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# The Hall–Paige conjecture, and synchronization for affine and diagonal groups

John N. Bray<sup>\*</sup>, Qi Cai<sup>†</sup>, Peter J. Cameron<sup>\*‡</sup>,  
Pablo Spiga<sup>§</sup>, and Hua Zhang<sup>†</sup>

Dedicated to the memory of Charles Sims

## Abstract

The Hall–Paige conjecture asserts that a finite group has a complete mapping if and only if its Sylow subgroups are not cyclic. The conjecture is now proved, and one aim of this paper is to document the final step in the proof (for the sporadic simple group  $J_4$ ).

We apply this result to prove that primitive permutation groups of simple diagonal type with three or more simple factors in the socle are non-synchronizing. We also give the simpler proof that, for groups of affine type, or simple diagonal type with two socle factors, synchronization and separation are equivalent.

Synchronization and separation are conditions on permutation groups which are stronger than primitivity but weaker than 2-homogeneity, the second of these being stronger than the first. Empirically it has been found that groups which are synchronizing but not separating are rather rare. It follows from our results that such groups must be primitive of almost simple type.

*Keywords:* Automata, complete mappings, graphs, Hall–Paige conjecture, orbitals, primitive groups, separating groups, synchronizing groups, transformation semigroups

*MSC classification:* Primary 20B15; secondary 05E30, 20M35

## 1 Introduction

In this section, we recall the definition of synchronization and separation for permutation groups, and the O’Nan–Scott theorem in the form we require, and state two theorems which imply that groups which are synchronizing but not separating must be almost simple. The proof in the case of diagonal groups requires the truth of the *Hall–Paige conjecture*; the second section describes this conjecture, and the computations required to prove the final case needed to resolve it. The final section gives the analysis of diagonal groups and applies the Hall–Paige conjecture to show that primitive groups of simple diagonal type with at least three socle factors are non-synchronizing,

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and also the (simpler) proof that synchronization and separation are equivalent for groups of affine type, and of simple diagonal type with two socle factors.

The concept of synchronization arose in automata theory; we state it here for transformation monoids. A transformation monoid  $M$  on a finite set  $\Omega$  is *synchronizing* if it contains a transformation of rank 1 (one whose image is a single point).

A permutation group cannot be synchronizing in this sense unless  $|\Omega| = 1$ ; so by abuse of language we redefine the term, and say that the permutation group  $G$  is *synchronizing* if, for every transformation  $t$  of  $\Omega$  which is not a permutation, the monoid  $M = \langle G, t \rangle$  is synchronizing in the preceding sense.

The definition can be re-phrased in a couple of ways, the first in traditional permutation group language, the second in terms of graphs. The *clique number* of a graph is the size of the largest complete subgraph; and the *chromatic number* is the smallest number of colours required to colour the vertices so that adjacent vertices are given different colours (this is called a *proper colouring* of the graph). Since the vertices of a complete subgraph must all have different colours in a proper colouring, we see that the clique number is not greater than the chromatic number.

**Theorem 1.1** *Let  $G$  be a permutation group on  $\Omega$ .*

- (a)  *$G$  is non-synchronizing if and only if there is a non-trivial partition  $P$  of  $\Omega$  and a subset  $A$  of  $\Omega$  such that, for all  $g \in G$ ,  $Ag$  is a transversal for  $P$ . (We will say that the pair  $(A, P)$  witnesses non-synchronization.)*
- (b)  *$G$  is non-synchronizing if and only if there is a graph  $\Gamma$  on the vertex set  $\Omega$ , not complete or null, with clique number equal to chromatic number, such that  $G \leq \text{Aut}(\Gamma)$ .*

We note that a synchronizing group must be primitive, since if there is a fixed non-trivial partition  $P$ , then  $P$  and any transversal  $A$  witness non-synchronization. Similarly, a 2-homogeneous group is synchronizing, since it is not contained in the automorphism group of a non-trivial graph.

The related concept of separation has no connection with automata, but has proved very useful in studying synchronization. We note first that a simple counting argument shows that, if  $A$  and  $B$  are subsets of  $\Omega$ , and  $G$  is a transitive permutation group on  $\Omega$  such that  $|Ag \cap B| \leq 1$  for all  $g \in G$ , then  $|A| \cdot |B| \leq |\Omega|$ . We say that  $G$  is *non-separating* if there exist sets  $A$  and  $B$ , with  $|Ag \cap B| = 1$  for all  $g \in G$ , and  $|A| \cdot |B| = |\Omega|$ ; we say that the pair  $(A, B)$  witnesses non-separation. We say that  $G$  is *separating* otherwise.

There is an analogue of the second part of the above result. The *independence number* of a graph is the size of the largest induced null graph (the maximum number of pairwise non-adjacent vertices).

**Theorem 1.2** *The transitive permutation group  $G$  on  $\Omega$  is non-separating if and only if there is a graph  $\Gamma$  on the vertex set  $\Omega$ , not complete or null, such that the product of its clique number and independence number is equal to  $|\Omega|$ , and  $G \leq \text{Aut}(\Gamma)$ .*

If  $G$  is transitive and  $(A, P)$  witnesses non-synchronization, then  $(A, B)$  witnesses non-separation for any part  $B$  of  $P$ . (For, by the result cited before Theorem 1.2, if  $B$  is the largest part of  $P$ , then

$$|\Omega| \geq |A| \cdot |B| = |P| \cdot |B| \geq |\Omega|;$$

thus equality holds, which implies that all parts have the same size and  $|A| \cdot |B| = |\Omega|$  for any part.) Thus, separation implies synchronization. We are interested in the converse. Apart from

four sporadic examples (namely the symmetric and alternating groups of degree 10 acting on 4-subsets, see [1, Section 5], and  $G_2(2)$  and its subgroup of index 2 with degree 63), only one infinite family of primitive groups are known to be synchronizing but not separating: these are the five-dimensional orthogonal groups over finite fields of odd prime order, acting on the corresponding quadrics; the proof of synchronization uses a result of Ball, Govaerts and Storme [4] on ovoids on these quadrics. See [2, Section 6.2].

It is easier to test for separation than for synchronization, since clique number is easier to find in practice than chromatic number. Our main result shows that this easier test suffices for synchronization except in the case of almost simple groups.

For further information on these concepts we refer to the paper [2].

Primitive permutation groups are described by the O’Nan–Scott theorem, for which we refer to Dixon and Mortimer [8]. We need only a weak form of the theorem:

**Theorem 1.3** *Let  $G$  be a primitive permutation group on  $\Omega$ . Then one of the following occurs:*

- (a)  $G$  is contained in a wreath product  $H \wr K$  with product action, and preserves a Cartesian decomposition of  $\Omega$ ;
- (b)  $G$  is of affine, simple diagonal or almost simple type.

The Cartesian decompositions in Case (a) are defined and studied in detail in [13, Chapter 8]. The simplest description for our purpose is that  $G$  is contained in the automorphism group of a *Hamming graph*  $H(n, q)$ , whose vertices are the  $n$ -tuples over an alphabet  $A$  of size  $q$ , two vertices adjacent if they agree in all but one coordinate. The clique number of the graph is  $q$ : the set of  $n$ -tuples with fixed values in the first  $n - 1$  coordinates is a clique. Moreover, if  $A$  is an abelian group, then colouring an  $n$ -tuple with the sum of its elements gives a proper  $q$ -colouring. So groups in Case (a) are not synchronizing.

In Case (b), groups of affine type consist of mappings of the form  $x \mapsto xA + b$  on a vector space over a finite field of prime cardinality, where  $A$  is an invertible linear map and  $b$  a fixed vector; the socle of such a group is the *translation subgroup*  $\{x \mapsto x + b\}$ . Groups of diagonal type are described in more detail in the next section. Finally,  $G$  is *almost simple* if  $T \leq G \leq \text{Aut}(T)$  for some non-abelian simple group  $T$  (the action of  $T$  is not specified in this case).

Now we can state the main result.

**Theorem 1.4** *Let  $G$  be a primitive permutation group which is not almost simple. Then  $G$  is synchronizing if and only if it is separating.*

This follows immediately from the next two theorems.

**Theorem 1.5** *Let  $G$  be a primitive permutation group of simple diagonal type, with more than two factors in the socle. Then  $G$  is non-synchronizing (and hence non-separating).*

The proof of this theorem requires the Hall–Paige conjecture; the statement of the conjecture, and the final case in its proof (for the sporadic simple group  $J_4$ ), are given in Section 2 below.

**Theorem 1.6** *Let  $G$  be a primitive permutation group which is either of affine type, or of simple diagonal type with two factors in the socle. Then  $G$  is synchronizing if and only if it is separating.*

We remark that the affine case of this result is in [7]: the proof given below is a generalisation of the proof in [7].

## 2 The Hall–Paige conjecture

### 2.1 Preliminaries

A *complete mapping* on a group  $G$  is a bijective function  $\phi : G \rightarrow G$  such that the function  $\psi : G \rightarrow G$  given by  $\psi(g) = g\phi(g)$  is also a bijection.

**Theorem 2.1** *A finite group  $G$  has a complete mapping if and only if its Sylow 2-subgroups are not cyclic.*

This was conjectured by Hall and Paige [11], who proved (among other things) the necessity of the condition, and showed its sufficiency for alternating groups. Wilcox [14] reduced the conjecture to the case of simple groups, and proved it for groups of Lie type except for the Tits group. Evans [9] handled the Tits group and all the sporadic groups except  $J_4$ . The proof in the final case was announced by the first author; we give details here.

We note in passing that the existence of a complete mapping for  $G$  is equivalent to the existence of an orthogonal mate of the Latin square which is the Cayley table of  $G$ .

As well as completing the proof of the Hall–Paige conjecture, this section is also an example of how it is possible to compute collapsed adjacency for a permutation group of rather large degree (more than  $10^9$ ).

Our main tool is the following [14, Corollary 15]:

**Proposition 2.2** *Let  $G$  be a group having a subgroup  $H$  which has a complete mapping. Let  $\mathcal{D}$  be the set of double cosets  $HgH$  of  $H$  in  $G$ . Suppose that there exist bijections  $\phi, \psi : \mathcal{D} \rightarrow \mathcal{D}$  such that  $|D| = |\phi(D)| = |\psi(D)|$  and  $\psi(D) \subseteq D\phi(D)$  for all  $D \in \mathcal{D}$ . Then  $G$  has a complete mapping.*

Note that the hypothesis about  $\phi$  and  $\psi$  is satisfied if it is the case that every double coset except possibly  $H$  has a representative of order 3. For if  $t$  is such a representative, then  $t^{-1} = t^2 \in D^2$ , and we can take  $\phi(D) = D$  and  $\psi(D) = D^{-1}$  for all  $D \in \mathcal{D}$ .

In more graph-theoretic terms,  $G$  acts on the set of right cosets of  $H$  by right multiplication; each double coset  $D$  corresponds to an orbital graph  $\Gamma$ , where  $D$  maps the point fixed by  $H$  into its neighbourhood in  $\Gamma$ ; so if  $t \in D$  has order 3 and maps  $x$  to  $y$ , then  $t$  has a 3-cycle  $(x, y, z)$ , where the edges  $(x, y)$ ,  $(y, z)$ ,  $(z, x)$  all belong to  $\Gamma$ .

In fact, [14, Corollary 16] gives a simpler sufficient condition, namely  $D \subseteq D^2$  for every double coset  $D$  (taking  $\phi$  and  $\psi$  to be the identity maps); we will gain enough information to use this version as an alternative.

The maximal subgroups of  $J_4$  are determined in [12]. From now on, we take  $G = J_4$ , and  $H$  the maximal subgroup  $2_+^{1+12} \cdot (3 \cdot M_{22} : 2)$  (the third in the list in [12], and the second in the WWW-ATLAS [15], from which information about the group  $G$  will be taken). Note that the existence of a complete mapping of  $H$  follows from the earlier results of [9, 14].

Now  $H$  is the full centraliser in  $G \cong J_4$  of a 2A-involution ( $J_4$ -class),  $x$  say, so that the actions of  $G$  on the right cosets of  $H$  and on the conjugates of  $x$  are isomorphic, with  $Hg$  corresponding to  $x^g$ . We shall consider our permutation action as a conjugation on 2A-involutions from now on.

### 2.2 Investigating the representation

We first use character theory to obtain some basic information about our permutation representation of degree 3980549947: in particular, its rank (the number of orbitals) and the number of

self-paired orbitals. For this, the tool is character theory. Fortunately GAP [10] stores character tables for both the groups  $G \cong J_4$  and  $H \cong 2_+^{1+12} \cdot (3 \cdot M_{22} : 2)$ . Using GAP, we find that there are 128 possible class fusions of  $H$  into  $G$ , but that they all give rise to the same permutation character, namely:

$$1 + 889111 + 1776888 + 4290927 + 35411145a^2b^2 + 95288172 \\ + 230279749 + 259775040ab + 460559498 + 493456605 + 1016407168ab.$$

In the above characters have been labelled with their degrees, with distinguishing letters if necessary, and exponents denote multiplicity. All the above characters are integer valued except:

Character	Irrationalities
$35411145a/b$	$\frac{1}{2}(1 \pm \sqrt{33})$
$259775040a/b$	$\pm 2\sqrt{3}$
$1016407168a/b$	$-1 \pm 2\sqrt{5}, \pm\sqrt{5}$

Thus the permutation character is a sum of 16 real characters, all with indicator +, consisting of 12 characters occurring just once, and 2 characters that have multiplicity 2. From general theory, the rank of this permutation action is 20 (the inner product of the permutation character with itself), and there are 16 self-paired orbitals (this is  $\sum_i \text{ind}(\chi_i)$ , where the permutation character is  $\sum_i \chi_i$  and  $\text{ind}(\chi)$  denotes the Frobenius–Schur indicator of  $\chi$ ; here  $\text{ind}(\chi_i)$  is equal to 1 for each of the 16 values of  $i$ ). Thus two pairs of non-self-paired orbitals which are not self-paired.

### 2.2.1 Structure constant investigation

The arguments in this (subsub-)section are not strictly necessary for the proof of the Hall–Paige conjecture, but were used in the initial investigation of the problem. If  $x, y, x', y'$  are 2A-involutions and the pairs  $(x, y)$  and  $(x', y')$  are conjugate, say  $(x, y)^g = (x', y')$ , then the elements  $xy$  and  $x'y'$  are conjugate (by  $g$ ). We now use (in GAP) symmetrised structure constants to determine what classes are possible for  $xy$ .

For a group  $G$ , given classes  $C_1 = g_1^G, C_2 = g_2^G, \dots, C_n = g_n^G$  (where  $g_1, \dots, g_n$  are arbitrary and repetitions are allowed), we define

$$\hat{\xi}_G(C_1, C_2, \dots, C_n) = \frac{|G|^{n-1}}{|C_G(g_1)||C_G(g_2)| \cdots |C_G(g_n)|} \sum_{\chi \in \text{Irr}(G)} \frac{\chi(g_1)\chi(g_2) \cdots \chi(g_n)}{\chi(1)^{n-2}},$$

which is the number of  $n$ -tuples  $(x_1, \dots, x_n) \in C_1 \times \cdots \times C_n$  such that  $x_1 \cdots x_n = 1$ . In practice, we prefer to count conjugacy classes of such tuples, and we have:

$$\xi_G(C_1, C_2, C_3) = \frac{|G|}{|C_G(g_1)||C_G(g_2)||C_G(g_3)|} \sum_{\chi \in \text{Irr}(G)} \frac{\chi(g_1)\chi(g_2)\chi(g_3)}{\chi(1)} \\ = \sum \frac{1}{|C_G(y_1, y_2, y_3)|},$$

where the latter sum is taken over conjugacy class representatives of triples  $(y_1, y_2, y_3) \in C_1 \times C_2 \times C_3$  such that  $y_1 y_2 y_3 = 1$ , and  $C_G(y_1, y_2, y_3)$  is the set of elements centralising each of  $y_1, y_2, y_3$ . The structure constant calculations yield the information in Table 1.

Some of these rows correspond to more than one orbital, since there are only fourteen non-zero rows. Paired orbitals are represented by the same row, and Lemma 1.1.3 of [12] gives a splitting

Table 1: The  $(2A, 2A, C)$  structure constants in  $J_4$ 

$C$	$ H \xi_{J_4}(2A, 2A, C)$	$\xi_{J_4}(2A, 2A, C)$
1A	1	$\frac{1}{21799895040}$
2A	112266	$\frac{27}{5242880}$
2B	81840	$\frac{31}{8257536}$
3A	8110080	$\frac{1}{2688}$
4A	887040	$\frac{1}{24576}$
4B	70963200	$\frac{5}{1536}$
4C	14192640	$\frac{1}{1536}$
5A	113541120	$\frac{1}{192}$
6B	340623360	$\frac{1}{64}$
6C	56770560	$\frac{1}{384}$
8C	340623360	$\frac{1}{64}$
10A	681246720	$\frac{1}{32}$
11B	990904320	$\frac{1}{22}$
12B	1362493440	$\frac{1}{16}$
other	0	0

of the rows corresponding to 2A and 2B involutions. Further investigations in the group allowed a complete splitting of the rows into orbitals. In particular, the splittings of the 2A and 2B rows are  $112266 = 1386 + 110880$  and  $81840 = 18480 + 63360$ . This gives us the smallest orbitals, which are useful for further computation.

### 2.3 Working in $J_4$

We have to choose a representation in which to do the computations, which must be not too large and must allow us to distinguish the orbitals with ease.

By far the most convenient representation for computational purposes turns out to be the (irreducible) 112-dimensional representation of  $J_4$  over  $\mathbb{F}_2$ , which happens to be the smallest representation in any characteristic. (The smallest faithful representation of  $J_4$  in odd characteristic is 1333, the same as in characteristic 0, and the next smallest irreducible representation(s) in characteristic 2 probably have degree 1220. There are also no non-split modules with composition factors of dimensions 1 and 112, or 112 and 1.) Such a representation of  $J_4$  is available from the WWW-ATLAS [15].

Given a pair  $(x, y)$  of involutions, we define the subspaces  $V_i$  of  $V := \mathbb{F}_2^{112}$  as follows:  $V_0 = V$ , and for  $i > 0$  we have  $V_{i+1} := \langle V_i(1-x), V_i(1-y) \rangle = V_i(1-x) + V_i(1-y)$ . One easily proves (using induction) that if  $V'_i$  is similarly defined starting from the pair  $(x', y') = (x, y)^g = (x^g, y^g)$  then  $V'_i = V_i^g (= V_i.g)$  for all  $i \in \mathbb{N}$ . Thus  $d_i := \dim V_i$  is an invariant of the conjugacy class of pairs  $(x, y)$  of 2A-involutions. Similarly, the dimensions  $d'_1 := \dim(V(1-x) + V(1-xyy))$  and  $d'_2 := \dim(V(1-y) + V(1-xyx))$  are also invariants of conjugacy classes of pairs  $(x, y)$  of 2A-involutions. Only the invariants  $d'_1$  and  $d'_2$  are capable of distinguishing an orbital from its pair. It turns out that the invariants  $(d_1, d_2, d'_1, d'_2)$  suffice to distinguish all the orbitals.

In order for our results to be reproducible, it is necessary to represent group elements in terms of a tuple of ‘standard’ generators. This consists of a generating tuple together with some conditions that specify the tuple up to automorphism; in the case of  $J_4$  this means up to conjugacy, since all automorphisms of  $J_4$  are inner. We use Rob Wilson’s [Type I] standard generators of  $J_4$  given in the WWW-ATLAS, since we consider these as easy to find as any others. These are defined to be  $a$  and  $b$ , where  $a$  is in class 2A,  $b$  is in class 4A,  $ab$  has order 37 and  $abab^2$  has order 10. The ‘black box’ algorithm in the WWW-ATLAS suggests how finding the standard generators can be achieved.

The WWW-ATLAS supplies matrices for the 112-dimensional  $\mathbb{F}_2$ -representation of  $J_4$  on standard generators  $a$  and  $b$ . We define further elements as follows:

$$t := (ab^2)^4, \quad c := ab \quad \text{and} \quad d := ba.$$

We note that  $t$  has order 3, and that  $c$  and  $d$  are elements of a conveniently large order, in this case 37.

We now searched for representatives of all the orbitals, using the fingerprints given above, some of which took quite some finding. The information is summarised in Table 2, which gives information on representatives of orbitals of 2A involutions.

For a representative  $(x, y)$  of each orbital the following information is displayed. The numbers  $d_1, d_2, d'_1$  and  $d'_2$  are the above dimensions;  $s_1 := |y^{C_G(x)}|$ ,  $s_2 := |C_G(\langle x, y \rangle)|$ , so that  $s_1 s_2 = |C_G(x)| = 21799895040$ ; ‘class’ is the conjugacy class of  $xy$  (in  $J_4$ );  $t_i$  is an element such that  $(a, a^{t_i})$  is a representative of orbital  $i$ ; and ‘pair’ gives the number of the paired orbital of the current orbital (when different). Note that at this stage we do not need the values of  $s_1$  and  $s_2$  given in the table. We will see later how these numbers can be computed.

We observe from the table that all double coset representatives apart from the identity are conjugates of  $t$ , and so have order 3; thus the conditions of Proposition 2.2 ([14, Corollary 15]) with  $\phi(D) = D$  and  $\psi(D) = D^{-1}$  are satisfied.

## 2.4 Collapsed adjacency matrices for this action

Now that we can identify the orbital that contains any pair  $(g, h)$  of 2A involutions of  $G \cong J_4$ , we are in a position to calculate the collapsed adjacency matrices associated with this action for various orbitals. The notation  $G, a, b, c, d, t, t_i$  is as in previous sections.

First of all, we need to obtain  $C_G(a)$ , for which we use standard methods [6]. We get

$$H = C_G(a) = \langle a, [a, b]^5, (ab^2)^6, babab[a, babab]^5, bab^2ab[a, bab^2ab]^5 \rangle,$$

or, if we insist on just two generators, we can take

$$H = C_G(a) = \langle [a, b]^5(ab^2)^6, bab^2ab[a, bab^2ab]^5 ababab[a, ababab]^5 \rangle.$$

We show that the above groups are subgroups of  $C_G(a)$ , simply by showing that generators of the subgroups centralise  $a$ . We used MAGMA [5] to verify that the second group above is indeed the whole of  $C_G(a)$ , by computing its order.

The neighbourhood of  $a$  in the  $i$ -th orbital graph is the orbit of  $a^{t_i}$  under  $C_G(a)$ , which is found by closing  $\{a^{t_i}$  by repeatedly conjugating by the generators  $h_1 = [a, b]^5(ab^2)^6$  and  $h_2 = bab^2ab[a, bab^2ab]^5 ababab[a, ababab]^5$  of  $C_G(a)$ . Call this orbit  $O_i$ .

We then obtain the  $i$ -th collapsed adjacency matrix  $A_i$  as follows. For each value of  $j$  and each element  $y$  of  $O_i^{t_j}$  we determine which orbital  $(a, y)$  belongs to, using the fingerprints given above.

Table 2: Information on representatives of orbitals of 2A involutions

Nr	pair	$t_i$	class	$d_1$	$d_2$	$d'_1$	$d'_2$	$s_1$	$s_2$
1	self	identity	1A	50	0	50	50	1	21799895040
2	self	$t^{c^3d^3c^{21}d^{12}}$	2A	72	16	50	50	1386	15728640
3	self	$t^{c^{12}d^8}$	2A	75	20	50	50	110880	196608
4	self	$t^{c^2d^9c^{10}d^5}$	2B	76	20	50	50	18480	1179648
5	self	$t^{c^2d^{11}c^8d^3}$	2B	78	22	50	50	63360	344064
6	self	$t$	3A	86	72	86	86	8110080	2688
7	self	$t^{c^3d^{10}c^{34}}$	4A	88	56	72	72	887040	24576
8	9	$t^{c^{12}d^{31}}$	4B	89	58	72	75	3548160	6144
9	8	$t^{c^6d^{27}}$	4B	89	58	75	72	3548160	6144
10	self	$t^{c^2d^{27}}$	4B	90	59	75	75	21288960	1024
11	self	$t^{c^4d^2}$	4B	90	60	75	75	42577920	512
12	13	$t^{c^5d^{29}}$	4C	91	63	76	78	7096320	3072
13	12	$t^{c^2d^{35}}$	4C	91	63	78	76	7096320	3072
14	self	$t^{c^7}$	5A	94	88	94	94	113541120	192
15	self	$t^{c^3}$	6B	95	76	86	86	340623360	64
16	self	$t^{c^8}$	6C	96	76	86	86	56770560	384
17	self	$t^{c^2d^6}$	8C	98	82	90	90	340623360	64
18	self	$t^{c^5}$	10A	99	88	94	94	681246720	32
19	self	$t^c$	11B	100	100	100	100	990904320	22
20	self	$t^{c^2}$	12B	100	90	95	95	1362493440	16

The  $(j, k)$  entry of  $A_i$  is then the number of  $y \in O_i^{t_j}$  for which this orbital is the  $k$ -th. This is the number of paths  $(a, y, a^{t_j})$  of type  $(O_k, O_{i^*})$  on a fixed base  $(a, a^{t_j})$  of type  $O_j$ , where  $O_{i^*}$  is paired with  $O_i$ .

In fact, there are memory issues using this method to calculate all the  $A_i$ . It turns out to be enough to calculate  $A_2$  and  $A_4$ , which correspond to the two smallest non-trivial orbitals. This computation is quite fast. Recently we have also calculated  $A_5$  by this method to provide a check on our work, but this was not done originally.

The reason that computation of  $A_2$  and  $A_4$  suffice is that the *intersection algebra* generated by  $A_2$  and  $A_4$  has dimension 20 and contains all the collapsed adjacency matrices  $A_i$ , which occur as scalar multiples of the natural basis elements of this algebra. In each case, the first row and first column of the basis elements have weight 1, and we scale them so that the non-zero entry in the first column is 1 (they are given so that the non-zero entry in the first row is 1).

The collapsed adjacency matrix corresponding to the second (and also second smallest) subor-

bit, of size 1386, is given below.

0	1386	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
1	65	240	120	320	0	640	0	0	0	0	0	0	0	0	0	0	0	0	0
0	3	75	12	16	0	96	0	224	192	384	384	0	0	0	0	0	0	0	0
0	9	72	57	0	0	288	576	0	0	0	384	0	0	0	0	0	0	0	0
0	7	28	0	35	0	196	0	112	672	0	0	336	0	0	0	0	0	0	0
0	0	0	0	0	21	7	14	0	84	0	0	28	112	84	28	0	336	0	672
0	1	12	6	14	64	57	80	48	192	96	96	144	0	384	192	0	0	0	0
0	0	0	3	0	32	20	83	0	0	0	48	48	192	576	0	0	384	0	0
0	0	7	0	2	0	12	0	45	72	240	32	48	0	192	64	288	0	0	384
0	0	1	0	2	32	8	0	12	75	56	16	32	0	256	64	0	192	0	640
0	0	1	0	0	0	2	0	20	28	71	16	0	32	128	32	192	288	256	320
0	0	6	1	0	0	12	24	16	48	96	63	0	48	192	208	0	288	0	384
0	0	0	0	3	32	18	24	24	96	0	0	69	128	384	32	192	0	0	384
0	0	0	0	0	8	0	6	0	0	12	3	8	33	120	8	96	228	384	480
0	0	0	0	0	2	1	6	2	16	16	4	8	40	155	24	144	280	288	400
0	0	0	0	0	4	3	0	4	24	24	26	4	16	144	81	48	288	192	528
0	0	0	0	0	0	0	0	3	0	24	0	4	32	144	8	163	192	384	432
0	0	0	0	0	4	0	2	0	6	18	3	0	38	140	24	96	255	352	448
0	0	0	0	0	0	0	0	0	0	11	0	0	44	99	11	132	242	363	484
0	0	0	0	0	4	0	0	1	10	10	2	2	40	100	22	108	224	352	511

The collapsed adjacency matrix corresponding to the fourth (but third smallest) suborbital, of size 18480, is given below.

0	0	0	18480	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
0	120	960	760	0	0	3840	0	7680	0	0	0	5120	0	0	0	0	0	0	0
0	12	196	32	96	0	576	0	672	768	1536	1536	768	0	3072	3072	0	6144	0	0
1	57	192	182	96	3072	1056	768	768	0	0	1536	1536	6144	0	3072	0	0	0	0
0	0	168	28	168	0	420	448	1680	1344	1344	0	2128	0	10752	0	0	0	0	0
0	0	0	7	0	98	21	126	0	84	168	140	84	448	2436	756	672	3360	4032	6048
0	6	72	22	30	192	270	384	336	864	672	576	528	1536	4224	1088	0	4608	0	3072
0	0	0	4	8	288	96	212	0	576	384	96	304	960	3264	384	3072	2688	3072	3072
0	3	21	4	30	0	84	0	210	672	480	192	144	128	1728	192	1920	1920	3072	7680
0	0	4	0	4	32	36	96	112	404	352	96	192	576	2048	576	1408	3200	3072	6272
0	0	4	0	2	32	14	32	40	176	420	128	32	320	1824	352	1728	2816	4096	6464
0	0	24	4	0	160	72	48	96	288	768	348	96	304	2304	624	1920	4128	3072	4224
0	1	12	4	19	96	66	152	72	576	192	96	202	384	2304	480	384	3072	3072	7296
0	0	0	1	0	32	12	30	4	108	120	19	24	574	1632	264	1632	3276	4608	6144
0	0	1	0	2	58	11	34	18	128	228	48	48	544	1744	256	1584	2976	4544	6256
0	0	6	1	0	108	17	24	12	216	264	78	60	528	1536	462	1200	3456	4032	6480
0	0	0	0	0	16	0	32	20	88	216	40	8	544	1584	200	1716	3200	4608	6208
0	0	1	0	0	40	6	14	10	100	176	43	32	546	1488	288	1600	3208	4576	6352
0	0	0	0	0	33	0	11	11	66	176	22	22	528	1562	231	1584	3146	4796	6292
0	0	0	0	0	36	2	8	20	98	202	22	38	512	1564	270	1552	3176	4576	6404

We have determined the collapsed adjacency matrices of all the orbitals in this way, and checked directly that the condition on double cosets (namely  $D^{-1} \subseteq D^2$ ) is satisfied. This involves checking that  $(A_i)_{ii^*} \neq 0$  for each  $i$ , where  $i^*$  is the number of the orbital paired with  $O_i$ . The relevant entries are

1, 65, 1456, 182, 280, 32560, 3360, 5888, 5888,  
126352, 464672, 18816, 18816, 3246240, 29201232,  
780816, 29096448, 116607440, 246648576, 466371136

As noted earlier, once we have all the collapsed adjacency matrices, we can verify the simpler condition  $D \subseteq D^2$  of [14, Corollary 16] (Proposition 2.2 with  $\phi$  and  $\psi$  both the identity map) by checking that  $(A_i)_{ii} \neq 0$  for all  $i$ . The relevant numbers are those of the above list with 5888 and 18816 replaced by 3648 and 14592 respectively.

Note also that the values of the entries  $s_1$  and  $s_2$  in Table 2 can also be read from the collapsed adjacency matrices.

### 3 Proofs of Theorems 1.5 and 1.6

#### 3.1 Diagonal groups with more than two socle factors

In this section, we recall the definition of diagonal groups, and prove Theorem 1.5.

First recall the diagonal group  $D(T, n)$ , where  $T$  is a non-abelian simple group and  $n$  an integer greater than 1. This is a permutation group on the set

$$\Omega = \{(t_2, \dots, t_n) : t_2, \dots, t_n \in T\} = T^{n-1},$$

and is generated by the following permutations of  $\Omega$ :

- (G1)  $(s_1, \dots, s_n) : (t_2, \dots, t_n) \mapsto (s_1^{-1}t_2s_1, \dots, s_1^{-1}t_ns_1)$  for  $(s_1, \dots, s_n) \in T^n$  (these form a group isomorphic to  $T^n$ , which is the socle of  $D(T, n)$ );
- (G2)  $\alpha : (t_2, \dots, t_n) \mapsto (t_2^\alpha, \dots, t_n^\alpha)$  for  $\alpha \in \text{Aut}(T)$  (the inner automorphisms of  $T$  coincide with the permutations  $(s, \dots, s)$  of the preceding type);
- (G3)  $\pi \in \text{Sym}(\{2, \dots, n\})$  acting on the coordinates of points in  $\Omega$ ;
- (G4)  $\tau : (t_2, \dots, t_n) \mapsto (t_2^{-1}, t_2^{-1}t_3, \dots, t_2^{-1}t_n)$  (this corresponds to the transposition  $(1, 2)$  in  $S_n$ ; together with the preceding type it generates a group isomorphic to  $S_n$ ).

More details, and a characterisation, for diagonal groups will be given in [3].

We define a graph  $\Gamma$  on the vertex set  $\Omega$  by the rule that  $(t_2, \dots, t_n)$  is joined to  $(u_2, \dots, u_n)$  if and only if one of the following holds:

- (A1) there exists  $i \in \{2, \dots, n\}$  such that  $u_i \neq t_i$  but  $u_j = t_j$  for  $j \neq i$ ;
- (A2) there exists  $x \in T$  with  $x \neq 1$  such that  $u_i = xt_i$  for  $i = 2, \dots, n$ .

Showing that  $D(T, n) \leq \text{Aut}(\Gamma)$  is just a matter of checking:

- Consider a generator of type (G1). This obviously preserves adjacency of type (A1). For (A2), suppose that  $u_i = xt_i$  for all  $i$ . Applying a map of the first kind with  $s_1 = 1$  obviously preserves adjacency, so we can suppose that  $s_2 = \dots = s_n = 1$ . Then

$$s_1^{-1}u_i = (s_1^{-1}xs_1)s_1^{-1}t_i = ys_1^{-1}t_i$$

with  $y = s_1^{-1}xs_1$ , so the vertices are adjacent by the (A2) rule (using  $y$  in place of  $x$ ).

- A generator of type (G2) clearly preserves both types of adjacency rule (with  $x^\alpha$  replacing  $x$  in (A2)).
- A generator of type (G3) also preserves both adjacency rules.
- It remains to check  $\tau$ . Suppose that  $(t_2, \dots, t_n)$  is adjacent to  $(u_2, \dots, u_n)$ . Suppose that the adjacency uses rule (A1) with  $i \neq 2$ . Then the two vertices are mapped to  $(t_2^{-1}, t_2^{-1}t_3, \dots, t_2^{-1}t_n)$  and  $(u_2^{-1}, u_2^{-1}u_3, \dots, u_2^{-1}u_n)$ ; these agree in all coordinates except the  $i$ th, and so are adjacent by the rule (A1). Suppose that the adjacency uses (A1) with  $i = 2$ . Then  $t_3 = u_3, \dots, t_n = u_n$ , but  $t_2 \neq u_2$ . If  $u_2 = t_2x$  with  $x \neq 1$ , then the images are adjacent by rule (A2) with  $x^{-1}$  replacing  $x$ . Finally, suppose that the adjacency uses rule (A2), so that  $u_i = xt_i$  for all  $i$ . Then  $u_2^{-1} = t_2^{-1}x^{-1}$  but  $u_2^{-1}u_i = t_2^{-1}t_i$  for  $i > 2$ , so the vertices are adjacent by (A1), with  $i = 2$ .

The neighbourhood of a vertex in  $\Gamma$  is the disjoint union of  $n$  cliques;  $n - 1$  of these are given by adjacencies of the first type with a fixed value of  $i$ , and the last one by adjacencies of the second type. If  $n > 3$ , there are no edges between vertices of different cliques, so  $\Gamma$  has clique number  $|T|$ . This is also true when  $n = 3$ , in which case the graph is the *Latin square graph* associated with the Cayley table of  $T$ .

Note in passing that if we delete rule (A2), or else delete rule (A1) for a fixed value of  $i$ , we obtain a graph isomorphic to the Hamming graph  $H(n - 1, |T|)$ .

Note also that, for  $n > 2$ , the automorphism group of  $\Gamma$  is actually equal to  $D(T, n)$ . This fact is not required for our proof; it will be proved in the forthcoming paper [3].

To prove Theorem 1.5, we are going to show that, for  $n > 2$ , there is a proper colouring of  $\Gamma$  with  $|T|$  colours. It will follow that  $\Gamma$  has clique number equal to chromatic number, so that its automorphism group (and in particular, the group  $D(T, n)$  and any primitive subgroup of it) is non-synchronizing.

We split the proof into two cases according as  $n$  is even or odd.

**Case  $n$  even,  $n > 2$ .** In this case, we define a colouring of the vertex set of  $D(T, n)$ , with  $T$  as the set of colours, as follows:

the colour of the vertex  $(t_2, \dots, t_n)$  is  $(t_2^{-1}t_3)(t_4^{-1}t_5) \cdots (t_{n-2}^{-1}t_{n-1})t_n^{-1}$ .

We must check that this is a proper colouring.

- For adjacencies of type (A1), adjacent vertices differ in just one coordinate, and so clearly their colours differ.
- Suppose that  $(t_2, \dots, t_n)$  is adjacent to  $(u_2, \dots, u_n)$  by rule (A2), so  $u_i = xt_i$  for all  $i$ , with  $x \neq 1$ . Let  $a$  be the colour of  $(t_2, \dots, t_n)$  and let  $b$  be the colour of  $(u_2, \dots, u_n)$ . Then

$$\begin{aligned} b &= (u_2^{-1}u_3)(u_4^{-1}u_5) \cdots (u_{n-2}^{-1}u_{n-1})u_n^{-1} \\ &= ((t_2^{-1}x^{-1})(xt_3))((t_4^{-1}x^{-1})(xt_5)) \cdots ((t_{n-2}^{-1}x^{-1})(xt_{n-1}))(t_n^{-1}x^{-1}) \\ &= (t_2^{-1}t_3)(t_4^{-1}t_5) \cdots (t_{n-2}^{-1}t_{n-1})t_n^{-1}x^{-1} \\ &= ax^{-1} \neq a, \end{aligned}$$

so these vertices have different colours.

**Case  $n$  odd.** This case is more complicated, and requires the truth of the Hall–Paige conjecture (Theorem 2.1). We note that, by Burnside’s transfer theorem, the Sylow 2-subgroups of a non-abelian finite simple group cannot be cyclic; so any such group has a complete mapping.

So let  $\phi : T \rightarrow T$  be a complete mapping for  $T$ , and let  $\psi : T \rightarrow T$  be the bijection defined by  $\psi(g) = g\phi(g)$ . We define a colouring of the vertex set of  $D(T, n)$  for  $n$  odd as follows:

the vertex  $(t_2, \dots, t_n)$  is given the colour  $(t_2^{-1}t_3)(t_4^{-1}t_5) \cdots (t_{n-3}^{-1}t_{n-2})(t_{n-1}^{-1}\psi(t_n))$ .

We check that this is a proper colouring.

- Suppose two vertices are adjacent by rule (A1), with  $i < n$ . Then they differ in the  $i$ th coordinate, and so their colours differ.
- The same holds if  $i = n$ , since  $\psi$  is a bijection.

- Suppose that  $(u_2, \dots, u_n)$  is adjacent to  $(t_2, \dots, t_n)$  by rule (A2):  $u_i = xt_i$  for all  $i$ , with  $x \neq 1$ . Let  $a$  be the colour of  $(t_2, \dots, t_n)$  and let  $b$  be the colour of  $(u_2, \dots, u_n)$ . Then

$$\begin{aligned}
b &= (u_2^{-1}u_3)(u_4^{-1}u_5) \cdots (u_{n-3}^{-1}u_{n-2})(u_{n-1}^{-1}\psi(u_n)) \\
&= ((t_2^{-1}x^{-1})(xt_3))((t_4^{-1}x^{-1})(xt_5)) \cdots ((t_{n-3}^{-1}x^{-1})(xt_{n-2}))(t_{n-1}^{-1}x^{-1}\psi(xt_n)) \\
&= (t_2^{-1}t_3)(t_4^{-1}t_5) \cdots (t_{n-3}^{-1}t_{n-2})(t_{n-1}^{-1}\psi(t_n))\psi(t_n)^{-1}x^{-1}\psi(xt_n) \\
&= a\psi(t_n)^{-1}x^{-1}\psi(xt_n).
\end{aligned}$$

So we need to show that  $\psi(xt_n) \neq x\psi(t_n)$ . Since  $\psi(g) = g\phi(g)$ , we have to show that  $xt_n\phi(xt_n) \neq xt_n\phi(t_n)$ , which is true since  $\phi$  is a bijection and  $x \neq 1$ .

The theorem is proved.

### 3.2 Groups with regular subgroups

In this section, we prove Theorem 1.6. The simple argument is more general; we consider synchronization and separation for permutation groups  $G$  having a regular subgroup, and show that if  $G$  contains both the left and the right actions of this subgroup then the two concepts are equivalent. We noted after Theorem 1.2 that separation implies synchronization; our business here is to show the converse, for affine groups and for diagonal groups with two factors in the socle.

Let  $G$  be a permutation group of degree  $n$  with a regular subgroup  $H$ . Then  $G$  can be represented as a permutation group on the set  $H$ : we choose a point  $\alpha \in \Omega$  to correspond to the identity, and identify  $\beta$  with  $h$  where  $\alpha h = \beta$ . Then  $H$  acts on itself by right multiplication.

Recall that sets  $A$  and  $B$  witness non-separation if  $|A|, |B| > 1$ ,  $|A| \cdot |B| = n$ , and  $|Ag \cap B| = 1$  for all  $g \in G$ ; the set  $A$  and partition  $P$  witness non-synchronization if  $|A| > 1$  and  $Ag$  is a transversal for  $P$  for all  $g \in G$ .

**Proposition 3.1** *Suppose that  $A$  and  $B$  witness non-separation. Then  $H$  has an exact factorisation by  $A^{-1} = \{a^{-1} : a \in A\}$  and  $B$ , that is, every element of  $H$  is uniquely expressible as  $a^{-1}b$  for  $a \in A$  and  $b \in B$ .*

**Proof** Since  $|A^{-1}| \cdot |B| = |H|$ , it is enough to show that factorisation is unique. So suppose that  $a_1^{-1}b_1 = a_2^{-1}b_2$ , where  $a_1, a_2 \in A$  and  $b_1, b_2 \in B$ . Then

$$\begin{aligned}
b_1 &= a_1a_2^{-1}b_2 \in A(a_2^{-1}b_2) \cap B, \\
b_2 &= a_2a_1^{-1}b_1 \in A(a_1^{-1}b_1) \cap B,
\end{aligned}$$

so  $b_1 = b_2$  and  $a_1 = a_2$ .

**Proposition 3.2** *Suppose that  $A$  and  $B$  witness non-separation, and assume that  $H$  has an exact factorisation by  $A$  and  $B$ . Then  $G$  is non-synchronizing.*

**Proof** We claim that  $P = \{Ab : b \in B\}$  is a partition of  $H$ . For, if  $x \in Ab_1 \cap Ab_2$ , then  $x = a_1b_2 = a_2b_2$  for some  $a_1, a_2 \in A$ ; since  $H$  has an exact factorization by  $A$  and  $B$ , we get  $a_1 = a_2$  and  $b_1 = b_2$ .

Now for any  $g \in G$ ,  $|Ab \cap Bg| = |A \cap Bgb^{-1}| = 1$  because  $A$  and  $B$  witness non-separation, so  $P$  and  $B$  witness non-synchronization.

**Corollary 3.3** *Let  $G$  be a permutation group with a regular subgroup  $H$ . Suppose that  $G$  contains both the right and the left action of  $H$ . Then  $G$  is synchronising if and only if it is separating. In particular, this is true if  $H$  is abelian.*

**Proof** Suppose that  $A$  and  $B$  witness non-separation of  $G$ . By Theorem 1.2, there is a graph  $\Gamma$  with vertex set  $H$  such that  $G \leq \text{Aut}(\Gamma)$ , and  $A$  is a clique and  $B$  a coclique in  $\Gamma$ . Since  $H$  acts regularly on the vertices of  $\Gamma$ , we deduce that  $\Gamma$  is a Cayley graph over  $H$ , thus  $\Gamma = \text{Cay}(H, S)$  for some subset  $S$  of  $H$ . Since  $\Gamma$  admits the left and right actions of  $H$ , the connection set  $S$  is closed under conjugation in  $H$ . Now  $A$  is a clique, so  $a_1 a_2^{-1} \in S$  for all  $a_1, a_2 \in A$ ; thus also  $a_2^{-1} a_1 = a_2^{-1} (a_1 a_2^{-1}) a_2 \in S$  for all  $a_2, a_1 \in A$ , and  $A^{-1}$  is also a clique. The result now follows from Propositions 3.1 and 3.2.

Now we can deal with the remaining classes of primitive groups. Both are immediate from Corollary 3.3.

**Affine groups** The socle of an affine group is the translation group of the affine space, which is an abelian regular subgroup. The left and right regular actions of an abelian group are the same.

**Diagonal groups with two factors** The socle of such a group has the form  $T \times T$ , where  $T$  is a non-abelian simple group; it acts on  $T$  by the rule  $(g, h) : x \mapsto g^{-1} x h$ . So the first factor of  $T \times T$  induces the left regular action of  $T$ , and the second factor the right regular action.

**Problem** Is it true that, for any group  $G$  containing a regular subgroup  $H$ ,  $G$  is synchronizing if and only if it is separating?

**Problem** Is it true that every group of simple diagonal type with two simple factors in its socle is non-synchronizing?

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