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9 **Estimating effective detection area of static passive acoustic data loggers from playback**  
10 **experiments with cetacean vocalisations**

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22 **Running title: Acoustic detection probability of SAM dataloggers**

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- 23 1. Passive acoustic monitoring (PAM) is used for many vocal species. However, few studies  
24 have quantified the fraction of vocalisations captured, and how animal distance and  
25 sound source level affect detection probability. Quantifying the detection probability or  
26 effective detection area (EDA) of a recorder is a prerequisite for designing and  
27 implementing monitoring studies, and essential for estimating absolute density and  
28 abundance from PAM data.
- 29 2. We tested the detector performance of cetacean click loggers (C-PODs) using artificial  
30 and recorded harbour porpoise clicks played at a range of distances and source levels.  
31 Detection rate of individual clicks and click sequences (or click trains) was calculated. A  
32 Generalised Additive Model (GAM) was used to create a detection function and estimate  
33 the effective detection radius (EDR) and EDA for both types of signals.
- 34 3. Source level and distance from logger influenced the detection probability. Whilst  
35 differences between loggers were evident, detectability was influenced more by the  
36 deployment site than within-logger variability. Maximum distance for detecting real  
37 recorded porpoise clicks was 566 m. Mean EDR for artificial signals with source level  
38 176 dB re 1  $\mu$ Pa @ 1m was 187 m., and for a recorded vocalisation with source level up  
39 to 182 dB re 1  $\mu$ Pa was 188 m. For detections classified as harbour porpoise click  
40 sequences the mean EDR was 72 m.
- 41 4. The analytical methods presented are a valid technique for estimating the EDA of any  
42 logger used in abundance estimates. We present a practical way to obtain data with a  
43 cetacean click logger, with the caveat that artificial playbacks cannot mimic real animal  
44 behaviour and are at best able to account for some of the variability in detections between  
45 sites, removing logger and propagation effects so that what remains is density and  
46 behavioural differences. If calibrated against real-world EDAs (e.g., from tagged  
47 animals) it is possible to estimate site-specific detection area and absolute density. We  
48 highlight the importance of accounting for both biological and environmental factors

49 affecting vocalisations so that accurate estimates of detection area can be determined, and  
50 effective monitoring regimes implemented.

## 51 **Finnish Abstract**

52 1. Passiivista akustista seurantaa käytetään monien vokalisoivien lajien tutkimiseen. Harva  
53 tutkimus on määrittänyt äänitettyjä vokalisointeja tai tutkinut etäisyyden ja  
54 äänenvoimakkuuden vaikutusta akustisten laitteiden havaitsemisetasyyteen (EDR),  
55 havaitsemisalueeseen (EDA) tai havaitsemistodennäköisyyteen. Nämä ovat kuitenkin  
56 edellytyksiä tehokkaiden kartoitustutkimusten suunnitteluun ja olleellisia tietoja lajien  
57 tiheyttä mitattaessa akustisilla laitteilla.

58 2. Me testasimme akustisten seurantalaitteiden (C-PODs) suorituskykyä jotka tallentavat  
59 pyöriäisten kaikuluotausäänisarjoja. Käytimme keinotekoisia sekä nauhoitettuja  
60 äänisarjoja joita toistettiin eri välimatkojen päästä ja eri lähdevoimakkuuksilla.  
61 Määrittelimme havaitsemisasteen sekä yksittäisille äänille että äänisarjoille. Käytimme  
62 yleistettyä additiivista mallia (GAM) luodaksemme funktiot  
63 havaitsemistodennäköisyydelle ja arvioimme laitteiden tehokkaimman havaitsemisalueen  
64 (EDA) molemmille eri signaaleille.

65 3. Sekä lähdevoimakkuus että välimatka vaikuttivat havaitsemistodennäköisyyteen. Vaikka  
66 yksilöllisillä laitteilla oli eroja, havaitsemistodennäköisyyteen vaikutti enemmän laitteen  
67 sijainti kuin laitteidenväliset erot. Suurin etäisyys josta pyöriäisvokalisaatio havaittiin oli  
68 566 m. havaitsemisetasyyden keskiarvo keinotekoisille signaaleille 176 dB re 1  $\mu$ Pa @  
69 1m lähdevoimakkuudella oli 187 m., ja nauhoitetulle pyöriäisääntelyille  
70 lähdevoimakkuudella 182 dB re 1  $\mu$ Pa oli 188 m. äänisarjojen havainnoille jotka laitteet  
71 automaattisesti luokitteli pyöriäisääniksi, keskiarvoinen havaitsemisetasyytyys oli 72 m.

72 4. Tässä esitetty laskennallinen menetelmä on pätevä tekniikka havaitsemisalueen  
73 arvoimiseen millä tahansa laitteella jonka tarkoituksena on lajien tiheyden laskeminen.  
74 Me esitämme käytännöllisen tavan hankkia dataa merinisäkkäiden äänisarjoista, vaikka  
75 huomautamme että keinotekoiset toistokokeet eivät voi imitoida oikeiden eläinten  
76 käyttäytymistä. Parhaimmillaan ne pystyvät ottamaan huomioon datan vaihtelevuuden  
77 laitteiden sijainnista johtuen, poistaen laitteidenväliset erot ja akustisen propagaation  
78 seuraukset, niin että tiheys (ja siihen vaikuttavat oikeiden eläinten  
79 käyttäytymisvaihtelut) voidaan määrittellä. Jos akustiset laitteet voidaan lisäksi  
80 kalibroida todellisia havainnointietäisyyksiä vasten (esim. villeiltä, merkityiltä  
81 eläimiltä) on mahdollista arvioida aluekohtainen havainnointitodennäköisyys sekä  
82 absoluuttinen eläintiheys. Korostamme biologisten sekä ympäristöllisten osatekijöiden  
83 vaikutusta ja painoarvoa eläinten vokalisaatioihin, jotta täsmällisiä ja todenpitäviä  
84 arviointeja lajitiheyteen voidaan määrittellä ja tuloksia tuottavia seurantajärjestelmiä  
85 toteuttaa.

86

87 **Key-words:** C-POD, density estimation, detection function, effective detection radius, static  
88 passive acoustic monitoring, abundance. **Word count:** 7141

## 89 **Introduction**

90 Conservation and management of wildlife requires reliable estimates of animal abundance or  
91 density, traditionally achieved through visual counts or by (re-)capturing animals. Many animals,  
92 such as forest dwellers and diving marine species can be challenging to study due to  
93 inaccessibility of their habitats and limited availability for ground-based or sea surface-based  
94 observers. Visual monitoring methods are furthermore prone to inherent biases caused by  
95 temporal variability, observer ability and, particularly at sea, are limited to calm weather and

96 good visibility. Visual surveys conducted in summer cannot predict abundance in other seasons,  
97 and if not conducted at frequent intervals have a low ability to detect long term trends in  
98 population status. Cryptic, but vocal species, including many monkeys, bats, birds, frogs and  
99 cetaceans are increasingly being monitored using passive acoustic methods. Various techniques  
100 have been developed for mobile (i.e. towed) acoustic methods for studying cetaceans (Barlow  
101 and Taylor, 2005; Akamatsu et al. 2008) but static devices pose a new set of challenges. Various  
102 automated acoustic devices to collect and analyse acoustic data can now detect and identify  
103 species and can be an efficient alternative to or complement existing visual sampling as they can  
104 be used in inaccessible areas, reduce disturbance caused by human presence, and maximise  
105 temporal coverage through a long-term sampling regime (Digby et al. 2013; Mellinger et al.  
106 2007). In this paper, we present a technique for characterising the performance of an acoustic  
107 detector using playback experiments; although the technique is potentially applicable to  
108 terrestrial studies, our focus here is on cetaceans.

109

110 Effective abundance monitoring is crucial for species under threat from anthropogenic activities.  
111 One such species is the harbour porpoise (*Phocoena phocoena*, Linnaeus, 1758), which,  
112 although commonly sighted off the North East Atlantic coastline, is increasingly threatened by  
113 human activities; the Baltic subpopulation is listed as ‘critically endangered’ in the IUCN Red  
114 List (Hammond et al. 2008). The porpoise is difficult to monitor using visual techniques because  
115 of its small size and cryptic behaviour, but it lends itself well to acoustic studies because it emits  
116 stereotypical, narrow-band high frequency (NBHF) echolocation clicks and produces near  
117 continuous vocalisations apart from short rest periods (Linnenschmidt et al. 2013, Wright et al.  
118 2017). Automated underwater click loggers such as C-PODs (Chelonia Ltd., Cornwall, UK) use  
119 waveform characterisation to identify clicks based on their intensity, bandwidth, frequency and  
120 duration. After retrieval of the devices, custom-written software then uses the recorded  
121 information to classify detected sounds into series, termed trains. These are further categorised

122 based on their likely origin (boat sonar, dolphin, or porpoise) according to known characteristics  
123 of cetacean vocalisations. Click logger data are now widely used to evaluate presence and  
124 foraging behaviour of vocalising cetaceans in both coastal and offshore areas (Benke et al. 2014;  
125 Verfuß et al. 2007; Schaffeld et al. 2016; Simon et al. 2010); and assess disturbance from wind  
126 farms, shipping, fisheries and coastal development (Carstensen et al. 2006; Todd et al. 2009).  
127 They can also potentially be used to estimate animal density (Kyhn et al. 2012).

128

### 129 *Estimating density*

130 Several approaches have been developed to estimate animal density from stationary passive  
131 acoustic data (Marques et al. 2012); we introduce two here that are relevant to static loggers. In  
132 the first, the unit of analysis is an individual vocalisation, such as a cetacean click. Then,

$$133 \quad \hat{D} = \frac{n(1-\hat{c})}{\hat{v} T \hat{r}} \quad (\text{Eqn. 1})$$

134 where  $n$  is the number of detected vocalisations,  $c$  is the proportion of those that are false  
135 positives (i.e., not from the target species),  $v$  is the effective detection area (EDA, see below),  $T$   
136 is the total monitoring time summed over all detectors in the survey and  $r$  is the average rate of  
137 sound production. The false positive rate,  $c$ , is estimated by inspecting a sample of the data under  
138 the assumption that a human analyst can accurately detect false positives. Sound production rate  
139 is best obtained from an auxiliary study where a sample of animals are fitted with acoustic  
140 recording tags and their vocalisation rate is measured; in practice, it is often obtained from  
141 studies undertaken in other times and places raising the possibility of bias. Here we focus on  
142 estimating EDA using recordings of cetacean echolocation, but the following equations can be  
143 applied to any animal that vocalises frequently. EDA is the area around a logger within which as  
144 many vocalisations are missed as are detected outside it; hence the EDA can be thought of as a  
145 measure of the area monitored by a logger. Acoustic detection is range-dependent, so one way to  
146 estimate EDA is by first estimating a detection function,  $g(y)$  (Buckland et al. 2001), which

147 describes the probability of detection as a function of horizontal range  $y$  of the click from the  
 148 logger. Assuming vocalisations are distributed randomly around the logger (or, more  
 149 appropriately, that multiple loggers are used in the survey and that they are distributed randomly  
 150 within the study area),

$$151 \quad v = 2\pi \int_0^w r g(y) dy \quad (\text{Eqn. 2})$$

152 where in theory  $w = \infty$ , but in practice some finite truncation distance is used where  $g(y)$  is  
 153 known to be 0. EDA is sometimes expressed in terms of the effective detection radius (EDR),  $\rho$ ,  
 154 i.e., the distance from the logger within which as many animals are missed as are detected  
 155 outside it, where  $\rho = \sqrt{v/\pi}$ . Another related quantity is the detection probability, i.e., the  
 156 average probability of detecting a sound within distance  $w$  of the logger,  $P_a = v/\pi w^2$ .

157

158 In the second approach to density estimation (e.g., Kyhn et al. 2012), the monitoring time is  
 159 divided into a sequence of short “snapshots” where animal movement is negligible. Echolocating  
 160 animals click in a regular sequence (a “click train”), and hence it is typically possible to count  
 161 the number of animals detected within a snapshot interval (i.e., the number of overlapping click  
 162 trains). The unit of analysis in this approach is the total number of animal detections, summed  
 163 over all snapshots. Density is estimated as

$$164 \quad \hat{D} = \frac{n_s(1-\hat{c}_s)}{\hat{v}_s T_s \hat{r}_s} \quad (\text{Eqn. 3})$$

165 where  $n_s$  is the number of animals detected,  $c_s$  is the probability of a false positive animal  
 166 detection,  $v_s$  is the EDA for a vocalizing animal over the snapshot interval,  $T_s$  is the total number  
 167 of snapshots (summed over all sensors) and  $r_s$  is the probability of an animal vocalizing at least  
 168 once during a snapshot interval. A variant of this method can deal with the situation where  
 169 animals are in groups, and multiple animals can be detected within a single snapshot (see Kyhn  
 170 et al. 2012).

171

172 In both the above formulations, a critical step is estimation of the detection function,  $g(y)$ , and  
173 hence the EDA. The most reliable way to do this is to collect auxiliary information from wild-  
174 swimming animals within the study area during the time of the survey. In some cases, it may be  
175 possible to track a sample of animals in the vicinity of the loggers, for example by fitting them  
176 with acoustic- and location-sensing tags (e.g., Marques et al. 2009) or by observing them from a  
177 vantage point (e.g., Kyhn et al. 2012). However, tagging studies are logistically infeasible in  
178 many situations, and vantage points occur in limited locations and are only useful for species  
179 with short dive intervals.

180

181 Here, we present an alternative approach, based on playback of artificial cetacean clicks or real  
182 recordings. This has the advantage of being feasible for use in many cases at all sampling  
183 locations, and potentially at multiple times during the survey period. All acoustic studies should  
184 account for imperfect detectability, inherent in any detector and various factors affect the  
185 detection probability of cetaceans with acoustic dataloggers. In a marine environment, playbacks  
186 can account for some of these factors, such as distance, water temperature, background noise,  
187 salinity and substrate which can cause variation in sound propagation, or lead to transmission  
188 loss, absorption into sediment and potential shadowing from physical objects (Au 1993; Au &  
189 Hastings 2008; DeRuiter et al. 2010; Zimmer 2011). However, a playback experiment cannot  
190 readily account for factors related to animal behaviour and activity state such as vocalisation  
191 rate, intensity and frequency of emitted sounds, direction of movement and orientation in the  
192 water column (Nuuttila et al. 2013), which must be borne in mind when interpreting results from  
193 such experiments. The first objective was to assess the performance of the hardware detection  
194 via the data logger's hydrophone in detecting playbacks of porpoise click-like artificial signals.  
195 The second objective was to examine the performance of the click train classification and species  
196 identification software by playing a recorded porpoise vocalisation sequence to the logger and  
197 calculating the detection rate for the clicks detected but also for click sequences identified by the



198 algorithm (i.e., the snapshot method). The equations presented above can be adapted to other  
199 vocal species and acoustic instruments, both click loggers and full bandwidth recorders while the  
200 practical experiment presents a crucial step towards estimating cetacean abundance based on  
201 stationary acoustic monitoring of echolocation clicks.

202

## 203 **Materials and methods**

### 204 *C-POD calibration*

205 The frequency response of the C-POD hydrophone was -208 dB re 1V/uPa at 130kHz. Each  
206 logger was calibrated in a tank at the German Oceanographic Museum. This consisted of  
207 ensonifying each C-POD with a 130 kHz artificially-created click signal at decreasing sound  
208 source levels and determining the sound pressure level threshold at four different positions  
209 around the C-POD where 50% of the transmitted signal was received by each POD. The average  
210 threshold level over the four positions was then used as the calibration sensitivity, which varied  
211 from 111 dB to 119 dB re 1 $\mu$ Pa peak-to-peak (pp) across the C-PODs used in the study. Details  
212 on methodology can be found in Dähne et al. (2013).

213

### 214 *C-POD deployment*

215 Fifteen calibrated loggers were deployed off New Quay, Wales, moored in five stations of three  
216 loggers each in a triangular formation, at depths of 13-20 m of water, 1.5 m above the seabed and  
217 approximately 50-75 m apart (Figure 1). All the playbacks were conducted in sea states two or  
218 less, to ensure stability of the recording set up and the accuracy of the distance measurements. A  
219 side-scan sonar survey of the area was conducted prior to the study, revealing a generally even,  
220 sandy bottom substrate.

221

\*\* Figure 1\*\*

222

223 *Playback with artificial porpoise-like signals*

224 All the playbacks were conducted from a small inflatable boat drifting, with engine off, across  
225 the experimental area. An artificial click signal was used to create a repeatable signal where the  
226 source level could be manipulated to cover the intensity range of real harbour porpoise  
227 vocalisations. The signal consisted of 15 cycles of 130 kHz frequency, generated via National  
228 Instruments Corporation Ltd (UK) 6356 usb-box and played back using National Instruments  
229 Labview software and an omni-directional transducer (Reson TC4033, Teledyne RESON A/S,  
230 Denmark, with a projective sensitivity of 137 dB pp re 1  $\mu$ Pa/V for 130 kHz signal. The signal  
231 was played back at different source levels (see below) and distances from 0-800 m from the C-  
232 PODs, to assess the effect of varying intensity on detection probability. Due to the drift of the  
233 boat, the playbacks were conducted from a total of 744 different distances measured using the  
234 boat's GPS. The omni-directional transducer meant that the sound would travel to all directions  
235 resulting in expected detections across all C-PODs at varying distances.

236

237 The signals were fed through an amplifier (A-301, A.A. Lab Systems Ltd., gain 26 dB), which  
238 drove the transducer suspended from the boat at 2 m below the water surface. The playback  
239 consisted of four separate sequences. Each sequence contained eleven blocks of ten clicks (90 ms  
240 duration with 60 ms pause between each block); each block had different source levels (SL),  
241 decreasing in 3 dB steps over a range of 30 dB from 176 dB pp re 1  $\mu$ Pa/V @ 1 m to 149 dB pp  
242 re 1  $\mu$ Pa/V @ 1 m (Figure S1, online supplement). Initially playbacks were conducted at higher  
243 source levels (up to 184 dB re 1  $\mu$ Pa/V @ 1 m) but 176 dB re 1  $\mu$ Pa/V @ 1 m represented the  
244 maximum source level that could be produced with the used equipment without creating  
245 distorted waveforms.

246

247 *Playback with recorded porpoise vocalisations*

248 To assess the detection probability of actual harbour porpoise vocalisations, and the performance  
249 of the click train detection algorithm, echolocation clicks were recorded from captive porpoises  
250 at Fjord & Bælt Center, Denmark, and compiled into an 18 s long sequence. The recording  
251 included clicks of varying amplitude and frequency ranges, with source levels between 130 and  
252 182 dB re 1  $\mu$ Pa, representing some of the known variability in click rate and source level of real  
253 porpoise vocalisations (See signal waveform in Figure S2, online supplement).

254

255 The recording was played using a similar setup as above but without an amplifier and through a  
256 calibrated directional transducer, a Reson TC2130, resonant at 104 kHz, with a usable  
257 transmitting band between 100–200 kHz, and a projection directionality of 12.3-16.9° for a  
258 signal between 100-150 kHz, which is similar to a porpoise beam at 13° at 130 kHz (Koblitz et  
259 al. 2012). The playbacks were played from 590 different distances ranging from 0 to 640 m from  
260 the C-PODs with an additional gain of 20 dB generated through the computer, resulting in a  
261 maximum source level of 182 dB re 1  $\mu$ Pa/V @ 1 m. The directional transducer, which has a  
262 narrow beam was used to replicate a real porpoise to imitate the directionality and beam width of  
263 the animal. During playbacks it was continuously rotated from side to side horizontally in an arc  
264 of approximately 90° centred on the middle of each C-POD station, imitating the sweeping  
265 movement of a porpoise head. The speed of rotational arc was not measured; it was based on  
266 subjectively determined observations of animals.

267

268 The distance between the playback vessel and each of the C-PODs was determined from GPS  
269 latitude and longitude coordinates using the spherical law of cosines as follows:

$$270 \quad y = \cos^{-1} (\sin(\text{lat}_1) \sin(\text{lat}_2) + \cos(\text{lat}_1) \cos(\text{lat}_2) \cos(\text{long}_2 - \text{long}_1)) R \quad (\text{Eqn. 4})$$

271 where the position of the boat was defined as  $\text{lat}_1$  and  $\text{long}_1$ , the position of the C-POD was  
272 defined as  $\text{lat}_2$  and  $\text{long}_2$ , and R was the mean radius of the earth (6371 km).

273

274 *Data analysis*

275 The data were visually inspected using C-POD software v.2.026 (Chelonia, 2012) to assess  
276 which playbacks were detected by the logger. For each artificial sequence, the C-POD raw click  
277 files (CP1 files) were examined, and the number of clicks from each series and each block was  
278 counted. For the recorded porpoise click sequence, only those playbacks with a clear recording  
279 of the whole or part of the identifiable sequence were considered as detected. The resulting data  
280 was divided into three datasets, each analysed separately to assess the performance of the C-  
281 POD's KERNO train classification algorithm in identifying the playback sequence as of porpoise  
282 origin: 1) detections of playback sequence in raw click files (called CP1 files by the C-POD  
283 programme), 2) detections of trains (CP3 files), and 3) detections of porpoise trains (CP3 files).

284 To estimate the detection function for the artificial signal, the detected clicks were analysed  
285 using a Generalized Additive Mixed Model (GAMM), implemented via the *gam* function in the  
286 *mgcv* package in R (Wood 2006; 2011), with binomial error structure, logit link function and  
287 maximum likelihood (ML) parameter estimation. 'Detected' (1) or 'not detected' (0) was the  
288 binary response variable, with distance, source level, sensitivity, station and playback ID used as  
289 potential explanatory variables (on the logit scale). The numerical variables distance, source  
290 level and sensitivity were modelled using smooths (specifically, thin plate regression splines,  
291 with degree of smoothness selected by generalized cross validation). Playback ID and station  
292 were included as random effects, as each playback generated trials on each of the three C-PODs  
293 at a station, making the responses potentially non-independent. All potential main-effects models  
294 were fitted and the model with lowest Akaike Information Criterion (AIC) value was selected for  
295 inference (Burnham and Anderson, 1998). Models involving interactions were not considered.  
296 Variance and 95% confidence intervals (CIs) were calculated using a nonparametric bootstrap

297 (conditioning on the selected model), treating each playback as the unit for resampling with 1000  
298 bootstrap replicates.

299

300 The selected model was then used to estimate click detection probability as a function of distance  
301 and the other selected variables; EDR was also calculated, by integrating out distance (Eqn. 2).

302 The statistical analysis was identical for the recorded porpoise sequence, with the omission of  
303 source level as explanatory variable.

304

## 305 **Results**

### 306 *Playbacks with artificial porpoise clicks*

307 Overall, 343 artificial playback sequences of 11 blocks of 10 clicks each were transmitted across  
308 the 15 C-PODs. This resulted in over 16 000 recorded playback blocks that were visually  
309 assessed.

310

311 The model with lowest AIC values included all five explanatory variables (distance from data  
312 logger, source level, sensitivity, station and the random effect of playback; see Table S1 in the  
313 online supplement). The model explained 73.7 % of the deviance in the dataset. As expected,  
314 there was a strong negative effect of increasing distance and lower source level of the playback  
315 on detection probability, but also a significant effect of sensitivity (Figure 2). The detection  
316 probability fell sharply between 100 and 300 m distance from the data logger. The effect of  
317 source level on detection probability increased sharply for clicks over 160 dB pp re 1 $\mu$ Pa/V @ 1  
318 m for all C-PODs.

319

**\*\*Figure 2\*\***

320 The calculated EDR for artificial clicks with a source level of 176 dB re 1 $\mu$ Pa m varied from 225  
321 to 148 m, with a mean of 186 m (95% CI: 173-200) averaging across the other explanatory  
322 variables and a mean EDA of 0.111 km<sup>2</sup> averaging across all loggers. Lower source levels

323 drastically decreased the EDR and detection area, with notable differences between C-PODs and  
324 sites (Figures 3, 4 and S3, online supplement). Results of GAMM-model (Table S1) showed a  
325 strong negative correlation with distance and decreasing source level and to a lesser degree with  
326 sensitivity. The EDR values with 95% CI and CV for each C-POD for different source levels are  
327 listed in the online supplement in Table S2.

328 \*\*Figure 3\*\*

329

### 330 *Playbacks with recorded porpoise clicks*

331 The recorded porpoise sequence was played back 184 times across the data loggers producing  
332 715 captured sequences across distances up to 640 m from the loggers. A total of 12 loggers out  
333 of the 15 deployed recorded usable data for this part of the experiment; data from station five  
334 was excluded from the analysis due to some unexplained discrepancies in recordings, some of  
335 which may have been due to mistakes in time stamping the recordings and erroneous start times  
336 of the devices. Consequently, only 409 of the captured sequences were usable for analysis.

337

338 For all three datasets (raw click files, (CP1 files); detections of trains (CP3 files) and detections  
339 of porpoise trains (CP3 files), GAMM with lowest AIC values included station, distance,  
340 sensitivity and the random effect for playback, although for the raw click data (CP1 files) and the  
341 train detection files, sensitivity was not a significant variable at the  $P=0.05$  level (Table S3 and  
342 Figure S5, online supplement). Station and distance were the most influential variables according  
343 to AIC scores. The models explained between 40% and 55% of the deviance in the datasets,  
344 notably less than the models for the artificial playbacks. Lowest detection probabilities for click  
345 data (CP1) were recorded for C-PODs 1A, 1C, 2A and 2B. High detection probability of clicks  
346 did not always correspond to high detection of classified porpoise trains (Figure S5 and S6,  
347 online supplement).

348

349 The calculated mean EDR across all C-PODs for raw click data from the recorded signal was  
350 188 m (95% CI: 135-241). For the part of the signal that the algorithm recognised as click train  
351 sequence, the mean EDR was 116 m (95% CI: 80-152) and for detected signal that was classified  
352 as porpoise train, the mean EDR was 72 m (95% CI: 52-92) (Figure 4). The mean EDR values  
353 for the click data with 95 CI and CV for each C-POD are listed in the online supplement Table  
354 S4. The EDA using the clicks detected from the raw click files (CP1) was 0.111 km<sup>2</sup>. When  
355 examining only those clicks that were correctly assigned as harbour porpoise trains by the  
356 classification algorithm, the effective area was reduced to 0.016km<sup>2</sup>. The mean difference in  
357 EDR from detected clicks to correctly detected species was 105 m (95% CI: 66-144).

358

**\*\*Figure 4\*\***

#### 359 *Maximum detection distances*

360 Maximum detection distances where acoustic detections were still made depended on the source  
361 levels of the emitted signals. The maximum artificial click source level emitted without  
362 distortion was 176 dB re 1  $\mu$ Pa @ 1m. Our observed maximum detection distance for this source  
363 level was 545 m (recorded with C-POD 3B) and a mean detection distance was 402 m (95% CI:  
364 371-429).

365 The maximum detection distance for the recorded porpoise sequence was 566 m (C-POD 4C)  
366 and the mean maximum distance for all the C-PODs was 248 m (95% CI: 181-316).

367

#### 368 **Discussion**

369 Acoustic recorders are now commonly used, and they have the potential of estimating animal  
370 abundance. This is particularly important in the context of small cetaceans where click loggers  
371 are widely available, easy to use and provide cost effective way for long-term monitoring.  
372 Understanding the distance at which animals are detected and how source level and sensitivity  
373 affects their detectability is crucial for quantifying the species' area use. Accurate estimates of

374 EDA are essential for density estimation using such devices. As far as we are aware, this is the  
375 first published study to attempt the estimation of the detection probability and calculation of  
376 EDA for C-PODs, or any other static, single hydrophone click detector for high frequency  
377 odontocetes, using both artificial and recorded real cetacean clicks. Note however, that playback  
378 experiments cannot incorporate animal behavioural variability and thus cannot produce accurate  
379 estimates of detection probability. Although it is possible that some unavoidable multipath  
380 reflections were contained in the playback signal, those reflections should not have interfered  
381 with our analysis since multipath would have been very low in amplitude and therefore would  
382 not have triggered the detection threshold of the C-POD at longer distances. In very short ranges  
383 multipath reflections can be recorded as individual clicks of which only the first (direct path) was  
384 used for our calculations. As such, the use of an artificial click sequence allowed us to assess the  
385 performance of the C-POD's hydrophone and electronics in detecting clicks in a standardised  
386 and repeatable way. The use of real, recorded clicks with a directional transmitter enabled us to  
387 evaluate the performance of the classification algorithm for one type of standardized sequence  
388 with some measure of potential variability exhibited by the porpoise.

389

390 As expected, the detection probability and the EDR decreased with increasing distance from data  
391 logger and the decreasing source level of the artificial signal. For porpoise-like sounds, no  
392 detections were made beyond 545 m from the logger, and signals below 153 dB pp re 1  $\mu\text{Pa/V}$  at  
393 1 m had less than 0.2 probability of being detected even at distances of less than 50 m. The most  
394 intense signal emitted (176 dB pp re 1  $\mu\text{Pa/V}$  at 1 m) here was effectively detected within 187 m  
395 radius around the C-POD, yielding a detection area of 0.110 km<sup>2</sup>. The highest source level used  
396 here was at the edge of the performance capability of the transducer and may have caused slight  
397 distortion to the signal. Further experiments with higher performance transducers are therefore  
398 recommended to evaluate higher source levels.

399



400 Similarly, decreasing detection probability with distance was evident with the real porpoise click  
401 sequences, with nearly the same EDR of 188 m for the raw data. The real clicks had generally  
402 higher detection probability and were detected from further away than the artificial clicks,  
403 despite being played back using a directional transducer which was being rotated from side to  
404 side. The higher detectability of the recorded real porpoises was likely because the probability of  
405 artificial signal detection was estimated for a *single* click, whereas the probability of real  
406 porpoise signal detection was calculated for the entire 18 s long snapshot *sequence*, more easily  
407 detected because  
408 of its duration but also because parts of the sequence were played at higher maximum source  
409 level than the artificial playbacks (182 dB re 1  $\mu$ Pa/V @ 1 m) and highlights the main difference  
410 between the two methods for density estimation discussed earlier. No published EDR values for  
411 porpoise clicks exist for C-PODs, but for T-PODs the reported mean EDR for wild porpoises for  
412 a comparable time window of 15 s was approximately 30 m, varying slightly with T-POD type  
413 and sensitivity (Kyhn et al. 2012). Here, the mean EDR of C-PODs for detecting and identifying  
414 recorded porpoise clicks as porpoises was much improved in comparison to T-PODs at 72 m,  
415 although it must be noted that Kyhn's results were obtained from real, wild animals using visual  
416 tracking and could have thus been influenced by more unknown variables.

417

418 The highest source level of the real recorded porpoise signal was at 182 dB re 1  $\mu$ Pa @ 1m,  
419 yielding a maximum detection distance of 566 m. The mean maximum distance for all the C-  
420 PODs was 248 m (95% CI: 181-316), reflecting much reduced detection rates due to the  
421 directional transducer used, emulating more closely the real-life scenario of actual porpoise  
422 movement patterns and sonar beam-width.

423

424 *Click detection vs. train classification*

425 As expected, the detection probability decreased from detected clicks to classified trains, and  
426 again to correctly classified species (Figure 4). The challenge remains for the software  
427 developers to improve the train classification algorithm to match the click detection abilities of  
428 the device, increasing its EDA – for the real porpoise click sequence used here, this would be a  
429 five-fold increase from 0.02 to 0.1 km<sup>2</sup>. As C-PODs do not record full waveforms they depend  
430 heavily in train detection on click intervals and their respective sequences. Therefore, an  
431 improvement is limited by the number of clicks necessary for classification and the allowed  
432 number of false positives. Attempt to reduce false positives typically increases false negative  
433 detections, however, in density estimation, false positive detections are perfectly acceptable,  
434 providing the false positive rate is accurately determined at the temporal and spatial scale of the  
435 density estimates, hence the parameter  $c$  in equation 1.

436

#### 437 *Differences between loggers, deployment sites and playbacks*

438 It is crucial to ensure that data loggers used are calibrated to similar sensitivity thresholds. C-  
439 PODs used in this study had a range in detection sensitivities at received levels between 111 and  
440 119 dB re 1 $\mu$ Pa pp which is higher than advertised by the manufacturer. The measured  
441 calibration sensitivity had only a slight effect on the models, but there were large differences  
442 between calculated EDRs for C-PODs throughout the experiment. These are likely due to a  
443 combination of factors including C-POD sensitivity, subtle differences between deployment sites  
444 such as unexpected boulders or troughs in the seabed or variation in the substrate type, the  
445 deployment depth (Sostres and Nuuttila, 2015), and most importantly the added variability of the  
446 transmitted signal, due to hydrophone directionality and the added movement by the operator  
447 mimicking the side-to-side movement of the porpoise head.

448

449 *Wild harbour porpoise source levels*

450 The source levels used here were based on limited recordings of wild porpoises (Villadsgaard et  
451 al. 2007), which may not reflect the real variation in source levels, likely to be affected by  
452 behavioural context and variation in habitat characteristics, such as ambient noise. Such variation  
453 has been demonstrated for the beluga whale (*Delphinapterus leucas*), adapting the source level  
454 and frequency of its echolocation clicks according to noise levels of its surroundings (Au et al.  
455 1985). Kyhn et al. (2013) show that recorded source levels of harbour porpoises can vary  
456 drastically between 169 and 199 dB re 1 $\mu$ Pa m for Danish porpoises and 170 to 189 dB re 1 $\mu$ Pa  
457 m for porpoises from British Columbia resulting in a mean difference of 10 dB between the  
458 habitats. Furthermore, Villadsgaard et al. (2007) reported differences between porpoises in  
459 captivity and in the wild of ~20 dB showing a habituation to the environment. Therefore,  
460 measurement of source levels in the area of concern is a prerequisite for estimating abundance  
461 from stationary acoustic data loggers.

462

463 Here, the maximum undistorted source level achieved was 182 dB pp re 1  $\mu$ Pa/V @ 1 m for the  
464 recorded real porpoise signal, which is considerably less than the maximum recorded level of  
465 205 dB re 1  $\mu$ Pa/V @ 1 m, and therefore the EDRs reported here will not represent the full  
466 detection range of wild porpoises. High source levels have been calculated for the most intense,  
467 “on-axis” clicks, whereas the loggers will detect both on and off axis clicks, and consequently  
468 clicks of varying source levels. Here we aimed to achieve this variation by swivelling the  
469 transducer from side to side and although we believe that these results represent at least some of  
470 the natural variability of porpoise click trains arriving at a C-POD, they still cannot accurately  
471 reflect the variation in natural vocalisation behaviour or in fact the actual position of the animals  
472 in the water column, depending on their behaviour and prey type targeted (Sostres and Nuuttila  
473 2015).

474

475 *EDR/EDA and density estimation*

476 Here we provide a way to use playbacks to estimate an EDR and EDA, which could be repeated  
477 at sites where monitoring studies require some estimate of a local detection probability for an  
478 effective sampling regime. The challenge for this data logger is not detecting the clicks – as seen  
479 here, the C-POD detects porpoise clicks well. However, train classification and species  
480 identification necessarily require more information, and this consequently reduces the EDR. In  
481 areas of low animal density, with no other cetacean species present, it would be practical to use  
482 the raw click data or the train classification results, without species identification, improving the  
483 overall detection rate and enlarging the EDA. However, where there are several species present  
484 this approach is not workable and species classification is the most practical way of  
485 distinguishing species, regardless of the reduced EDR. Most importantly EDR and playback  
486 experiments provide means to quantify effort in stationary acoustic monitoring, not only  
487 applicable and necessary for large scale efforts in monitoring, but also for small scale studies  
488 such as analysing the impacts of anthropogenic activities on odontocetes.

489

490 To fully establish detection probabilities for cetaceans, we need to gain a thorough understanding  
491 of the effect of behaviour and group size on vocalisation rates (Nuuttila et al. 2013), including  
492 the portion of time the animals rest and spend silent, all of which can affect detectability (Wright  
493 et al. 2017). We can be relatively certain that porpoise vocalisation rates vary according to time  
494 of day (Todd et al. 2009, Schaffeld et al. 2016), increase during prey capture (DeRuiter et al.  
495 2009; Verfuß et al. 2009), and decrease or are non-existent during rest periods (Linnenschmidt et  
496 al. 2013; Wright et al. 2017), and that source levels of their feeding buzzes are reduced making  
497 them less detectable than other clicks at similar ranges (DeRuiter et al. 2009). For many other  
498 cetacean species, we have only limited information on their vocalisation rates, and further  
499 research is required.

500

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508 Tours.

509

## 510 **AUTHOR CONTRIBUTION STATEMENT**

511 HN, JK, KB, and LT conceived the ideas and designed methodology; HN, KB, WCJ, and JK  
512 collected the data; JB Assisted with collecting and analysing auxiliary information on study site;  
513 HN, KB, WCJ, MD and LT analysed the data; HN, KB, MD and LT led the writing of the  
514 manuscript. All authors contributed critically to the drafts and gave final approval for  
515 publication.

## 516 **DATA ACCESSIBILITY**

517 Data used for this study are archived in the Swansea University Open Research Data site at  
518 Zenodo, <https://zenodo.org/badge/DOI/10.5281/zenodo.1421093.svg>.

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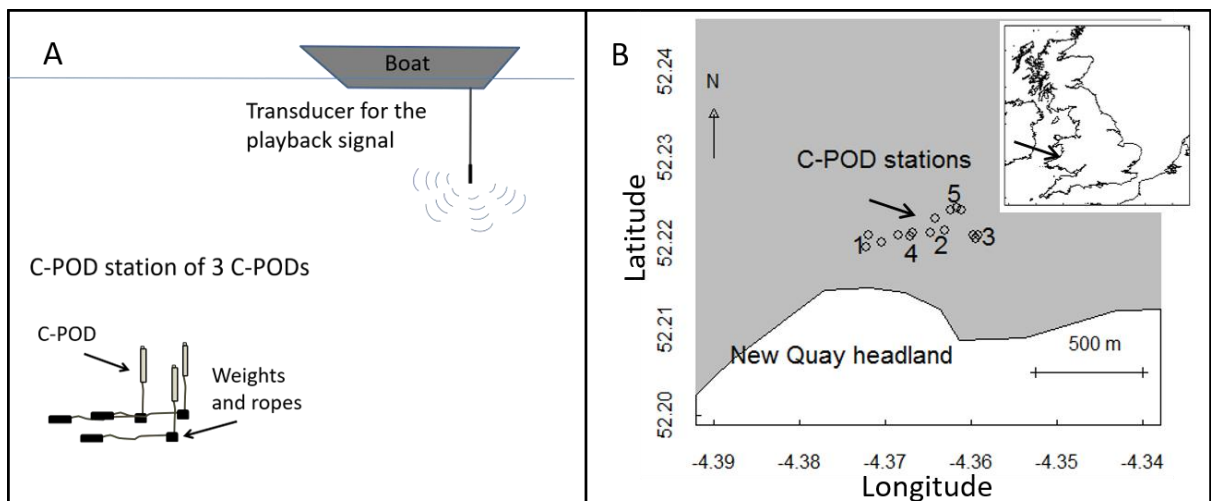
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639

640 **Figure and table legends**

641



642

643 **Figure 1.** A diagram of a C-POD mooring set up for each station (A) and the map of the  
644 deployment site of all the C-PODs (B). For each of the five station, three C-PODs were moored  
645 on the sea bed and the playback transducer was suspended from the boat.

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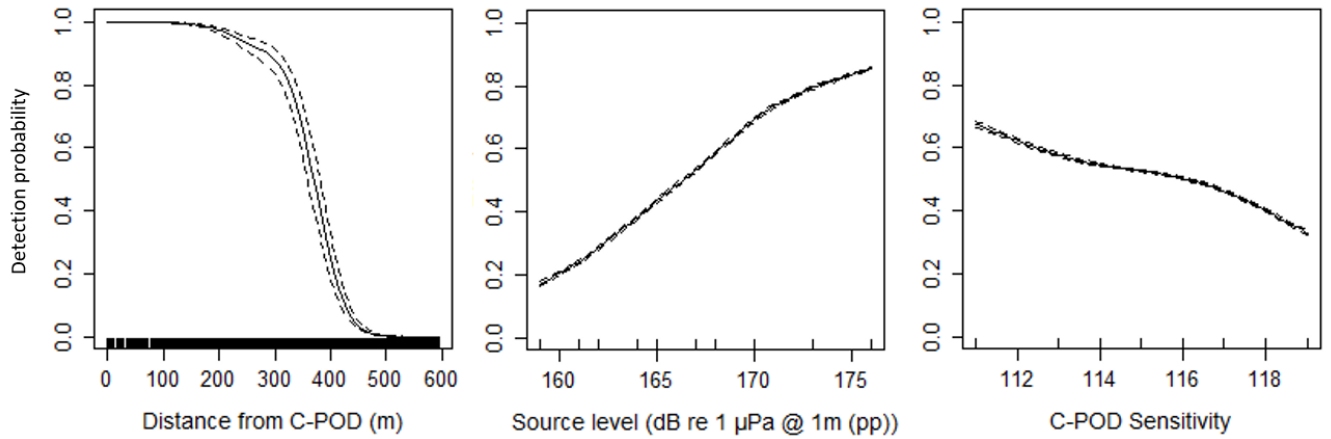
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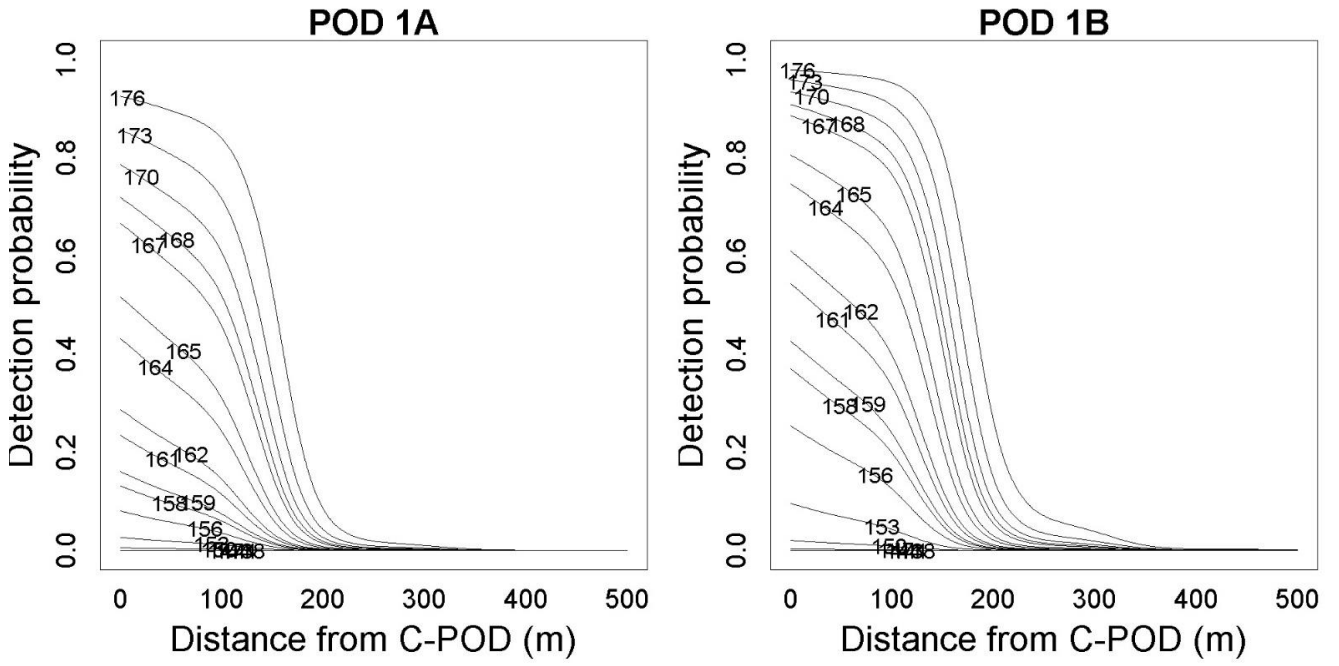
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**Figure 2.** The effect of distance from C-POD, the signal source level and logger sensitivity on the detection probability of artificial playback signal in the GAMM model, estimated at the mean value of other covariates. Dashed lines indicate plus and minus two standard errors from the estimates; y-axis is transformed to the response variable scale, and the up-ticks on x-axis show the covariate values in the data.

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672 **Figure 3.** Fitted probability curves for the detection of artificial playback clicks at different  
 673 distances for source levels between 176 and 149 dB re 1  $\mu\text{Pa/V}$  @ 1 m for C-PODs at stations  
 674 1A and 1B. Each line depicts the fitted probability for one dB value.

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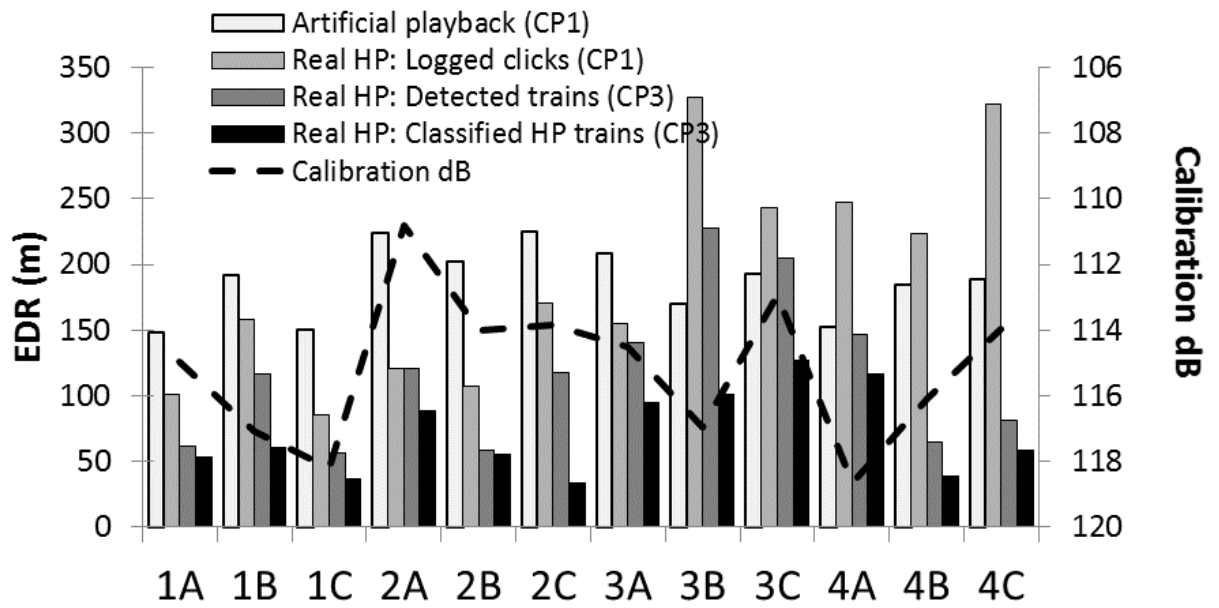
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682 **Figure 4.** The effective detection radius (EDR) for both recorded porpoise sequence and the  
 683 artificial playbacks. Artificial playback of highest source level 176 dB (white), recorded porpoise  
 684 playback sequence for all logged clicks (light grey), all detected trains (dark grey) and all trains  
 685 classified as porpoise (black) on all C-PODs.

686