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Pauline Goulet, Christophe Guinet, René Swift, Peter T. Madsen, Mark Johnson



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2 study the biotic environment and predator-prey  
3 interactions in aquatic animals

4 Pauline Goulet<sup>1</sup>, Christophe Guinet<sup>2</sup>, René Swift<sup>1</sup>, Peter T. Madsen<sup>3</sup>, Mark Johnson<sup>\*1,3</sup>

5 <sup>1</sup>Sea Mammal Research Unit, University of St. Andrews, St Andrews, Fife KY16 8LB, UK

6 <sup>2</sup>Centre d'Etudes Biologiques de Chizé, CNRS, 79360 Villiers en Bois, France

7 <sup>3</sup>Zoophysiology, Department of Bioscience, Aarhus University, C. F. Moellers Alle 3, Building

8 1131, 8000 Aarhus C, Denmark.

9 \*Corresponding author: Mark Johnson – [mj26@st-andrews.ac.uk](mailto:mj26@st-andrews.ac.uk)

10 Declarations of interest: none

## 11 Abstract

12 How predators find, select and capture prey is central to understanding trophic cascades  
13 and ecosystem structure. But despite advances in biologging technology, obtaining in situ  
14 observations of organisms and their interactions remains challenging in the marine  
15 environment. For some species of toothed whales, echoes from organisms insonified by  
16 echolocation clicks and recorded by sound logging tags have provided a fine-scale view of  
17 prey density, and predator and prey behaviour during capture attempts, but such information  
18 is not available for marine predators that do not echolocate. Here the development and  
19 performance of a miniature biomimetic sonar and movement tag capable of acquiring similar  
20 data from non-echolocating marine predators is reported. The tag, weighing 200g in air,  
21 records wide bandwidth sonar data at up to 50 pings a second synchronously with fast-  
22 sampling sensors for depth, acceleration, magnetic field and GPS. This sensor suite enables  
23 biotic conditions and predator behaviour to be related to geographic location over long-  
24 duration foraging trips by apex marine predators. The sonar operates at 1.5MHz with a 3.4°  
25 beamwidth and a source level of 190dB re 1µPa at 1m. Sonar recordings from a trial  
26 deployment of the tag on a southern elephant seal contained frequent targets corresponding  
27 to small organisms up to 6 m ahead of the tagged animal. Synchronously sampled  
28 movement data allowed interpretation of whether the seal attempted to capture organisms  
29 that it approached closely while the high sonar ping rate revealed attempts by prey to  
30 escape. Results from this trial demonstrate the ability of the tag to quantify the biotic  
31 environment and to track individual prey captures, providing fine-scale information on  
32 predator-prey interactions which has been difficult to obtain from non-echolocating marine  
33 animals.

34 Keywords: prey field mapping, fisheries sonar, foraging ecology, elephant seal,  
35 predator-prey interactions, biologging

## 36 Introduction

37 Information on the foraging preferences, and prey encounter and capture rates, of predators  
38 is fundamental to understanding habitat needs, trophic energy cascades, and ultimately in  
39 determining how populations may respond to environmental change (Reid and Croxall 2001;  
40 Ribic et al. 2008). However, this type of information can be difficult to obtain for far-ranging  
41 predators especially in the marine environment. One approach is to combine visual sightings  
42 of predators with direct prey field measurements using net sampling, boat-mounted  
43 echosounders or cameras (Croll et al., 2005; Friedlaender et al., 2006; Waluda et al., 2010).  
44 While these methods can provide reliable estimates of species density, there is often a poor  
45 spatial and temporal overlap between visual observations and prey field measurements,  
46 which introduces uncertainty when linking datasets at fine-scale (Kuhn et al., 2015).  
47 Underwater cameras in particular have very short detection ranges due to rapid light  
48 attenuation in water and organisms may react to the light required to illuminate organisms in  
49 deep water. Net sampling is also biased towards slower organisms as energetic animals can  
50 out-swim nets (Kaartvedt et al., 2012).

51 In comparison, animal-borne biologging tags are able to record in-situ, fine-scale data on the  
52 movement, behaviour, and location of tagged predators, providing indirect information on  
53 where and how often they encounter prey. Transient signals recorded by three dimensional  
54 accelerometers on a range of species have been interpreted as resulting from sudden  
55 movements during prey capture attempts (Johnson et al. 2004; Gallon et al. 2013; Ydesen et  
56 al. 2014) although these may be difficult to separate from acceleration transients generated  
57 by other activities (Volpov et al., 2015). Jaw opening movements detected by  
58 accelerometers (Naito et al., 2013; Viviant et al., 2010) or magnets (Ropert-Coudert et al.,  
59 2004) provide less ambiguous indications of prey capture and handling, but remain sensitive

60 to false detections from other jaw movements (Liebsch et al., 2007). However, neither of  
61 these methods provides a definitive indication of successful capture and ingestion. Prey  
62 ingestion has been measured using stomach temperature sensors which detect temperature  
63 drops associated with water and ectothermic prey ingestion. Although widely used with  
64 pinnipeds (Austin et al., 2006; Kuhn et al., 2009) and penguins (Bost et al., 2007; Ropert-  
65 Coudert and Kato, 2006) to infer actual foraging rates, these devices are frequently  
66 regurgitated and may therefore be unreliable for long deployments. In addition, rapid series  
67 of ingestions may be detected by stomach temperature loggers as a single cumulative event  
68 (Ropert-Coudert and Kato, 2006) leading to an underestimate of prey ingestion. These  
69 biologging methods thus offer powerful indications of where, when and how often predators  
70 attempt to capture prey but provide less information on the availability of organisms,  
71 including prey, and on capture success.

72 Biologging tags incorporating additional sensors have provided more direct observations of  
73 prey density and capture. Camera tags on penguins, pinnipeds and baleen whales have  
74 revealed prey types and capture tactics, while also validating foraging proxies inferred from  
75 other sensors (Goldbogen et al., 2017; Naito et al., 2013; Thiebot et al., 2016; Volpov et al.,  
76 2015; Watanabe and Takahashi, 2013). However, memory and power demands, especially if  
77 artificial illumination is needed in deep water, currently make cameras impractical for long-  
78 ranging, deep-diving predators.

79 Sound sampling tags deployed on some echolocating toothed whales have recorded echoes  
80 returning from insonified organisms (Johnson et al., 2004), enabling the quantification of  
81 biotic abundance (Arranz et al., 2011) as well as prey selection (Jones et al., 2008; Madsen  
82 et al., 2005), capture tactics and prey escape behaviour (Johnson et al. 2008, Wisniewska et  
83 al. 2016), effectively eavesdropping on the signals used by the sensory system of the  
84 predator. This approach is restricted to echolocating animals, but the technological  
85 equivalent of biosonar is widely used in fisheries science. Like biosonar, fisheries sonars  
86 emit high frequency sound pulses in narrow beams and use echoes from organisms to  
87 estimate their distance, density and distribution. While a single frequency sonar has limited

88 ability to discriminate between different categories of organisms (e.g. Urmy et al. (2012)),  
89 newer multi-frequency (Brierley et al., 1998; Kloser et al., 2002) and broadband (Amakasu  
90 and Mukai, 2017; Lavery et al., 2010; Ross et al., 2013) sonar systems exploit variations in  
91 echo intensity with frequency to discriminate categories, sizes and even species of pelagic  
92 organisms (McQuinn et al. 2013). For ship-borne sonar this quantification becomes  
93 increasingly coarse with depth due to beam spreading and acoustic attenuation of the high  
94 frequencies needed to study small organisms. This can be overcome by lowering the sonar  
95 to the depth of interest (e.g. (Kloser et al., 2016; Ryan et al., 2009) or deploying it in an  
96 autonomous vehicle (Dunlop et al., 2018; Moline et al., 2015). A number of studies have  
97 successfully recorded predators interacting with prey schools using sonars deployed from  
98 ships or underwater vehicles (Simila 1997, Axelsen et al. 2001, Nøttestad et al. 2002,  
99 Benoit-Bird and Au 2009, Benoit-Bird et al. 2017), providing valuable insight into anti-  
100 predator dynamics of schools and the harvesting tactics of predators. However, monitoring  
101 individual predators for longer intervals or when hunting sparsely-distributed prey remains a  
102 significant challenge.

103 Combining these approaches, a logical way to study prey from the predator's perspective  
104 would be to build the sonar into a biologging tag. Although the limited size of such a tag may  
105 dictate a relatively simple sonar that provides much coarser information than a camera, there  
106 are several potential advantages to an animal-borne sonar. Unlike a camera tag, an animal-  
107 borne sonar may be able to operate over longer and more predictable ranges independent  
108 of ambient light levels, and without the need for a light source in deeper waters that may  
109 modify the behaviour of both predator and prey. Importantly for long-duration deployments,  
110 sonar can use less power and memory than cameras because the transmit pulse can be  
111 very short and returning echo data are only collected in one dimension as compared to the  
112 two dimensions of a visual image.

113 The first reported animal-attached sonar was developed by Miyamoto et al. (2004) for  
114 detecting krill predation by penguins. This device used a 1 MHz centre frequency and was  
115 extremely compact (100 g weight in air). However, the 2004 paper did not report data from

116 animal deployments and additional reports on this device could not be found. A decade later  
117 Lawson et al. (2015) developed a prototype sonar for use on wild northern elephant seals  
118 (*Mirounga angustirostris*). Using an off-the-shelf transducer with a working frequency of 200  
119 kHz and a 1 Hz ping rate, this tag weighed around 4 kg in air due largely to the power source  
120 needed to record continuously for 8 days. The tag did not contain additional sensors and so  
121 was intended to be deployed with other tags to sample movement and position. Trial  
122 deployments of the tag successfully recorded discrete echoes during foraging dives, with  
123 some depth ranges also showing an increased backscatter strength suggesting a higher  
124 density of plankton and small nekton. This device therefore provided the first *in situ* profile of  
125 the biotic seascape on a non-echolocating animal. However, as acknowledged by the  
126 authors, the prototype required substantial miniaturisation to be suitable for deployment on  
127 wide-ranging or smaller species.

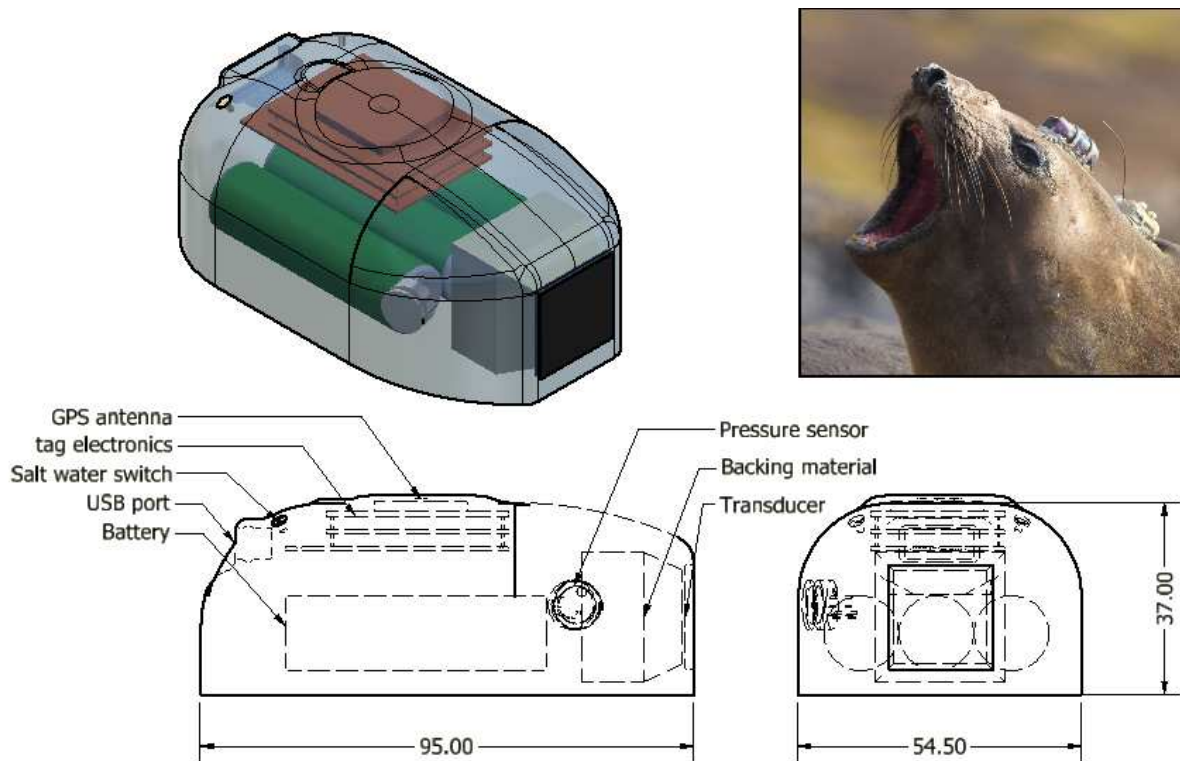
128 Here we describe the development and performance of a small, high-resolution sonar tag  
129 specifically designed to track predator-prey interactions and prey field density over long-  
130 duration foraging trips by marine predators. The tag builds on the approach of Lawson et al.  
131 (2015) but also takes inspiration from toothed whale biosonar: all studied toothed whales use  
132 a narrow (6-15 degree) forward-directed biosonar beam to detect prey (Jensen et al. 2018),  
133 relying on sequential scanning to inspect larger volumes of water (Wisniewska et al., 2012).  
134 A distinctive feature of toothed whale biosonar is the high click rate compared to their  
135 forward speed (Madsen et al., 2013), which leads to multiple insonifications of the same  
136 organisms (Arranz et al., 2011) potentially yielding information on their type and behaviour  
137 (Wisniewska et al., 2016). An additional goal of the tag was to integrate synchronous high-  
138 resolution position and movement sensors to relate biotic conditions with predator behaviour  
139 and geographic location. Preliminary results obtained from deployment on free-ranging  
140 southern elephant seals *Mirounga leonina* (SES hereafter) demonstrate the ability of the tag  
141 to detect biological targets and to track individual prey captures simultaneously from both  
142 sonar echoes and predator movements, providing new fine-scale information about the  
143 foraging ecology of this apex Southern Ocean predator.

## 144 Methods

### 145 Sonar tag design

146 The target application for the sonar tag is to collect foraging data for extended periods of  
147 time on wide-ranging marine species. With their post-breeding foraging trip lasting  
148 approximately 2 months, SES provide an appropriate test subject. The tag requires fine-  
149 scale sensors for movement (depth sensor, accelerometer and magnetometer) and location,  
150 in addition to the sonar, to facilitate inferences about behaviour. Given the relatively high  
151 data rate collected by these sensors, satellite telemetry is currently not feasible meaning that  
152 the tag must store data in on-board memory and be physically recovered when the seal  
153 returns to shore. The tag is mounted on the head of seals to ensure an unobstructed view of  
154 the water ahead of the mouth (Fig 1 top right). This necessitates a small package size and a  
155 reasonably hydrodynamic shape to minimise the impact of the tag on the energy expenditure  
156 of the animal. Tags of size 105 x 70 x 40 mm (O'Toole et al. 2014) or larger are typically  
157 deployed on SES during post-breeding migrations with little apparent affect on foraging  
158 success (McMahon et al. 2008). Such dimensions therefore provide us with a maximum  
159 design envelope. This size constrains the battery volume to 3 x AA cells, i.e., a capacity of  
160 25 Wh with lithium thionyl chloride (Li-SOCl<sub>2</sub>) batteries, dictating an electronics design with  
161 high power efficiency. These function, size and power constraints lead directly to a number  
162 of design decisions for the sonar tag.





163

164 *Figure 1 Mechanical diagram of the sonar tag showing the location of the major components.*

165 *The tag electronics, sonar transducer, sensors and battery are cast in epoxy to create a*  
 166 *single compact pressure tolerant tag with dimensions 95x55x37mm. Top right: sonar tag*  
 167 *deployed on an adult southern elephant seal female (photo: Joris Laborie).*

168 Due to the space and power requirements of supporting electronics, multibeam and multi-  
 169 frequency sonar are not currently feasible in such a restricted footprint and so the design  
 170 focused on a single beam sonar with a high ping rate to sample prey movements relative to  
 171 the predator (Wisniewska et al. 2014). As echoes from each ping must return before emitting  
 172 the subsequent ping for unambiguous ranging, the range of the sonar limits the ping rate. An  
 173 ecologically relevant operating distance to sample prey targeted by seals is 5-10m (Adachi  
 174 et al. 2017) setting a maximum ping rate of 75 Hz (i.e., sound-speed / (2 x range)). However,  
 175 ping rate also influences power and memory consumption, and was therefore left as a user-  
 176 configurable option.

177 Off-the-shelf sonar transducers and hardware meeting the size and power constraints for the  
 178 tag were not available and we therefore pursued a ground-up design centred around the  
 179 development of a custom transducer. The centre frequency and size of the transducer

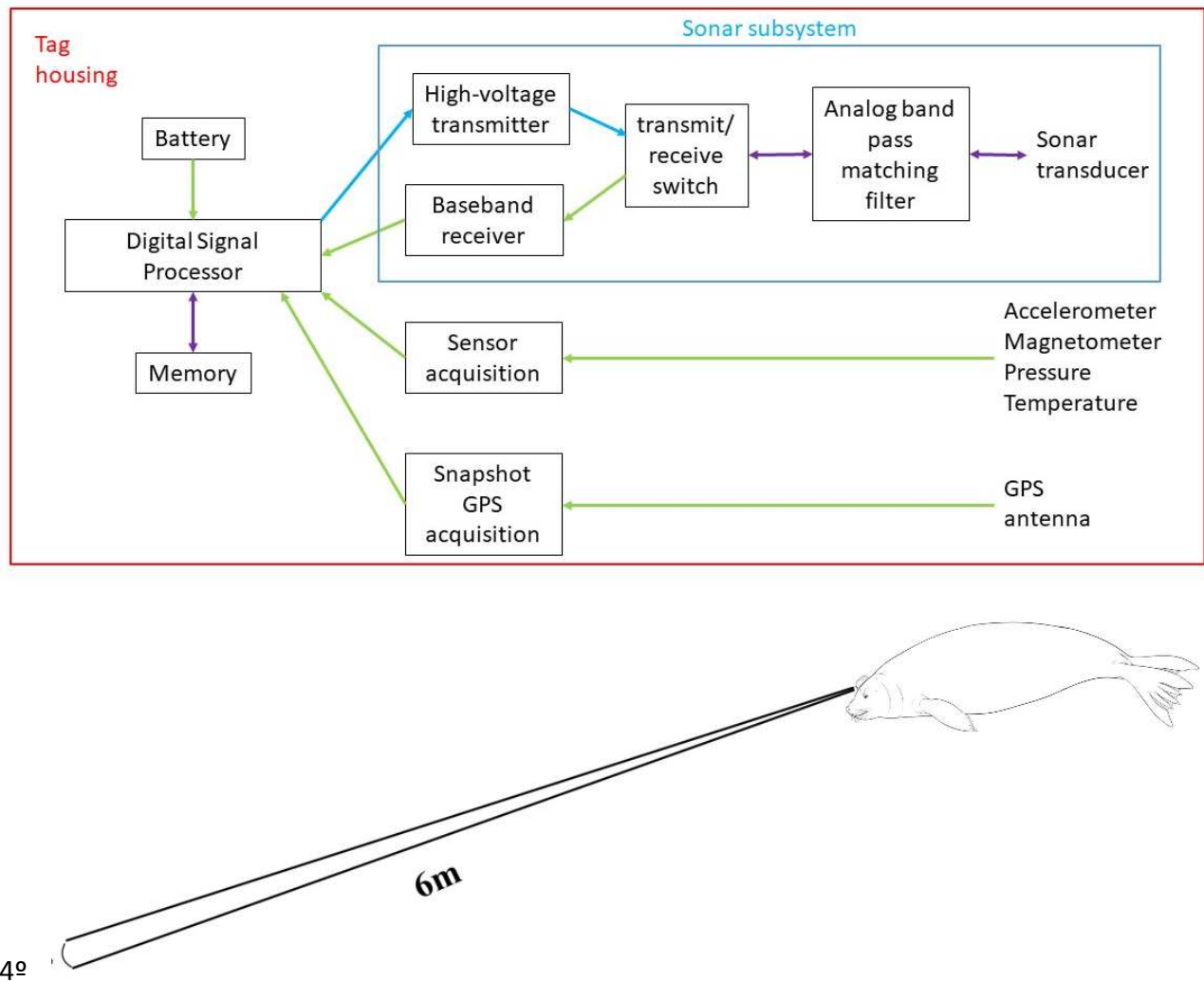
180 control the beamwidth, range and sensitivity of the sonar. Using a high frequency enhances  
181 the echo strength from relatively small prey that could potentially be targeted by elephant  
182 seals (Naito et al. 2013) and would be missed with lower frequencies, but also results in  
183 increased echoes from smaller biotic and abiotic scatterers which may mask prey  
184 observations (Richards et al. 2004). Sound absorption also increases with frequency (Kinsler  
185 and Frey 1962), limiting the range of a high frequency sonar (Miyamoto et al. 2004).  
186 However, for a given frequency, a larger transducer gives a narrower beam/field of view and  
187 a longer detection range because the acoustic energy is concentrated into a smaller volume.  
188 The relation between frequency, size and beamwidth for a flat piston transducer is:  $\theta \approx$   
189  $78.3^\circ/(Lf)$  where  $\theta$  is the half power beamwidth in degrees,  $f$  is the centre frequency in kHz  
190 and  $L$  is the transducer side length in m (Lurton 2002; Zimmer et al. 2005). As  $L$  is limited by  
191 the tag size, a relatively high centre frequency of 1.5MHz was chosen, for which a  
192 15x15mmx1mm (width x height x thickness) transducer has a predicted -3 dB beamwidth of  
193 3.5°.

194 To maximise power transfer to the water, a low impedance composite transducer composed  
195 of 60% piezoelectric ceramic rods in an epoxy matrix (Smart Material GmbH) was used. The  
196 front surface of the transducer has two polymer layers with thickness and impedance chosen  
197 to increase coupling efficiency. The transducer is backed with syntactic foam, a lightweight  
198 material able to withstand high pressure, in place of the typical metal or air backing.

199 A simple high-voltage square wave was chosen for the transmit signal to minimise board  
200 size and maximise efficiency. The transmit waveform comprises a burst of 16 cycles at 1.536  
201 MHz, giving a pulse length of 10.4  $\mu$ s. This short pulse was selected to reduce power  
202 consumption while giving a high spatial resolution of approx. 8 mm to track target  
203 movements and resolve close reflectors. An important consequence of using a rectangular  
204 windowed transmit pulse is that the very abrupt start and end of the signal produces  
205 sidebands over a wide frequency range. Although the sonar centre frequency is well beyond  
206 the nominal 100kHz upper hearing limit of seals (Cunningham and Reichmuth 2016), this  
207 sideband energy descends into the audible frequency range. A head-mounted tag is in close

208 proximity to the seal's hearing system and must therefore produce very low emissions  
209 relative to ambient noise/hearing threshold to minimise disturbance (Lawson et al. 2015).  
210 Low frequency emissions from the sonar were reduced in two ways: first the output drive  
211 circuit switched between closely matched positive and negative high voltage rails to avoid a  
212 low frequency transient due to pulse asymmetry. Remaining sidebands were attenuated  
213 using a passive 3-pole bandpass matching filter with components chosen to give an  
214 electrical match between the switcher and transducer at the centre frequency while also  
215 rejecting low frequencies. An additional way to reduce sideband emissions and power  
216 consumption was implemented as a user configurable option. This involves controlling the  
217 power level of the transmit signal by enabling the output switches for 1/4, 1/2 or the full  
218 halfcycle, corresponding to 25%, 50% and full power.

219 To further reduce power consumption, a receiving circuit with analog quadrature  
220 demodulation was used. The resulting in-phase and quadrature signals are sampled  
221 synchronously with 16-bit analog-to-digital convertors at a rate of 192 kHz to accommodate  
222 the transmit bandwidth (approx. 85 kHz for a 10.4  $\mu$ s pulse). This approach avoids the high  
223 power consumption and memory usage of direct digital sampling of the received signal. The  
224 maximum acquisition range of the sonar is controlled by the amount of time that the receiver  
225 is enabled following each ping; the receiver is subsequently turned off to save power until  
226 the next ping. The sonar data are compressed losslessly (Johnson et al. 2013) and stored  
227 along with data from the movement sensors in non-volatile solid-state memory.



228

229 *Figure 2. Top: Simplified block diagram of the tag showing the sonar and movement sensor*  
 230 *sub-systems. Bottom: Approximate position, beamwidth ( $4^\circ$ ) and operating range (6m) of the*  
 231 *sonar tag mounted on a female southern elephant seal.*

232

233 The recording time of the tag is determined not only by its power requirements but also by its  
 234 memory capacity and sensor sampling rates (Table 1). With a sonar ping rate of 12.5 Hz, a  
 235 maximum sonar acquisition range of 6 m and 50% output power, along with accelerometer  
 236 sampling at 200 Hz and a GPS position acquired on average every 5 min, the tag generates  
 237 data at a mean rate of 27 kB/s after compression with a power consumption of 27 mW. The

238 tag has 64 GB of memory allowing about 30 days of continuous recording with these  
 239 settings.

Subsystem	Sampling rate	Resolution (approx.)	Data rate bytes/sec	Power consumption (mW)
Sonar	Programmable 6.25, 12.5, 25, 50 Hz ping rate 192 kHz receiver	8 mm, 6 m max range	75k (approx. 25kB/s after compression)	16.3
GPS	Programmable	10 m RMS	440	3.4
Accelerometer (3-axes)	Programmable 100Hz – 1kHz	0.03 ms <sup>-2</sup> RMS	1200	
Magnetometer (3-axes)	50Hz	0.5 $\mu$ T RMS	300	
Depth and temperature	50Hz	0.05 m H <sub>2</sub> O	200	
Processor	-	-	-	

240 *Table 1 Sampling rate, resolution, data rate and power consumption of the sub-systems in*  
 241 *the sonar tag assuming a GPS position every 5 minutes, accelerometer sampled at 200Hz*  
 242 *and the sonar operated at 12.5 pings per second, half power. The sonar produces 2x 16-bit*  
 243 *values per sample representing the in-phase and quadrature components of the complex*  
 244 *demodulated signal.*

245 Audibility testing

246 Low frequency acoustic emissions from the sonar were quantified over a 1-100 kHz  
 247 frequency range to assess its potential audibility to the tagged animal. A low-noise,  
 248 autonomous sound recorder (DTAG), sampling at 576 kHz, was located 15 cm from the  
 249 sonar transducer, and measurements were made 1 m below the water surface in a quiet

250 pool filled with seawater. Sound level was measured below the tag, i.e., at 90° from the  
251 sonar beam centre, to be representative of sound reaching the animal's hearing system.  
252 Range gating was applied to the received signals to remove reverberation from the tank  
253 walls and water surface. The short transients produced by the sonar tag are well within the  
254 integration time of the seal hearing system (assumed to be about 125 ms, (Kastelein et al.  
255 2010)) and so the Root Mean Squared (RMS) level over this interval was calculated. Sound  
256 levels were measured with the sonar operating at ping rates of 6.25, 12.5 and 25Hz, and at  
257 power levels of 1/4, 1/2 and full. Background noise levels were recorded with the sonar  
258 disabled. Measured sound levels from the sonar were compared against pinniped hearing  
259 thresholds as well as to predictions of the ambient noise in the Southern Ocean. Although  
260 the hearing range and sensitivity of southern elephant seals are unknown, measurements  
261 are available for northern elephant seals and harbour seals. Three published harbour seal  
262 audiograms (Kastelein et al. 2009; Reichmuth et al. 2013; Cunningham and Reichmuth  
263 2016) were used because thresholds at frequencies above 60kHz are unavailable for  
264 northern elephant seals but their high-frequency hearing is reported to be similar to that of  
265 harbour seals (Reichmuth et al., 2013). Representative ambient noise levels for the  
266 Southern Ocean were extracted from a sound recording collected by a DTAG sound and  
267 movement recorder attached to a southern elephant seal on Kerguelen Island in November  
268 2017. Sound samples were taken during drift dives, when the seal passively descended  
269 through the water column to minimise the confounding effect of flow noise on ambient noise  
270 estimates (Cazau et al. 2017). Both the sonar emissions and the ocean ambient noise were  
271 converted to third octave band levels to be comparable with hearing threshold data.

## 272 Calibration and validation

273 The sonar was calibrated for source level and beam pattern using a target with known target  
274 strength (TS) suspended at a known distance in the axis of the sonar beam (Foote and  
275 Martini 2010). The narrow beam of the sonar makes the usual spherical calibration target  
276 impractical and a 0.1 mm radius stainless steel wire, stretched perpendicular to the beam,

277 was used instead. This wire has a theoretical TS of -75 dB at a range of 40 cm (Sheng and  
278 Hay 1993) and was chosen to produce clear echoes without overloading the receiver.

279 The echo level (EL) of the wire was measured with the sonar operating at 3 different power  
280 settings (Foote 1990) and the sonar source level was back-calculated assuming an  
281 absorption of 0.5 dB/m at 1.5 MHz in 20 °C water (Ainslie and McColm 1998). The  
282 transducer directivity pattern was estimated by rotating the sonar tag with respect to the  
283 target using a micrometer stage and measuring echo levels from the wire relative to off-axis  
284 angle in 0.2° increments. The noise floor of the sonar was estimated by operating the sonar  
285 in air and measuring the average echo level excluding the initial 2.5 ms after the out-going  
286 pulse.

287 To evaluate the capability of the sonar to detect small organisms, echoes were recorded  
288 from 3-4 cm long shrimps swimming in a 60x40x40 cm tank filled with seawater. The sonar  
289 was configured for a ping rate of 25 Hz and low power. A video camera, synchronised and  
290 co-located with the tag, was used to identify the source of echoes recorded by the sonar.

## 291 Field deployments

292 In October 2017, 4 post breeding female SES on the Kerguelen Islands were each equipped  
293 with a head-mounted sonar tag and a back-mounted CTD tag (SMRU-SRDL) (see Jouma'a  
294 et al. (2016) for details of similar fieldwork). Animals were anaesthetised using a 1:1  
295 combination of tiletamine and zolazepam (Zoletil 100), injected intravenously (McMahon et  
296 al. 2000). Tags were glued to the pelage using quick-setting epoxy (Araldite AW 2101, Ciba).  
297 The sonar was configured for a 12.5Hz ping rate at half power to reduce low frequency  
298 emissions. The tags were programmed to sample movement sensors continuously but to  
299 only operate the sonar with a 2.5 hour on/off duty-cycle to enable detection of any movement  
300 responses to the sound output of the sonar (Lawson et al. 2015). Although this duty-cycling  
301 did not work as expected due to a software error, sets of complete descents were recorded  
302 with and without the sonar enabled. Potential behavioural responses to the sonar being  
303 switched on were examined as follows: For each dive in which the sonar operated



304 continuously for at least 10 seconds (hereafter referred to as an exposure dive), dive  
305 characteristics including descent rate, dive duration and diving depth were quantified and  
306 compared with the closest dive during which the sonar was turned off (i.e., control dive). A  
307 Kolmogorov-Smirnov two-sample independent test was used to test whether each dive  
308 characteristic differed significantly between exposure and control dives. In addition, short-  
309 term reactions to the sonar startup were investigated by computing the RMS of the norm  
310 jerk, i.e., the vector magnitude of the rate of change in the 3-axis acceleration (Ydesen et al.  
311 2014), each time the sonar started pinging during a descent. The RMS jerk was computed  
312 over 5 s intervals with a 0.4 s averaging time and these RMS levels were compared  
313 immediately before and after the startup of the sonar in exposure dives.

314 Echograms were produced from echoes recorded by the tag by first removing the mean  
315 values of the in-phase and quadrature received signals, and then computing the echo  
316 magnitude (i.e., the square-root of the sum of the in-phase and quadrature components  
317 squared), synchronised to each outgoing ping. The background noise level in decibels,  
318 approximated by the 5 percentile of the echo level, was subtracted to obtain the echo-to-  
319 noise ratio (ENR) which was then displayed as an image. Stationary or slow moving,  
320 individual organisms appear in these displays as sequences of echoes with decreasing  
321 range in successive pings due to the forward movement of the seal (Johnson 2014). To  
322 measure the time that targets were within the sonar beam, targets with a peak ENR greater  
323 than 25dB that were insonified for at least 2 successive pings were selected manually. This  
324 ENR threshold was chosen to avoid counting brief reflections from e.g., turbulence or  
325 planktonic scatterers. For each high ENR target, the number of successive pings during  
326 which the target was visible (i.e., with ENR > 3dB) was determined. All data processing used  
327 custom scripts in Matlab (version R2016a, Mathworks).

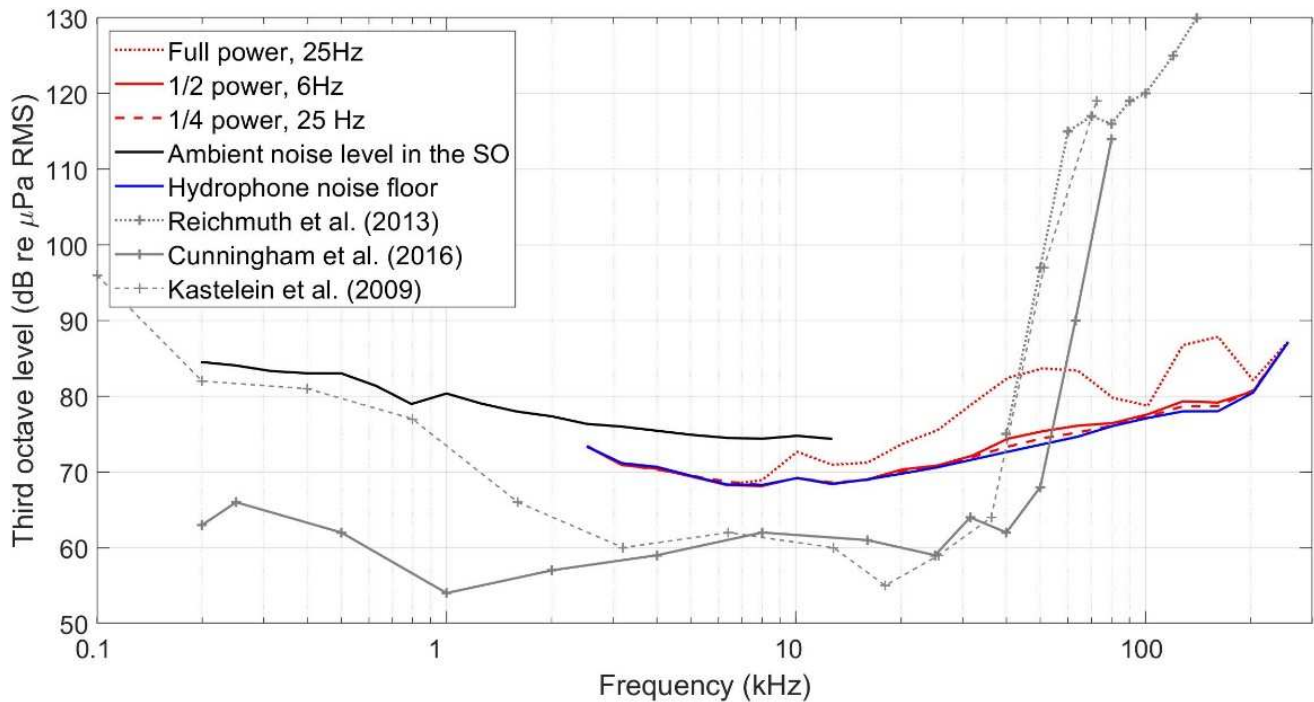


## 328 Results

### 329 Low frequency emissions

330

331 Despite design efforts to reduce low frequency emissions, measured third octave sound  
332 levels from the sonar were above the presumed SES hearing threshold (Fig. 3). Below  
333 10kHz, emissions were about 10 dB above threshold but 1-5dB below the measured  
334 Southern Ocean ambient noise level, so are unlikely to be audible to free-ranging SES.  
335 However, emissions above 10 kHz depended on both the ping rate and power setting: at  
336 maximum power level and 25 Hz ping rate, sound levels were 10 to 20dB above hearing  
337 threshold, whereas decreasing either the ping rate or the power led to levels close to the  
338 noise floor of the recording device. Extrapolating the measured ambient noise level to higher  
339 frequencies suggests that the sonar emissions are unlikely to be perceivable in typical  
340 ambient noise conditions except possibly at the highest ping rate and power setting. .  
341 Intermediate settings (i.e., half power, 12.5 Hz ping rate) were therefore used in the  
342 deployments on wild SES as a compromise between sonar performance and audibility

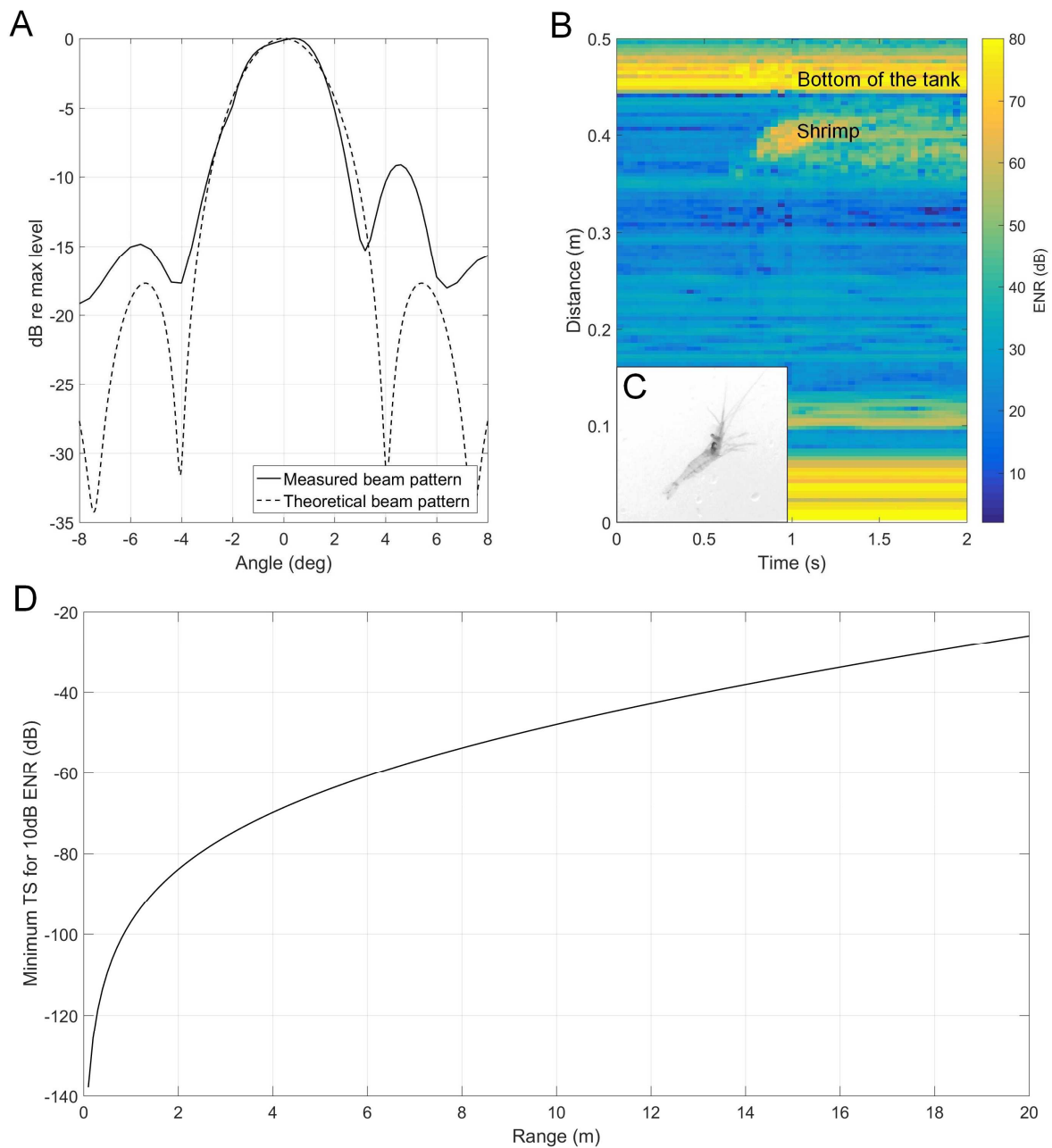


343 *Figure 3. Low frequency emissions of the sonar tag with different power and ping rate*  
 344 *settings. The sound levels are compared against an ambient noise level measured in the*  
 345 *Southern Ocean and harbour seal hearing thresholds (Kastelein et al. 2009, Reichmuth et al.*  
 346 *2013, Cunningham & Reichmuth 2016). All levels are in dB re  $\mu$ Pa RMS per 1/3 octave*  
 347 *band.*

#### 348 Calibration and validation

349 The measured beam pattern of the sonar was broadly similar to that of a circular piston with  
 350 the same effective cross section (Fig. 4, Kinsler and Frey, 1962) with -3 dB and -10 dB  
 351 beamwidths of  $3.4^\circ$  and  $5.4^\circ$ , respectively. The measured source level and noise floor of the  
 352 sonar (Table 2) indicate a maximum echo attenuation (i.e., the 2-way transmission loss, TL,  
 353 minus the target strength, TS) of 100 dB for an echo to noise ratio of > 10 dB at full power.  
 354 Tank tests conducted on live invertebrates showed the potential of the sonar tag to register  
 355 echoes from small, individual organisms (Fig. 4). The measured target strength of a 3 cm  
 356 long live shrimp detected at a range of 0.4 m from the sonar tag operating at low power was  
 357 -78 dB which is broadly similar to the values obtained by Richter (1985).

358



359

360 *Figure 4 A: Measured and theoretical beam patterns of the sonar tag. Echo levels were*  
 361 *recorded from a target suspended 0.4 m from the sonar. The tag was mounted on a rotating*  
 362 *platform and measurements were made in 0.2° increments. The theoretical beam pattern is*  
 363 *for a circular piston with the same area as the transducer. B: Echogram produced from 50*  
 364 *consecutive pings showing the echo signature of a 3 cm long shrimp. Sonar settings: 1/4*  
 365 *power, ping rate 25Hz. Time is on the horizontal axis and the vertical axis shows the*  
 366 *distance from the sonar transducer (similar to an upside-down echosounder display). Echo*  
 367 *to noise ratio (ENR) in dB is indicated by the colour. C: Still capture from the synchronised*

368 video camera showing the insonified shrimp. *D*: Minimum target strength (TS) for an on-axis  
 369 target as a function of range, to produce an ENR of >10dB with the sonar operating at half  
 370 power. Transmission loss due to spherical spreading and absorption of 1 dB/m are  
 371 assumed.

	Low (1/4 power)	Medium (1/2 power)	High (full power)
Noise floor (dB re $\mu\text{Pa}^2/\text{Hz}$ RMS)	26	26	27
Full band noise (dB re $\mu\text{Pa}$ )	79	79	80
Source level (dB re $\mu\text{Pa}$ RMS at 1m)	184	187	189

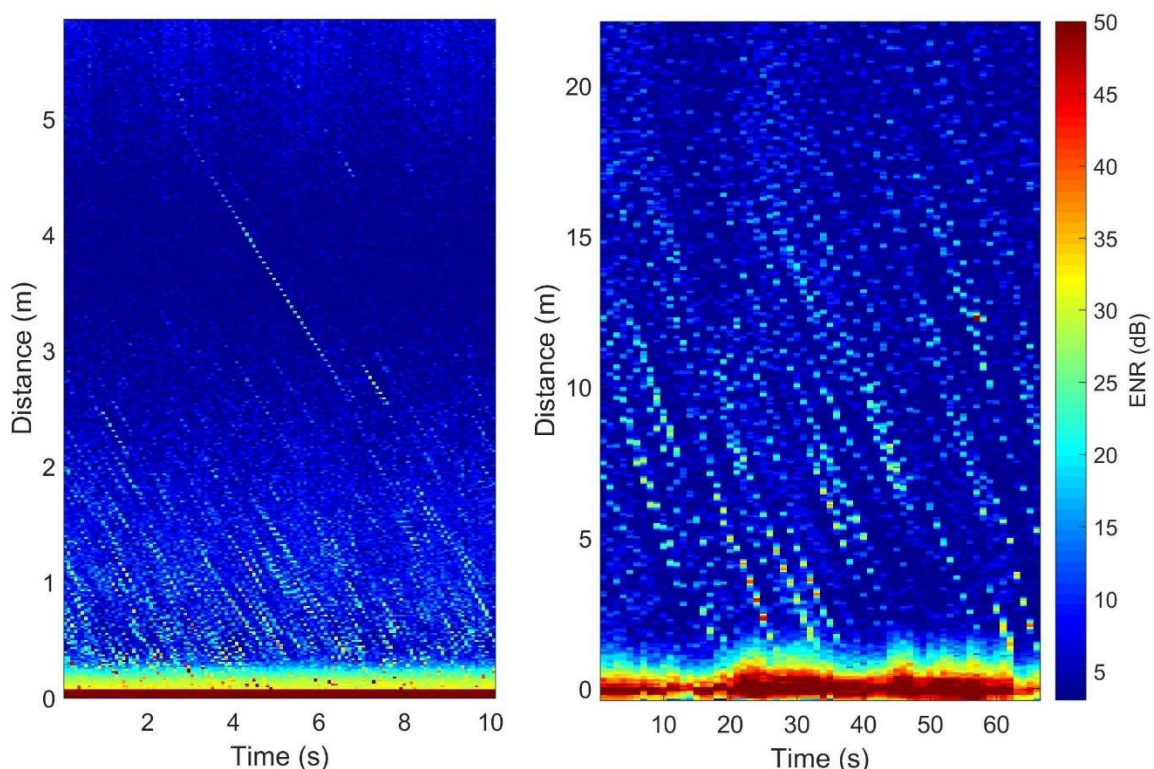
372 Table 2 Sonar tag calibration results. Noise floor was measured in air. Source level was  
 373 back-calculated from the on-axis echo level of a 0.1 mm radius stainless steel wire  
 374 suspended 0.4 m from the transducer (expected TS -75 dB) and measured with the sonar  
 375 operating at 3 different power settings. The on-axis sensitivity of the transducer is  
 376 approximately -165 dB re V/ $\mu\text{Pa}$ .

### 377 Field deployments

378 Four sonar tags were deployed on post-breeding female SES in November 2017 of which  
 379 only two devices were recovered in January 2018 (the other two animals returned to moult  
 380 on inaccessible beaches in the Kerguelen Islands). The recovered tags recorded continuous  
 381 high resolution movement and location data for 44 and 62 days. A software error prevented  
 382 one tag from recording sonar data and limited the sonar collection of the other tag.  
 383 Nonetheless, some 10 hours of sonar data were recorded during 145 dives out of the 2371  
 384 dives performed by this animal.

385 Kolmogorov-Smirnov tests on the descent rate, duration and maximum depth showed no  
 386 significant difference in dive parameters between dives with and without the sonar enabled.

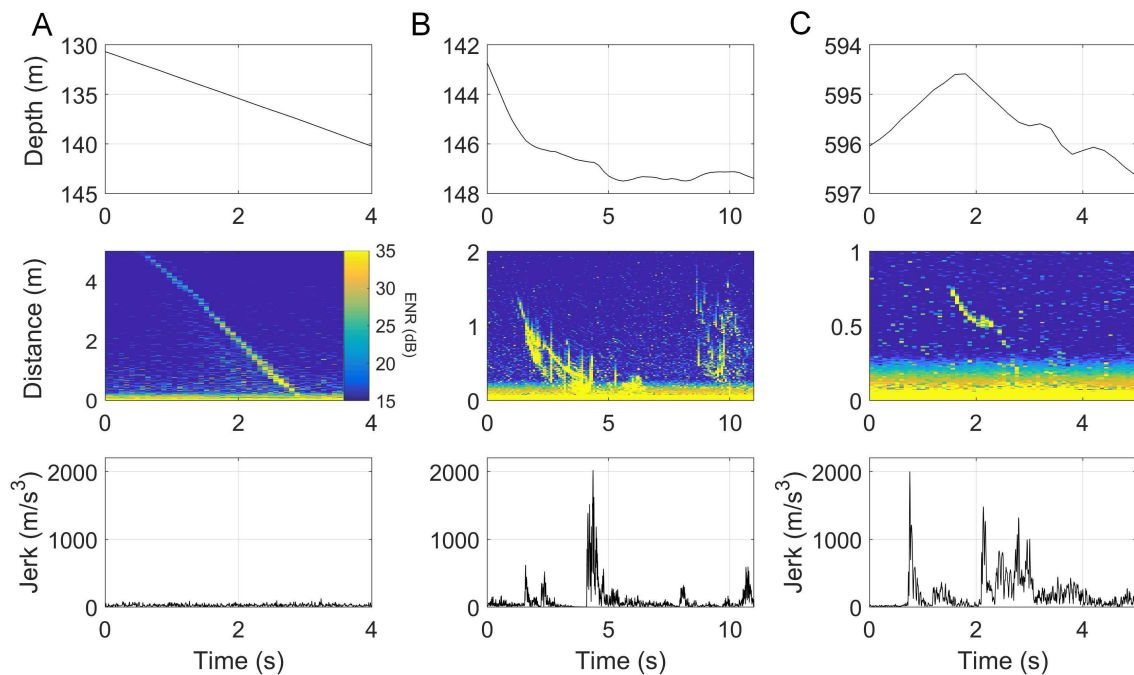
387 The maximum RMS jerk in 5 s intervals immediately before and after the sonar turned on  
 388 was consistently lower than  $100 \text{ ms}^{-3}$  indicating no sudden head movement (such as a  
 389 startle or flinch) or obvious change in behaviour when the sonar turned on. In comparison  
 390 RMS jerk transients likely related to prey strikes were in the range  $800\text{-}1500 \text{ ms}^{-3}$ .  
 391 Sonar recordings from the SES contained frequent targets with range less than 2 m and  
 392 occasional targets with ranges as far as 5 m (Fig. 5). The seal regularly swam through  
 393 clouds of scatterers resulting in echograms similar to those obtained from passive acoustic  
 394 tags on echolocating toothed whales (Madsen et al. 2005). The constant slope (i.e., closing  
 395 speed,  $\text{ms}^{-1}$ ) of echo traces in these echograms indicates stationary or slow-moving  
 396 scatterers for which the forward movement of the seal dominates the closing speed. The  
 397 duration that each target was insonified by the sonar, assessed by counting the number of  
 398 visible echoes in 150 targets, was  $4.6 \pm 1.2$  pings ( $0.4 \pm 0.1$  s at 12.5 pings/s).  
 399



400  
 401 *Figure 5 Left: Echogram recorded by the sonar tag at 200 m depth on a descending*  
 402 *southern elephant seal. Right: Echoes recorded passively by a DTAG deployed on a*

403 *Blainville's beaked whale passing through a cloud of organisms (after Madsen et al., 2005).*

404 *Note the different time and range scales in the two panels*



405

406 *Figure 6 Synchronised depth, sonar and acceleration data facilitate inferences on individual*  
 407 *predator interactions with possible prey. Upper panels: dive profile; middle panels:*  
 408 *echograms (vertical axis shows the distance of the target relative to the sonar transducer);*  
 409 *bottom panels: jerk (i.e., rate of change in acceleration). A: A close target approach that is*  
 410 *not associated with a depth change or acceleration suggesting that there is no attempt at*  
 411 *capture. B: The high spatial resolution of the sonar allowed the discrimination of two close*  
 412 *targets, or two glints from the same target, that were struck at by the seal. C: Target*  
 413 *movement, indicated by a change in closing speed, suggests a sequence of strike, prey*  
 414 *escape attempt and capture.*

415

416 *Actively moving organisms were distinguishable from stationary objects by the varying slope*  
 417 *of their echo trace (Supplementary Material). Synchronously sampled movement data*  
 418 *helped to interpret the seal's behaviour towards these targets. The object in Fig 6A was*  
 419 *continuously insonified over the entire range of detection until very close to the seal's mouth*



420 yet the sensor data showed that the seal did not alter its diving behaviour nor produce a  
421 sudden acceleration while approaching it suggesting either that the seal did not attempt a  
422 capture or that the object was acquired with very little effort. In other cases, echo traces  
423 were associated with a sudden change in dive behaviour and a strong jerk peak (Fig 6B-C),  
424 which may be due to head movement and/or the seal sucking the prey into its mouth (i.e.  
425 suction feeding - (Kienle and Berta 2016)), leading us to interpret these as prey capture  
426 attempts. Prey strikes were also identifiable in some echograms by a change of closing  
427 speed in the echo trace. The high spatial resolution of the sonar allowed discrimination of  
428 closely separated targets such as the two distinct echo traces in Fig. 6B. The target trace in  
429 Fig. 6C appears to show a sequence of initial strike, prey escape attempt and final capture,  
430 illustrating the value of a high temporal resolution to track predator-prey interactions.

## 431 Discussion

432 Studying where free-ranging predators find prey and how they exploit it is especially  
433 challenging in the marine environment. Ship-based active sonar and animal-attached  
434 accelerometers provide valuable but incomplete and often decoupled information on prey  
435 availability and capture attempts by predators. Here, these two technologies are combined to  
436 produce a compact animal-attached sonar and movement tag that can directly monitor the  
437 biotic environment encountered by a predator as well as its fine-scale interactions with  
438 organisms within its close vicinity. Previous attempts to do this have either not been  
439 successfully deployed on animals (Miyamoto et al., 2004) or have been limited by size,  
440 power consumption and audibility issues (Lawson et al., 2015). In an attempt to overcome  
441 these problems, an integrated design approach was adopted, using a custom sonar  
442 transducer and low power sensor acquisition electronics. The resulting tag incorporates a  
443 sensitive short range sonar together with high rate motion and GPS sensors to provide data  
444 on where predators find prey, how they forage and with what success rate. With current  
445 settings and its built-in power supply of 3 AA batteries, the tag has the potential to record

446 data during a period of 30 days, which can be modified by the user according to specific  
447 study requirements. We demonstrate with a deployment on a wild SES the ability of the  
448 device to record foraging interactions with high spatial and temporal resolution while  
449 producing very low sound levels that provoked no detectable behavioural responses by the  
450 animal carrying the instrument.

451 To reduce the size, frontal area and audibility of the sonar tag while maximising spatial  
452 resolution, a very high operating frequency (1.5 MHz) was chosen. Such high frequencies  
453 are rarely used for fisheries sonar, primarily because acoustic absorption would limit the  
454 range of ship-borne systems, but they are used to survey zooplankton where the short  
455 wavelength ensures scattering from small body sizes (Holliday and Pieper, 1980). This  
456 raises concern that the sonar tag may be strongly range-limited by acoustic absorption while  
457 at the same time being overly sensitive to small planktonic scatterers that will tend to mask  
458 echoes from the larger nekton targeted by SES. The short design range of the sonar tag  
459 reduces the impact of absorption: the predicted absorption in cold deep Southern Ocean  
460 water is about 1 dB per metre at 5°C (Ainslie and McColm, 1998) summing to 12 dB for a  
461 target at 6 m range. In comparison, the transmission loss due to spherical spreading over  
462 the same distance is 31 dB (i.e.,  $40\log_{10}(\text{range})$ ) making the absorption relatively less  
463 important. With the measured source level and noise floor of the sonar, a myctophid fish with  
464 a nominal TS of -50 dB (Benoit-Bird and Au 2001) will, if on-axis, give an echo level that is  
465 15 dB above the noise floor at a range of 6 m (i.e.,  $SL-TL+TS-NF = 187-(31+12)-50-79$  dB)  
466 which should be readily detectable. Tests of the sonar tag in an aquarium with pumped sea  
467 water showed considerable backscatter, presumably from planktonic organisms and  
468 turbulence in the water, but small invertebrates were nonetheless clearly visible in  
469 echograms (Fig. 4) albeit at short ranges limited by the tank dimensions. Data recorded by  
470 the tag on a SES showed less bulk backscatter, consistent with the lower micro-faunal  
471 density and absence of air bubbles in deep waters, and larger echoic objects were readily  
472 distinguished from the clutter of smaller targets throughout the operating range of the sonar  
473 (Fig 5 and Supplementary Material).



474 A major advantage of using a high sonar frequency is that it makes possible the use of short  
475 transmit pulses which both reduce power consumption and give high spatial resolution. This  
476 is apparent on comparing echograms (Fig. 5) produced by the sonar tag (8 mm range  
477 resolution) with passive echograms computed for beaked whales (200 mm resolution, given  
478 their 270  $\mu$ s duration clicks, (Johnson et al. 2006)). Such high resolution enables  
479 discrimination of closely packed targets, making density estimates more precise. For  
480 organisms that react to the approaching seal by changing orientation rapidly, it may also be  
481 possible to distinguish echoes from multiple points along the body and thereby estimate prey  
482 size.

483 Another consequence of the high sonar frequency is a narrow beamwidth. At first glance, the  
484 3.4° half-power beamwidth of the sonar tag may seem too narrow to be effective in tracking  
485 prey targeted by an agile predator. However, on animals such as seals that can be  
486 restrained for tag attachment, the tag may be rigidly mounted on the head, leading the  
487 narrow beam to be co-directed both with the sensory systems of the animal (the eyes and  
488 whiskers) and with the direction of approach towards prey. Moreover, the beam moves as  
489 the head moves, providing a wider effective field of view as the animal scans its  
490 surroundings. The narrow beam also reduces clutter and increases sensitivity: a 3.4° beam  
491 has a directivity index (DI) of 35 dB (Lurton 2002), where the DI characterises the increase in  
492 on-axis transmit level and receive sensitivity compared to an omnidirectional transducer.  
493 Beamwidth depends on both the operating frequency and the transducer dimensions which  
494 are, in turn, limited by the size of the tag so that using a lower frequency would mean a wider  
495 beam. The larger (100mm diameter) transducer used by Lawson et al. (2015) gave a  
496 beamwidth of 8° at their 200kHz operating frequency. However, our smaller transducer size  
497 (15x15mm) would lead to a beamwidth of 26° and a corresponding DI of 17 dB at 200kHz  
498 implying a 36 dB loss in echo-to-noise ratio for on-axis targets and the same power output  
499 (i.e., 35-17 dB for both transmit and receive). Such a wide beam would also result in an  
500 increased sensitivity to objects that are not directly ahead of the animal and which therefore  
501 show a variety of closing speeds. This could lead to ambiguity in judging whether an

502 organism is itself moving away from the predator or simply has a lower approach speed  
503 because it is off the direction of travel. Thus our results on SES suggest that a narrow beam  
504 is effective in providing clear echoic information about the organisms approached and  
505 targeted by this deep water predator.

506 Modern fisheries sonars use multiple beams or frequencies to distinguish species but neither  
507 technique is currently compatible with the size constraints of an animal-borne sonar tag  
508 leading us to implement a simple single-beam sonar. Instead we designed the tag to use a  
509 high ping rate, inspired by toothed whale biosonar in which fast clicking yields detailed  
510 information on prey organisation, movements and size (Johnson et al. 2008; Wisniewska et  
511 al. 2016). The tag also samples wide bandwidth motion sensors synchronously with the  
512 sonar as a means of inferring whether echoic objects were targeted for capture by the seal.  
513 Data from the SES deployment of the sonar tag allowed us to test the effectiveness of these  
514 design strategies. Although limited to a single individual and a short operating time, the  
515 sonar recordings demonstrated the capability to measure the biotic density encountered by  
516 the predator, to sample prey escape behaviour, and to track predator strikes at prey. On  
517 average, echoic objects were detectable by our tag for just 0.4 s as they entered and exited  
518 the sonar beam, and so many would have been missed at the 1 Hz ping rate used by  
519 Lawson et al. (2015) despite the wider beam of that device. This highlights that a high ping  
520 rate is needed to track close range targets in a narrow beam as has been found for toothed  
521 whale biosonar (Jensen et al. 2018). The high ping rate used here also enabled detection of  
522 actively moving targets, e.g., exhibiting avoidance behaviour to the approaching seal. The  
523 synchronous movement sensors led to more definitive inferences about the fate of these  
524 targets: in several instances, organisms were tracked up to a few centimetres from the seal's  
525 mouth where a strong accelerative movement of the seal signalled a capture attempt.  
526 Sudden disappearance of the echo at this moment could be a robust indication of capture  
527 success, an inference which has been difficult to obtain reliably on marine predators (Dragon  
528 et al. 2012; Jouma'a et al. 2016; Le Bras et al. 2017).

529 An inevitable by-product of the pulsed signals generated by a sonar is sound emission at low  
530 frequencies which could be potentially audible to the tagged animal. This issue was  
531 identified by Lawson et al (2015) where despite using a 200kHz centre frequency which is  
532 well beyond the hearing range of pinnipeds, the authors measured low-frequency emissions  
533 from their sonar which would be audible. Lawson et al. (2015) sought to address the problem  
534 of audibility by reducing the output power of the sonar, and therefore the prey detection  
535 range, such that low frequency emissions were approximately 40dB above the hearing  
536 threshold of northern elephant seals. No strong response to the resulting sonar emissions  
537 was found in that study either in terms of dive behaviour or stress hormone levels, although  
538 short-term or subtle responses could have passed undetected if they had little effect on dive  
539 parameters. Low frequency emission is a challenging design problem because the switched  
540 drive circuit which gives the most power-efficient transmitter also produces the most low  
541 frequency noise. The high operating frequency and incorporation of a passive filter in our  
542 design helped to reduce emissions to within 20 dB of published pinniped hearing thresholds.  
543 Programmable ping rate and output power settings gave us further flexibility in resolving the  
544 trade-off between audibility and sonar data quality. Given the relatively noisy acoustic  
545 environment in the windy Southern Ocean (Vinoth and Young 2011; Cazau et al. 2017) we  
546 chose sonar settings (half power and 12.5 Hz ping rate) for which emissions would be no  
547 more than about 10 dB above the presumed hearing threshold and close to the prevailing  
548 ambient noise levels experienced by SES. As in Lawson et al. (2015), we found no evidence  
549 of reactions to the sonar with these settings, neither in terms of short-term acceleration nor  
550 longer-term dive behaviour suggesting that the low-level emissions from the tag had minimal  
551 impact on the animal.

552 The sonar settings chosen for low audibility restrict the sensitivity and temporal resolution of  
553 the sonar raising the question of whether useful information is being missed. Although  
554 echoes were detected up to the 6 m maximum range acquired by the sonar receiver, a  
555 higher power level would enable a longer sensing range if the receiving duration was  
556 extended accordingly. However, this would increase memory use per ping and therefore

557 shorten recording times. Given the location of the tag on the head of the seal, a 6 m range  
558 seems sufficient to sample the organisms in the water mass immediately ahead of the seal  
559 and therefore available for capture. A longer range may be needed on species for which the  
560 tag must be mounted further back on the body. The ping rate of 12.5Hz was found to be  
561 sufficient to track prey movements relative to the predator including escape attempts.  
562 However, using a still faster ping rate would enable tracking of rapid prey responses and  
563 give more accurate escape speed estimates at the cost of shorter recording duration. A  
564 higher ping rate may also allow detection of prey locomotory movements in taxa for which  
565 this leads to a modulation in target strength. The rate of these movements can give an  
566 indication of maximum prey size (Wisniewska et al. 2016).

567 In addition to detailed information about predatory interactions, an animal-borne sonar tag  
568 offers a means to sample biological conditions as a function of depth. The stereotyped deep-  
569 diving behaviour of SES over foraging trips that can cover 1000's of km enables collection of  
570 dense 3 dimensional data that would be extremely expensive to collect by a ship-borne  
571 echosounder. The sonar tag therefore provides a biological complement to temperature and  
572 salinity sampling tags on SES that have contributed much of the physical oceanographic  
573 data available from the Southern Ocean (Biuw et al. 2007; Charrassin et al. 2008, Fedak  
574 2013). The capability to record detailed echoic information over a well-defined water volume  
575 for extended time intervals opens the possibility of estimating the absolute density of  
576 organisms as a proxy for productivity akin to a video plankton recorder (McGillicuddy Jr. et  
577 al. 2007). The sampling volume of the sonar is defined by its beamwidth and the range limit  
578 of the receiver: with a 3.4° beamwidth and 6 m range limit, this volume is 0.2 m<sup>3</sup>. The  
579 number of echoic targets in this volume could be quantified in terms of back-scatter strength  
580 but can also be counted directly from the echogram taking advantage of the relatively high  
581 temporal and spatial resolution of the short-range sonar. This results in a density  
582 measurement in organisms per m<sup>3</sup> the accuracy of which is independent of the depth of the  
583 tagged animal. In comparison, a fisheries sonar deployed from a ship (but not a robotic  
584 vehicle, e.g., Benoit-Bird et al. 2017) must operate at a significantly lower frequency to

585 sample the depth range attained by SES, and the concomitant lower resolution is unlikely to  
586 permit detection of individual small organisms throughout the depth range. As a  
587 consequence, a ship-board survey would likely need to quantify volume integrated  
588 backscatter intensity to estimate organism density requiring a species dependent calibration  
589 (Horne and Clay 1998; Horne 2000). On the other hand, a ship-based survey has the  
590 important advantage of providing a larger context on the distribution of prey which is lacking  
591 in an instrument attached to an animal. The small size and long duration of the sonar tag  
592 may also make it feasible to deploy as a productivity sensor on ocean gliders enabling  
593 directed surveys at the scale of ocean basins.

594 The combination of high resolution sonar and movement sensors in a tag therefore facilitates  
595 a broad range of ecological studies in the marine environment that have been hitherto  
596 difficult to conduct. The tag is potentially suitable for use on any large marine predator  
597 including baleen whales, diving birds, fish and sharks once logistical difficulties associated  
598 with attachment, placement with respect to the mouth, and transducer orientation have been  
599 solved, while further miniaturisation would be required to allow deployment on smaller  
600 animals. By recording dense information on the behaviour and movements of predators  
601 linked with the biological environment they encounter, the tag will provide information from  
602 the predator's perspective on prey availability, selection and capture manoeuvres. Moreover,  
603 individual variations in foraging behaviour in relation to biotic density will potentially help  
604 understand how populations of marine predators may be impacted by environmental  
605 changes.

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**621 Ethics statement:**

622 Ethical approval for the IPEV fieldwork was provided by the French Committee for Polar  
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- Simultaneous observations of predators and prey are challenging in the ocean
- We developed a miniature 200 gram low-power sonar and movement tag to study foraging
- The tag combines a 1.5MHz short-range sonar with GPS and high-rate motion sensors
- Continuous operation for one month and ping rates up to 50 Hz are supported
- The tag recorded organism abundance and detailed predator-prey interactions on a seal

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