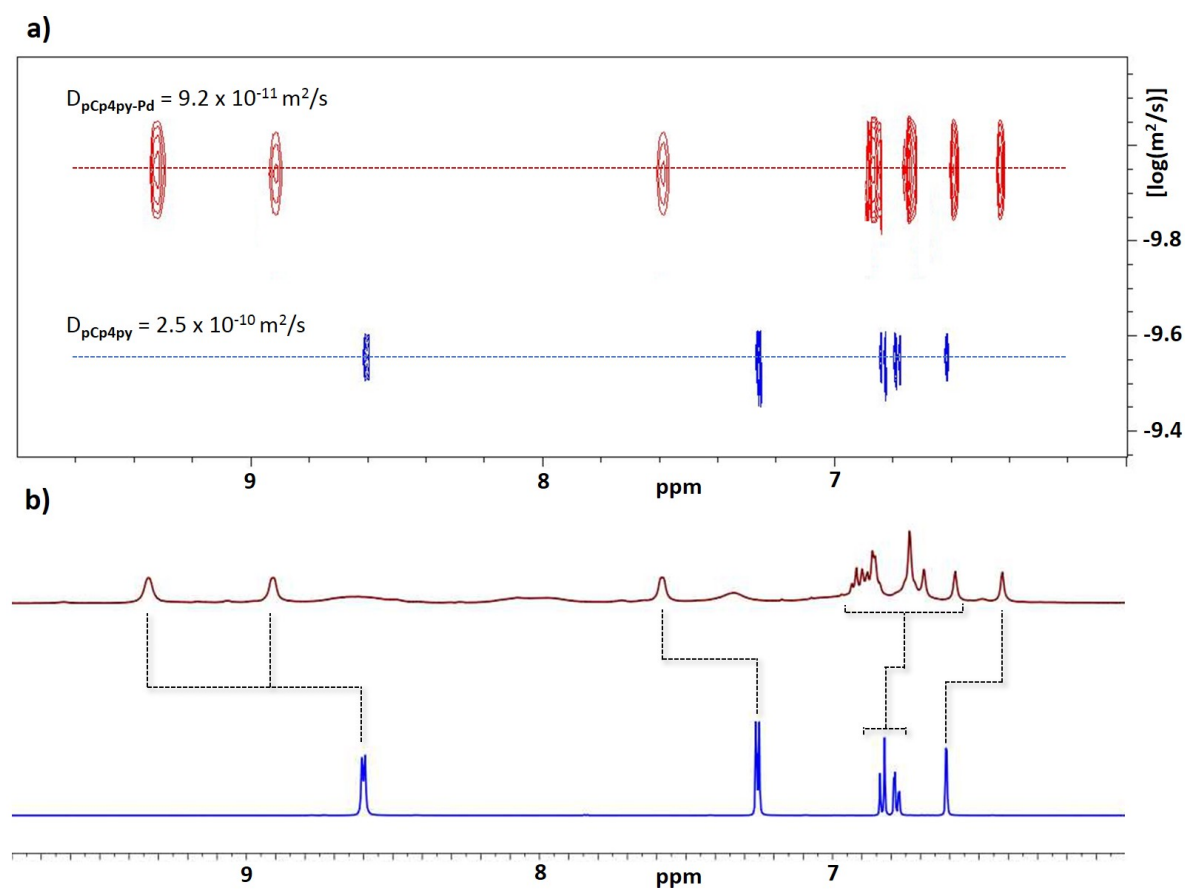


Figure 2. **a)** ^1H NMR of **pCpd4py**, in blue and **pCpd4py-Pd**, in red. **c)** ^1H DOSY NMR of **pCp4py**, in blue and **pCp4py-Pd**, in red. The NMR spectra were collected in $\text{DMSO-}d_6$ at 298 K.

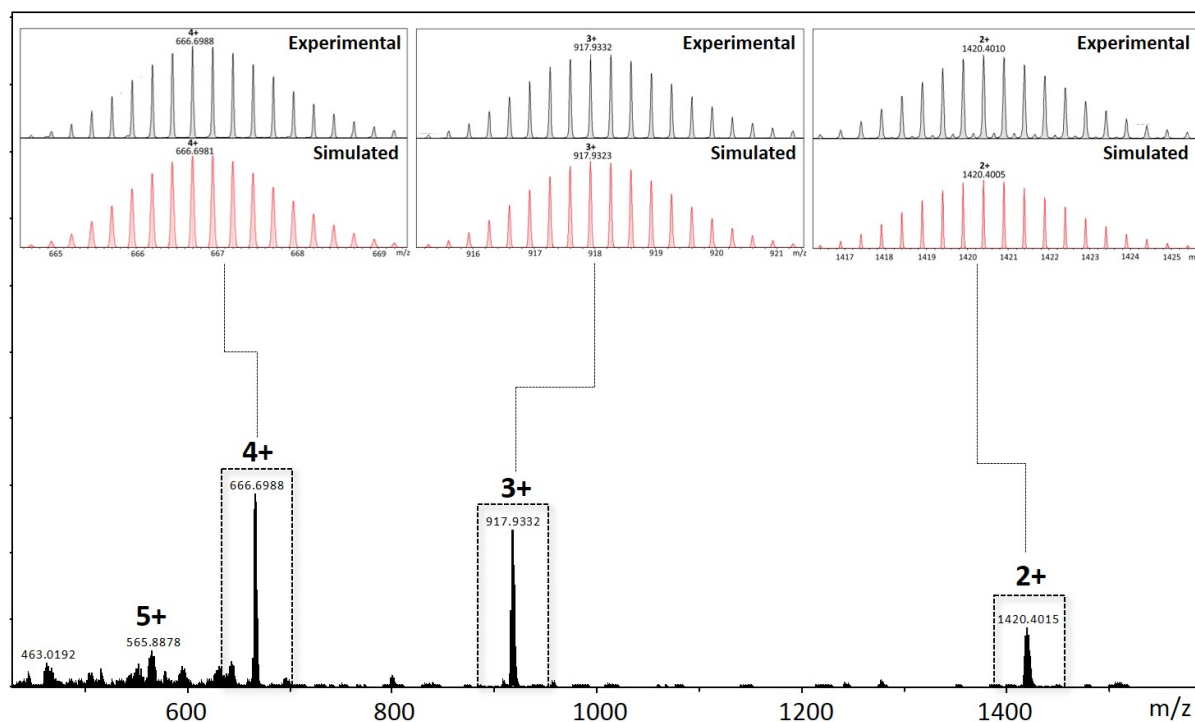


Figure 3. ESI-MS of a DMSO- d_6 solution containing cage **pCpd4py-Pd**. Inserts show the measured (in black) and simulated (in red) isotope patterns for the 4+ (left), 3+ (center) and 2+ (right) charge states. Additional data is provided in the supporting information.

The X-ray structures of ligands **pCpd3py** and **pCpd4py** are shown in Figures S14-S15 in the Supporting Information. The structure of **pCpd4py-Pd** was also unambiguously determined by X-ray crystallography (Figure 4). Crystals suitable for X-ray analysis were grown by the slow diffusion of a 1:1 mixture of acetone-hexane into a DMSO- d_6 solution of **pCpd4py-Pd** (30 mM) over 5 days. The topology of **pCpd4py-Pd** resembles that reported by Fujita *et al.*²⁰ in which the cage is constructed such that two 4-pyridyl units of ligand **pCpd4py** doubly bridge adjacent Pd(II) centres, which are arranged in a regular triangle. Among Pd cages, this specific Pd₃L₆ arrangement is rare with only two other examples reported to date.²⁰⁻²¹ More generally, only a handful of metallocages of stoichiometry M₃L₆ have been reported.²²⁻²⁴ The Pd···Pd distance around the cavity is 7.7 Å, and the inner cavity

diameter of the metallocage, determined from the distance between the centroid of the three palladiums, and a palladium, attains 8.8 Å. The longest external dimension of the cage is 18.0 Å (Figure S7). The inner diameter of cage **pCpd4py-Pd** is smaller to that of the Pd₃L₆ cage of Fujita *et al.*,²⁰ which showed a Pd···Pd distance of 8.7 Å.

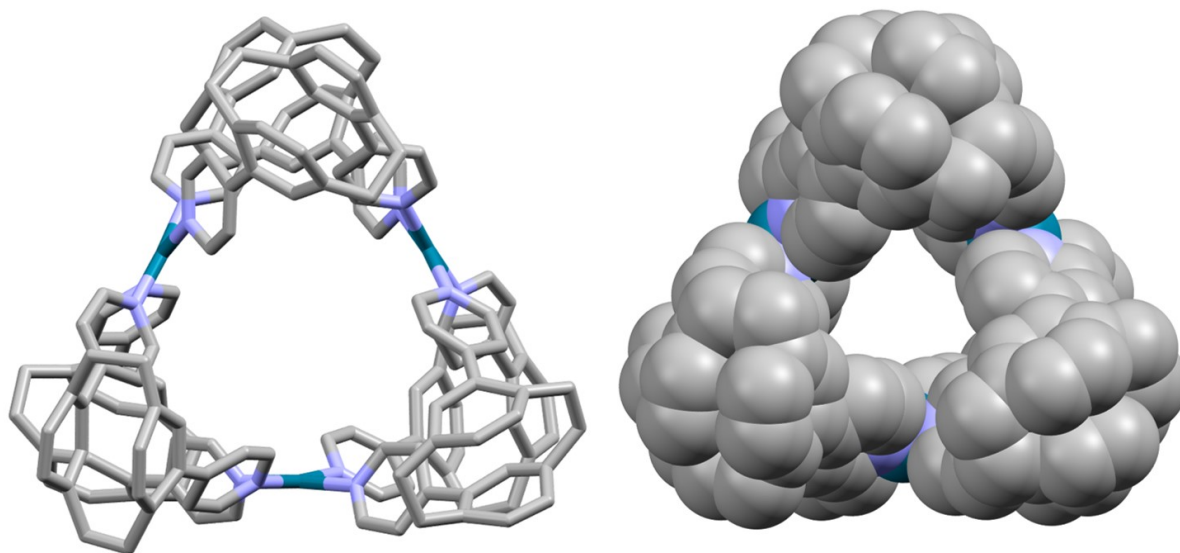


Figure 4. Illustration of the X-ray crystal structure of **pCpd4py-Pd**. Capped sticks view (left) and space-filling view (right). Hydrogen atoms, solvent molecules and counterions have been omitted for clarity.

A racemic mixture of ligand **pCpd4py** containing both the enantiomers (*S_p*)-**pCpd4py** and (*R_p*)-**pCpd4py** was used to self-assemble cage **pCpd4py-Pd**. Circular Dichroism (CD) experiments reveals that cage **pCpd4py-Pd** is also formed as expected as a racemic mixture during the self-assembly process (Figure S17). However, this does not enable us to determine if **pCpd4py-Pd** is a racemic cage incorporating both (*S_p*)-**pCpd4py** and (*R_p*)-**pCpd4py** ligands in a statistical mixture, or whether there exists a racemic mixture of enantiopure cages. The X-ray structure of **pCpd4py-Pd** reveals that each individual cage is enantiopure, but with a racemic mixture of cages occurring in individual crystals.

In DCM, ligands **pCpd4py** and **pCpd3py** exhibit absorption maxima at 289 nm and 328 nm for **pCpd4py** and at 280 nm with a shoulder at 328 nm for **pCpd3py**. The blue-shifted absorption bands of **pCpd3py** reflect the greater electron withdrawing character of the 3-pyridyl moiety compared to the 4-pyridyl moiety.²⁵ TD-PBE0 calculations (see the SI for details) return the three lowest vertical absorptions at 300 nm ($f=0.004$), 287 nm ($f=0.140$), and 276 nm ($f=0.046$) for **pCpd3py** and 306 nm ($f=0.003$), 287 nm ($f=0.100$), and 277 nm ($f=0.098$) for **pCpd4py**. These values are in reasonable agreement with experiment (Figure 5) with first a weak band and next stronger absorptions. In both ligands, the two lowest transitions can be mainly ascribed to HOMO to LUMO and HOMO to LUMO+1 electronic promotions, respectively (Table S3). Both transitions do present a CT character from the phenyl rings to the pyridyl moieties (Figure S24)

The measured trends in their emission are similar with both showing broad and unstructured spectra characteristic of an intramolecular charge transfer state at $\lambda_{\text{PL}}= 400$ nm and $\lambda_{\text{PL}}= 395$ nm, respectively, for **pCpd4py** and **pCpd3py** and with Φ_{PL} of 12% and 14%, and τ_{PL} of 5 ns and 4 ns (Figure 5). The powder emission of **pCpd4py** and **pCpd3py** is similar to those collected in DCM (for **pCpd4py**: $\lambda_{\text{PL}}= 394$ nm, $\Phi_{\text{PL}}= 9$ %, $\tau_{\text{PL}}= 6$ ns; for **pCpd3py**: $\lambda_{\text{PL}}= 390$ nm, $\Phi_{\text{PL}}= 11$ %, $\tau_{\text{PL}}= 4$ ns) (Table 1). At the TD-PBE0 level, the computed emission wavelengths in DCM are 371 nm ($f=0.006$) and 375 nm ($f=0.006$) for **pCpd3py** and **pCpd4py**, respectively. The small oscillator strengths determined for these two emissions are consistent with the rather small quantum yield measured in both solution and powder.

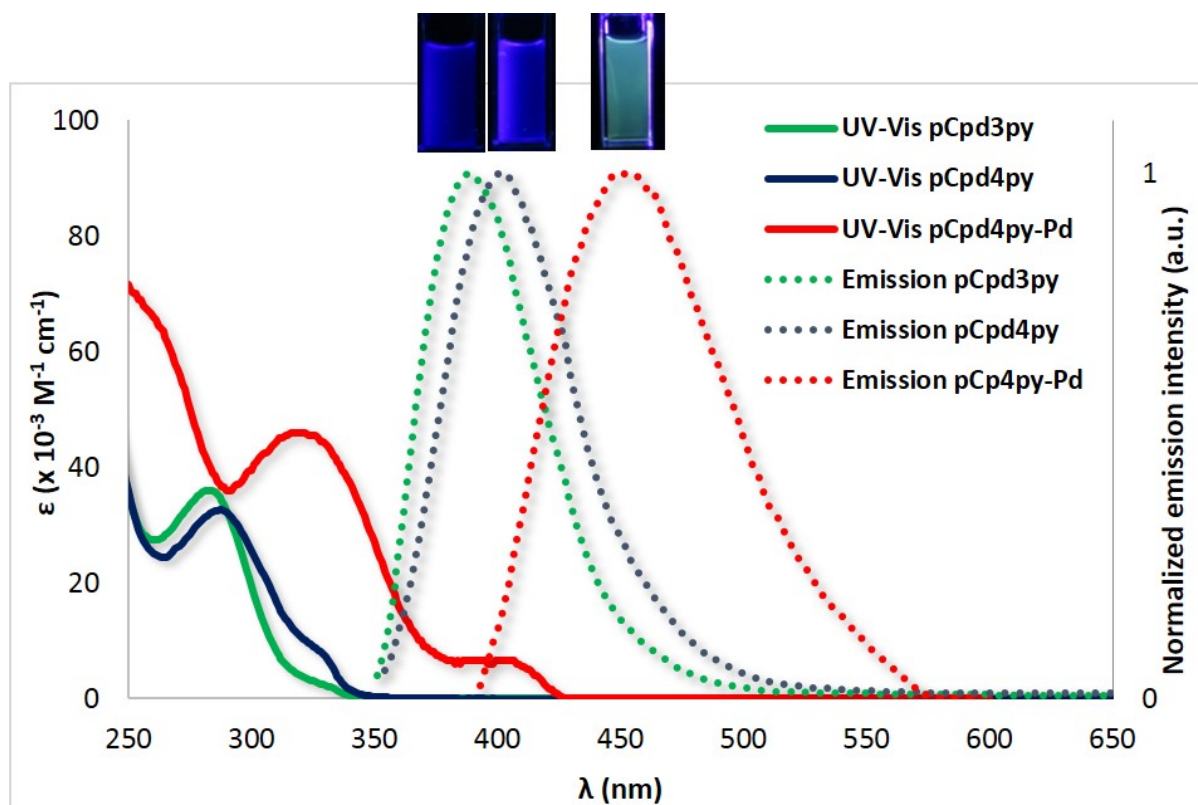


Figure 5. UV-Vis absorption spectra of **pCpd3py** (solid green line), **pCpd4py** (solid blue line) and **pCpd4py-Pd** (solid red line) collected in DCM at 298 K and normalized emission spectra of **pCpd3py** (dashed green line), **pCpd4py** (dashed blue line) and **pCpd4py-Pd** (dashed red line) collected in degassed DCM at 298 K ($\lambda_{\text{exc}} = 340$ nm). Photographs of DCM solutions of **pCpd3py**, **pCpd4py** and **pCpd4py-Pd** are shown in the inset.

The absorption spectrum of **pCpd4py-Pd** in DCM was red-shifted at 327 nm and 408 nm compare to that of **pCpd4py**. The higher energy band of **pCpd4py-Pd** at 327 nm can be attributed to the ${}^1\pi \rightarrow \pi^*$ ligand-centered transition localized on **pCpd4py**, while the broad, lower intensity band at 408 nm can be assigned to a charge transfer transition from the ligands **pCpd4py** to Pd centers (Ligand-to-Metal Charge Transfer). This evolution of the absorption spectra is consistent with TD-PBE0 results that also indicate the emergence of new transitions, e.g., a series of absorptions in the 365—377 nm domain, red-shifted by ca. +70 nm compared to the ligand, coherent with the experimental shift of ca. +80 nm (Table

S4). These transitions involve a CT from occupied orbitals localized on the phenyl rings of the ligands to unoccupied orbitals of the metallic cores (Figure **S25**). The emission profiles of cage **pCpd4py-Pd** in both DCM solution and as a powder are broader and red-shifted, respectively, at $\lambda_{\text{PL}} = 455$ nm and 450 nm, compared to those of **pCpd4py** (Table 1). The photoluminescence quantum yields of **pCpd4py-Pd** are lower compared to those of **pCpd4py** at 5% in degassed DCM and 3% as a powder. The broad and red-shifted emission of cage **pCpd4py-Pd** as compared to **pCpd4py** results from the presence of the Pd(II) ions that effectively enhance the CT character of the lowest transitions, giving rise to smaller optical gaps.¹¹ However, similarly to that observed for other phosphorescent palladium cages incorporating emissive Ir(III) and Ru(II) metalloligands,¹² the Pd²⁺ ions do not completely quench the emission of **pCpd4py**. The lower Φ_{PL} values observed for **pCpd4py-Pd** compared to **pCpd4py** is likely due to the presence of several low-lying nearly perfectly dark states (see Table **S4** in the SI).

Table 1. Photophysical properties of pCpd3py, pCpd4py and pCpd4py-Pd.

	$\lambda_{\text{PL}} / \text{nm}$		$\Phi_{\text{PL}} / \%$		$\tau_{\text{PL}} / \text{ns}$	
	DCM ^a	powder ^b	DCM ^c	powder ^{b,d}	DCM ^a	powder ^{b,e}
pCpd3py	395	390	14	11	4	4
pCpd4py	400	394	12	9	5	6
pCpd4py-Pd	455	450	5	3	3	4

^a Measurements in degassed DCM at 298 K ($\lambda_{\text{exc}} = 340$ nm). ^b measured as powder. ^c Φ_{PL} measurements were carried out in degassed DCM under nitrogen ($\lambda_{\text{exc}} = 360$ nm) using quinine sulfate as the external reference ($\Phi_{\text{PL}} = 54.6\%$ in 0.5 M H₂SO₄ at 298 K).^{26 d} Values obtained using an integrating sphere. ^e $\lambda_{\text{exc}} = 378$ nm.

In conclusion, two deep-blue emissive [2.2]paracyclophanes molecules functionalized with 3-pyridyl and 4-pyridyl coordinating units, namely **pCpd3py** and **pCpd4py**, respectively, have been synthesized and characterized. The self-assembly of **pCpd4py** with Pd²⁺ ions

gives rise to a fluorescent cage of the composition of $[\text{Pd}_3(\text{pCpd4py})_6](\text{BF}_4)_6$, **pCpd4py-Pd**. The cage has been comprehensively characterized by ^1H and ^1H -DOSY NMR spectroscopy and HR-ESI-MS and the structure of the cage was further elucidated by single crystal X-ray diffraction. The **pCpd4py-Pd** cage is emissive at 450 nm with Φ_{PL} of 5%. To the best of our knowledge, this is the first report that shows that supramolecular cages based on the rigid [2.2]paracyclophanes core scaffold are photoactive and can be potentially explored for optoelectronic applications. We therefore believe that the assembly of suitably functionalised pCp structures with metal ions as structural components opens up the possibility to prepare a wide range of supramolecular architectures such as coordination polymers, networks and macrocycles, that retain the optoelectronic properties of photoactive [2.2]paracyclophane molecules.

Supplementary materials

Experimental section, characterization of ligands **pCpd4py**, **pCpd3py** and cage **pCpd4py-Pd**, crystal structures (CCDC: 1951959-1951961), supplementary optoelectronic data and DFT and TD-DFT calculation details.

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