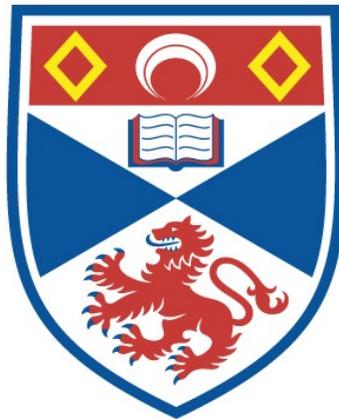


ADAPTIVITY OF 3D WEB CONTENT IN WEB-BASED VIRTUAL MUSEUMS
A QUALITY OF SERVICE AND QUALITY OF EXPERIENCE PERSPECTIVE

Hussein Bakri

A Thesis Submitted for the Degree of PhD
at the
University of St Andrews



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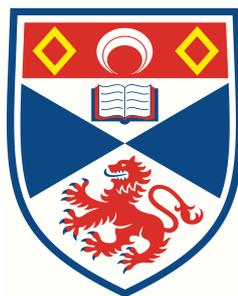
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Adaptivity of 3D Web Content in Web-Based Virtual Museums

A Quality of Service and Quality of Experience Perspective

Hussein Bakri



University of
St Andrews

This thesis is submitted in partial fulfilment for the degree of

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Abstract

The *3D Web* emerged as an agglomeration of technologies that brought the third dimension to the World Wide Web. Its forms spanned from being systems with limited 3D capabilities to complete and complex Web-Based Virtual Worlds.

The advent of the 3D Web provided great opportunities to museums by giving them an innovative medium to disseminate collections' information and associated interpretations in the form of digital artefacts, and virtual reconstructions thus leading to a new revolutionary way in cultural heritage curation, preservation and dissemination thereby reaching a wider audience.

This audience consumes 3D Web material on a myriad of devices (mobile devices, tablets and personal computers) and network regimes (WiFi, 4G, 3G, etc.). Choreographing and presenting 3D Web components across all these heterogeneous platforms and network regimes present a significant challenge yet to overcome.

The challenge is to achieve a good user Quality of Experience (QoE) across all these platforms. This means that different levels of fidelity of media may be appropriate. Therefore, servers hosting those media types need to adapt to the capabilities of a wide range of networks and devices.

To achieve this, the research contributes the design and implementation of Hannibal, an adaptive QoS & QoE-aware engine that allows Web-Based Virtual Museums to deliver the best possible user experience across those platforms.

In order to ensure effective adaptivity of 3D content, this research furthers the understanding of the 3D web in terms of Quality of Service (QoS) through empirical investigations studying how 3D Web components perform and what are their bottlenecks and in terms of QoE studying the subjective perception of fidelity of 3D Digital Heritage artefacts. Results of these experiments lead to the design and implementation of Hannibal.

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Candidate's declaration

I, Hussein Bakri, do hereby certify that this thesis, submitted for the degree of PhD, which is approximately 64,445 words in length, has been written by me, and that it is the record of work carried out by me, or principally by myself in collaboration with others as acknowledged, and that it has not been submitted in any previous application for any degree.

I was admitted as a research student at the University of St Andrews in September 2014.

I, Hussein Bakri, received assistance in the writing of this thesis in respect of grammar and spelling, which was provided by Ms Janie Brooks.

I received funding from an organisation or institution and have acknowledged the funder(s) in the full text of my thesis.

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*I dedicate my work to any hope of removing hypocrisy
from academia...*

Publications

A large proportion of this work has been published in peer-reviewed publications of which I am the main author and contributor:

- **Chapter 2: Bakri, H.**, Allison C., Miller A., Oliver I. (2016) Virtual Worlds and the 3D Web - Time for Convergence?. In: Immersive Learning Research Network. iLRN 2016. Communications in Computer and Information Science, vol 621. Springer, Cham [32].
- **Chapter 3 & 4: Bakri, H.**, and Allison, C. "Measuring QoS in web-based virtual worlds: an evaluation of unity 3D web builds." Proceedings of the 8th International Workshop on Massively Multiuser Virtual Environments. ACM, 2016. [30].
- **Chapter 5 & 7: Bakri, H.**, Miller A., Oliver I. (2018) Fidelity Perception of 3D Models on the Web. In: Beck D. et al. (eds) Immersive Learning Research Network. iLRN 2018. Communications in Computer and Information Science, vol 840. Springer, Cham [28].

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- Allison, C. and **Bakri, H.**, 2015, October. How the Web was Won: Keeping the computer networking curriculum current with HTTP/2. In *Frontiers in Education Conference (FIE)*, 2015. 32614 2015. IEEE (pp. 1-9). IEEE [15].

Note on Web Resources

Due to the relatively new concepts discussed in this corpus of work, some resources are only available on the World Wide Web. All URIs were verified at the time of the submission of this thesis. However, due to the nature of such resources their longevity cannot be guaranteed. Such references have only been used where citations to more traditional peer-reviewed published material were not possible.

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List of Abbreviations

2D	Two Dimensional
3D	Three Dimensional
3D Web	Three Dimensional Web
AJAJ	Asynchronous Javascript and JSON
AJAX	Asynchronous Javascript and XML
API	Application Programming Interface
AR	Augmented Reality
ASCII	American Standard Code for Information Interchange
CAD	Computer Aided Design
CH	Cultural Heritage
COLLADA	COLLABorative Design Activity
CPU	Central Processing Unit
CSV	Comma Separated Values
DAMS	Digital Asset Management System
DBMS	Database Management System
DC	Dublin Core
DCMI	Dublin Core Metadata Initiative
DCS	Dublin Core Schema
DH	Digital Heritage
EDM	Europeana Data Model
FPS	Frame per Second
FT	Frame Time
GIF	Graphics Interchange Format
glTF	Graphics Library Transmission Format
GPU	Graphics Processing Unit
HAS	HTTP Adaptive Streaming
HD	High Definition

HTML	HyperText Markup Language
HTTP	HyperText Transfer Protocol
ICOM	International Council of Museums
ICT	Information and Communication Technology
IDPT	Initial Download & Processing Time
IRI	Internationalized Resource Identifier
JANET	Joint Academic Network
JSON	Javascript Object Notation
LD	Low Definition
LOD	Level of Detail
MAE	Mean Absolute Error
MBVM	Mobile-Based Virtual Museum
MOS	Mean Opinion Score
MUVW	Multi-User Virtual World
OCR	Optical Character Recognition
OS	Operating System
OWL	Web Ontology Language
PDA	Personal Digital Assistant
PM	Progressive Mesh
QoE	Quality of Experience
QoS	Quality of Service
RDF	Resource Description Framework
ReST	Representational State Transfer
RR	Remote Rendering
RTT	Round-Trip Time
SD	Standard Definition
SVG	Scalar Vector Graphics
U3D	Universal 3D
URI	Uniform Resource Identifier
ViMQO	Virtual Museum Quality of Service Ontology
VM	Virtual Museum
VR	Virtual Reality

VRML	Virtual Reality Modelling Language
W3C	World Wide Web Consortium
WBVM	Web-Based Virtual Museum
WBVW	Web-Based Virtual World
WebAR	Web Augmented Reality
WebGL	Web Graphics Library
WebRTC	Web Real-Time Communication
WebVR	Web Virtual Reality
X3D	eXtensible 3D
XML	Extensible Markup Language

Glossary

3D Scanning is the task of digitally reconstructing 3D structures using commercial laser scanners [447]. This technique is used in digitising cultural heritage arefacts, sculptures and buildings.

3D Web A set of technologies for providing 3D material on the World Wide Web.

European Union Latin America and Caribbean Foundation Virtual Museum

A cross-country project involving many community museums across Europe, Latin America, and the Caribbean countries. It has received funding from the European Union’s Horizon 2020 Research and innovation programme under grant agreement No 693669. The Web-Based Virtual Museum was built by the Open Virtual World Research Group at the University of St Andrews. Hannibal (Chapter 7) is implemented in only a small subset clone of it.

Ontology is a machine-readable vocabulary of terms, rules and definitions used in a specific domain of knowledge. Upper Ontology is a high-level ontology that is very general covering many domains [150, 168].

Photogrammetry is the task of digitally reconstructing 3D structures from a set of input images [447]. It is one of the most famous techniques used in digitising cultural heritage arefacts, sculptures and buildings.

Panorama a.k.a Photo Sphere is a special pseudo-photograph obtained by stitching together several images taken from the same point and covering a field of view of up to 360°, which is then mapped with an equi-rectangular projection in a plane [328]. Many cameras (both inside mobile devices and specialised ones) can produce Photo Spheres with ease.

Quality of Experience *“is the degree of delight or annoyance of the user of an application or service. It results from the fulfilment of his or her expectations with respect to the utility and/or enjoyment of the application or service in the light of the user’s personality and current state”* [243].

Quality of Service *is the study of quality attributes that are measured objectively such as the execution time, response time, processing time, latency, throughput, jitter, packet loss among others of a service or system* [232, 324].

Part I

Motivation and Overview

Introduction

1.1 Overview

This thesis investigates web-based cultural heritage digitisations (3D artefacts and environments) from the perspectives of Quality of Service (QoS) and Quality of Experience (QoE). It also provides a solution to adapt the dissemination of Digital Heritage (DH) artefacts over the world wide web across devices and network regimes.

In order to contextualise the research foci of this thesis, it is pertinent to initially define and discuss the major overarching salient terms pertaining to the domain of heritage in general and to that of museums and by extension Virtual Museums (VMs) in particular. The concepts of heritage, the challenges facing its preservation, the prominence of digitising heritage, the concepts of museums, and virtual museums are discussed in the following sections. The next section starts by defining the different types of heritage.

1.1.1 Heritage

A community without heritage is a community without identity. Preserving heritage is essential to the pride of any nation and through understanding and appreciating it, the future of a community is envisioned and sustained. In a global world, communities' ways of life and artistic expression are in continuous danger of being diluted. Heritage provides communities a necessary cohesion, social learning and a cultural identity.

Heritage is a semantically laden term. Heritage is associated with *everything* inherited by the community from the past which is deemed of value and significance and which can be passed onto next generations. Heritage has been classified into many categories [147, 203, 234]: the first higher level classification is between *natural heritage* and *cultural heritage*.

The United Nations Educational, Scientific and Cultural Organisation (UNESCO) defines natural heritage as to involve “*natural features, geological and physiographical formations and delineated areas that constitute the habitat of threatened species of animals and plants and natural sites of value from the point of view of science, conservation or natural beauty. It includes nature parks and reserves, zoos, aquaria and botanical gardens*” [407].

On the other hand, cultural heritage is defined as:

“the legacy of physical artefacts and intangible attributes of a group or society that are inherited from past generations, maintained in the present and bestowed for the benefit of future generations”

UNESCO [406]

The second major classification of heritage is between *tangible heritage* and *intangible heritage*. Tangible heritage include artefacts, monuments and buildings of archaeological, historical, technological or scientific significance while intangible heritage include oral history and traditions, folk life, dance, customs, religious ceremonies, music, fashion, languages, storytelling among many other activities that have no actual physical presence but nevertheless have significance [147].

A motivation for this work is that neither geographical locations nor device constraints should limit our access to cultural heritage. Unfortunately, there are many challenges to the access, promotion and preservation of heritage in all its forms. The following section discusses to some extent these major challenges.

1.1.1.1 Challenges and Dangers

There are constant dangers for preserving intangible heritage. Many traditions, languages [100], religious practices, folk customs among many others are facing extinction due to many reasons such as cultural hegemony, wars and conflicts and

political oppression. An example can be given is that of the Syriac Aramaic language which is still spoken in very few villages in Syria and Iraq. The language was historically oppressed and now faces the danger of complete extinction [183].

Tangible heritage has a plethora of challenges of its own. Many are human-made while others are natural. Many artefacts are fragile and difficult to present to the public in museums. Earthquakes, floods, and fires are among the major natural challenges for the preservation of cultural heritage artefacts and monuments.

Wars and conflicts, pollution, climate change, acid rain, day to day use and inappropriate handling of artefacts and monuments are among the major human-made challenges to preserve cultural heritage. There are also a set of challenges that are economical in nature such as the lack of funding that hinders the repairment of monuments, the uncontrolled urbanization where sizeable populations inhabit historical cities and the unchecked tourist development [13].

The world was shocked by the barbaric acts of destruction of thousands of precious Neo-Assyrian artefacts, shrines, old churches and mosques in Iraq and Syria by terrorist organisations [101]. Another painful example can be seen in the destruction of the 6th century Buddhas of Bamiyan in 2001 [438]. Even if we remove wars and conflicts out of the picture, many cultural heritage sites have serious problems with accessibility due to geographical barriers and disabilities. We should consider if digitisation can act as a social insurance policy for future generations when time, decay and destruction may remove the artefact but a digital surrogate can remain.

In addition, there are real challenges that endanger physical artefacts inside museums [86] such as degradation from day to day use (i.e. wear and tear), and sensitivity to humidity and light conditions [296] which leads to artefacts' deterioration, in addition, to sensitivity to fluctuations in temperatures [156].

Furthermore, museums often do not have sufficient exhibition space to show their large collections thus a large number of cultural heritage artefacts remain hidden and stored in archives while only a small percentage is shown to the public. For example, the Smithsonian collection alone constitutes more than 150 million artefacts/specimen with less than 2% on display to the public [380] and only 12% are actually prioritised for digitisation [379]. The question is can digital technologies help liberate the 98% from the shadows?

In contrast, open air sites and buildings have their own problems in terms of potential

destruction from extreme weather conditions (hurricanes, tornadoes etc.), and from pollution driven problems such as global warming [156] and acid rain, in addition to serious damage from earthquakes, vandalism and wars and conflicts [13]. For example, the marble of many Greek historic monuments including the Acropolis has been unfortunately eroded by the acid rain [13].

In addition, collection based institutions such as zoos, aquariums, botanical gardens and natural history museums are becoming more concerned with conservation issues during the last four decades due to the accelerate rate of deterioration to the environment and the loss of a big variety of animal and plant species [273].

With such pressing challenges, digitisation provides a needed rescue. The following section discusses the importance of digitising heritage for preservation and dissemination purposes.

1.1.1.2 Heritage and the Digital Domain

The digitisation of a heritage artefact or a monument involves creating a digital surrogate representation of it. Digitisation makes artefacts available to cultural and digital heritage stakeholders such as scholars, historians, museum curators, and members of the community. There is a recent trend for democratising all the aspects involved in the digitisation and dissemination of digital heritage assets. A trend supported by many mobile applications [448], commodity cameras and phones and free open source software.

In the past, digitisation of heritage artefacts required specialist equipment and expertise, but nowadays it is as easy as using commonly available phones, cameras and freely available software. Digital heritage artefacts, sculptures and buildings are captured and digitally reconstructed through techniques such as Photogrammetry [338], 3D Scanning [55] often called laser scanning and topographical techniques [314].

Digital heritage artefacts and virtual reconstructions are used by scholars, professionals in culture heritage, and museum curators to document museum artefacts, heritage sites and archaeological finds. They help facilitate the study of heritage material without being bound to physical access and temporal constraints. Digital reconstructions can restore lost heritage such as the example of the Buddhas of Bamiyan which were digitally reconstructed from images after their destruc-

tion [438]. In addition, virtual spaces act in some respect as a valid surrogate of the actual physical space [200]. For this thesis, one aspect we are concerned with is the quality of service in the provision of such digital experiences.

In addition, digital technologies serve a role to engage the community and foster participation, and preserve local cultural heritage which is viewed to be at risk. 3D models and reconstructions can be effective in promoting useful discussions and dialogues concerning heritage significance and where users can engage with new and novel perspectives of the history of the area and local heritage.

However with all the benefits of digitisation, there are understandably many fears, concerns and even sometimes anathema from a part of the heritage research community when it comes to digitisation or simulation of heritage [438]. There is a concern that the real interpretation, meaning and context of the heritage material would be stripped away by the surrogate digital reconstruction. People would attribute a fake, inaccurate and sometimes misleading interpretation to the simulation. As a concrete example, the virtual reconstruction of the St Andrews Cathedral in OpenSim [298] created by the Open Virtual World research group which the author of this thesis is part of, might create the impression of authenticity while in actuality the reconstruction is nothing but a hypothesis of how the cathedral might have looked like in its pristine days based on historical, and architectural records.

Another concern raised usually by archaeologists is that 3D reconstructions could become “closed boxes” thus could not be evaluated adequately and scientifically. Furthermore, these reconstructions can be without any particular purpose and would focus more on sophisticated computer graphics and artistic beauty rather than on being useful tools to help solve particular scientific challenges [75].

These concerns are highly debatable as many consider simulations and digital duplications of authentic artefacts a stimulus to increase their fame and awareness in the public [438]. In this thesis, we are concerned only with the quality of service of such environments in heterogeneous devices such as phones and desktop platforms and not with their authenticity or the debate surrounding that.

Despite the benefits of digitisation in cultural heritage, it is by no mean a long term preservation solution nor is a substitute for preservation efforts from the dangers and challenges explained in Section 1.1.1.1.

Today there is a broader use of end devices such as tablets and phones. As of 2018, 19.1 exabyte of Internet traffic per month comes from mobile devices [389] and as of February 2019, total web traffic of mobile devices excluding tablets accounted for 47.96 % of web page views worldwide. Over 60% of mobile phone web page views comes from the Asia continent [390]. These statistics show how much these devices are becoming a daily reality in our life. In many countries around the world such as the USA, mobile usage superseded the usage of desktop personal computers when it comes to the number of web visits [401].

This shows that the penetration of mobile devices is getting higher with each year. People connect their phones to a myriad of network connections ranging from WiFi broadband, 4G, 3G to 2G especially in rural areas. This leads to a major concern of how to view and engage with digitised material on the web for people in rural areas or in places with average or low internet speed. The average Internet broadband speed in 2017 varied enormously across the globe with countries on one side of the spectrum such as Yemen with an average speed of just 0.31 Mbps and Niger with average speed of 0.83 Mbps and on the other side, countries benefiting from high average speeds such as Singapore with 60.39 Mbps and Sweden with 46 Mbps [239]. Figure 1.1 shows the average Internet broadband speed across the globe.

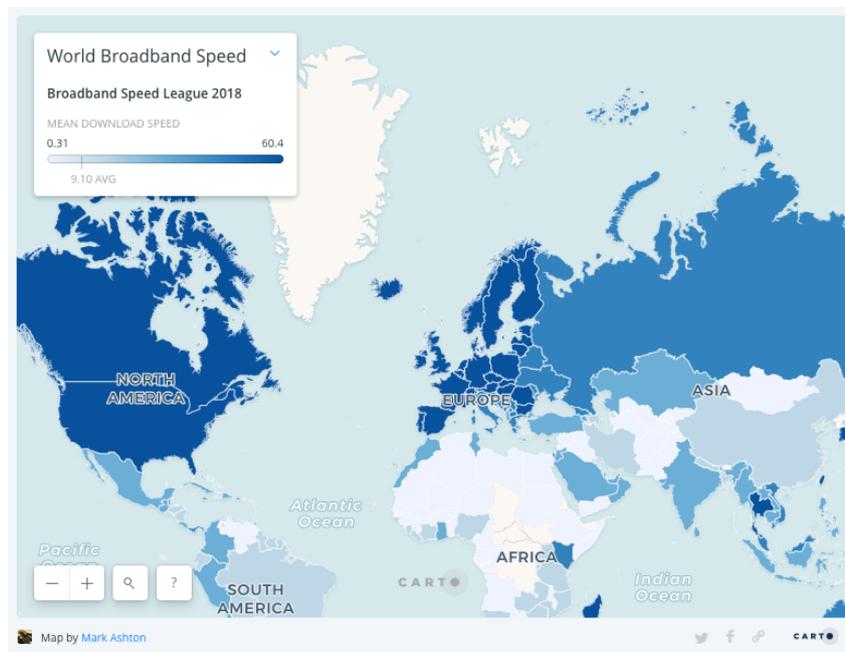


Figure 1.1: Map showing average World Internet Broadband speed [239]

The penetration of mobile devices coupled with variable network speeds impact the

ability to deliver digital heritage. A person in rural areas of Inverness in Scotland, is interested and willing to engage with high-end digital heritage objects in a virtual museum in Athens as much as a person living in London. Same can be said about countries in Africa or Asia. There is a need for a system that could adapt the delivery of the content to the device and network situation thereby achieving the best possible user experience.

The central aim of this thesis is to provide a solution that achieves adaptivity for 3D Web content in Web-Based Virtual Museums across heterogeneous devices and network regimes while achieving the best possible user experience. The thesis investigates the Quality of Service (QoS) and Quality of Experience (QoE) of the 3D Web used in Digital Heritage (DH) applications, particularly in Web-Based Virtual Museums.

This research adopts an empirical-based approach as defined by Campbell and Stanley [68] aiming to further the understanding of cultural heritage stakeholders and computer scientists of the QoS and QoE of different 3D Web components through a set of experiments culminating in the design and development of Hannibal, a QoS & QoE aware adaptive engine implemented in a virtual museum context.

One of the trends in digital heritage is dedicated to the design and implementation of Virtual Museums (VMs) [167]. In order to define and understand what a “*Virtual Museum*” is, it is pertinent to formally define first what a “*Museum*” is.

1.1.2 Museums

Museums are charged with the custodianship of local, regional, national and international collections. The International Council of Museums (ICOM) [202] defines a museum as:

“a non-profit, permanent institution in the service of society and its development, open to the public, which acquires, conserves, researches, communicates and exhibits the tangible and intangible heritage of humanity and its environment for the purposes of education, study and enjoyment”

International Council of Museums (ICOM) [202]

According to Burcaw [63], museums exhibit and collect objects of artistic, historical, educational and aesthetic values. The main characteristic of a museum is that it is bound by presenting physical objects to visitors in a physical space. Museums are by nature, information providers interpreting the history and culture of artefacts and collections to their visitors [58].

Historically speaking, one of the prominent examples of the ancient world museums was the museum of Alexandria in Egypt called the “*Mouseion of Alexandria*” founded in the third century AD. It had a collection of statuettes of thinkers, astronomical and medical instruments and botanical and zoological specimen. Access to the museum was limited to only the intellectuals and philosophers of that time.

During the middle ages, objects of religious significance were venerated and kept in churches, cathedrals, monasteries and royal palaces [14, 23]. These did not constitute museums in the modern sense of the term.

The switch to the modern form of public museums happened gradually over a long period of time. Private collections previously owned by the nobility, the royalty and the religious institutions of Europe began to be put gradually on display to the public. Museums became public in the late seventeenth century with the appearance of the first university museum in Oxford in 1671. The Vatican established several museums around the 1750s across Europe. The British Museum was founded in 1753 when the parliament purchased the natural science collection of Sir Hans Sloane and thus made the collection public. Another example can be given is that of the Palace of the Louvre designated as the museum of the republic in France in 1793. A big number of museums across Europe and the Americas started to emerge afterwards (Charleston Museum - 1773, Smithsonian - 1846, American Museum of natural history - 1870 among many others) [14].

The role of museums is to collect and preserve material of cultural and religious importance and to present it to the public. The aim is usually educational and recreational. Museums tell the story of man, that of nature and that of the cultural identity of a nation. They assist future generations to understand the history and culture of their forebearers [23].

Many researchers [255, 370] linked the role of museums to that of mass media by drawing the analogy of them being tools for informing, educating, entertaining and storytelling visitors thus making what is “*unfamiliar and inaccessible*” into “*familiar and accessible*”. However, museums differ from mass media like newspapers, books,

radio and television in the fact that they are bound to physical space whilst still providing the ability for their visitors to wander and interact with their exhibits.

The behaviour of visitors in museums is also similar to that of consuming mass media in the sense of the “*active dozing*” behaviour of cultural heritage artefacts. Visitors normally spent more time in front of the objects that they have a-priori knowledge or a-priori interest in and less time on other objects. This leads to a less valuable experience. There is not much learning in what the visitor already knows. Visitors experience could be easily enhanced by providing *edutainment approaches*. The need for a remunerative, efficient and attractive use of information and entertainment in museums, leads to the use of Information Technologies as a way to allow museums to compete with other leisure pursuits and mass media [356].

Storytelling in museums is taking a new dimension catalysed by the need of museums to make their cultural products more appealing to visitors. Therefore, museums can act as a “*primus inter pares*” meaning “*first among equals*” [308]. This means that another defining role of museums is to establish a direct, fruitful, even personal communication channel between, the museum as a communicator and the visitor as a receiver. These roles can be switched and the visitor can communicate his/her own experience and personal views with the museum. This becomes prominent with stories presented inside Virtual Reality, Augmented Reality and Web3D applications used in situ (i.e. inside the museum) or via the world wide web through the intermediary of Web-Based Virtual Museums.

The World Wide Web provides great opportunities to present and offer remote access to objects, collections and their corresponding information adding new enriching dimensions to traditional museums [212]. The web offers also a tacit advantage which is providing random access to heritage material at the ease of users. There is no “*sequentiality*” in the web the way physical museums often impose on their visitors through specific paths or exhibits. If a user is interested in a certain type of art or culture, she can directly access, engage and learn about specific artefacts and skim or ignore others.

The Web and other Information Technologies, such as serious games, mobile applications among others provide the new dimension of “*Virtuality* ” which supplement the physical nature of museums. The following section is an exposition of this dimension that took the form of what become known as *Virtual Museums*.

1.1.3 Virtual Museums

There has been for decades much discussion and debate [167] on the definition of a Virtual Museum (VM). This is due to the fact that the concept has evolved with the practices and the advancement of Information and Communication Technologies (ICTs). One of the relatively older definitions is that of Schweibenz, who defined a Virtual Museum (VM) as:

“A logically related collection of digital objects composed in a variety of media, and, because of its capacity to provide connectedness and various points of access, it lends itself to transcending traditional methods of communicating and interacting with the visitors being flexible toward their needs and interests; it has no real place or space, its objects and the related information can be disseminated all over the world.”

Werner Schweibenz [356]

From the above definition, Sylaiou et al. [394] ascertain that a Virtual Museum is essentially a related collection of digital artefacts of different media, thus it can take the form of a digital collection that is presented over the Web, a type of Virtual Museum known as Web-Based Virtual Museum (WBVM).

In a similar vein, a Virtual Museum can take the form of an informational kiosk (tethered maybe to a Personal Computer), or a Personal Digital Assistant (PDA) or mobile device system or even in the form of CDROM or other storage media as an extension of a physical museum. All of these forms can remain completely “imaginary” or completely “virtual” [394].

More recent works that underscore the process of theorising and defining more clearly and rigidly virtual museums are those conducted by the V-MusT Network. The Virtual MUSEum Transnational Network (V-MUST.NET) is a network of excellence funded by the European Commission under the 7th framework. Its objectives is to underpin the development of different types of VMs for educational and recreational purposes across Europe. In addition, it aims to study and define VMs on practical and theoretical levels [320]. V-MUST aims also to transform the next generation of VMs into systems that are more communicative, effective, and accessible [319]. V-MusT.net defined a VM in 2011 as:

“a new model of communication that aims at creating a personalized, immersive, interactive way to enhance our understanding of the world around us ... A VM is a "short-cut" commonly used to identify different digital creations (i.e. VR applications, CG animations, multimedia, web-based presentations, etc.) ... VMs are built as aggregations of content (digital libraries of 3D models, texts, images, geospatial data, audio, videos, etc.) and they are based on the use of ICT solutions for their development and deployment. ”

V-MUST Network [320, pp. 47–48]

Hazan and Hermon [187] proposed an updated working definition of the term Virtual Museum (VM) out of series of workshops, public debates and online discussions:

“A virtual museum is a digital entity that draws on the characteristics of a museum, in order to complement, enhance, or augment the museum experience through personalization, interactivity, and richness of content. Virtual museums can perform as the digital footprint of a physical museum, or can act independently, while maintaining the authoritative status as bestowed by ICOM in its definition of a museum. In tandem with the ICOM mission of a physical museum, the virtual museum is also committed to public access; to both the knowledge systems imbedded in the collections and the systematic, and coherent organization of their display, as well as to their long-term preservation.”

Hazan and Hermon [187, p. 6]

Hazan and Hermon [187] drew their definition on the characteristics of the physical museums. The VM is a location of rich content orchestrated to the display of collections prioritized to the needs and experiences of the visitors across a myriad of technologies and devices. A VM can either act a digital footprint representing an actual museum or can be a digital entity that do not represent anything in the physical world.

According to Hazan and Hermon, the VM opens up novel possibilities to harness user-driven approaches as well as innovative ways to interact with the physical and the non-physical. These users have different kinds of expectations and different modes of engagement when it comes to VMs. For example, the expectation from interacting

with an art virtual museum is different from interacting with a science virtual museum. Virtual museums are committed to promoting intellectual accessibility of culture. The VM replicates and reformulates the intellectual scholarly interpretations of artefacts and narratives.

Virtual Museums rely on presenting to their visitors, multimedia-based virtual exhibitions that might mirror actual temporary or permanent exhibitions thereto allowing easy access to objects that might not be available in the physical museums or might be too sensitive and fragile to display. Virtual Exhibitions can be “a draw” for all visitors who are local to the vicinity of the museum and can be beneficial in providing remote access for international visitors [58].

Traditionally, digital virtual museums presented cultural heritage artefacts on the web in multimedia forms such as audio commentaries, educational videos and images. In comparison to traditional media, 3D models and environments provide a more interactive and richer user experience.

Digital virtual museums mitigate many of the resources and space constraints found in traditional physical museums. In such museums, physical artefacts may be too large, harmful, fragile or incomplete to be presented to the public [404].

Virtual Museums on the World Wide Web, connect visitors from all around the globe giving them valuable information on objects and collections [270, 356]. In contrast with traditional physical museums, which have limited opening hours, limited physical space and incur a cost of travel; Web-Based Virtual Museums transcend all spatio-temporal limitations [58].

The web is the most democratic and accessible medium among all the Information and Communication Technologies (ICTs) that are purposed for digital heritage media dissemination. This provided the impetus to shift the role of the museum from being a passive repository to a more active and engaging one [356].

It should be noted that what is meant by the web as being democratic is not in the sense of being available to all countries or to all classes of society or being available in all contexts or situations. To illustrate this, the percentage of Internet usage in general and web usage in particular although on the rise globally, it is still lacking in many rural and impoverished areas especially in third world countries. Internet usage in many Sub-Saharan countries especially countries such as Tanzania is as low as 25%. The Internet Penetration in Africa in general as of March 2019 according

to Internet World Stats [391] is only 35.9%. This means over 60% of the continent remains without access to the Internet.

It is pertinent to situate the work in this thesis in its right context to avoid ambiguity since the term Virtual Museum (VM) used in some contexts by museology scholars [393] covers also the in situ Augmented Reality and Virtual Reality exhibitions.

This thesis focuses solely on the usage of the 3D Web for Virtual Museums and for Digital Heritage. VMs on the web are also called Web-Based Virtual Museums and will be referred throughout the thesis as such.

Please refer to Section 2.3 for an exposition on Web-Based Virtual Museums. On the one hand, Virtual Museums can be visited on-line through access over the Internet or over an Intranet by either: (1) web browsers (such museums are referred to as WBVMs as mentioned previously) (2) another mode of access is via native mobile applications such as Android or iOS applications (such museum applications are referred to as Mobile-Based Virtual Museums (MBVMs)), examples: Rubino et al. [345] and Madsen and Madsen [257]. On the other hand, Virtual Museums could be completely in-situ, meaning bound to physical locations inside physical museums, normally in kiosks. Examples of an in-situ setup are shown in the studies [8, 263, 340].

Figure 1.2 shows the three categories of Virtual Museums based on their location and mode of access. The work in this thesis is in the domain of Web-Based Virtual Museums (WBVMs) denoted in blue and underlined. It is possible to have a Digital Heritage (DH) system that involves both an on-line access and in-situ access in the same time. As an example, the system may present an on-line web-based virtual tour and a mobile-based virtual tour working in tandem using a location-aware navigation of the actual physical museum [153, 236, 288, 428].

Representative sample works in the category of WBVMs are that of the ARCO project (Augmented Representation of Cultural Objects) [427], Patias et al. [311], Goodall et al. [169] and Liarokapis et al. [247]. In Chapter 2, we survey and classify many WBVMs.

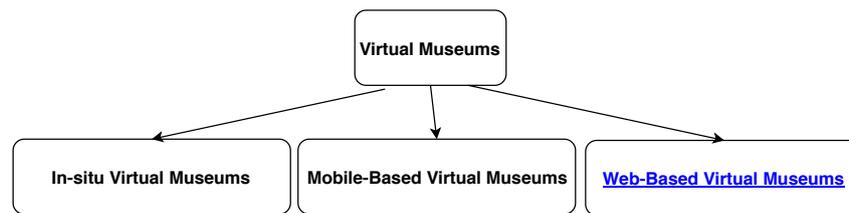


Figure 1.2: Virtual Museums based on location and mode of access

1.1.4 Web-Based Virtual Museums (WBVMs)

The advantage of using the web for CH dissemination was mentioned in the previous section. It boils down to being globally accessible especially compared to other ICTs purposed for the dissemination of heritage media. 3D Web technologies especially the ones that are plugin-free meaning they do not require the installation of a plug-in inside the web browser, such as WebGL, provide another advantage of using these technologies in WBVMs: which is the fact that they benefit a big part of museum visitors who are “*au courant*” and have the necessary digital literacies concerning using a web browser for banking, social media and purchases but do not have the “*savoir-faire*” in what concerns installing complicated software or plug-ins inside their web browsers to consume 3D cultural material.

It is now possible to host 3D heritage artefacts and disseminate them more easily on the web than it was possible in the past. 3D on-line social repositories such as Sketchfab [374] contribute to the democratisation of 3D material for any web usage or audience and more specifically in the context of cultural heritage and Web-Based Virtual Museums. Figures 1.3 and 1.4 show snapshots from the Smithsonian Museum X 3D WBVM [381] in web browsers on a desktop PC and a mobile device.

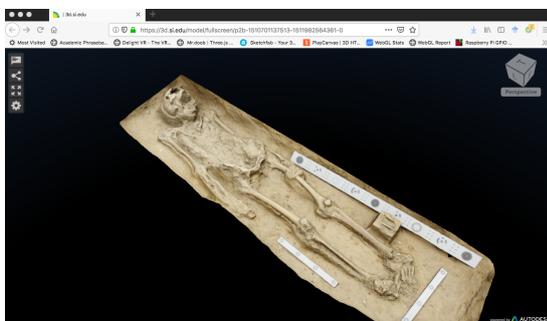


Figure 1.3: Smithsonian Museum X 3D WBVM on Desktop Screen - Mozilla Firefox



Figure 1.4: Smithsonian Museum X 3D WBVM on iPhone 7 Plus - Apple Safari

Another pertinent term that needs elucidation is “3D Web”. The following section clarifies terms that refer to different types of 3D Web environments and technologies described throughout this thesis.

1.1.5 3D Web

“3D Web” and “Web3D” are two commonly used terms throughout the literature [426]. “Web3D” is a term used in graphics parlance to designate all tools, technologies, programming languages and Application Programming Interfaces (APIs) that are used to create and embed 3D interactive content on the traditional World Wide Web. They can be categorised as free, open source or standardised, typically embedded, or tools that require web browser plug-ins. One of the most important annual conferences on the topic of Web3D technologies and their usage in different domains is the ACM Web3D Conference organised and supported by the Web3D consortium and where research is published annually in the Proceedings of the International ACM Conference on 3D Web Technology [426].

The term “3D Web” is a more general and encompassing term which means embedding Web3D technologies into the Web. It also designates in similar vein, the *resulting 3D environments* or the *resulting 3D content* created by Web3D technologies. In the common academic discourse, this difference is not emphasised and both terms “3D Web” and “Web3D” are used interchangeably to mean the same type of environments and technologies throughout the research community [426].

In recent years, major web browsers began to exclusively use plugin-free technologies for Web3D such as WebGL, WebVR and WebAR, rendering obsolete many plug-ins such as Microsoft Silverlight [279] and Unity Web Player [414] among many others, that used to be essential tools to present 3D content on the web. Web environments that contain Web3D content can generate a normal web page containing 3D content together with the traditional 2D content.

It should be noted that the term “3D Web” is also used by Multi-User Virtual Worlds (MUVWs) researchers differently to what is agreed on in the Web3D community [426]. MUVWs such as OpenSim [298], Second Life [251] and Open Wonderland [301] are not web-based and the majority of their architecture constitute a Client/Server paradigm. According to MUVWs researchers, the concept of 3D Web in such environments refers to the idea of “Hyper-Grids” [299] or “Web of Metaverses”

also known as “*Web of Multi-User Virtual Environments*”. This idea is emphasised in MUVWs such as OpenSim and Open Wonderland where an avatar can move from one virtual environment to another, even of different types and in different geographical locations or administrative domains, through *portals*. One can only see why the terminology was used to show the analogy of moving between virtual worlds versus how a user can move on the traditional World Wide Web from one *HyperText document* or web page to another via a *hyper-link*.

MUVWs such as Second Life, OpenSim and Open Wonderland have been used for immersive educational activities [265, 317, 392] and for CH applications [362, 416]. These MUVW systems have their own communication models, programming languages, interfaces, and rendering schemes. By comparison, the web consists of standardised protocols such as HyperText Transfer Protocol (HTTP), HyperText Markup Language (HTML) and JavaScript. The web and its technologies provide a platform that is globally accessible.

This thesis uses the term “*3D Web*” or “*Web3D*” to represent the official designation of both terms used by the 3D Web community [426] i.e. 3D technologies on the world wide web and not that used by Multi-User Virtual Worlds research community such as the designation used by OpenSim which came later.

There are different categories that constitute the *3D Web* in the sense that is adopted in this thesis and which follow the categories used in the literature of Web3D community [426]:

1. **The first category** takes the form of Web-Based Virtual Worlds (WBVWs) which are complete 3D environments on the web navigable by one or more avatars (i.e. digital representations of users) that can or cannot interact with each other. Web3D Technologies, mainly WebGL [262], and web communication protocols, mainly WebSocket [152] and WebRTC [430] made this possible.
2. **The second category** takes the form of 3D models or artefacts: these artefacts are normally created by graphics software such as Blender [190], Autodesk Maya [305] or 3ds Max [281] and are then rendered on the web through many Web3D tools and languages such as X3D [62], O3D [312] and WebGL [221]. The 3D models can also originate from capturing and digitally reconstructing real world artefacts or buildings through techniques such as Structure From

Motion, also known as Photogrammetry [338], 3D Scanning [55], often called laser scanning, and topographical techniques [314].

In this category, navigation through avatars is completely absent as the aim is to provide the ability to interact with the 3D models from different vantage points (i.e. zooming in and out, panning and rotating).

Well-known social web repositories such as Sketchfab [374], Microsoft remix3d [102] and Google Poly [170] can host myriad types of 3D models on the web.

3. **The third category** takes the form of spherical/stereoscopic media, which can constitute spherical image scenery such as Panoramas or Photospheres [18] (also known as 360° images). Spherical media can also consist of 360° videos [323] (also known as Videospheres). Famous social web repositories for 3D image scenery such as Roundme [343] and Google Poly [170] allow users to upload and share Photospheres and tours of Photospheres. Social Video repositories, such as YouTube [172], allow users to upload, playback and stream 360° videos.

1.2 Motivation

3D Web content is becoming an integral part of any Web-Based Virtual Museum (WBVM) [271]. Furthermore, mobile devices are being used more and more in the domain of virtual museums and cultural heritage [177, 344]. Choreographing and presenting 3D Web components across multiple platforms (mobile devices, tablets and personal computers) and network regimes (WiFi, Ethernet, 4G, 3G and 2G) present a significant challenge yet to overcome.

The challenge is to achieve a good user Quality of Experience (QoE) across all these platforms which have different characteristics and capabilities. This means that different levels of fidelity and complexity of media may be appropriate. Therefore servers hosting those media types need to adapt to the capabilities of a wide range of networks and devices.

To achieve this, there is a need to design and develop an adaptive QoS and QoE aware engine that allows Web-Based Virtual Museums to deliver the best user experience across those platforms.

In order to ensure effective adaptivity, we need to know what matters to the user in terms of fidelity and in terms of QoS such as responsiveness of the 3D Web categories used in Web-Based Virtual Museums (WBVMs). We need to know that, for different media types, there are perceptible and particular qualities in terms of service and in terms of user experience.

The way in which this thesis proposes to approach achieving adaptivity in Web-Based Virtual Museums is by supplementing existing semantic 3D Web metadata vocabularies with QoS-related metadata. This metadata will make available the characteristics of the media to applications which will enable them to make decisions about what is the right resolution to fetch to client devices.

An “*intermediate*” approach as described in [175] was used to keep the descriptions of 3D Web artefacts separate from them. This allows us to have complementary information about digital artefacts that helps us in improving the Quality of Service delivered and allows us easily to use management tools to search and locate 3D media information.

It would be useful for WBVMs to represent 3D scenes and artefacts across multiple platforms and network regimes at the best possible user experience.

An important characteristic of virtual museums is the ability to document and supplement *additional information* to digital artefacts. This is done by enriching digital artefacts semantically with what is called “*Metadata*”. Metadata is data about data or data that comes alongside with other data.

Meta a prefix coming from Greek origins means *alongside or with*. This prefix was also used in some contexts to mean “*transcendental*” or “*beyond a certain realm*”. Metadata normally are presented in the form of attributes or characteristics of artefacts. Such metadata are machine-readable (i.e. can be read and processed by applications) and are human-readable.

Metadata play important roles in the context of virtual museums:

1. They facilitate the task of searching and retrieving digital artefacts from repositories thus making the process more intelligent and efficient.
2. They add semantics to those artefacts, thus insuring more complex relationships

between them.

3. They document important information pertaining to their provenance, authorship, contributorship, creation and issuance dates, accrual method and periodicity, access rights, heritage significance, copyright licences among many other important characteristics.

“Paradata” can also be supplemented to digital heritage artefacts. “Paradata” are all the processes or methodologies of how particular data came to be. Paradata for instance, in the context of 3D Digital Heritage artefacts, detail all methodological and processual information that underpins the 3D digitisation and visualisation. They can include the people involved, the duration of the process, the time of the day of when the process is conducted in the case of digitisation and the equipment and software used [76]. In addition, they document also any intellectual research capital [35, 110, 111].

QoS metadata of 3D Web components could be derived from 3D Web data characteristics and may constitute information about 3D Web components such as size, fidelity (i.e. resolution), Average Frame Rate, and compression types among other data that would characterise a specific 3D Web component in question.

A Web-Based Virtual Museum for instance, would have different instantiations (i.e. resolutions) of particular 3D Web components and would choose the “*best possible instantiation*” with the “*best possible resolution*” to fetch depending on the graphical capabilities of the client devices, depending on the network regimes and depend on QoE considerations. More importantly, the decisions are made based on the results that were gathered from the Quality of Service and Quality of Experience studies conducted in this research corpus, emphasising the pertinence of the subjective perception of fidelity of DH 3D Web content from users’ perspective.

Can casual WBVMs users notice the difference in perception of resolution between a 3D model that has a fidelity of 5 million faces and another same exact replica which has a fidelity of 450 thousand faces or even less on a 5 inch screen mobile device? If not why send the 3D model with the 5 million faces? That would mean overcommitting unnecessary hardware resources that do not add much to the user experience, in addition to resulting in lower performance and higher download and processing time. The subjective perception of fidelity is examined in Chapter 5 and the results were published in [28].

The client hardware and software capabilities would be determined by how much the client device can render graphics (for example, by doing a WebGL benchmark), by the type of the device (mobile or non-mobile), size and resolution of the screen, and its operating system among other characteristics.

Network conditions would be the type of the network the client device is connected to (WiFi, Ethernet, 4G, 3G), the download speed, upload speed and latency among other network characteristics.

The three types of information feed a QoS and QoE aware adaptive engine (Hannibal) that decides automatically what is the best 3D Web component instantiation to fetch to a particular client device depending on its “*situation*” (graphical capability and network conditions). Figure 1.5 shows the input and the output of the adaptive engine.

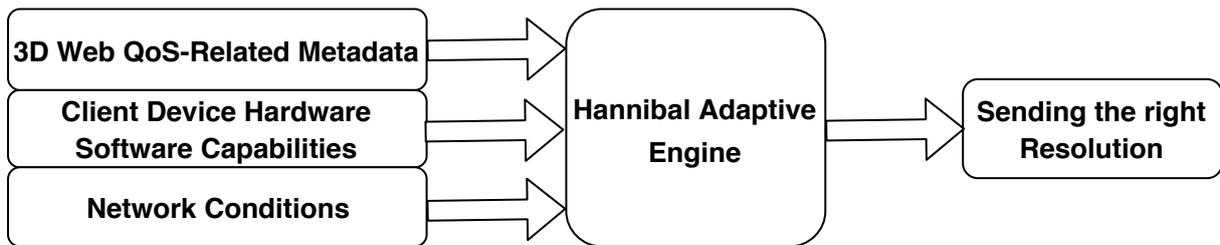


Figure 1.5: Hannibal QoS & QoE Aware Adaptive Engine

Figure 1.6 shows the overall work conducted in this thesis. A set of QoS/QoE experiments on 3D Web components will feed the design and implementation of an adaptive engine (Hannibal) which is implemented in a WBVM.

1.3 Research Scope

This thesis does not consider the study of QoS and QoE of flat, spherical or stereoscopic media such as images or videos since these media were studied extensively in the literature [277, 363, 424, 432, 444].

The thesis does not tackle fields pertaining to the QoE of 3D Digital Heritage environments but only the QoS of such environments. Many studies in the literature have already tackled this. The reader is advised to read Section 2.5. Nevertheless, there are very interesting avenues of future research in this regard (might be good

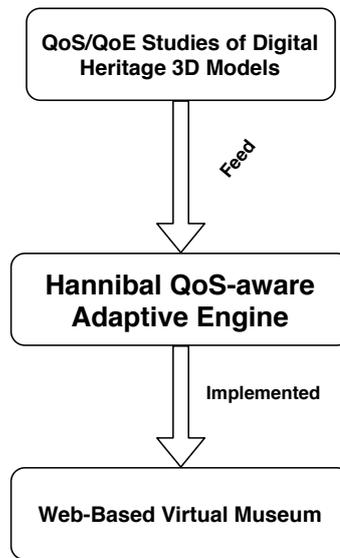


Figure 1.6: Research Overview

Ph.D topics), particularly the perception of fidelity of different Levels of Detail (LODs) of DH WBVWs which could feed an adaptation engine such as Hannibal (Chapter 7) that would decimate into lower resolutions an environment.

This thesis opens a new field: perception of fidelity of digital heritage 3D Web content across devices of different screen sizes, across 3D Assets file types among many other angles mentioned throughout the thesis.

Please refer to the conclusion Chapter 8 for many ideas worthy of research that spawn from the investigations conducted throughout this thesis. It is believed that the normal readers of a PhD thesis, other than the examiners, are after all future Masters, PhD candidates and the public.

1.4 Thesis Statement

The thesis statement of this research is that: “through supplementing digital heritage semantic web vocabularies to include Quality of Service related metadata, we can achieve adaptivity of 3D Web content in Web-Based Virtual Museums and this leads to improved Quality of Experience across the range of network regimes and client devices.”

1.5 Research Questions

Given the antecedent statement, the *main* research questions that shaped this research are stated as follows:

- **RQ1:** What are the main digital heritage 3D Web technologies used throughout the literature and what taxonomies can the 3D Web be classified upon?
- **RQ2:** How do digital heritage Web-Based Virtual Worlds (WBVWs) perform and what are their bottlenecks and limitations?
- **RQ3:** How does the QoS relate to the QoE for 3D Web components used in Digital Heritage across the range of QoS regimes?
- **RQ4:** How can semantic web digital heritage vocabularies be extended to provide the sort of information required for adaptive 3D Web content?
- **RQ5:** How to utilise cross-aware 3D Web adaptive engines in Web-Based Virtual Museums that achieves the best possible user experience?

These questions are addressed in the thesis as follows:

RQ1: is addressed in [Chapter 2] which defines and clarifies the 3D Web. Appendix C classifies technologies of 3D Web into different taxonomies.

RQ2 & RQ3: is investigated through a set of empirical studies investigating the QoS of Digital Heritage Web-Based Virtual Worlds [Chapter 4] and QoS and QoE of 3D Digital Heritage Models on the web [Chapter 5].

RQ4: is addressed in [Chapter 6] on two levels. The first level is a theoretical one, involving the proposal of Virtual Museum Quality of Service Ontology (ViMQO), a QoS-related metadata ontology that encompasses the Europeana Data Model (EDM) [141, 144], a digital heritage semantic vocabulary which itself is built on the Dublin Core Schema (DCS) [126]. The second level is a practical one which involves providing custom QoS metadata in Omeka Digital Asset Management System [87]. Omeka is used extensively for managing and storing web-based digital media and documents by museums, libraries, archives, and scholarly collections and exhibitions.

RQ5: is addressed with the design and implementation of Hannibal [Chapter 7] which showcases and gives a proof of concept of a cross-platforms adaptive engine for the 3D Web implemented in a subset of an actual WBVM which was created by the Open Virtual World Group of the University of St Andrews as part of the EULAC Web-Based Virtual Museum initiative [138].

1.6 Contributions of this research

The following major contributions of the thesis can be summarised as follows:

- C1:** Surveying 3D Web technologies, the types of environments they constitute, and their benefits. This contributed to the literature in this regard [Chapter 2]. The work was published in [32].
- C2:** Developing our understanding through measurement studies of the performance, limitations and bottlenecks of digital heritage WBVMs [Chapter Chapter 4]. The work in Chapter 4 was published in [30].
- C3:** Developing our understanding through measurement studies of the performance, limitations and bottlenecks of digital heritage 3D models [Chapter 5]. The subjective perception of fidelity work in Chapter 5 was published in [28].
- C4:** Developing the relation between fidelity, Quality of Service (QoS) and Quality of Experience (QoE) for each media that forms up the 3D Web [Chapters 4 and 5].
- C5:** Developing Hannibal, an adaptive engine that optimises the QoE for a particular QoS of 3D digital heritage models [Chapter 7].
- C6:** Proposing a new ontology dubbed Virtual Museum Quality of Service Ontology (ViMQO) that supplements semantic web vocabularies such as Dublin Core (DC) and EDM to include QoS-related metadata in the context of Web-Based Virtual Museums [Chapter 6].
- C7:** Creating and discussing a set of best practice recommendations to guide WBVMs stakeholders concerning DH artefacts and environments, mainly in the context of web dissemination [Chapters 4, 5, and 7].

There are also secondary contributions that resulted from conducting the work in this thesis:

- c1:** Defining definitions and taxonomies of the 3D Web [Appendix C].
- c2:** Contributing two Python applications used for decimating (i.e. decreasing the resolution) in bulk of 3D digital models into specified lower resolutions and another Python application used for transforming automatically a 3D model into a GIF and 360° image sprite representations.

Contribution C1 is actualised in the survey that was conducted in the beginning of this research on 3D Web technologies and is described in part in Chapter 2. This survey, published in [32], stimulated the interest in such technologies. The web is attractive, very democratic and with minimum required digital literacy among users of all ages, compared to other mediums used in digital heritage and education such as multi-user virtual worlds and serious games. The research opened a new horizon of investigating such web environments especially for studying the limits and performance bottlenecks of graphically complex reconstructions and digitised Web3D models.

Contribution C2 is actualised in the work conducted in Chapter 4 on the Unity 3D Caen township reconstruction in the form of two web-builds: the Unity Web Player and the WebGL version of the same environment. The findings, which were corroborated and confirmed by two subsequent studies published by other authors (refer to Chapter 2), show many limitations of such web environments especially with low FPS in places condensed in graphical complexity thus leading to a bad user experience. The chapter will present many recommendations of how to enhance and optimise these environments for web consumption. The work [30] was the first to study Unity 3D web environments from a QoS perspective and contributed to the literature in this regard.

Contribution C3 is described in Chapter 5 which investigates the QoS by capturing metrics on 3D digital heritage artefacts that comes from the traditional digitisation pipeline of tangible cultural heritage holdings (i.e. Photogrammetry and 3D Scanning) and which studies the QoE of the resulting 3D models in particular from the angles of perception of visual latency and subjective perception of fidelity. The part pertaining to the perception of fidelity was the first work to study this topic on 3D heritage artefacts and on the 3D Web. It is the first work to study perception of fidelity thresholds: the upper threshold above which people do not notice differences in perception of fidelity and the lower threshold under which the resolution of 3D models becomes unacceptable for users. The work was published

in [28]. We suggest this work opens the door to a completely new field for digital heritage and for 3D Web quality studies.

Contribution C4 is described in both empirical chapters 4 and 5 by studying the relationship that is sometimes tacit between fidelity (i.e resolution of the 3D model/environment) and performance and QoS. There is a trade-off between performance and fidelity. High fidelity of 3D content leads to bad performance and by consequence bad user experience, while low fidelity content although benefits directly performance and download time, is intolerable by users and leads to a bad user experience. This thesis is concerned with the study of this trade-off. With that in mind, the matter is a bit complicated: where is this middle sweet spot region across different devices and network regimes? The work in this thesis tackles that and opens the door for further work to be done in this regard.

Figure 1.7 is a diagram that presents the trade-off between QoS (Download time, Processing time, Performance etc.) and fidelity of 3D Web content and shows the sweet region of fidelities or resolutions that achieve the best possible trade-off. This region is different across a wide spectrum of client devices and network conditions.

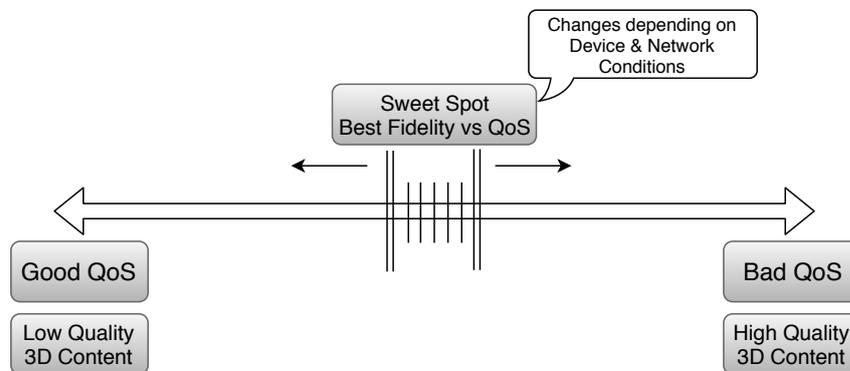


Figure 1.7: Tradeoff between Fidelity and QoS

Contribution C5 is actualised in the work reported in Chapter 7. Hannibal, the first adaptive engine for 3D Web that is actually QoS and QoE-aware. The work in Hannibal constitutes a major contribution to the literature in the field of adaptivity of 3D Web in digital heritage and non digital heritage contexts.

Contribution C6 is actualised in the work reported in Chapter 6. A new ontology called Virtual Museum Quality of Service Ontology (ViMQO) that encompasses the QoS related metadata on 3D heritage models needed for adaptivity and other system level purposes. The ontology is Resource Description Framework (RDF) compliant

and is expendable to other media and environments pertaining to WBVMs. To the best of my knowledge this is the first ontology that takes the QoS aspect into consideration and in the same time is contextualised for web-based virtual museums.

Contribution C7 is actualised in the suggestion of recommendations and best practices when it comes to disseminating environments and 3D models on the web. It aims to guide cultural heritage stakeholders and computer scientists on the best fidelity knowing the performance and download times.

1.7 Thesis Structure

A short description of each chapter in this thesis is given below.

Chapter 1 - Introduction gives the motivation, overview and scope of this work.

It presents the thesis statement and then expounds its salient components. The chapter delineates also the research questions and discusses where they were addressed in the thesis. Furthermore, the contributions of the current research are stated.

Chapter 2 - Literature Review begins by surveying 3D Web technologies used in digital heritage including Web-Based Virtual Worlds. Then the chapter proceeds with surveying Web-Based Virtual Museums and discussing their importance for disseminating to a larger audience cultural heritage substance. Then the chapter details previous work on the 3D Web in terms of Quality of Service and Quality of Experience. Afterwards, previous attempts to achieve adaptivity of 3D Web content in the literature are exposed, comparing and contrasting the pros and cons of the myriad methods used. Finally, a cursory discussion is presented of the most important semantic digital heritage and 3D modelling vocabularies that are germane to the work reported in this thesis.

Chapter 3 - Methodology The first part of this chapter details the overall research methodology used throughout the thesis. It restates the research questions and provides the rationale behind the research methods employed in this work. The second part is an overarching exposition of all the experimental procedures used for the QoS experiments of DH WBVMs and for the QoS/QoE experiments of 3D Digital Heritage artefacts.

Chapter 4 - 3D Web-Based Digital Heritage Environments presents a detailed empirical exposition of the QoS of the digital heritage WBVWs on two builds, one in Unity web player and one in WebGL. The WBVWs are web-based Unity 3D reconstructions of the Caen township created for the Timespan Museum & Arts Centre in Helmsdale, Highland, Scotland. The reconstructions depicts how the Caen township looked like in pre-Kildonan clearances era [266]. In addition, the chapter covers the limitations, the QoS bottlenecks and suggests many recommendations and best practices for the web delivery of such environments.

Chapter 5 - 3D Digital Heritage Artefacts contains a detailed empirical exposition of the QoS of DH artefacts on different devices and network regimes. In terms of QoE, subjective perception of fidelity of 3D DH models on the web was studied in order to discover perceptible resolution thresholds. This helps create 3D Web models of reasonable graphical complexity that could be fetched on the biggest range of end devices while achieving good QoE. The 3D models were hosted on Sketchfab repository.

Chapter 6 - QoS-Aware Semantic Digital Heritage contains a proposal to supplement the Europeana Data Model (EDM) which is built on the Dublin Core Schema (DCS) metadata standard, to support the capability for applications to be able to utilise those metadata for achieving adaptivity purposes. The chapter presents the usage of a proposed ontology dubbed ViMQO and a practical implementation of such QoS-related metadata inside Omeka [87], a popular Digital Asset Management System.

Chapter 7 - Hannibal - contains a detailed exposition of the design, implementation and deployment of "*Hannibal*", an adaptive QoS & QoE aware engine implemented in a WBVM. The chapter contains also a detailed evaluation of Hannibal from a technical perspective by comparing the QoS metrics in different platforms and network regimes scenarios, of when the WBVM uses Hannibal versus when it does not. The advantages and limitations of Hannibal are also elucidated.

Chapter 8 - Conclusion And Future Work concludes the thesis, reiterates the research contributions, states limitations of the work and postulates avenues for future research.

1.8 How to read this thesis

This thesis aims to further the understanding of many types of readers belonging to diverse stakeholder communities with different foci of this research. Figure 1.8 shows a block diagram of three different reading paths. It is strongly advisable to read all the chapters in order to get the best out of this thesis but one can choose a certain path for an abridging effect according to the reader's specific interests as shown in Figure 1.8. Readers interested in the investigation of QoS and QoE of the 3D Web would be advised to follow Path A with emphasis on empirical chapters 4 and 5. Readers who are just interested in adaptivity approaches of 3D Web in the literature and the adaptivity approach provided in this corpus of work are advised to follow path B with an emphasis on Chapter 2 (Section 2.6), Chapter 6 (Section 6.7) and more importantly on Hannibal, the adaptive engine (Chapter 7). Museum stakeholders who are interested in metadata that document artefacts, and who want to get a quick overview of the most important recommendations and conclusions especially when it comes to the quality of delivery of heritage 3D content on the web, can appreciate following Path C.

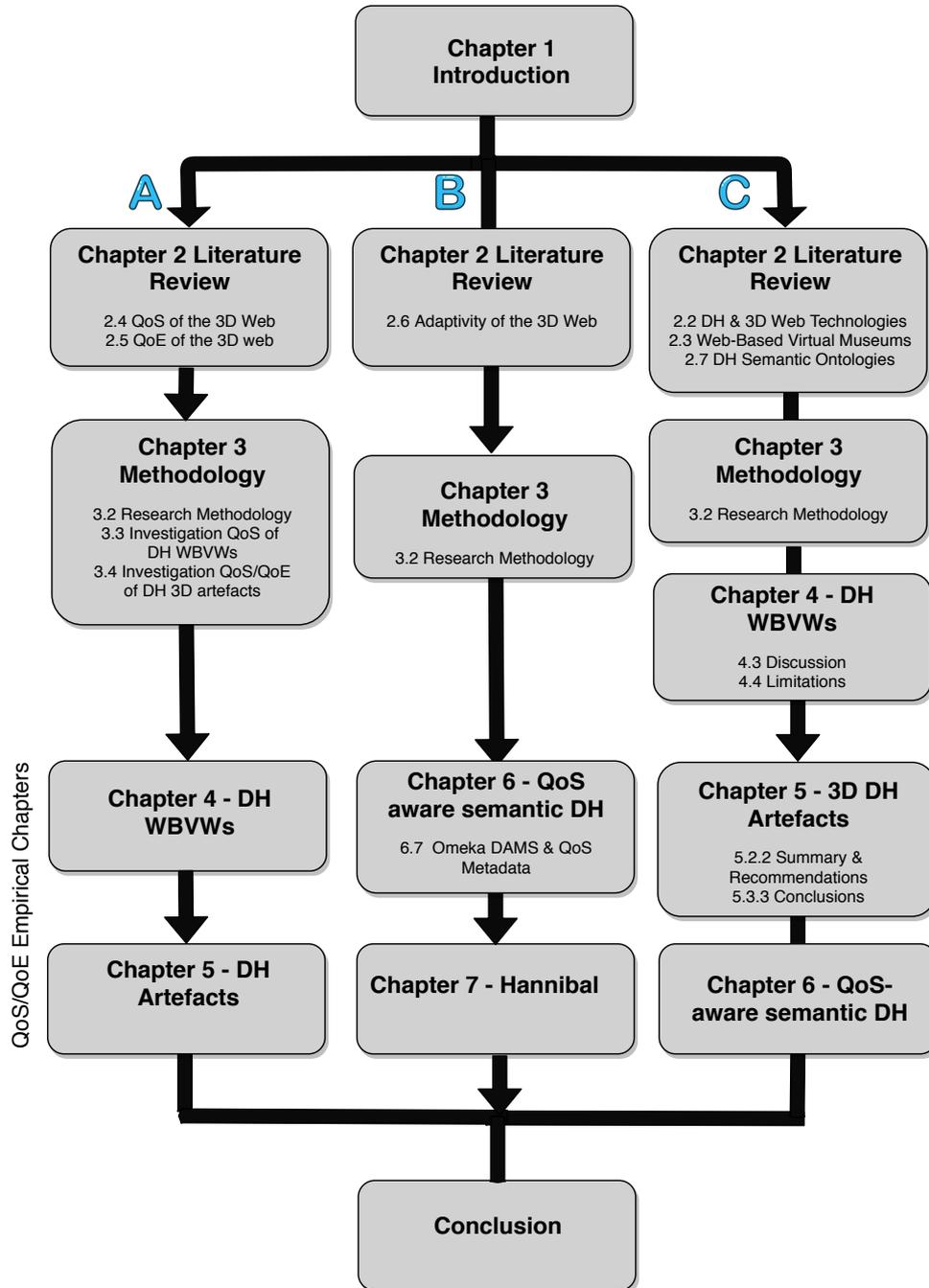


Figure 1.8: Overall thesis block diagram - Path A for readers interested in QoS and QoE, Path B for readers interested in adaptivity approaches of 3D Web, and Path C for readers interested in metadata and recommendations.

Part II

Background & Methodology

Literature Review

X3D and WebGL are two standards for the 3D Web which have allowed more access to low level graphics hardware thus making Virtual Museums (VMs), games and 3D modelling on the web, a tangible reality. Adaptive content delivery of web-based 3D material remains an important challenge especially in the context of virtual museums. Many attempts in the literature have approached this challenge from different perspectives, leading to many solutions such as remote rendering, mesh compression and decompression methods, progressive multi resolution meshes, progressive transmission and streaming approaches. Unfortunately, all of these solutions solved some aspects of the challenge but left others unsolved. This chapter starts by shedding light on the state of the art technologies of the 3D Web without which webizing the dissemination of 3D heritage artefacts would be impossible. Then, the chapter proceeds to review web-based virtual museums and their importance for disseminating, to a larger audience, cultural heritage substance. This chapter also presents studies undertaken on the QoS and QoE of the 3D Web situating the current work in this thesis in these two under-researched fields which constitute empirical quantitative metrics and user-based research. Different adaptivity techniques achieved on the 3D Web are also presented, contrasting and comparing them in terms of merits and flaws, in order to contextualise the proposed technique adopted in this work and to show its benefits. Finally, an overview of the most important 3D models and virtual museum ontologies relevant to the work in this thesis is presented.

Relevant peer-reviewed publication:

1. **Bakri, H.**, Allison C., Miller A., Oliver I. (2016) Virtual Worlds and the 3D Web - Time for Convergence?. In: Immersive Learning Research Network. iLRN 2016. Communications in Computer and Information Science, vol 621. Springer, Cham [32].

2.1 Introduction

This chapter presents a general background and related literature review of different areas that are salient to this work aiming to contextualise what will be addressed in the remaining chapters of this thesis. The chapter presents a background of 3D Web technologies and their usage in Digital Heritage (DH) context. It discusses different examples of Web-Based Virtual Museums (WBVMs) and surveys the literature for any adaptivity approaches in such systems. It proceeds by presenting studies on QoS and QoE in the context of 3D Web relevant to the work done in Chapters 4 and 5. The chapter then details research from the literature on adaptivity approaches of the 3D Web. Such approaches are germane to the work done in Chapter 7. Finally, several ontologies relevant to the work done in Chapter 6 are presented.

This chapter aims to accommodate many types of readers interested in different aspects of this research. Figure 2.1 presents a block diagram of the chapter structure showing suggestions of a few reading paths catering to different interests. It is advisable to read all the sections to get the best out of this survey, but one can choose a certain path as shown in Figure 2.1. All paths give the reader a basic understanding of the work done in this thesis by surveying WBVMs, 3D Web technologies used in DH, common 3D file assets formats such as Wavefront OBJ and glTF used in DH and ontologies related to DH and the 3D Web. Path A gives in addition, a related survey on QoS and QoE studies conducted on the 3D Web while path C surveys adaptivity approaches done previously on the 3D Web detailing their advantages and disadvantages.

The following section details different common 3D Web technologies and their usage in the DH domain.

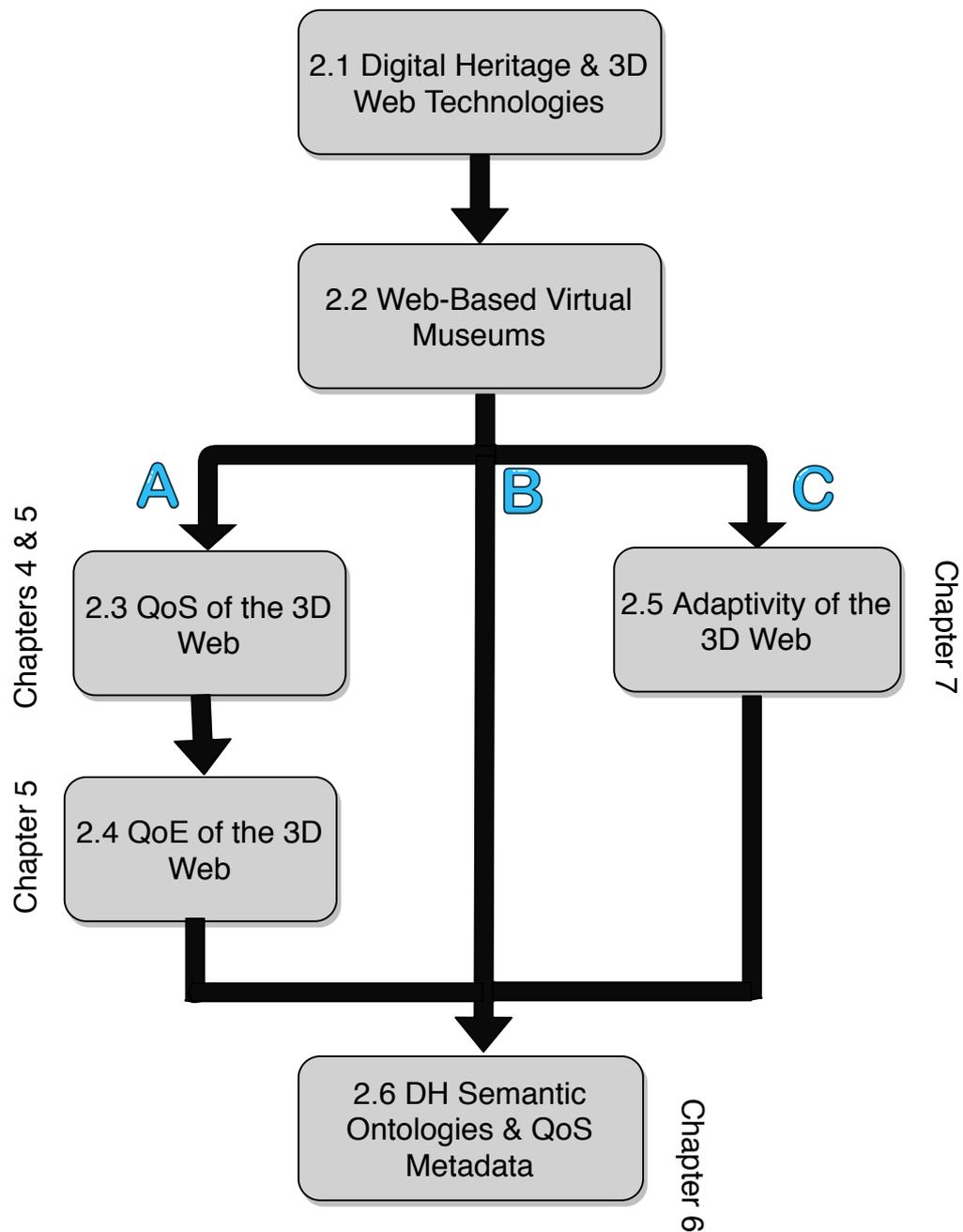


Figure 2.1: Block Diagram of Chapter 2 sections that shows different reading paths. It also shows which section is germane to which chapter in the thesis

2.2 Digital Heritage & 3D Web Technologies

The web began in a very humble state back in the early nineties where static text and images were the only reality of many web sites. Content and new technologies were added to the web stack pushed and catalysed by the need for more interactive and visually appealing content. The web became convenient, lucrative and attractive to many businesses, thus leading to quick developments in web-based multimedia technologies and applications. The appearance of languages such as Cascading Style Sheet (CSS), Java, JavaScript and techniques such as Asynchronous Javascript and XML (AJAX)/Asynchronous Javascript and JSON (AJAJ) became an inevitable sequitur of the World Wide Web technological progress, thus making hosting rich and responsive multimedia content on the web possible and desirable by users.

Rich multimedia, animations and 2D games began to appear in the mid-nineties. They were facilitated by technologies such as Adobe Flash [3] which was previously Macromedia Flash. One major nuisance for many users at that time was the requirement to install and continuously update plug-ins needed for the technology to work in web browsers.

Standards such as Scalar Vector Graphics (SVG) which is a format based on Extensible Markup Language (XML), allowed 2D content drawing to be possible on the web. SVG files were easily integrated into the Document Object Model (DOM) of HTML web pages. SVG is not controlled by JavaScript but instead has its own mark-up language to draw 2D in a declarative manner [151].

The *canvas element* was introduced at a later stage into HTML to allow drawing 2D content and animations easily but the difference from SVG is that the canvas uses an imperative paradigm of programming meaning it is controlled by a procedural language such as JavaScript [65].

There has been a considerable delay in the emergence of 3D content on web platforms in terms of delivery and visualisation compared to traditional digital media such as images and videos and this is due to higher requirements of 3D graphics in terms of computation powers of client devices [113].

This began to change in recent years as we witnessed a steady and continuous proliferation of processing power, graphical capabilities of personal computers and mobile devices and a massive increase in available bandwidth. In addition, we

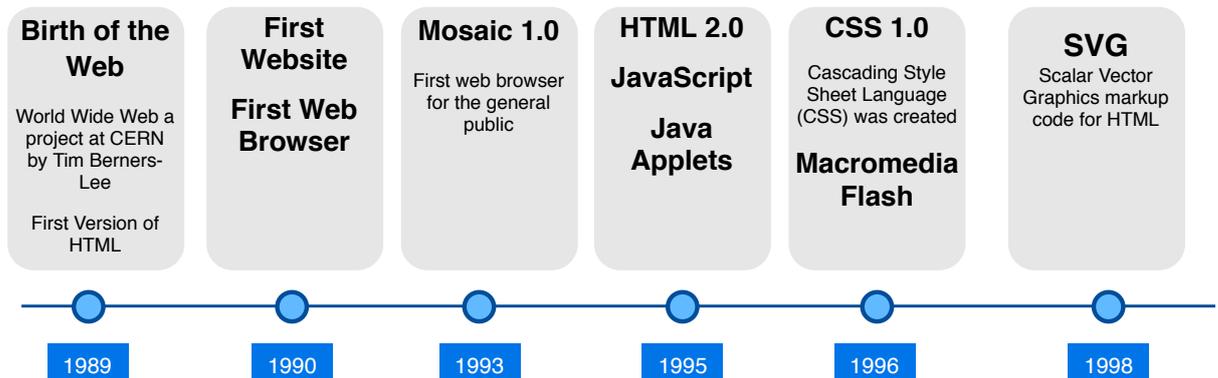


Figure 2.2: Timeline of some key Technologies of the World Wide Web

witnessed the development of many 3D Web technologies such as eXtensible 3D (X3D), Oak3D and Web Graphics Library (WebGL) [32], taking advantage of the increased graphical capabilities of client devices.

The attraction of the web for delivering 3D objects and worlds is the nature of the web itself, which is very “*democratic and accessible*” to ordinary technology users. In addition, with the case of 3D material on the web (3D Web), the same content might be displayed on a wide range of devices and environments.

3D graphical applications which were reserved only for powerful desktop machines became a possibility on the World Wide Web by the advent of Virtual Reality Modelling Language (VRML) [70], heralding a new era where web browsers access some of the dedicated hardware for graphics.

The following section details the most commonly used technologies, tools and languages used for rendering 3D graphics on the web. In addition, it provides some example usage of each technology in the domain of digital heritage.

2.2.1 Rendering Technologies and Languages

In lay terms, “*Rendering a.k.a image synthesis*” is the process of transforming 3D data (a 3D Mesh or a 3D Environment) into a set of 2D images to be shown on flat 2D screens [197]. In other words, 3D data is transformed into 2D images or 2D “*frames*”. Thus one could see why metrics such as Frame Rate a.k.a Frames per Second (FPSs) are an important measurement for any 3D graphics application. Higher Frame Rate signifies better performance. The 2D images have to be updated

regularly with rates higher than those perceivable by the human eye.

The availability and ubiquity of the web has given rise to many examples of Virtual Worlds (VW), Virtual Reality (VR), Augmented Reality (AR), Mixed Reality (MR) and Cross-Reality (XR) applications in the DH domain. Open specifications that helped accelerate this are WebGL [221], WebVR [280], and now the experimental WebXR [431] which is an extension on top of WebVR and based on WebXR Device Specification.

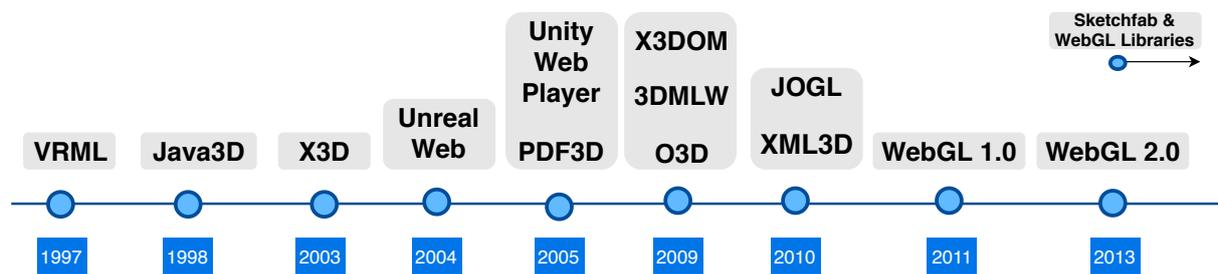


Figure 2.3: Timeline of key 3D Web Technologies

Figure 2.3 shows a timeline of the appearance of most common 3D Web technologies. Table 2.1 presents a description of most common 3D Web technologies that are free and open source. 3D content can also be made possible on the web through Adobe technologies and other proprietary formats but these are not mentioned in this survey since they remain out of reach for common non-profit academic and digital heritage applications [69]. In addition, such technologies are not commonly used in the literature.

Table 2.2 states whether the technology requires a web browser plug-in to be installed or whether it is plugin-free. It also mentions whether the technology is deprecated or still in use, in addition to presenting a few examples from the literature of DH applications that utilise the 3D Web technology in question. For more technical detail on each 3D Web technology, the reader is referred to Appendix C. Table 2.2 provides references to corresponding sections in the appendix.

Many frameworks and libraries work on top of WebGL. Common libraries are Three JS [116], Babylon JS [278] and Sketchfab OSG JS [326]. Since we used Sketchfab [374] in much of the work conducted in this thesis, it is pertinent to mention a few more details on the web service and archive. Sketchfab uses a 3D viewer based on the open source WebGL OSG.JS framework [326] which is a JavaScript implementation of OpenSceneGraph concepts. Sketchfab uses internally

Table 2.1: Synopses of common 3D Web Technologies

3D Web Technology	Synopsis
VRLM[70]	First 3D Web technology which became ISO Certified.
X3D[62]	An XML-based language that is the successor of VRML. It contains many advanced graphical features over VRML.
X3DOM[37]	Allows X3D scenes and models to be integrated into HTML DOM. It is implemented on top of WebGL
XML3D[383]	XML-based format that leverages existing web technologies such as HTML, CSS & AJAX. It has a WebGL and few browsers native implementations
WebGL[221]	The de facto standard 3D Web technology. It is JavaScript bindings accessing OpenGL Embedded Systems
3DMLW[312]	XML-based language that used OpenGL as renderer
Java 3D[350] & JOGL[103]	Requires the usage of Java Applets on the web browser side (deprecated now)
O3D[171]	Google open source project that use a JavaScript API for 3D Content (deprecated and not supported)
Oak3D[295]	Open source JavaScript library for creating 3D content. It is implemented on top of WebGL. The technology is deprecated now.
Game engines web-builds	Unity 3D [410] & Unreal [85] are the most popular. They produce web-builds of 3D worlds requiring plugins and in WebGL form (no plugin).
PDF3D[347]	Although not a 3D web technology in itself, PDF documents can host 3D models and be disseminated over the web.

Table 2.2: Status of 3D Web technologies, installation and a few examples of their usage in the domain of digital heritage

3D Web Technology	Requires Plugin?	Deprecated	Usage in DH	Appendix
VRML	Yes	Yes	[427, 441]	Appendix C.1.1
X3D	Yes	No	[66, 180, 419]	Appendix C.1.1
X3DOM	No	No	[27, 213]	Appendix C.1.1
WebGL	No	No	[108, 290]	Appendix C.1.3
Java 3D & JOGL	Yes	Yes	[34, 229, 405]	Appendix C.1.6
O3D	Yes	Yes	[260, 421]	Appendix C.1.7
Game engines web-builds	Yes (for native) No (for WebGL)	No	[7, 223, 313]	Appendix C.1.9
PDF3D	Yes	No	[41, 98]	Appendix C.1.8

the JSON-based file type of extension .osgjs representing 3D Assets rendered by the Open Scene Graph JavaScript library [326]. Sketchfab transforms any 3D file format uploaded to their system by users (Wavefront obj, blender, glTF etc.) into the Open Scene Graph JavaScript file format. Geometry information is stored in binary files that are normally compressed using gzip in order to be efficiently transmitted on the web from the server to the client.

VRML was the first 3D Web technology to be used in a large number of digital heritage applications. A few examples from the literature are that of the ARCO project [427] and Zara [441]. Unfortunately VRML is completely deprecated now.

X3D was used in Cabral et al. [66], Archeoguide [419] and Guarnieri et al. [180]. X3D scenes need a plug-in to be installed in web browsers. They could also be rendered via X3DOM framework and WebGL which do not necessitate a plug-in.

X3DOM is a technology used in many heritage applications. X3DOM allows X3D scenes to be integrated easily into the DOM of HTML pages. A few examples from the literature are that of Jung et al. [213] and Baglivo et al. [27]. Jung et al. [213] explained their experience in using the X3DOM technology for a myriad of virtual heritage applications and described how heritage artefacts, digitised through a 3D scanner, were processed through the Meshlab [81] open source mesh processing application and then exported as X3D scenes. The resulting X3D meshes were then integrated in the HTML pages through the X3DOM framework. The technology allows web developers to supplement the 3D model with manipulation capabilities and GUI elements provided usually by JavaScript libraries such as JQuery. In a sense, this allows the creation of HTML popup elements that shows points of interest on the 3D model.

Java 3D & JOGL involves the usage of Java applets in web browsers. An example of such usage in digital heritage is that of Barbieri and Paolini [34]. Java applets are deprecated in web browsers.

The following section is a background section of the most common 3D assets file formats used for the contexts of the 3D Web and DH applications. The rationale of including such a background section is due to the fact that the core ambit of this thesis involves studying perception of fidelity, download and processing times, metadata and adaptation approaches of 3D digital heritage models in Chapters 5, 6 and 7.

2.2.2 3D Assets File Formats suitable for the 3D Web

There is a surfeit of file formats for storing 3D data in the literature. They can be divided into three main categories:

- Raw 3D data formats obtained from 3D equipment such as 3D scanners usually

in proprietary formats.

- Proprietary formats pertaining to Computer Aided Design (CAD) systems or to 3D authoring tools such as Blender [190], Autodesk 3ds Max [281] or Maya [305].
- Standardised formats that can be used across many applications and domains such as Alias Wavefront (.OBJ), COLLADA and glTF.

Furthermore, a 3D file format can be stored on disc in text-based format (ASCII or Unicode) or in a binary format or in a combination of both. A 3D model has three overarching characteristics: geometry, appearance and scene.

The three-dimensional geometry of a model is formed of many points called vertices. These surface points form a series of faces called polygons. Triangular faces are a common type of surface shapes found in 3D models [268] and thus such models are called triangular models. The number of faces and the number of vertices constitute a measure of the resolution of the 3D mesh i.e. the model's geometry or topology. The higher these numbers are, the higher the resolution or the quality of presentation or the fidelity of the 3D model is. One can compare this concept of fidelity with the number of pixels (picture elements) in an image: the higher the number of pixels, the higher the resolution of the image and vice versa.

The appearance of a 3D model is expressed in the form of applying what is dubbed as materials. The most common type of materials involves an image also known as a texture to the surface of the 3D model. Each 3D vertex is mapped to a point in the 2D texture or image. At the time of the rendering, vertices of faces are interpolated to the points of the textures and the colours of these points are used to corresponding locations on the 3D model. Other types of materials are surface colours, certain characteristics of the surface such as bumpiness, lights and reflections, among many other properties. The scene of a model involves information concerning the camera properties, the light sources, and other 3D models [268].

There are many applications that can create, edit, view, import and export 3D file formats as well as build 3D models from scratch and combine many of them to create 3D scenes. Common authoring tools used are Blender, Autodesk 3ds Max, Autodesk Maya and Meshlab [81]. These tools among others are employed and suggested by many cultural heritage researchers in the literature [149, 180] to be used in *proposed pipeline procedures* for the acquisition and creation of the 3D digital heritage models. Many CH applications [2, 106, 158] use the Alias Wavefront

format (.OBJ) to store 3D models. Although not the best or most efficient, it stuck as a legacy 3D asset type that is still very much in use today. Table 2.3 presents the most commonly used 3D file formats in DH applications.

Table 2.3: Synopses of common 3D assets file formats

3D assets file format	Synopsis
Alias Wavefront Object [268]	A text-based file format developed by Wavefront Technologies. It is commonly used by DH applications and can be accompanied by an mtl file that stores materials information.
Ply [268]	It is a simple and flexible file format that has two forms: text-based and binary.
u3d [174]	Universal 3D format is a standard format that has been adopted by Adobe to embed 3D content into PDF documents.
Collada	An open standard XML-based 3D file format created by Khronos COLLADA file format contains physics functionality such as collisions and frictions.
glTF	glTF is a standard 3D data transmission and file format. It is compact in size and is expressed in JSON format

COLLABorative Design Activity (COLLADA) [268], a standard and file format created by the Khronos group, has been the de-facto standard for asset exchange between authoring tools, but nevertheless remains unsuitable for web-based delivery and fast rendering. It was used in instances of mobile based digital heritage applications such as that of Cutrié et al. [95] who created a mobile-based 3D environment of the Greek Locri Epizefiri region. COLLADA was used as a format for the 3D meshes constituting the environment.

Both Collada and Alias Wavefront OBJ has been challenged recently by a new and more efficient [309] format which is the Graphics Library Transmission Format (glTF) dubbed as the JPEG of 3D models. A scene within glTF is described in a single JSON header file, with buffer data, shaders and textures divided into separate binary files.

The following section is an exposition of some DH WBVWs examples found in the literature.

2.2.3 Digital Heritage Web-Based Virtual Worlds

Web-Based Virtual Worlds (WBVWs) are 3D environments navigable through the proxy of avatars (i.e. digital representations of users). Avatars can take humanoid and non-humanoid forms. These WBVWs require no stand-alone software to be downloaded just a web browser and are built using Web3D tools and languages.

DH WBVWs allow the public to engage with cultural material while overcoming hindrances of space and time. Virtual visitors (i.e. avatars) can appreciate fragile relics and virtual reconstructions of archaeological remains, and simulations of historical events while providing an active engagement which leads to a better learning experience. These environments provide users the sensation of “*being there*” [395] in an immersive experience of virtual locations they could not physically reach.

WBVWs offer several benefits over desktop counterparts mainly in a cultural heritage setting. These include:

- The nature of the web makes them available from all around the world.
- No need for stand-alone client installations thus making these environments easily usable.
- Given the myriad types and the large numbers of end devices, the web is the one connecting technology for such environments to provide device-independent consumption of cultural heritage material [353].
- Unanimity of quality through web browsers and the suitability of these environments for regular web users with no technical expertise.
- These environments present an easy means of access from mobile devices with limited resources (only a web browser is needed which is always available) thus promoting pervasiveness and ubiquity.
- The advantage of having web-based cloud technologies and streaming services means that users do not have to download complete WBVWs or 3D applications.

DH Web-Based Virtual Worlds (WBVWs) are complete 3D spaces on the web. The Virtual 3D Dublin Street [73] is a VRML WBVW depicting the reconstruction of the streets and facades of the buildings of Dublin city in Ireland. The WBVW work in tandem with a mobile device application where the avatar coordinates inside the virtual environment are sent to query a database in order to retrieve historical information about a certain place. The information is sent back to the mobile device.

Visintini et al. [418] created a WBVW in VRML of the Victoria Square of Gorizia in Italy obtained from processes involving photogrammetry and 3D scanning of actual buildings. Chittaro et al. [79] created a similar VRML WBVW but provided

personalised tours in a 3D computer science WBVM. In such a case, we can see a WBVM hosting or being hosted by a WBVW, a kind of mixed paradigm between the two types of environments. WBVMs will be detailed further in Section 2.3.

Pecchioli et al. [316] built a WBVM hosting models of 700 fragments of sarcophagi and a WBVW using the Unity web player of the Basilica of St. Silvestro at the Catacombs of Priscilla in Rome, Italy.

Agugiaro et al. [7] built a complete Unity 3D reconstruction of a Maya archaeological site, located in Copan (Honduras). The reconstruction is accessed via the Unity web player and a PHP web application connected to a PostgreSQL database containing virtual world objects and other multimedia.

In Chapter 4, the QoS of similar environments mentioned above are studied. In particular, we studied a Unity 3D environment of the reconstruction of the Caen township in Scotland depicting the town before the clearances era. Two web-based virtual worlds builds were used: a Unity web player and Unity WebGL of the same virtual environment.

The following section details a cohort of examples from the literature of WBVMs and classifies their types into different categories. Particularly, the section surveys several dimensions such as whether the WBVM is adaptive or not, whether it is still alive on the web, or whether it uses 3D Web technologies and finally whether it is mixed inside a WBVW.

2.3 Web-Based Virtual Museums (WBVMs)

The advantage of using the web for CH dissemination relies on it being globally available. The advent of 3D Web technologies especially the ones that are plugin-free such as WebGL, brought to users the capability of consuming three-dimensional heritage material in WBVMs.

The technology used to document and economically mass digitise cultural heritage holdings and annotate them and supplement them with metadata has yet to be improved. Artefacts require large amount of time to pre-process, digitise and post-process. For instance, according to the Victoria & Albert museum, it can take easily around 5 to 20 hours with the state of the art structural light acquisition techniques to construct only the geometry and textures of a 3D model out of an artefact with a

dimension of half a cubic meter [353]. With current technologies and current speed of digitisation, surrogate virtual digital artefacts are produced on a basis of sporadic selection and cherry-picking in order to either preserve them from deterioration or damage or due to their importance to be disseminated to the public.

WBVMS can be divided into three overarching categories. The first is that of virtual museums which use 3D Web technologies to present 3D artefacts of cultural significance. This category includes a number of WBVMS which utilise on-line social archives such as Sketchfab. The second category is that of WBVMS which do not use any form of 3D Web technologies and thus utilise only traditional multimedia such as images, audio, animations, videos and so forth. Finally, there is an intersection category of WBVMS and WBVWs. In this category, we have either a WBVW containing a virtual museum with digital 3D artefacts in which avatars navigate through the environment and engage with the digital surrogates or a WBVMS containing one or more WBVWs that could be accessed by users in tandem with other multimedia. Examples pertaining to this intersection category are those of the ARCO project [427, 428], Visintini et al. [418], Zhang and Yang [442] and Kiourt et al. [222]. Figure 2.4 is a Venn diagram that shows the three major categories of WBVMS and provides a few examples pertaining to each.

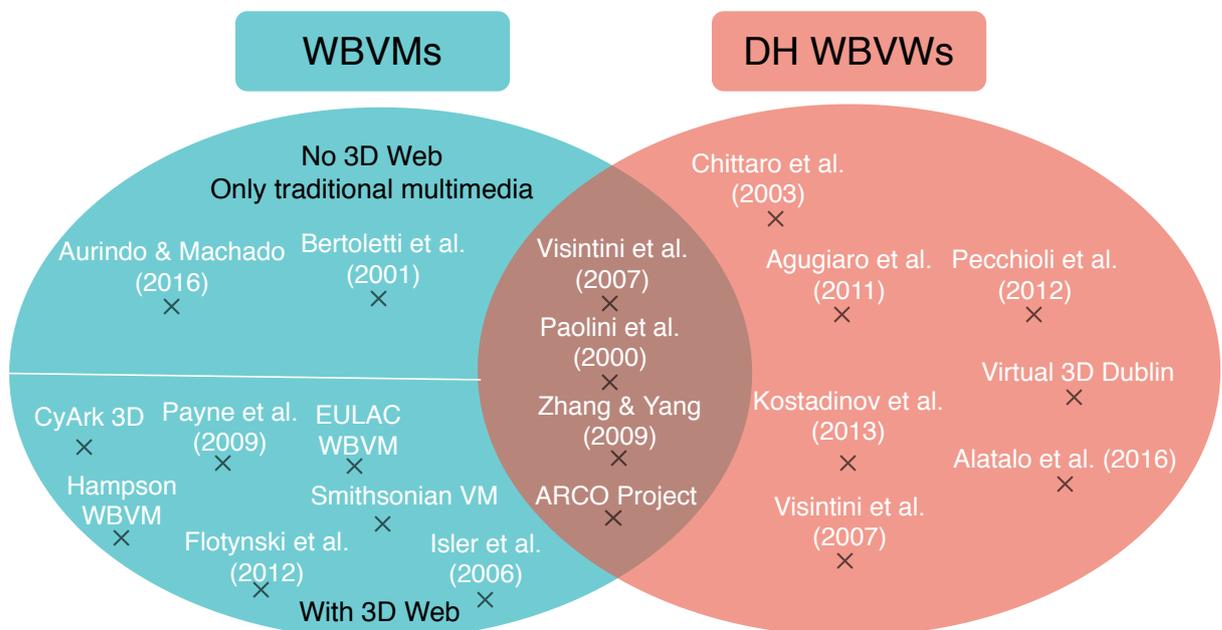


Figure 2.4: Venn Diagram of WBVMS vs. WBVWs

Many WBVMS surveyed in the literature akin to many web projects have problems of sustainability of presence and of content, to wit, a tendency to become defunct after

a period of time. Many of them no longer have any active presence due to maybe lack of funding or maintenance. However, others remain active and stood the test of time.

WBVMs that use 3D Web technologies for the dissemination of heritage are numerous. Many do not rely on social archives such as Sketchfab to host and render 3D content. The Smithsonian Digitization Museum X 3D [381], is a Web-Based Virtual Museum designed to be used by educators, museum curators, researchers and students in the sciences, archaeology and cultural heritage domains. The system hosts a large number of scientific visualisations, digitised artefacts and tours on 3D models. Different 3D models are annotated with textual information and other multimedia on particular points of interest. Tours on many models are present. A tour in this sense is a sequential step by step educational process where the camera view is moved in each step to a particular location of interest or hotspot on the artefact shown in order to explain a feature. Hotspots on models have annotations. Furthermore, many articles, images and videos are provided by experts who worked on the digitisation of an artefact or of an archaeological site. The graphics visualizer is very configurable in the sense that it allows users to change the lighting and shadows among many other rendering features. The Smithsonian virtual museum is not adaptive in terms of client devices and network regimes. In similar vein, it is not QoS or QoE aware. Nevertheless, it suggests to its visitors to use always high performance mobile devices to view successfully the digitised artefacts. Figure 2.5 shows a snapshot of the WBVM in the Mozilla Firefox web browser.

Another example is the CyArk 3D digital archive and WBVM [96] which contains many WebGL builds exported from Unity 3D of numerous world's heritage sites. CyArk aims to preserve the most important cultural heritage sites and artefacts in the world. Sites and artefacts are digitised through laser scanning, photogrammetry and digital modelling [226]. CyArk does not adapt the delivery of digital models to client devices or according to network regimes. Figure 2.6 shows a snapshot of the WBVM in the Mozilla Firefox web browser.

Another example would be that of Flotyński et al. [154] who presented a WBVM that utilises 3D Web Technologies such as X3D, X3DOM, Adobe Flash SWF file format and PDF3D. The WBVM is not adaptive in terms of delivery of the convenient resolutions to client devices depending on their capabilities nor according to network regimes and conditions. Nevertheless, Flotyński et al. WBVM is adaptive in terms of choosing to deliver different types of 3D objects based on what the web browser

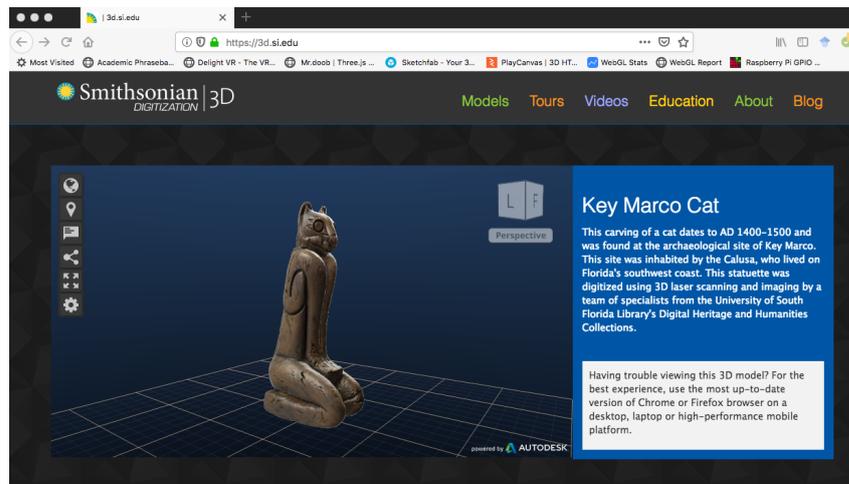


Figure 2.5: Snapshot of the Smithsonian Digitization Museum X 3D

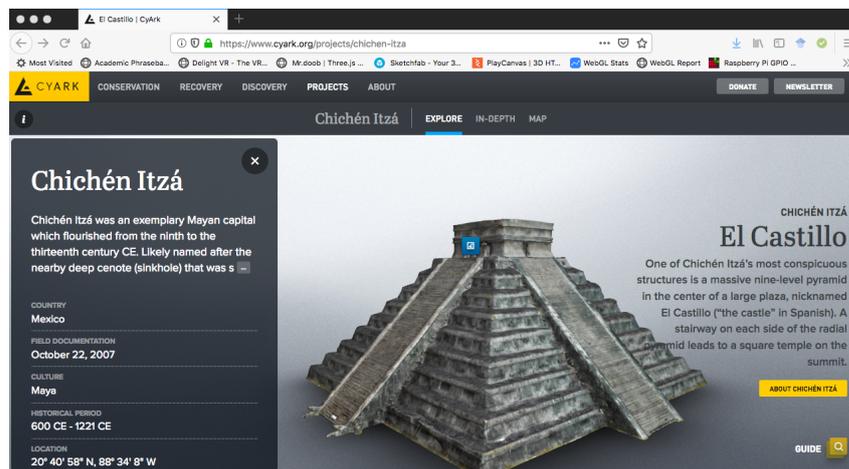


Figure 2.6: Snapshot of the CyArk WBVM

of the user support. The options depends on the web browser configurations and include sending either an X3D/VRML 3D model if the required plug-in is available in the user web browser or sending an X3D file of the 3D model to be rendered within the X3DOM framework or sending either an Adobe Flash scene or a PDF3D model.

A Web-Based Virtual Museum in the form of a virtual tour was used in a study by Kostoska et al. [231] to evaluate the experience of elderly people taken from a care home and from a daily centre. They used also a Mobile-Based Virtual Museum in the form of an Apple iOS application on an iPad tablet to instruct the elderly about a set of movements necessary for navigating 3D galleries.

A content management system linked to a WBVM was developed by Rojas-Sola et al. [340]. It hosts 3D models of four-milling windmills of Andalucia in VRML format.

The system also utilised virtual reality and augmented virtual reality to render 3D models based on scanning fiducial markers.

A WBVM for the Hampson archaeological museum was developed by Payne et al. [315]. It contains digital artefacts in Adobe PDF3D, OBJ and VRML format of native American artistic expression belonging to the pre-Columbian people of the Mississippi river valley. The artefacts were digitised via a digital scanner. The virtual museum contains information in the form of metadata, images, videos and animations of the artefacts. The WBVM is not adaptive when it comes to client devices and network regimes.

A Web-Based Virtual Museum for native American Baskets was developed by Isler et al. [205]. It presents the 3D models using the VRML format. Their work involved laser-scanning of the baskets, constructing and processing 3D models and building virtual exhibits. The WBVM is not adaptive and is not QoS or QoE aware.

Sketchfab is a relatively new type of single media social archive that stores, embeds and renders 3D models on the web. Sketchfab is considered the de facto platform for publishing 3D content on the web and has a major impact on the CH domain [358]. Users can upload and comment on 3D models, like them, share them on their personal websites and social media sites and sell and buy them.

Sketchfab is used extensively by curators of museums from all around the world as a social platform to host digitised artefacts and collections (to point out a few examples of repositories for the avid reader: the British Museum [60], the National History Museum of London [283], the European Union Latin America and Caribbean Foundation [137] and the University of Dundee D'Arcy Thompson Zoology Museum [136]).

There are many examples of Web-Based Virtual Museums in the literature that use Sketchfab as a platform to host and show 3D content. The following are a few representative Web-Based Virtual Museums that are large in size and scope and thus merit being described in this section.

The first example and the largest WBVM in terms of geographical scope is the 3D-ICONS consortium portal [139]. The portal is a Google Map Web-Based Virtual Museum containing markers for cultural heritage points of interest across Europe, North Africa and the Middle East. The system presents to users images, videos, textual information, metadata (based on the Europeana Data Model), and 3D

digitised models which some of them are downloadable in the form of PDF3D, while others are hosted and rendered on institutions' web sites and on Sketchfab. The 3D-ICONS WBVM is not adaptive for different client devices and network regimes and is not QoS or QoE aware.

Part of the 3D-ICONS portal is the Irish Discovery Programme [86, 140], which is a Web-Based Virtual Museum that started in February 2013 and was funded by the European Union. The Irish Discovery Programme aimed to establish a complete pipeline for the digitisation of archaeological monuments and artefacts. It presented to users a Google Map of Ireland containing markers of cultural heritage points of interest. Users can access the content pertaining to a specific marker on the map which represents the geographical location of an archaeological site or an artefact. The WBVM contains images, videos and Sketchfab 3D models representations of artefacts in addition to information about the licensing, the digitisation equipment and techniques and the data processing software used. All of this is linked to a back-end where the data and metadata are stored and managed. The WBVM is not adaptive and is not QoS or QoE aware.

The second example is that of the EULAC Web-Based Virtual Museum [237]. EULAC WBVM is a Leaflet JS [94] map virtual museum created by the Open Virtual World group at the University of St Andrews. The WBVM presents numerous 3D models of CH artefacts digitised by many community museums across Europe, Latin America and the Caribbean countries. The system presents also videos, images, photospheres of artefacts and archaeological sites. It also allows museum stakeholders and specialists to write Wiki articles and upload media and 3D models with metadata to an Omeka back-end where they are stored (Omeka is discussed in Chapter 6, Section 6.7). The Hannibal adaptive engine presented in Chapter 7, is implemented in a small experimental clone subset of EULAC WBVM.

The category of WBVMs in the form of WBVWs contains many examples in the literature. A web-based virtual museum in the form of avatar-based environment offers users the ability to navigate and to view digital artefacts with many degrees of freedom [322]. Zhang and Yang [442] designed and implemented a Web-Based Virtual Museum powered by VRML and featuring audio and video clips and multi-user capabilities in a navigable virtual world. Their system is not adaptive and is not QoS or QoE aware.

The ARCO project [427, 428] aims at developing virtual exhibitions of heritage

artefacts using Web3D (VRML) that can be accessed in-situ meaning inside a museum through VR and AR web interfaces and through a desktop AR application or remotely (i.e. by users around the world) also via the Web. It provides museum stakeholders the ability to produce, manipulate and manage the digital representations of museum artefacts. The ARCO system uses a form of Photogrammetry where textured 3D models are reconstructed from stereoscopic images of the heritage artefacts. All digital representations and their associated metadata are stored in a database managed by a content management system back-end. Virtual exhibitions can be created and assigned objects and visualisation templates. Figure 2.7 shows the ARCO WBVM.

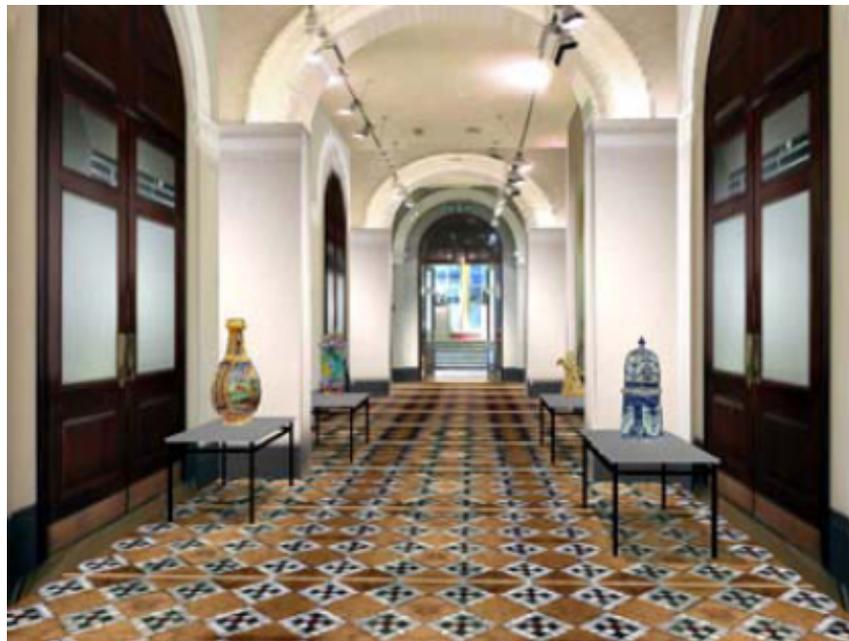


Figure 2.7: The ARCO Project: a WBVM & WBVW. Image taken from [396]

A 3D Web dynamic framework for virtual museum exhibitions was presented by Kiourt et al. [222]. The framework builds on the Unity 3D game engine and open linked data technologies and provides a first person experience paradigm (i.e. avatar paradigm) for manipulating virtual objects and navigating a virtual world-like environment. The system has a back-end that is globally accessible and retrieves JSON data from online repositories such as Google Images and Europeana. The framework handles all communications between the virtual environment and the repositories. The framework is not adaptive in terms of specialised delivery for client devices on certain network regimes. The framework is not QoS or QoE aware. Another example pertaining to the intersection category of WBVW and WBVM is that

of Paolo et al. [307] who simulated a virtual visit to a museum through WebTalk, an experimental environment that allows virtual visitors to remotely explore a virtual world built in VRML. The system is not adaptive and is not QoS or QoE aware.

Ciabatti et al. [80] presented a WBVM that visualises 3D heritage artefacts using VRML. The system has a management interface for cultural heritage stakeholders to use. Another WBVM was developed by Chiavarini et al. [78]. It uses WebGL-based exported Blender scenes through Blend4Web. Both WBVMs do not adapt the delivery of 3D Web depending on client devices capabilities or network conditions.

There are many published studies that present cases where WBVMs do not use 3D Web components. Aurindo and Machado [24] created a web-based virtual museum that specialises in tourism. Their system contains digital material of multiple media types and a map interface for interesting touristic locations. No 3D Web usage is present in this system.

Another example of WBVM that do not use the 3D Web is that of Bertoletti et al. [44] who build an educational Web-Based Virtual Museum called SAGRES for museum visitors, students and teachers. It provides many media content and has a management system for cultural heritage stakeholders to use.

Table 2.4 summarises 3D Web technology usage in WBVMs. Furthermore, the table shows also whether the technology is deprecated or not (at the current time of writing).

In the next section, we elucidate previous attempts to study the QoS in 3D Web environments and models. This survey is relevant to both Chapters 4 and 5.

2.4 QoS of the 3D Web

Before proceeding, it is pertinent to define the term of Quality of Service (QoS) in the context of this thesis:

Quality of Service (QoS) is the study of quality attributes that are measured *objectively* such as the execution time, response time, processing time, latency, throughput, jitter among others of a service or system [232].

QoS can be confused with QoE (explained in Section 2.5). QoE usually involves the

Table 2.4: WBVMs based on 3D Web Usage and whether the technology is deprecated

Web-Based Virtual Museum	Usage of 3D Web	Deprecated or Not?	Adaptive?
3D-ICONS portal	Divers (PDF3D, WebGL, Sketchfab)	No	No
Irish Discovery Programme	WebGL (Sketchfab)	No	No
EULAC WBVM	WebGL (Sketchfab)	No	No
Smithsonian Digitization Museum X 3D	WebGL (Own Library)	No	No
CyArk 3D Digital Archive	WebGL, Adobe Flash	No	No
Kiourt et al. [222]	Unity 3D	No	No
Kostoka et al. [231]	Not Mentioned	NA	NA
Flotyński et al. [154]	X3D, X3DOM, Adobe SWF, PDF3D	No	No
Chiavarini et al. [78]	WebGL (Blend4Web)	No	No
Zhang and Yang [442]	VRML	Yes	No
The ARCO project [428]	VRML (X-VRML), X3D	Yes	No
Isler et al. [205]	VRML	Yes	No
Paolini et al. [307]	VRML	Yes	No
Ciabatti et al. [80]	VRML	Yes	No
Aurindo and Machado [24]	NONE	NA	NA
Bertoletti et al. [44]	NONE	NA	NA

satisfaction of the user whether QoS tend to be more objective. That has been said a good QoS is a necessary but not a sufficient condition for a good QoE [232].

In the domain of 3D graphics in general and in particular the 3D Web, Frame Rate (the mean number of rendered images displayed per second) is considered the most common and efficient measure of the quality of a 3D system and of the performance of its real-time rendering [9, 176]. Latency (the delay between an action and its effect) is another QoS metric and is a standard indicator of interactivity. In other words, the speed with which a particular system reacts to inputs from the user has a direct effect on the usability of the system and the comfort of its use [321].

The empirical work conducted in Chapter 4 focuses on studying the Quality of Service of two Unity 3D [254] web-based virtual worlds depicting the DH reconstruction of the Caen township created for the Timespan Museum & Arts Centre in Helmsdale, Highland, Scotland. The reconstruction depicts how the Caen township looked like in the pre-Kildonan clearances era [266]. The QoS study was published in [30].

Two major studies [11, 12], which were conducted after the work was published, have confirmed the results obtained in our study.

The first work is that of Alatalo et al. [11] who presented VirtualOulu, a photorealistic

3D collaborative and immersive virtual world reconstructing the city of Oulu in Finland. The authors presented the design and implementation of the user interface based on dynamic unloading of assets which is executed only on demand. This was done with the aim of reducing the memory consumption of the client device. Digital sources for the virtual city were acquired by photographing buildings' facades in the city, digitising the floor plans and facades and digitising the different open areas through 3D laser scanning. The system was implemented in the realXtend Tundra eco-system and used on the server side, the Tundra multi-user server and on the client side, the WebTundra. The Tundra server & WebTundra function on top of the WebGL library Three JS.

The authors have also conducted QoS measurements on the user interface of VirtualOulu in terms of download times, memory consumption, GPU consumption & number of HTTP requests, and frame rate on 3 different devices (i.e. laptops) with different graphical capabilities at different stages of navigation in their system (bird eye, stationary position of the avatar in street view mode and avatar walking a particular route). In addition, measurements were taken on different network connections simulated by throttling download speeds in Google Chrome Developers' tools to fit estimated downloads in Finland simulating 3G, 4G and xDSL connections.

The work in Alatalo et al. [11] addressed the problem of large amount of data to be downloaded to clients by: creating first a lightweight bird's eye view of the whole 3D environment that has the ability of loading fast. In addition, they advocated not to use large textures for web usage. These ideas have been proposed before in our work presented in Chapter 4 and in the resulting published work in [30].

As will be shown in the QoS experiments presented in Chapter 4, a 60 FPS rate can be achieved in regions which are low on graphical details and where the avatar is idle. This FPS leads to a very good user experience. However, when the avatar navigates in the VirtualOulu case toward complex regions while loading and unloading assets into memory, frame rates suffer and are reduced to less than 5 FPS, at this stage the authors realised that the environment becomes unusable. These observations and results confirmed the study conducted in Chapter 4.

Alatalo et al. [12] in another work also published after the study conducted in Chapter 4 was published, explored the maturity of the 3D Web technologies in the domain of urban planning. They assessed different Unity web builds in two real world case studies. They studied on a technical level the download times,

runtime performance and memory use. They assessed the general feasibility of 3D Web technologies in the domain and discipline of web-based urban planning by studying qualitative feedback responses obtained from participants based on users' expectations of the system usability and response times. Similar low FPS were seen on the Surface pro device used in their experiment, in which the authors realised that the world becomes sluggish especially in the case of a graphically complex scene (Serge Park Scene) reaching as low as 2 FPS. The authors found that native builds of Unity meaning the Web Player plug-in build runs 2 to 5 times faster than the WebGL counterpart. Results of QoS metrics in Chapter 4 & in [30] showed before their study that the Unity web player outperforms the WebGL counterpart.

Another work that studies the QoS of 3D Web worlds mainly the frame rate metric is that of Stamoulias et al. [387] which evaluated the relationship between the number of rigid bodies and the frame rate inside X3D models rendered using the X3DOM (WebGL renderer) framework and the ammo.js physics engine. They used four web browsers for their benchmark experiments on a personal computer: Google Chrome, Mozilla Firefox, Opera and Maxthon. The results show that the higher the number of rigid bodies, the lower the FPS would be reaching almost 0 for numbers above 1000.

Llorach et al. [252] presented a 3D visualization of the paths of 1700 participants of massive virtual regatta using an HTML5 and WebGL virtual globe on the web. The 1700 participants were part of an online game for the Barcelona Race 2015. The authors measured the relationship between the number of vertices along participants' paths versus the frame rate.

Sánchez et al. [351] studied two 3D Web technologies used in industrial applications: O3D and X3DOM. They conducted two benchmark tests where they captured in the first benchmark the worst case and best case frame rate of a complex scene of 15000 geometrical nodes using technologies such as O3D and X3DOM. The second benchmark consisted of the creation of cubes in the scene using transform nodes. From the results obtained, O3D outperformed X3DOM with higher frame rates.

Araujo et al. [22] evaluated a web-based simulation of a city built using Java 3D and X3D. They measured the average frame rate (FPS) every time ten objects (cars) were added to the 3D scene. They observed that each time a new car is added to the scene, the frame rate drops during the loading of the geometries and textures. The frame rate is obtained while the objects are visible but when the objects are hidden the frame rate would reach a capped FPS of 60 which constitutes an optimum

performance in web browsers.

Finally, Kostadinov and Vassilev [230] measured download times of a world depicting a reconstruction of the fortress of the medieval town Cherven built using the X3DOM framework and Java OpenGL through the proxy of Java applets. The devices used were two mobile phones, one tablet and one personal computer.

The following section is an exposition of relevant material pertaining to the study of QoE which is one of the subjects of Chapter 5.

2.5 QoE of the 3D Web

Quality of Experience (QoE) can be defined as:

“the degree of delight or annoyance of the user of an application or service. It results from the fulfilment of his or her expectations with respect to the utility and/or enjoyment of the application or service in the light of the user’s personality and current state.”

Qualinet White Paper on Definitions of Quality of Experience (2012) [243]

Another definition of Quality of Experience given by the International Telecommunication Union (ITU-T) Study group as:

“The overall acceptability of an application or service, as perceived subjectively by the end user.”

ITU-T Study Group 2007 [204]

Both definitions agree on the fact that QoE is a discipline that echoes the *subjective* perception of a service or application from the perspective of the users. QoE attributes are quality metrics that can be measured *subjectively*. Common QoE categories in the literature are: *Usability, Perception of Fidelity, and Reputation of a Service or Application* [232]. In the context of the 3D Web, the perception of immersion and presence [395], can also be added to the list.

It is shown that in certain contexts users spend 50% more time on a web site that contains interactive 3D models than 2D images with page views significantly

increased [199]. Yoon et al. [437] have tested the impact of interactive 3D graphics user interaction and usability in comparison to 2D graphics trying to understand the 3D Web from interdisciplinary views of technology acceptance, sense of presence and Human Computer Interaction (HCI). Their study showed a clear benefit of the 3D Web in the context of market research on consumer product demonstrations. Kelle and Garcíea [218] proposed a model for usability/accessibility evaluation metrics and methods for assessing Web 3D social applications. The concept of experience is thus an evolving area.

Despite the benefits of the 3D Web, there is still a scarcity of research on assessing systematically the 3D Web in terms of Quality of Experience. In addition, there is a need to assess subjective and objective visual quality assessment metrics of 3D models on the web and more holistically a need to be able to assess these metrics on web-based virtual worlds.

This thesis contributes to further the understanding of QoE of 3D digital heritage models on the web in terms of perception of fidelity thresholds. The study is presented in Chapter 5.

Some researchers have tackled the scarcity of assessing the 3D Web from an information quality perspective. Hassanian-esfahani and Kargar [186] have assessed the 3D Web from a descriptive approach of Information Quality (IQ) by proposing information quality aspects based on informational attributes of the Web3D. They pointed out that there is indeed a lack of awareness of 3D Web information quality issues and the need of assessing the quality of 3D Web information which requires new methods and techniques more suitable to the nature of such environments.

Section 2.5.0.1 covers studies from the literature on perception of fidelity of 3D models. Such studies are relevant to the perception of fidelity experiments conducted in Chapter 5. Section 2.5.0.2 presents usability studies conducted on 3D Web applications in the DH field.

2.5.0.1 Fidelity Perception

Research has been undertaken to assess objectively and subjectively 3D static and dynamic models but the majority of those research studies were completely separate from a 3D Web context. Although some of them have used Web3D tools in their subjective tests, the usage of such tools was not an aim in itself. The majority of

cases involved measuring the effect of certain graphical algorithms on distorted 3D models. No research has tackled assessing the fidelity of visual perception of 3D models on the 3D Web in terms of discovering perception thresholds.

The work in this thesis, particularly in Chapter 5 and which is published in [28], is the “*first*” to investigate subjective perception of fidelity (i.e levels of detail) thresholds (both upper and lower thresholds) of 3D digital heritage models on the web. We believe the perception of fidelity work opens the door to a completely new field for digital heritage and for 3D Web quality studies.

Knowing upper and lower resolution thresholds on different devices is pertinent to achieve a trade-off between fidelity and performance of 3D Web content, and thus results in improving the overall performance and user experience of web-based virtual museums. This will be detailed further in Chapters 5 and 7.

Outside a 3D Web context, Guo et al. [181] evaluated the subjective perceptual quality of textured 3D mapped models using a paired comparison protocol (2 stimuli side by side) on video sets of 136 texture and geometry distorted models of 5 reference models on a total of 101 subjects. They also proposed two new metrics for visual quality assessment of textured meshes. Their interface was developed as a web platform using JavaScript.

Corsini et al. [91] studied the problem of assessing distortions produced by watermarking 3D meshes. Watson et al. [425] and Rogowitz and Rushmeier [339] focused on studying simplification algorithms using a double stimulus rating scenarios where the subjects rate the fidelity of simplified models in comparison with original ones.

We refer the reader to a recent survey [242] on objective and subjective visual quality assessment in computer graphics in 2D and 3D outside the 3D Web eco-system. The survey in [242] presented many studies on key image quality metrics and 3D model quality metrics found throughout the literature.

Another sub-field of QoE is the study of the usability of systems. The following is a review of some examples from the literature of studies conducted on the usability of 3D Web applications in the DH domain.

2.5.0.2 Usability of DH applications

Usability can be defined as follows:

“The extent to which a product can be used by specified users to achieve specified goals with effectiveness, efficiency and satisfaction in a specified context of use.”

International Organization for Standardization (ISO 9241-11:1998) [211]

Applied to web technology, this means providing the user with easy to use, easy to learn and easy to remember web interfaces. According to Jacob Nielsen [291], usability has five quality components that help define it: learnability (how easily do users accomplish tasks when they first encounter them?), efficiency (how quickly can they perform those tasks?), memorability (how easy it is to remember the tasks after not using them?), the number of errors users make and finally satisfaction (how pleasant do the users find the system?).

The major trait of a well-designed system is that it minimises the use of instruction manuals. A system is usable when it requires a minimum use of instructions given to the user in order to operate it [294].

Usability of 3D models and environments on the web has been studied in the literature. Sylaiou et al. [395] presented a usability study on the ARCO project based on an existing gallery from the Victoria and Albert Museum in London. The focus of this work was to explore and understand the participants perceived sense of “*being there*” or sense of presence and of their enjoyment both in virtual and in augmented reality modes. In addition, the study measured the intuitiveness of the web-based system and the naturalness of the control. Participants completed a standardised presence questionnaire related to perceived realism of digital heritage artefacts as well as their perceived presence in the WBVW. They recruited 29 paid volunteers (16 males and 13 females between the age of 19 and 33) mainly undergraduate and postgraduate students. The users were asked to perform specific tasks and to answer questions afterwards.

Carrozzino et al. [72] took feedback from 50 Internet volunteers to check the quality and the usability of 3D methods in CH applications on the web. 22% abandoned the DH applications before finishing the navigation of the environments, due either to

frustrations in installing web browsers plug-ins or incompatibility of these plug-ins with users' browsers. Some stated that the navigation and the download time of applications are taking too long.

Bacim et al. [26] described a usability study of a web-based learning system dubbed as SAFAS (Structure and Form Analysis System) that teaches the relationship between form and structure in long-span systems. Jankowski and Decker [208] ran a usability study on the 2LIP system, a virtual 3D Web encyclopaedia. They recruited 14 participants with normal and corrected to normal vision. Three of them were female. The study showed that 2LIP provides users with a better experience and has a positive effect on their visual and associative memory.

Another usability study on 3D Web was conducted by Tait et al. [397]. The participants navigated an interactive web-based virtual 3D environment and provided commentary and feedback on their experience in terms of usability and functionality of the system. Their sessions were video recorded and qualitative thematic analysis was conducted on them.

The following section details adaptivity approaches for the 3D Web. This section is germane to the work reported in Chapter 7. A comparison between Hannibal (the adaptive engine) and the approaches presented in this section are elucidated in Section 7.2, Chapter 7.

2.6 Adaptivity of the 3D Web

Most existing 3D Web systems suffer from latency (data download time) and lack of adaptation of levels of details of 3D content to different transmission networks and hardware and software capabilities of end devices [241].

Handling 3D Web content has to support the shift from users relying on desktop standalone, fixed and resourceful machines towards the usage of the web and mobile devices [38]. When it takes too long time to load 3D content on a web page, it is declared unresponsive by today's web browsers standards which means users may cancel the rendering attempt [248]. This lack of response presents a usability problem.

The problem of adaptivity of 3D Web content across heterogeneous client devices and network regimes is contextualised in the following salient points:

- Stress on the client device: The memory limitation of mobile devices, and the memory constraints imposed by mobile web browsers.
- Stress on the network: rendering large number of 3D meshes result in a large number of network requests.

Different approaches have been suggested in the literature to achieve adaptivity of 3D Web content. Nevertheless, many of them solved aspects of the challenges while exaggerating others. The following sections present a detailed survey of the approaches adopted by researchers.

2.6.1 Network-Based Solutions

2.6.1.1 Streaming

The following sections discuss the different streaming approaches used for achieving adaptivity of 3D Web content.

Progressive Streaming

This type of streaming transmits lower-quality graphics and texture data and then follow that by transmitting refinements. Two examples of such approach are contextualised in the works of Scully et al. [359, 360] who attempted to solve both the network problem and to a certain degree the challenge of graphical capability of client devices. They focused on finding a solution using mainly video memory in X3DOM environments built on top of 3drepo.io [117, 359], an open source 3D revision control system for building information modelling. There were many efforts to create a suitable 3D format for transmission. Despite the fact that users wait for considerable amount of time to download 3D assets in CAD editors, they expect instant rendering of 3D objects in their web browsers. In order to create a better user experience, the authors advocated the use of a 3D streaming transmission format with progressive encoding methods.

Scully et al. presented a novel technique for transmitting glTF 3D assets in the 3D Repo. The technique involved extending glTF to stream data in binary buffers using progressive encoding techniques. In addition, it introduces into the format multi-part optimizations so that similar gouging of smaller meshes are gathered together into

a super-mesh and sent on the wire thus reducing the amount of transmission time and the amount of draw calls. This happens in tandem on a parallel thread to the rendering thread. In addition, they have extended X3DOM so that it supports glTF.

The authors also have investigated memory management devising a new memory graphics manager aiming to reduce X3DOM video memory requirements with some success since in terms of memory management, no data is changed upfront only multi-part meshes block size is changed when sub-meshes are being added or removed. The 3D models and associated metadata were stored in Binary JSON (BSON).

Another example in this category is that of Friston et al. [157] who presented a system to populate dynamically at runtime a Unity environment using X3DOM by generating dynamically the resources from remote 3D repositories through a ReST API. They compared their system with the 3drepo.io system, an X3DOM based Renderer. Unity provides beneficial optimisation not available in common used WebGL frameworks such as Babylon JS, Three JS and X3DOM outperforming them but comes bundled with many libraries that present a processing overhead which affects the display and rendering of assets. Their implementation is based on X3D but their system can support in the future 3D assets formats like glTF.

The use of MPEG-4 & MPEG-DASH standards

The Moving Picture Experts Group (MPEG) is a working group formed by ISO to set standards for audio, video and 3D graphics compression and transmission. The MPEG standards are numerous starting with MPEG 1 in 1993 which was a compression standard for audio and video only. MPEG-4, which was launched in 1998, integrated 3D computer graphics transmission and compression mechanisms. Parts 25 and 27 of the standard dealt specifically with that [64].

MPEG Dynamic Adaptive Streaming over HTTP (MPEG-DASH) is an adaptive streaming protocol for multimedia which was introduced in 2011. The adaptation is based on network conditions of the client device. The media content is divided into small segments of different bit rates (i.e. resolutions) and while the content is being played, the protocol adapts by switching to different bit rates according to the network conditions and buffer status of the client [382].

The study conducted by Celakovski and Davcev [74], incorporated MPEG4-defined

3D content into the real-time rendering engine Microsoft XNA. Their rendering system implementation can handle graphical hardware in standard PCs and Xbox game consoles. The aim of the study is to propose a new 3D content based on the MPEG standard (the .mp4 format). The 3D objects were encoded and then decoded. Microsoft XNA then visualises the decompressed MPEG-4 3D scene.

This approach has barriers in the sense, that Digital Heritage experts use already file formats such as Alias Wavefront OBJ, COLLADA and glTF and there is scarcity of tools to convert and represent a 3D model into an MPEG-4 Binary Format for Scenes (BIFS) description language. In addition, and more importantly, the graphical capability of the client could still not cope with the complexity of a large 3D scene, even if MPEG-4 could facilitate 3D compression and streaming.

Tizon et al. [402] proposed an MPEG-4-based adaptive framework for remote rendering of video games (please refer to Section 2.6.2.1 for limitations of remote rendering approaches). The framework which is implemented in the Kusanagi gaming platform, provides many adaptive approaches that fit with various network constraints. They have proposed an adaptive algorithm to optimize the quality of the video encoding based on network conditions of each user. The proposed adaptive framework which was not implemented yet, proposes using RTP Control Protocol (RTCP) standards. Their proposed adaptation does not take into consideration the graphical and processing capability of client devices.

Many authors such as Zampoglou et al. [440], Kapetanakis et al. [215] and Noimark and Cohen-Or [292] have used a form or another of adaptive streaming of complex Web 3D scenes based on the MPEG-DASH standard to MPEG enabled devices. Nevertheless, all of these approaches do not solve the client capability challenge and instead focus more on finding a solution for the network challenge.

Kapetanakis et al. [215] presented an implementation which they developed to bridge the X3DOM and MPEG-DASH technologies. Thus allowing the delivery of high resolution video stream (video textures) adaptively on top of 3D models.

2.6.1.2 3D Meshes Compression

3D mesh compression is a mechanism that reduces the total data size of a model, thus minimising the 3D data sent on the wire. Many types of algorithms were proposed in the literature [259], each one has a different compression rate in terms

of Bits per Vertex (bpv). Generally, compression and decompression processes are two CPU intensive tasks (especially the decompression process). There is also a natural trade-off between three main dimensions: compression rate achieved VS. compression and decompression speed VS. the final compression being lossless or lossy [259].

There are five categories of 3D mesh compression and decompression algorithms: single-rate mesh compression [105, 403, 433], progressive mesh compression [192, 304], random accessible mesh compression [122, 258, 436], mesh sequence compression [182] and finally, dynamic mesh compression [246].

Of interest to achieving adaptivity in 3D applications and in particular on the web, is the category of progressive mesh compression and decompression algorithms [192, 304]. These algorithms embed many versions of Levels of Detail (LODs) inside a compressed model called Progressive Model (PM). This contributes to progressive transmission and interactive visualisation. During decompression, several levels of details can be decoded, the user do not have to wait for the complete model to be downloaded and decompressed in order to visualise a version of the mesh. A coarse, low resolution version will be presented to the user first, then there is a *progressive refinement* using a stream of vertex-split operations as more data is being decompressed and decoded until reaching the full LOD of the original model.

This technique was researched and used extensively for the 3D Web. Limper et al. [250] compared 3D mesh encoding formats used on the 3D Web and summarised the decoding time and compression efficacy of PMs' refinements. Lavoué et al. [241] investigated streaming and visualising compressed 3D data on the web using PMs and using a multi-threaded WebGL implementation for a decompressing scheme that allows streaming. They also introduced the usage of a halfedge JavaScript data structure for manipulating geometrical and topological operations.

For a 3D Web context, PMs are required to have good download times and good decompression times. There is a trade-off between achieving high compression rate which means more CPU utilisation and high decompression time but less download time VS low compression rate which means less CPU utilisation, less decompression time but high download time (since the mesh did not loose too much of its size). Figure 2.8 illustrates this trade-off.

Mobile devices with limited horse power struggle when executing complex decompression algorithms. Mechanisms to create LODs in progressive compression and

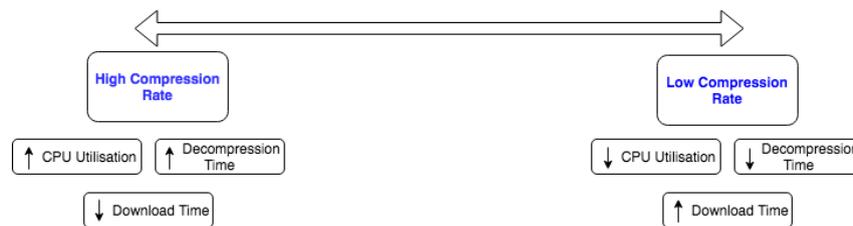


Figure 2.8: Trade-off between compression rate vs download time vs decompression time

decompression algorithms work by either using edge-collapse decimation or by using the technique of vertex removals of the mesh [259] normally followed by some method to restore lost vertex data (like patch retriangulation).

The idea of storing and compressing many resolutions or LODs into one single file is not new. It was used before in image formats such as FlashPix [162] and JPEG2000 [399]. JPEG2000 is a progressive image file format. The disadvantages of such a technique is the demand of more computational power for the decoding and encoding and as one can deduce, another disadvantage is that the resulting file size is considerably bigger than the size of a file containing only the compressed maximum resolution. In addition, the two image formats were never widely adopted on the web. It is also pertinent to mention that JPEG2000 [399] has progressive transmission by pixel which allows users to view a coarse low resolution version of the image as long as a small part was downloaded. The quality keeps improving with more data downloaded and decoded. One can only see the similarities between progressive 3D mesh compression and JPEG2000 image compression scheme. JPEG2000 is used by many cultural heritage applications due to its main feature of storing many LODs [378].

The *advantage* of progressive 3D mesh compression/decompression is mainly in terms of rationalisation of network resources in the sense of minimising bandwidth consumption. Despite the fact that many LODs are stored in the model, the technique provides the luxury of seeing the model almost immediately although at a low resolution.

There are mainly two *disadvantages* for such algorithms. First, the user can not access a specific part of a very large mesh before the mesh is completely downloaded and decompressed. Second, if the highest LOD can not be supported initially on a client device due to limited hardware and software capability, progressive mesh compression and decompression will fall into the same problem when the model will

reach after a period of time the original resolution [259]. The first disadvantage is solved by random access compression progressive algorithms like the ones presented in [122, 258]. The second disadvantage remains an open question.

In the Context of 3D Web

Lavoué et al. [241] used a progressive compression algorithm and a new JavaScript data structures (halfedge JavaScript structure) for the remote streaming and visualisation of 3D data on the Web. The approach involved implementing a complex geometry processing technique directly integrated into JavaScript using multi-threaded mechanism for progressive streaming and decompression into levels of details (LODs). They based their implementation on the Three JS WebGL Library. They proved in this work, a gain in removing latency and in providing an approximation of a 3D model shortly with arriving data even for high resolution vertices models. The authors developed tools using Web Workers, Arrays Buffers, and a Three JS implementation of a halfedge JavaScript structure. The disadvantage of their method is that it is CPU intensive. In addition, they did not address the problem of high resolutions on limited client devices.

2.6.1.3 Using Peer to Peer

Peer to Peer (P2P) communication in a web context is achieved through the use of WebRTC [430]. The protocol allows web browsers to communicate in a peer to peer bidirectional manner. Few researchers in the literature tried to use this technique in order to achieve adaptation of virtual 3D scenes.

An example of P2P approaches is used by Desprat et al. [112], who proposed a framework for collaborative virtual environments called 3DEvent that allows the collaborative manipulation of 3D content in real-time on the web. The communication architecture used is an event-based history aware Peer to Peer delivery of dynamic 3D content via WebRTC. The system can provide through the benefits of event-based approach adaptation and personalization of complex 3D.

The problem with this approach is that first WebRTC support in web browsers is still very limited and the protocol is still a young communication technology. Second, the 3DEvent handles scenes of very small models (less than 15MB) but not bigger models. The choice of bigger models if not resolved by the developer will introduce

more processing. Nevertheless, the authors argue that P2P eases the “absorption of traffic” in comparison to the client/server paradigm. The authors tried to solve the network problem by comparing Client-Server approaches to P2P approaches but the problem remains lopsided in the sense that it is at best considered a solution for the network challenge but not for the graphical capability challenge of client devices.

Another P2P approach involves the usage of P2P WebTorrent transmission. An example of that is the work of Hu et al. [194] who proposed a new fine grained preprocessing procedure for dealing on the server side with WebVR scenes. In addition, they proposed a packaging mechanism and a P2P WebTorrent transmission suitable for mobile Internet bandwidth. Their solution is based on merging techniques for light-weight progressive transmission and WebTorrent based P2P transmission. Unfortunately with this approach, the time of download/load is higher with it than without it especially for lower number of users (such as less than 30). Above that number of users, the opposite becomes true. Another limitation of this approach is the fact that even if large WebVR scenes have been downloaded successfully, it is still impossible to render them in real time on web browsers due to weak computing capability of some client devices.

The following section details different adaptivity approaches pertaining to client capability solutions found in the literature.

2.6.2 Client Capability Solutions

This section addresses the challenge of letting commodity mobile devices even old and deprecated Personal Digital Assistants (PDAs) render complex and large 3D models. In other words, how the challenge of rendering 3D models on limited graphical capability devices was addressed by researchers?

2.6.2.1 Remote & Mixed Rendering

The simplest definition of “*Remote Rendering (RR)*” is rendering all the 2D or 3D graphics on one computing machine known as the rendering server while visualising the result or the outcome on other computing machines. All machines are connected through a network. An “*interactive remote rendering*” system is a system capable of accepting user controls from input devices [367].

RR was used extensively for 2D graphics in systems that share remote desktops and applications' elements (as an example, Virtual Network Computing (VNC) clients such as TightVNC [165]). 3D Remote rendering applications are a lot more complex than their 2D counterparts and usually refresh the screen at a much higher rate [367].

RR was used as a realistic approach for achieving adaptivity of 3D content on the web [334, 385, 435], in the sense of providing a solution for the problem of incapable client devices with limited hardware and software resources. In fact, compared to traditional approaches where the rendering happens locally on the client machine, *the biggest selling point* of "Remote Rendering" is the fact that it actually solves the problem of low computational power or graphical capability of "thin client devices" such as mobile devices since with this technique very complex 3D models could be visualized easily on these types of client devices even on devices with no GPU. The client device in this situation, is used only for remote visualisation acting as a front-end that sends control signals from user input to the server. All the storage and 3D computations happen on the server side [226, 367]. Another *advantage* is the ability of a remote rendering system to be cross-platform, in the sense that once client programs are developed and deployed, the same quality of 3D rendering could be actualised on all the client systems [367]. In lay terms, this means that RR allows the possibility of mobile devices with very limited capabilities to consume 3D content of a quality similar to that rendered on powerful computers with powerful graphics cards.

Yet another *advantage* can be mentioned, which is the fact that RR helps preventing, a-priori, piracy of 3D content by malicious users. Remote Rendering provides a good level of copyright protection of 3D models and 3D environments since users do not have the 3D content stored on their machines but can only access the 2D images or video streams rendition of the 3D content. One example of such system is actualized in the ScanView Remote Rendering system of Koller et al. [228] and DeepView from IBM [225].

RR has many prominent disadvantages. First, it stresses considerably on the demand of network bandwidth [367], in addition to the problems of long interaction latency and sporadic images or video frames bitrate [402]. "Interaction Latency" is defined, in the context of RR, as the time it takes for the appearance of the first updated frame on the client device screen after a user interaction request was sent to the renderer server (from per example, input devices like mice, keyboards, touch screens or game

controllers). Long interaction latency leads to bad performance and lower QoE. It is shown in interactive first person shooting games that a latency higher than 100ms is considered intolerable by users [39].

Second, no off-line usage of a 3D model is possible compared to other approaches in which users can interact with it while off-line meaning after the 3D model is completely downloaded or progressively streamed [226].

Third, Remote Rendering is not very beneficial in 3D environments where continuous, dynamic and real-time visualisation is required such as 3D walk-through, virtual environments with avatars and VR applications designed for exploring large archaeological sites [226]. However, this is changing lately with the advent of on-line cloud gaming services which can host games and 3D environments. One prominent example is GamingAnywhere [196], an open source cloud gaming service which sends to the clients, video streams of games of 720p High Definition (HD) quality or even a higher quality, if needed, while achieving a frame rate ≥ 35 FPSs [195].

A fourth disadvantage is that complete remote rendering requires a capable server with a powerful GPU to handle all the processing load and interaction commands from the clients. Scalability and delay can also constitute serious issues especially if the RR system has to serve a big number of clients [367].

Table 2.5 is a table that summarises the pros and cons of the remote rendering approach for adaptivity of 3D Web content.

Table 2.5: Pros and Cons of Remote Rendering

Advantages	Disadvantages
Low computational devices can see 3D	Consuming a lot of bandwidth
Privacy and copyright protection	High Interaction Latency
Same 3D rendering quality	No offline usage
	Need powerful server

In what follows, a cursory exposition of the types of RR found throughout the literature is presented.

Complete Remote Rendering

A technique proposed by many researchers such as Lamberti et al. [240], Diepstraten et al. [115], Quax et al. [333] and Doellner et al. [119] which keeps the 3D scenes rendering load on the server while the client plays the role of receiving rendered images. The idea is similar to the one used by VNC viewers.

RR allows devices with limited resources such as smart phones and tablets to render large models. This requires large amount of bandwidth and network resources [335].

According to Räsch et al. [335], latency can be compensated when viewing 3D artefacts in Depth-Image-Based Rendering, and by using and transmitting G-Buffers. The drawback of this approach is that G-Buffers have very high size at least twice as much data as an image. In this work, Räsch et al. propose a method to compress the G-Buffers thus allowing efficient decoding which runs on the GPU directly and suitable for web applications. They used only WebGL for their implementations. WebSockets protocol was used to stream the compressed G-Buffers in the form of binary frames sent to the client device.

Their method applies a bespoke compression method in addition to using the compression methods available in the WebSockets protocol. They call the combined method: Sampling Compression. The result of compression ratios (i.e size of the compressed data relative to the uncompressed) is between 8% and 11%. The authors developed an algorithm to compress G-Buffers, and executed test cases to compare compression rates.

Nevertheless in such approach, bandwidth and latency remain two challenges to address. In addition there is a need for enough computing power to accommodate all the decompression overhead on the client.

The nature of transmitted data between the client and the server in RR varies between being graphics commands, 2D pixels, 2D primitives, 3D vectors, single 3D objects or multiple 3D objects.

Pixels For this type of data, the rendering has to happen on the server. The server renders the 3D scene as 2D pixels, and send them to the client. These pixels could be encoded differently for the sake of the client consumption. Lamberti et al. [240] per example, proposes an accelerated remote graphics architecture for PDAs by having a cluster of PCs do the rendering of parts of the images that are sent to

the PDAs. On the PDA side, the user can interact with the 3D scene rendition. They encoded the 2D rendered images as a *video stream* sent to the client. Aranha et al. [21] for example have used only 2D still images. In other types of systems such as that of Simoens et al. [371], a hybrid approach in complete remote rendering is used. If the 3D content is static, 2D pixels are sent to the client. If 3D scene content has many animations or motion, h.264 video is sent to the client. This would minimise the Latency constraint especially with animated 3D content.

Primitives or Vectors Other scholars have proposed sending primitives or vectors to the client. The client in this situation plays a certain role in rendering. Feature extraction techniques are utilised in order to extract vectors from the scene and then send them to the client device to render them either in 2D akin to the system developed by Diepstraten et al. [115] which generates a simplified model from a group of line primitives for clients that have no graphical capability at all; or to be rendered in 3D akin to the system of Quillet et al. [334], which uses this type of rendering approach for remote visualisation of large city 3D models on the web.

Graphics Commands Streaming One of the techniques of RR employs the use of graphics commands as data interchange between the server and the client. Low level draw calls or operations between the server application and its own graphics card are intercepted and sent to be rendered on the client [198, 286].

Partial, Mixed and Combined Approaches

In partial or mixed rendering, part of the rendering happens on the client side while other rendering processes occur on the server side. In particular, the scene rendering remains on the client side but processes such as baking which computes light-maps, textures, lights, and reflections are computed on the server side.

A pertinent example of such system can be seen in Spini et al. [385]. In Spini's approach, the baking service is on the server implemented as Node JS and Express Web server which receives the scene from the client as a JSON file and Three JS for the authoring and exploration tools. The authors introduced a novel 3D workflow designed to address the need of VR users. Their work-flow incorporates the authoring and production of quasi photo-realistic 3D content. They use a mixed on demand remote rendering approach, which is different than traditional approaches

as the scene rendering remains on the client-side instead of the server. Geometry data are stored in binary buffer files and the textures and image maps are presented as base64 URLs in the JSON file.

As a side effect to the baking service on the server, the strain on bandwidth demand remains an important issue in Spini et al. [385] approach.

Another adaptivity approach of interest for CH and 3D Web is that of Frame by Frame View Dependent Rendering. This stems from the fact that such technique aims to render high resolution 3D digital heritage models in web browsers.

Frame by Frame View Dependent Rendering is a method utilised by 3DHOP [330–332] tool, which is built on top of the Nexus Multi-Resolution meshes engine [329]. The system is dedicated to view very high resolution 3D meshes and point clouds (above the 50M faces) on the web. It is targeted for specialists who require to view such high resolution models for scientific inquiry (i.e. specialists that need high zooming capabilities on rendered frames of hotspots to detect minute features in artefacts). It can also be used in kiosks with large interactive displays in-situ inside a physical museum [332].

The 3DHOP system is not a universal platform that can support any visual project but specifically designed to achieve the needs mentioned above. It can not manage 3D complex scenes with many 3D objects. It is based on using Progressive Multi-resolution meshes of the format that is only specific to the Nexus framework (.nxs). It can take a large mono-resolution file format in .ply extension and then through a set of many processes, transform that mono-resolution file into a Multi-resolution progressive .nxs file (which can be further compressed if the resulting file is massive in size) [358]. Concerning the WebGL viewer, 3DHOP based their implementation on using SpiderGL [113], although recently support was added for the Three JS Library [116].

3DHOP on top of the Nexus system is a visualisation technique based on view dependent rendering. View dependent means the chunk rendered depends on the observer position view, the orientation of the user camera and the distance from the 3D model. In addition, the technique uses multi-resolution progressive mesh transmission. It solves the problem of limited graphical capabilities of the client devices due to the type of rendering used. The assumption of the system is based on it not being targeted for casual WBVM users since perception of fidelity of 3D models above certain thresholds could not be noticed any more even with extreme

zooming on commodity devices screens. The tool is more targeted for specialists for whom the visualisation of extremely high fidelity models is an aim in itself.

Many problems still need fine-tuning in frame by frame view dependent rendering. Despite the fact that the small “*observed*” chunk of the 3D mesh is the only part being streamed to the client device, the same part or chunk when viewed again could be totally resent or streamed to the client especially if the receiving web browser disabled caching thus exaggerating the demand on bandwidth in such cases. In addition, the quality of presentation or fidelity is not good as many parts of the 3D mesh get stuck on certain LODs especially on LODs of low resolution. In addition, view dependant rendering systems have a serious limitation of increased runtime load since they aim to choose and extract a certain resolution at runtime which is a CPU-intensive operation. This also leads to low frame rate [253].

It is pertinent to mention that, at the time of writing, all the major on-line social 3D repositories such as Sketchfab, Google Poly and Microsoft remix3d only support mono-resolution formats such as Wavefront OBJ, Collada, gLTF, ply, vel cetera. These platforms do not support multi-resolution meshes of any kind yet.

2.6.2.2 Divers 3D Mesh Optimisations

Gobbetti et al. [166] introduced a remote rendering approach to convert large input textured geometric meshes into multi-resolution representations of quad patches of equal sizes while preserving the information necessary about vertices, colours, and normals, and storing them in an image format. They optimised the rendering of the meshes by using mip-map operations and tightly packed texture atlas to enable multi-resolution.

The following section surveys few semantic ontologies pertaining to digital heritage and 3D modelling. This section is relevant to the work reported in Chapter 6.

2.7 Digital Heritage & 3D Modelling Semantic Ontologies

In Chapter 6, the Virtual Museum Quality of Service Ontology (ViMQO) is proposed to fill the gap of many ontologies found in the literature that lack the expressiveness

when it comes to QoS metadata in a WBVMs context.

Two important ontologies relevant to this thesis: Dublin Core Schema (DCS) and Europeana Data Model (EDM) are discussed in Chapter 6, Sections 6.3 and 6.4 respectively. In this chapter we survey ontologies germane to Chapter 6 mainly the ones describing 3D Web models or the ones used in the domain of WBVMs.

Section 2.7.1 describes a common ontology for cultural heritage holdings. Section 2.7.2 presents the ARCO project ontology, an ontology for virtual museums. Section 2.7.3 is an exposition of 3D Web ontology for X3D format. Both Sections 2.7.2 and 2.7.3 are similar to the work done in Chapter 6.

The proposed ViMQO ontology in Chapter 6 is unique in the sense that it is the first ontology to focus on the QoS metadata pertaining to 3D models in web-based virtual museums. The proposed ontology encompasses the DC and EDM ontologies and can be extended to support a myriad of QoS-related metadata for other multimedia found in a WBVM context.

The following sections presents CIDOC-CRM, an ontology used to describe cultural heritage artefacts.

2.7.1 CIDOC-CRM

CIDOC Conceptual Reference Model (CRM) [51, 201] is an ISO certified event centric empirical ontology for describing concepts and relationships pertaining to the cultural heritage domain.

CIDOC-CRM has many extensions such as CRMig to document provenance of artefacts in addition to authors, locations, time, and methods used to produce the artefact [353].

CIDOC-CRM lacks expressiveness in terms of QoS-related metadata needed for adaptivity in WBVMs and this is due to the fact that the ontology describes CH artefacts on a high level and does not specify technical characteristics of 3D models or environments useful for achieving adaptivity in the context of this thesis.

2.7.2 ARCO and ViMCOX

The Augmented Representation of Cultural Objects (ARCO) [427] is a virtual museum and set of tools. It resulted in the creation of an ontology [46] for describing metadata of VMs holdings, rooms and buildings. The ARCO metadata element set is an extension that is based on or encompasses the DC metadata and the CIDOC-CRM (Comité International pour la Documentation Conceptual Reference Model) ontology. The ARCO metadata element set contains different categories of metadata terms spanning from resource discovery to presentation, curatorial and administrative metadata. The metadata is implemented in an XML-based format which allows the tools of ARCO project to use it.

ViMCOX (Virtual Museum and Cultural Object Exchange Format) [47] uses an enhanced version of the ARCO ontology but supplements it with metadata pertaining to user-exhibit interactions types. It also encompasses ontologies such as DC, Learning Objects Metadata IEEE standard (LOM) [84] and SPECTRUM [269], the UK museum documentation standard. Additional refinements were added in future versions [48] of ViMCOX ontology to support the description of virtual museum outdoor exhibitions.

2.7.3 3D Modelling Ontology

The 3D Modelling Ontology [369] is an ontology proposed by Leslie Sikos and aims to describe metadata for X3D scenes and models from a system perspective. It is an upper ontology that provides machine-interpretable definitions for 3D scene annotations. The limitation of this ontology is that it deals only with X3D and X3DOM and is limited only to 3D models of such types, in other words, in its current form it does not cover other 3D Web technologies and other WBVMs multimedia and 3D environments.

ViMQO in a sense aims to be both a WBVM ontology and a 3D ontology in the same time focusing on providing a vocabulary encompassing QoS-related metadata. ViMQO is easily expandable to encompass other multimedia of DH nature.

2.8 Summary

This chapter has provided examples of Web-Based Virtual Museums in Section 2.3 from the literature. The work of this thesis was situated into the context of Web-Based Virtual Museums. The chapter moved on to talk about the different 3D Web technologies especially the ones used in DH in Section 2.2. Literature pertaining to both the QoS and QoE of the 3D Web has been discussed in Sections 2.4 and 2.5 especially the literature germane to both Chapters 4 and 5. Different adaptivity approaches used for 3D Web content were presented in Section 2.6 contrasting their pros and cons. Finally, a review of the Digital Heritage semantic vocabularies that are relevant to this work were presented in Section 2.7. The reader is advised to refer back to the block diagram (Figure 2.1) in the introduction of this chapter to investigate further alternative reading paths. The next chapter elucidates the methodology adopted in this thesis and details the experimental procedures utilised in the experiments conducted on QoS and QoE of digital heritage 3D web environments and models.

Chapter Three

Methodology

This chapter provides an overview of the research methodology adopted throughout this thesis. It starts by restating the research questions and briefly describes how they are actualised across the different chapters. The main aim of this chapter is to provide the rationale behind the research methods employed in this work. An overview of methodological approaches is presented showing the research methods employed by relevant studies in the literature and how and why the work in this thesis embraced such methods. In addition, it describes all the experimental procedures used for the empirical studies investigating the QoS of DH Web-Based Virtual Worlds and the QoS/QoE of 3D Digital Heritage artefacts presented in Chapters 4 and 5.

A large proportion of this chapter appeared in the following peer-reviewed publications:

1. **Bakri, H.**, and Allison, C. "Measuring QoS in web-based virtual worlds: an evaluation of unity 3D web builds." Proceedings of the 8th International Workshop on Massively Multiuser Virtual Environments. ACM, 2016. [30].
2. **Bakri, H.**, Miller, A., & Oliver, I. (2018, June). Fidelity Perception of 3D Models on the Web. In International Conference on Immersive Learning (pp. 113-130). Springer, Cham. [28].

3.1 Introduction

The methodological philosophy adopted in this thesis is that of the *pragmatism research philosophy* which is based on the tenets that any research inquiry requires a combination of practical and useful methodological approaches to get a well-rounded understanding of the world. Pragmatists aim to overcome non-productive dichotomies such as objectivism-subjectivism in their research and acknowledge that all knowledge is approximate and incomplete and thus merits combining whatever research method needed to illuminate the research problem or space that the researcher is trying to address. They recognise that there are many ways to interpret the world, and no single point of view or research method can ever give the entire picture [130, 355]. Deep into the research onion [118, 185, 355], the thesis employs a *mixed methods approach of empiricism*: system-level investigations and user-based studies.

Computer Science is a multi-disciplinary field of study with an identity crisis pointed out by many scholars in the literature [109, 130, 185, 366]. The field takes and recycles many research methods from natural sciences, social and behavioural sciences, engineering and mathematics. This is why the methodology chapter in many Ph.D theses of Computer Science is either absent or diluted into a form which would be dubbed by many social and natural science scholars [40, 348, 354] as mere research instruments or research procedures. Nevertheless, due to the interdisciplinary nature of this work, we followed research methods that are either advocated by recommendations [337] or used by studies investigating the QoS and QoE of multimedia [398] and 3D models [181, 306, 339, 425]. The *nature* of the research questions and the types of investigation required to address each one of them, dictated the research methods that are employed.

A set of empirical studies pertaining to the Quality of Service (QoS) and Quality of Experience (QoE) of different 3D Web components used in CH context is conducted throughout the thesis leading to the development of an adaptive middleware engine which bases its design on the findings of the system-level measurements and those of the user-based studies. Experiments in Chapter 4 are of quantitative system-level QoS nature. In a similar vein, empirical studies in Section 5.2 of Chapter 5 are also of a system-level quantitative nature while Section 5.3 involves user-based studies of both perception of visual latency and subjective perception of fidelity of Web3D digital heritage models.

This chapter elucidates the rationale behind the methodological approaches employed in this work. It links back to the research questions asked in Chapter 1, and this is in order to elucidate and to buttress the choices of the research methods employed in this thesis which help us to answer such questions. In addition, the chapter builds on a methodological review showing the research methods employed by relevant studies in the literature and how and why the work in this thesis embraced such methods.

The remainder of this chapter is structured as follows: Section 3.2 reminds the reader of the research questions of this work and how they were actualised in the different chapters of this thesis. It then proceeds in Section 3.3 with an overview of most common research methods employed by germane works of adaptivity of the 3D Web, and by works of QoS and QoE. Section 3.4 presents the experimental procedures of all the empirical studies conducted in Chapters 4 and 5. Finally, Section 3.5 summarises and concludes the chapter.

3.2 Research Questions and Methodological Rationale

In Chapter 2, we addressed the first **Research Question RQ1** of this work by surveying the literature in order to define 3D Web technologies and 3D Web environments and their usage in digital heritage. 3D Web technologies were classified into different taxonomies based on four dimensions of 3D Web tools and languages (Language Paradigm, Installation and Deployment, Rendering mode and Use case) and those taxonomies coupled with additional survey material are presented in Appendix C.

Research Question RQ2 involves the investigation, from a system-level perspective, of the performance of DH WBVWs which will be reported in Chapter 4. This investigation was published in [30]. This is in order to further our understanding of the capabilities and limitations of such environments. This chapter will utilise a quantitative experimental approach involving capturing many system-level QoS metrics such as the Initial Download & Processing Times (IDPTs), CPU, GPU and physical memory consumption among others on web-based builds of the Caen Township virtual reconstruction in Unity 3D game engine. The research method adopted in Chapter 4 is quantitative. This method was espoused due to the nature of

Quality of Service (QoS) which is the study of quality attributes that are *measured objectively* such as the execution time, response time, processing time, latency, throughput, jitter among others of a service or system [232]. Thus it is convenient to use a positivist quantitative approach which was also used by many relevant studies in the literature. The research methods of some of the germane studies are briefly discussed in Section 3.3.

Research Question RQ3 which investigates the relationship (trade-off) between the QoS and the QoE of 3D Web components used in Digital Heritage across the range of QoS regimes. RQ3 is addressed in Chapter 5. In order to answer RQ3, the chapter employs a mixed method approach of quantitative system-level measurements and user-based studies. The quantitative approach is convenient to use in studying the QoS of Web3D digital heritage models, and this is due to the same rationale mentioned in the previous paragraph. The user-based approach is a qualitative approach that is based on collecting answers from a cohort of participants and asking them to grade the fidelity (i.e. resolution) of Web3D digital heritage models using an ordinal scale of rates (i.e. involving intrinsic ranking). The user-based study also asks the participants to rank the fidelities from the lowest to the highest. The results were published in [28]. Recall, QoE is defined as how much delight or annoyance a user perceives in an application or a service. Such judgement results *“from the fulfilment of his or her expectations with respect to the utility and/or enjoyment of the application or service in the light of the user’s personality and current state”* [243]. The nature of QoE, dictates the usage of qualitative methodological approaches when studying it. Furthermore, the perception of fidelity study in Chapter 5 followed methods advocated by multimedia recommendations, video perception studies, and perception studies conducted on 3D models outside the web ecosystem. The research methods employed in some of the germane studies are briefly discussed in Section 3.3. For a detailed discussion of the relevant work on QoS and QoE, the reader is advised to refer back to Chapter 2, Sections 2.4 and 2.5.

Research Question RQ4 involves investigating how to supplement semantic vocabularies with information that can help achieve adaptivity of 3D Web content in WBVMs. RQ4 is addressed in both Chapters 6 and 7. A formal proposal of an ontology called ViMQO that is RDF-compliant is given in Chapter 6 and this follows the same approach of formalism used in germane works of other proposed ontologies discussed in Section 2.7 (Chapter 2). The methodological angle of defining such ontologies is discussed briefly in Section 3.3.

Research Question RQ5 involves investigating achieving adaptivity in WBVMs that is cross-aware meaning network regime aware and client device aware while achieving the *best possible* user experience (i.e. QoE-aware). This research question is addressed in Chapter 7 with the design, implementation and evaluation of Hannibal, a QoS and QoE aware adaptive engine for 3D Web content in WBVMs. Chapter 7 involves developing software which is categorised in the literature of computer science methodologies under what is dubbed as the *build methodology* [134, 185]. Hannibal is evaluated using a system-level positivist quantitative QoS approach (mainly in terms of download and processing times of 3D models), while a user-based evaluation of it was left for a future work.

The very nature of the research questions dictates the research philosophy and methodological approaches adopted. Many system-level quantitative measurements are conducted in this thesis with a pure positivist stance [130] while user-based QoE studies employed a qualitative stance in a controlled experimental setting thus making it possible to combine the insights of human-based quality perception and computerised system-level quality of delivery.

The following section presents a cursory methodological review of research methods employed in some of the relevant literature.

3.3 Methodological Review of Relevant Literature

The thesis adopted a combination of mixed research methods (quantitative system-level measurements and user based studies). A case in point, two relevant works for Chapter 4 are the empirical studies presented in [11, 12, 387]. These works use quantitative system-level measurements to capture different QoS metrics. Kostadinov and Vassilev [230] is an example of a related study that uses quantitative system level measurements. This study is relevant to the work conducted in Chapter 5, Section 5.2 which investigates the QoS of DH 3D models.

Concerning the examination of QoE, many studies have used a user-based methodological approach. A few examples can be seen in [181, 339, 425]. In Chapter 6, we propose ViMQO which we formally define following similar approaches in defining metadata classes and sub-classes and in terms of the presentation of the data model akin to the way other proposed ontologies were defined and proposed in the literature. A few relevant examples can be given are those of the ViMCOX

ontology [46] and the 3D modelling ontology [369] which used the same approach that we use in Chapter 6.

Table 3.1 presents a summary of research methods used by few examples of related work across the chapters in this thesis.

Table 3.1: Research Methods of Related Work pertaining to different Chapters & Sections

Chapter/Section	Investigation Subject	Related Work	Research Methods of Relevant Work
Chapter 4	3D DH WBVWs	[11, 12, 387]	Quantitative System-level Measurements
Chapter 5, Section 5.2	QoS of Digital Heritage Models	[230]	Quantitative System-level Measurements
Chapter 5, Section 5.3	QoE of Digital Heritage Models	[181, 306, 339, 425]	Qualitative User Studies
Chapter 6	QoS-Aware Semantic DH (ViMQO)	[47, 369]	Formal Definitions of Ontologies
Chapter 7	Hannibal adaptive engine	[154, 385]	Design & Implementation of Software/Algorithms

This following section is an overarching exposition of all experimental procedures used for the QoS and QoE empirical studies presented in Chapters 4 and 5.

3.4 Experimental Procedures

The conclusions derived from the empirical studies fed the design and implementation of Hannibal, a QoS and QoE aware adaptive engine (Chapter 7) for WBVWs that endeavours to strike a balance between Quality of Service and Quality of Experience when fetching 3D Web components to client devices.

It is ad rem to mention that the QoS/QoE metrics captured for each 3D Web component differ from each other due to the nature of the 3D Web environment in question.

The empirical studies in this thesis are divided into two major empirical investigations:

The first empirical investigation tackles the QoS of Digital Heritage Web-Based Virtual Worlds (WBVWs) [30]. The case of web builds generated from Unity3D game engine [410] was considered and evaluated. These web builds are the Unity Web Player (UWP) build (a.k.a. the Plug-in version) and the WebGL 1.0 version (U-WebGL) of the same Web-Based Virtual Environment called Timespan Longhouse (TL) [266]. TL is a virtual reconstruction of the pre-clearances Caen township located in the

Strath of Kildonan in the county of Sutherland, Scotland. The reconstruction aimed to commemorate the bicentenary anniversary of the forcible Highland clearances which constituted one of the darkest periods of Scottish History. The TL reconstruction was part of a museum exhibit for the Timespan Museum and Arts Centre in the village of Helmsdale. Two web builds as mentioned previously (UWP and U-WebGL) were exported from the Unity 3D World. Frames per Second (FPSs), Frame Times (FTs), Initial Download Times (IDTs), CPU, GPU, and physical memory consumption were captured.

The second empirical investigation tackles the QoS and QoE of many Digital Heritage artefacts of different resolutions fetched from Sketchfab repository [374].

On the **QoS level of Web3D Digital Heritage models**, initial download & processing times were captured for different 3D models of different resolutions expressed in number of faces and number of vertices on different devices (PC, Laptop and mobile devices). A detailed methodology on how these metrics were captured in web browsers on PCs and mobile devices is detailed in Section 3.4.2.1.

On the **QoE level of Web3D Digital Heritage models**, two experiments were conducted. In the *first experiment*, participants were asked to grade on a scale from 1 (Bad resolution) to 5 (Excellent resolution), 2 reference models each one decimated to lower resolution versions grouped into 2 sets. This grading was conducted on a big screen tethered to a PC with a powerful graphics card. Participants were asked also to rank these models' decimations from the worst fidelity (i.e. resolution) to the best fidelity.

In the *second experiment*, participants were asked to do the same grading and ranking of the 3D models mentioned above on a iPad Pro tablet and on an iPhone 7 Plus mobile device. This was undertaken in order to see what effect the screen size of the device has on user perception of fidelity of Web3D Digital Heritage models.

The main aim of the QoE investigation is to measure the differences in terms of fidelity across different categories of graphical complexity (i.e. resolutions) of different models on the web. This is in order to see if on the level of QoE, there are noticeable differences detected by users between these categories and to what degree and at which thresholds, those differences become either unnoticeable and/or intolerable.

Section 3.4.1 presents the detailed methodological procedures of the QoS experi-

ments conducted on Timespan Longhouse WBVWs created by Unity 3D game engine. Section 3.4.2 presents the detailed methodological procedures of the QoS/QoE experiments conducted on Web3D DH models hosted on Sketchfab social archive.

3.4.1 Investigating the QoS of Digital Heritage WBVWs

3.4.1.1 QoS of Unity Web Builds

Three experiments on Timespan Longhouse (TL for short, see Figure 3.1) [266] were conducted. Two web builds of TL are tested: a Unity Web Player (UWP) build and a Unity WebGL (U-WebGL) build. For both UWP and U-WebGL the first experiment measures the FPS and FT in the web browser. The second experiment measures the Physical Memory Used (MB), Physical Memory load (%), Total CPU Usage (%), GPU core Load (%), GPU D3D usage (%) and Total GPU Memory Usage (%). The 3rd experiment measures the IDT of these worlds during normal network conditions.



Figure 3.1: Timespan Longhouse Web-Based Virtual World (The WebGL version) [30]

Client Machine Specification

Specification of the client machine used for the 3 experiments: Intel Core i5-440-3.10 GHz with 16GB 1067 MHz DDR3 RAM. The graphics card of the machine is NVIDIA GeForce GTX 970 with 4GB of Video RAM. The client ran on a fresh installation of Windows 7 Enterprise 64 Bit Operating System with a minimal set of background processes running to avoid interference. The worlds were generated by Unity 3D engine version 5.2.0f3.

Measurement Tools

Experiment 1: Google Chrome version 44.0.2403.125 and Fraps were used to measure the FPS and FT. Fraps [36] is a popular real-time video and game benchmarking tool.

Experiment 2: used HWiNFO64 and TechPowerUp GPU-Z to measure: Physical Memory Used (MB), Physical Memory load (%), Total CPU Usage (%), GPU core Load (%), GPU Memory Usage (%) and GPU D3D Memory usage (%). HWiNFO64 [336] is a system information utility tool that provides in-depth hardware analysis, real-time monitoring and reporting. TechPowerUp GPU-Z [400] is a lightweight system utility that provides real-time information about video cards and graphics processors. Both tools presented similar measurement values. As in Experiment 1 the client was Google Chrome v. 44.0.2403.125.

Experiment 3: This used the built-in Network Monitor tool in Mozilla Firefox version 39, Network Inspector in Opera 30 and the Network tool in Google Chrome v. 44.0.2403.125 to measure the Initial Download Time. Two Mozilla Firefox add-ons were also used to further check the results: app.telemetry Page Speed Monitor version 15.2.5 [20]; and Extended Statusbar 2.0.3.1-signed extension [235].

Experimental Procedure

Experiment 1: A PowerShell script managed the timing of runs, opened the selected web browser, and set the specific link of each 3D world to navigate. The script ran Fraps and logged the data into Comma Separated Values (CSV) files. In this scenario, pseudo-random navigation moved the avatar from non-dense areas toward areas dense in 3D objects. The PowerShell script then closed the web browser. Fraps was configured to capture the FPS and FT every second. FT numbers were recorded in the CSVs as cumulative numbers. A simple repetitive subtraction equation was used to calculate the actual time of each frame numbered sequentially.

Experiment 2: A PowerShell script regulated timings, launched measurement applications (TechPowerUp GPU-Z and HWinfo64), the browser and 3D worlds, logged measurements into text files (.txt) and into CSV files and then closed after a predetermined time. Measurements were taken ten times in each mode or scenario (listed below). It was found that ten times gave an acceptable variation for each mode. In addition, the number of iterations used in these modes is higher than the

number used by system-level empirical studies such as [133]. The modes were:

1: Baseline mode: Measurements of all CPU/GPU and Physical Memory metrics were completed immediately after a fresh OS installation. No antivirus or other applications were running, no folders were opened and an absolute minimum of services and background processes were present. Each run's duration was two minutes.

2: Baseline mode + the Web Browser: Measurements of all CPU/GPU and physical memory metrics were conducted on the OS and only a web browser was opened (Google Chrome). Each run's duration was two minutes.

3: Baseline mode + Web Browser + a 3D world: All measurements were taken for two minutes (standing with yawing¹) and three minutes random walking. Values were taken every two seconds. Compared with mode #2 this gave the actual consumption in CPU/GPU and physical memory of the 3D world in question.

Experiment 3: caches were entirely cleaned from inside the web browsers themselves in addition to using CCleaner [327] before every run. Measurements were taken after everything was downloaded. The tools gave the initial download time and all the timings of each resource in detail. The results from the range of tools used showed that the measurements were accurate and reliable.

Avatar Mobility

Two mobility models were used in experiments 1 and 2:

1. **Standing:** Avatar remained standing still with continuous yawing for 2 minutes.
2. **Random Walking:** Avatar randomly walked for 3 minutes in different directions (from non-dense towards dense areas) and with a constant speed.

Unity Web-Build Sizes and Complexity

This section describes the sizes and complexity of the worlds measured. Unity generates the HTML file for UWP. The default page is usually very simple. UWP is divided into 3 components: the mono, the player and the plug-in. The plug-in is

¹Yaw is the change in the avatar orientation or the change in the "look at" view

either an ActiveX Control (.OCX) in Internet Explorer in Windows, or a NPAPI-style DLL for other browsers such as Google Chrome. On Mac OS it is a .plugin [409].

At the time of when the experiments were conducted, the Unity WebGL build was that of WebGL 1.0 and Unity 5.2, did not support by default WebGL 2.0 (support being only experimental and unstable at that time). Now (i.e. at the time of writing), WebGL 2.0 is fully supported and even it is exported as the only web build by default with a fall-back to WebGL 1.0 for web browsers which do not yet support WebGL 2.0.

U-WebGL uses the Emscripten compiler [439] to cross-compile the runtime code into asm.js JavaScript code. A Unity WebGL project consists of several files: an index.html that embeds the contents; several JavaScript files which contain the code of the player and deal with its different functionalities; a .mem file which contains a binary that allocates the heap memory of the player and a .data file which contains all scenes and assets data and constitutes typically the majority of the size of the 3D world [409]. Sizes of the two builds of Timespan Longhouse are 40.1 MB for UWP and 353 MB for U-WebGL.

Both builds of Timespan Longhouse were the defaults without any optimizations. The U-WebGL build was considerably larger than the UWP build of the same world. Table 3.2 shows the complexity of the Web-Based Virtual World in terms of the

Table 3.2: Rendering parameters of 2 minute random walk by the avatar

Triangles	Vertices	Draw Calls
1,099,168	1,467,567	1,351

averages of the numbers of draw calls, and triangles and vertices rendered.

The following section presents the experimental procedures used in the QoS/QoE experiments conducted on Digital Heritage models fetched from Sketchfab web repository. The results and analysis are presented in Chapter 5.

3.4.2 Investigating the QoS/QoE of DH Artefacts

3.4.2.1 QoS of Digital Heritage Artefacts

The next section describes the specification of the devices used in the QoS experiment.

Devices Specifications

The specification of the devices used in this experiment is as follows:

1. **Device 1:** Intel Core i5-440- 3.10 GHz with 16GB 1067 MHz DDR3 RAM. The graphics card of the machine is NVIDIA GeForce GTX 970 with 4GB of Video RAM. The client ran on an installation of Windows 7 Enterprise 64 Bit Operating System with a minimal set of background processes running to avoid interference. The web browser used on this client is Google Chrome Version 54.0.2840.71 m.
2. **Device 2:** MacBook Pro 8.1 with Intel i7-2640 M 2.80 GHz and 8GB RAM. The client ran on an installation of Windows 7 Ultimate 64 bit (bootcamp) with the latest drivers. The GPU is Intel HD Graphics (256 MB). The web browser used on this client is Google Chrome Version 54.0.2840.71 m.
3. **Device 3:** Alcatel Pop 4 mobile phone (model 5051X) with 5 inch HD IPS full lamination display and a 1.1 Ghz Quad-core Qualcomm Snapdragon 210 CPU. The RAM capacity of the device is 1 GB LPDDR3. It has as a GPU the Adreno 304. The phone is equipped with 4G LTE capability. The Android OS on the device is 6.0.1 (Marshmallow). The web browser used on this client is Google Chrome Version 53.0.2785.124. This device was considered at the time of conducting the experiment (2016) as a low end device as it costs in the United Kingdom around £60.
4. **Device 4:** LG Nexus 5x with Qualcomm Snapdragon 808 1.8 Ghz hexa-core 64 Bit CPU. It has as a GPU the Adreno 418. The RAM capacity of the device is 2 GB LPDDR3. The phone used in the experiments comes with an internal storage of 32 GB. The phone is equipped with 4G LTE capability. The Android OS on the device is 6.0.1 (Marshmallow). The web browser used on this client is Google Chrome version 53.0.2785.124. This phone which was normally used by Android developers to test applications, was considered at the time of conducting the experiment (2016) as an average middle range device. It costs in the United Kingdom around £250.

Characteristics of Networks Measured

Table 3.3 shows the characteristics of the networks used by the client devices in terms of average actual download speed and upload speed in Mbps.

Table 3.3: Characteristics of networks used in the experiments

Network	Download (Mbps)	Upload (Mbps)
Ethernet (JANET)	94.61	95.13
Broadband Ethernet (Virgin)	55.20	3.4
Broadband WiFi (Virgin)	55.38	3.2
4G	49.71	28.45
3G	15.9	1.98
2G	0.450	0.150
GPRS	0.050	0.020

Descriptions of Networks

JANET: The i5 PC machine was connected to a 100 Mbps Ethernet Local Area Network (LAN) which in turn is served by a CISCO switch 2950 (Daisy Chained) then by a 1 Gbps Router to NH Telephone Exchange, and finally to the University JANET backbone via a 2Gbps link. The average Round-Trip Time (RTT) to the server hosting these models in addition to the downlink and uplink bandwidths are shown in Table 3.4.

JANET is a high-speed network for the UK research and education sectors. All further and higher education organisations in the UK are normally connected to it. The following table shows the actual average download and upload bandwidth speeds obtained from speedtest.net and the RTT in ms from the client machine to sketchfab.com server.

Table 3.4: JANET (Average Results from speedtest.net) on Ethernet. RTT is in ms.

Download Speed (Mbps)	Upload Speed (Mbps)	RTT to Sketchfab
94.61	95.13	18

Virgin Media Broadband: The bundle used in the experiment for Broadband Internet is the SuperFiber 50 bundle (50MB) which is an entry-level fibre broadband deal provided by Virgin Media, a company that provides mobile, TV and Internet broadband services in the United Kingdom. The advertised upload speed is up to 3Mbps. The advertised download speed is up to 50Mbps. The average download

speed (off-peak times) is around 49.4 Mbps to 51.6Mbps. During peak times the average download drops. Tables 3.5 and 3.6 show the actual average download and upload bandwidth speeds obtained from <http://www.speedtest.net/> for Virgin Media Broadband.

Table 3.5: Virgin Media Broadband (average Results from speedtest.net) on WiFi

Download Speed (Mbps)	Upload Speed (Mbps)
55.38	3.2

Table 3.6: Virgin Media Broadband (Average Results from speedtest.net) on Ethernet

Download Speed (Mbps)	Upload Speed (Mbps)
55.20	3.4

Alcatel Pop 4 Modes (4G, 3G, 2G, GPRS): The Alcatel Pop 4 mobile device has the Lebara mobile network operator. The phone has the ability to switch between 3 modes (4G, 3G and 2G). GPRS mode is not an available option but was simulated through throttling and bandwidth shaping provided by the Remote Debugging Tool of Google Chrome browser. GPRS networks are usually throttled and shaped with a download of 50 kb/s, an upload speed of 20 kb/s and a Latency of 500 ms [217].

Nexus Modes (4G, 3G, 2G, GPRS): The LG Nexus 5x has EE mobile network operator. The phone has only the ability to switch between 4G and 3G. The modes of 2G and GPRS are simulated through throttling and bandwidth shaping provided by the Remote Debugging Tool of Google Chrome browser. GPRS networks are usually throttled and shaped with a download 50 kb/s, an upload 20 kb/s and a Latency of 500 ms. 2G networks (Good reception) are usually throttled and shaped with a download of 450 kb/s, an upload of 150 kb/s and a latency of 150 ms) [217].

Digital Heritage Models Sizes and Graphical Complexity

Table 3.7 shows the characteristics of Sketchfab DH models used in the experiment in terms of number of vertices, number of faces and the size of data transferred in MB.

Table 3.7: Characteristics of Sketchfab Models Used in the Experiments. Sizes are the Sizes Transferred in MB

Sketchfab Model	# faces	# Vertices	Size (MB)
Head	112	98	1.9
Statue of Ramesses	30.6k	17.1k	3.9
Statue of Gudea	300.9k	150.4k	5.9
70's Shoe	3M	1.5M	34.6
Ava's Skull	4.8M	2.4M	57.4

Measuring IDT on the i5 PC and MacBook Pro

Two web pages deal with choosing the models, embedding them and launching them. The first page called `Select.html` makes it possible to choose from a drop-down list five DH Sketchfab 3D models. The selected model URLID is then sent to the second web page called `SketchfabTester.html` which contains the necessary JavaScript code to embed the sketchfab model, to initialize it and load it automatically (no other resources are included on the page). There is a return link on the page to return back to the *Select.html* for measuring other DH models. A third file is included in the application which is the `sketchfab-viewer-1.0.0.js` JavaScript file which contains the Sketchfab Viewer API (instead of fetching it on-line every request). The download time of the model is obtained by retrieving the “*finish time*” provided by the network inspector in Google Developer Tools of the Chrome web browser. Measurements were taken five times for each scenario. A scenario consists of a certain Sketchfab DH 3D model on a certain network and on a certain device. The cache was entirely cleared from inside the web browser itself in addition to using CCleaner [327] before every run.

Measuring IDT on Android Mobile Phones

The methodology of measuring the IDT is simple and consists of using the remote debugging feature for Android devices that are present in Google Chrome (the PC versions). This is done by connecting the phone in question to a PC via a USB cable. ADB drivers and Interfaces of the specific Android phone need to be installed on the PC. In addition, remote debugging via USB should be enabled on the mobile device. Measurements were taken five times for each scenario (a certain Sketchfab

model on a certain network on a certain mobile device). The PC version of Google Chrome receives all debugging data from the mobile version of Google Chrome when inspecting any page that renders the 3D model on the mobile device web browser.

On the mobile device side: resides the same JavaScript web application as in Section 3.4.2.1. To deal with the cache of the mobile web browser, many precautions have been taken. *SketchfabTester.html* has JavaScript functionality that clears the cache of the HTML page itself. In addition, caches were cleared also from inside the mobile browser on every iteration.

On the PC side: The web page of the 3D model on the mobile device is loaded and the network inspector in Google Chrome Developer Tools in the PC version is launched showing all the timings of all resources including the “*Finish Time*”.

The following section presents the methodological procedures used in the QoE experiments conducted on Web3D Digital Heritage Artefacts fetched from the Sketchfab repository. The results and the analysis of the experiments are presented in Chapter 5, Section 5.3.

3.4.2.2 QoE of Digital Heritage Artefacts

Perception of fidelity is considered in the domain of Quality of Experience. Studying the perception of fidelity of Digital Heritage artefacts on the web, is purposed for measuring the differences in terms of fidelity across different categories of graphical complexity (i.e. resolutions). Chapter 5 investigates if there are any noticeable differences in perception of fidelity detected by users between these categories and to what degree and at which resolution thresholds or ranges those differences become either unnoticeable or intolerable.

This investigation was divided into two experiments. The first experiment (Experiment 1) was conducted on a PC with a powerful graphics card tethered to a 60" screen. The second experiment (Experiment 2) was conducted on an iPad Pro tablet and on a mobile phone which was an iPhone 7 Plus (256GB model).

We aim from the two aforementioned experiments to study the effect of screen sizes on the perception of fidelity from the point of view of users. The following sections present the methodological procedures of two QoE experiments conducted on Web3D Digital Heritage artefacts.

Participants demographics

In order to obtain representative ratings, recommendations from the multimedia discipline for choosing the number of participants in quality perception experiments were followed. According to the recommendation “*P910 - Subjective video quality assessment methods for multimedia applications*” [337] which is authored by the Video Quality Experts Group (VQEG), the number should be above 4 for statistical reasons and below 40. There is no added value of having more than 40 participants according to the recommendation.

For experiment 1 (PC tethered to a big screen), 22 participants were recruited. This comprised of 7 females, 14 males and 1 classified as other; between the age of 22 and 50, all with normal or corrected to normal vision. The mean age of participants was $\mu = 30$ and the standard deviation was $\sigma = 5.9$.

For experiment 2 (on tablet and phone), 10 participants were recruited. This comprised of 3 females and 7 males between the age of 21 and 40, all with normal or corrected to normal vision. The mean age of participants was $\mu = 27.4$ and the standard deviation was $\sigma = 5.04$.

Participants were all students and staff from the School of Computer Science in the University of St Andrews except 2 participants who were acquaintances of the researcher and who were not involved in academia.

Some of the participants in Experiment 2 were the same participants in Experiment 1. Learning effect is not present in the experiments because all the models were randomised in both the grading and ranking phases. In addition, experiment 1 and 2 were conducted separately from each other (approximately more than 2 weeks apart).

Concerning the background and area of expertise of participants in both experiments (1 and 2): three of the participants were specialising on a postgraduate level in Digital Heritage studies particularly in creating and digitising heritage artefacts and reconstructing monuments for scholarly, scientific and educational purposes. Another participant has a postgraduate degree in Scottish heritage history. Yet another two of the participants were specialised in data visualisations and Human Computer Interaction (HCI). Nevertheless, the experiments dealt with studying perception of fidelity which do not require per se specialised knowledge in a specific field as they deal more with the human eyes capacity for perceiving differences

in resolution thresholds. That has been said, it should be emphasised that CH practitioners might pay attention to specific details such as ornamentations or inscriptions in a certain artefact which might not be of an emphasis to the normal users. Nevertheless, the thesis in Chapter 1, in the motivation section (1.2) asked the question: can casual WBVMs users notice the difference between the resolutions of 3D digital heritage models across devices? the emphasis of this corpus of work as a whole and which this chapter is a building block is on enabling efficient and adaptive mass dissemination of heritage digitisations to all sort of users including the casual users of web-based virtual museums.

Reference 3D Models

The reference 3D digital artefacts were digitised using traditional CH digitisation pipeline (Photogrammetry and 3D Scanning). They were reconstructed from real-world cultural heritage artefacts.

The two reference models used for both experiments were digitised by the Open Virtual World research group at the University of St Andrews:

1. **Roman Mercury model:** depicting a bronze statuette of the deity. This model was chosen because of its simple and loosely defined topological features and its darker textures. The choice would help us understand the effect of those features. Mercury was digitally scanned using a 3D laser scanner.
2. **Achavanich Beaker model:** depicting the Achavanich Beaker which was found buried with the remains of a 4,000 year old Bronze Age woman, Ava, in the Northern Highlands of Scotland. Achavanich Beaker is a model with brighter textures and more complex topological features than that of the Mercury model. The Achavanich beaker was digitized through Photogrammetry.

Graphical characteristics and sizes in MB of the reference 3D models are shown in Table 3.8.

Table 3.8: Characteristics of the two reference 3D models used in the experiments. Sizes are in MB.

Sketchfab Model	# faces	# Vertices	Size (MB)
Mercury	1.5M	733.5k	38.0
Achavanich Beaker	6.1M	3M	116

The raw textures resolutions of these two models were of the same order of 1536 x 2048.

Decimation is the process of reducing the number of faces of a 3D model's mesh also known as mesh simplification. Each reference model was decimated into lower resolutions using the Quadric Edge Collapse Decimation algorithm preserving UV parametrisations [160, 161]. A filter in Meshlab application [81] implements the algorithm. Textures were unaffected.

The rationale for the choice of 3D models originating from cultural heritage digitisation pipelines (Photogrammetry and 3D Scanning) instead of studying the perception of fidelity of any type of 3D models constructed from scratch in classical 3D graphics authoring tools such as Blender or Maya, is very pertinent. Digital heritage models help scholars study, document and digitally archive the physical artefacts. They can help in keeping track of the degradation of artefacts through time. They can also help them in the restoration process such as the restoration and patching of fragments of artefacts after their destruction. An example can be given in the case of the restoration of the statute of the Madonna of Pietranico [357].

From a computer graphics perspective, Digital Heritage artefacts digitised through 3D Scanning or Photogrammetry result usually in 3D models that are big in size and that have very high fidelity. In addition, they are usually more noisier and can contain many topological imperfections [56, 373].

We chose these two particular models (Mercury statuette and Achavanich beaker) to study the perception of fidelity for two reasons. The first is to study how the fidelity of a darker textured model vs a lighter textured model is perceived by the users. The second dimension is that of how a loosely defined topology model vs a more complex topology model is perceived. These models comes from two different digitisation pipelines (Photogrammetry vs 3D Scanning). These digitisation pipelines are commonly used among heritage practitioners for digitising actual real-world physical artefacts.

Initial textures of the unimpaired reference models were kept the same for all the decimated versions and this is due to the importance of the textures' information relative to the geometry information of the meshes. Being able to reduce the resolution of the mesh while keeping the resolution of the textures intact would lead to a 3D model of less storage space while still holding a lot of detail which remains in the textures even as the geometry of the model is simplified. This is pertinent for

3D models used in Cultural Heritage contexts.

The following section briefly explains the decimation process used in Meshlab.

Model Decimation Process in Meshlab

Meshlab [81] is a commonly used open source application for editing 3D models. Meshlab facilitates the reduction of the geometric complexity of 3D models, therefore producing the same shape geometries but with lower number of faces or vertices and thus lower resolutions. The Quadric Edge Collapse Decimation algorithm preserving UV parametrizations filter was used to reduce the total number of faces of each reference model.

The Meshlab filter implements the Garland and Heckbert [161] algorithm which belongs to the class of edge collapse or edge contraction methods. Figure 3.2 shows the contraction or collapse of an edge between the vertices v_1 and v_2 leading to one vertex only. The shaded triangular faces are removed as a result and by that the total number of faces is deduced. Edges are assigned costs and are stored in a heap keyed by cost. The cost is the error resulting from the collapse or the contraction. The algorithm passes through the edges and extract the ones with the lowest-cost and then contract them. The method of calculation of this error is explained in detail in the works of [160, 161]. Following the example in Figure 3.2, the algorithm moves v_1 to v_2 , replaces all instances of v_2 with v_1 and delete v_2 and any resulting degenerate faces.

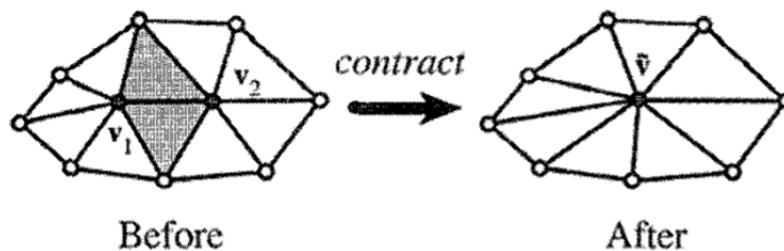


Figure 3.2: Garland and Heckbert Decimation Algorithm (image taken from [161])

Simplifying meshes through collapsing edges with colours and textures using Quadric Error Metrics has a very efficient balance between speed, robustness and fidelity. It has a higher fidelity outcome in comparison to other decimation

algorithms. It is also the best algorithm to provide many Levels of Detail (LODs) for 3D models [253]. Although the algorithm is slower than decimation algorithms such as vertex clustering but provides a higher resulting fidelity for the decimated versions [161].

The following configurations shown in Figure 3.3, were used on all the reference models. These configurations are mesh quality constraints that aim to force the decimation algorithm to generate a decimated model with the best possible fidelity. The models were decimated into different versions downsizing the number of faces from the original unimpaired model.

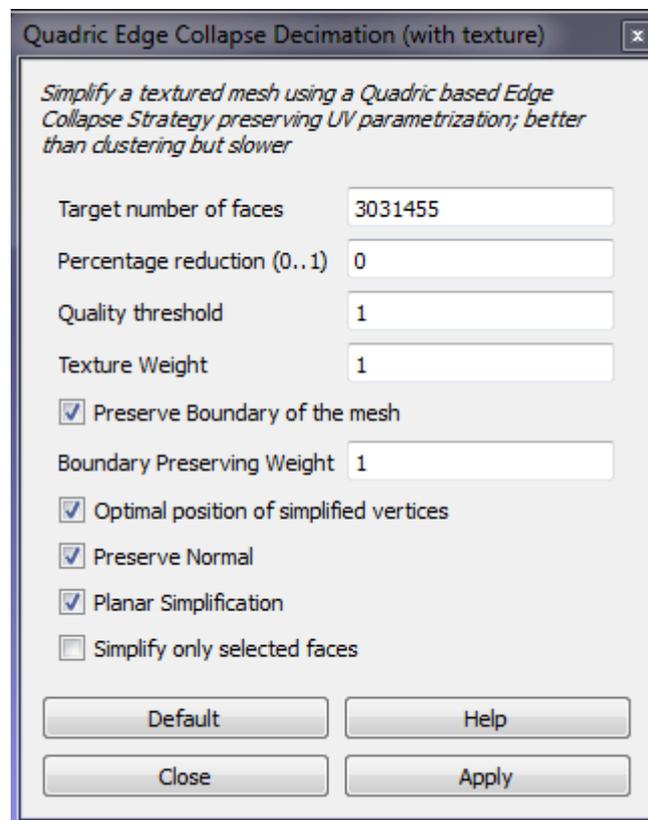


Figure 3.3: Meshlab - Quadric Edge Collapse Decimation

The *percentage reduction constraint* governs the final file size of the mesh. The range of values is between 0 and 1. If a non-zero number is chosen, this would specify the desired final size of the mesh as a percentage of the initial mesh. Leaving this at zero gives the algorithm the freedom to define the final file size depending on the decimation process.

The *quality threshold constraint* is for penalising bad-shaped faces. This value is in

the range between 0 and 1, with 0 meaning the algorithm should accept any kind of faces even bad-shaped faces. A zero value also means that there would be no penalties. Obviously, it is better to generate the best possible quality of presentation or fidelity of the decimated model. This would be achieved by increasing the penalisation to the maximum value which is a value of 1.0.

The *texture weight constraint* is for providing additional weight for texture coordinates for every vertex and giving it a maximum value of 1 is essential especially for simplifying textured meshes such as the case of the 3D models which we are using in our experiments.

The *preserve boundary of the mesh constraint* is the most important quality constraint and it instructs the algorithm to try not to destroy the mesh boundaries or in other words, to respect the integrity of the topology. This should be used with the *boundary preserving weight* constraint which emphasises the degree of the importance of the boundary integrity during simplification.

The *optimal position of simplified vertices constraint* instructs how the collapsing of edges should happen. Choosing this constraint is recommended since it obliges the algorithm to place each collapsed edge into the vertex with the optimum possible position.

The *preserve normal constraint* is also important. This constraint when enabled, prohibits face flipping effects i.e. the simplification process should preserve the original orientation of the faces on the surface.

Finally, the *planar simplification constraint* improves the quality of the simplification of the planar portion of the mesh. *Simplify only selected faces* feature is not useful in our case as this feature allows 3D designers to simplify only selected set of faces in other words only a part of the mesh.

Experiment 1 decimated models were as follows (K means thousands of faces and M means millions of faces):

1. **Roman Mercury model:** seven decimated versions used in total in the experiment [50K, 100K, 183K, 300K, 366K, 733K, Original Resolution (1.5M)]
2. **Achavanich Beaker model:** ten decimated versions used in total in the experiment [100K, 150K, 189K, 300K, 375K, 750K, 1M, 1.5M, 3M, Original Resolution (6.1M)]

Experiment 2 models: The decimated models chosen are smaller in number than in Experiment 1 due to the limited capabilities of the mobile devices used. The following decimations were used in this experiment:

1. **Roman Mercury model:** seven decimated versions used in total in the experiment [50K, 100K, 183K, 300K, 366K, 733K, Original resolution (1.5M)]. These are the same resolutions used in Experiment 1.
2. **Achavanich Beaker model:** seven decimated versions are used in this experiment [100K, 150K, 189K, 300K, 375K, 750K, 1M]. The highest resolution that can be rendered without crashes on both mobile devices used is the 1M faces resolution. This is due to the limited graphical capabilities of these mobile devices.

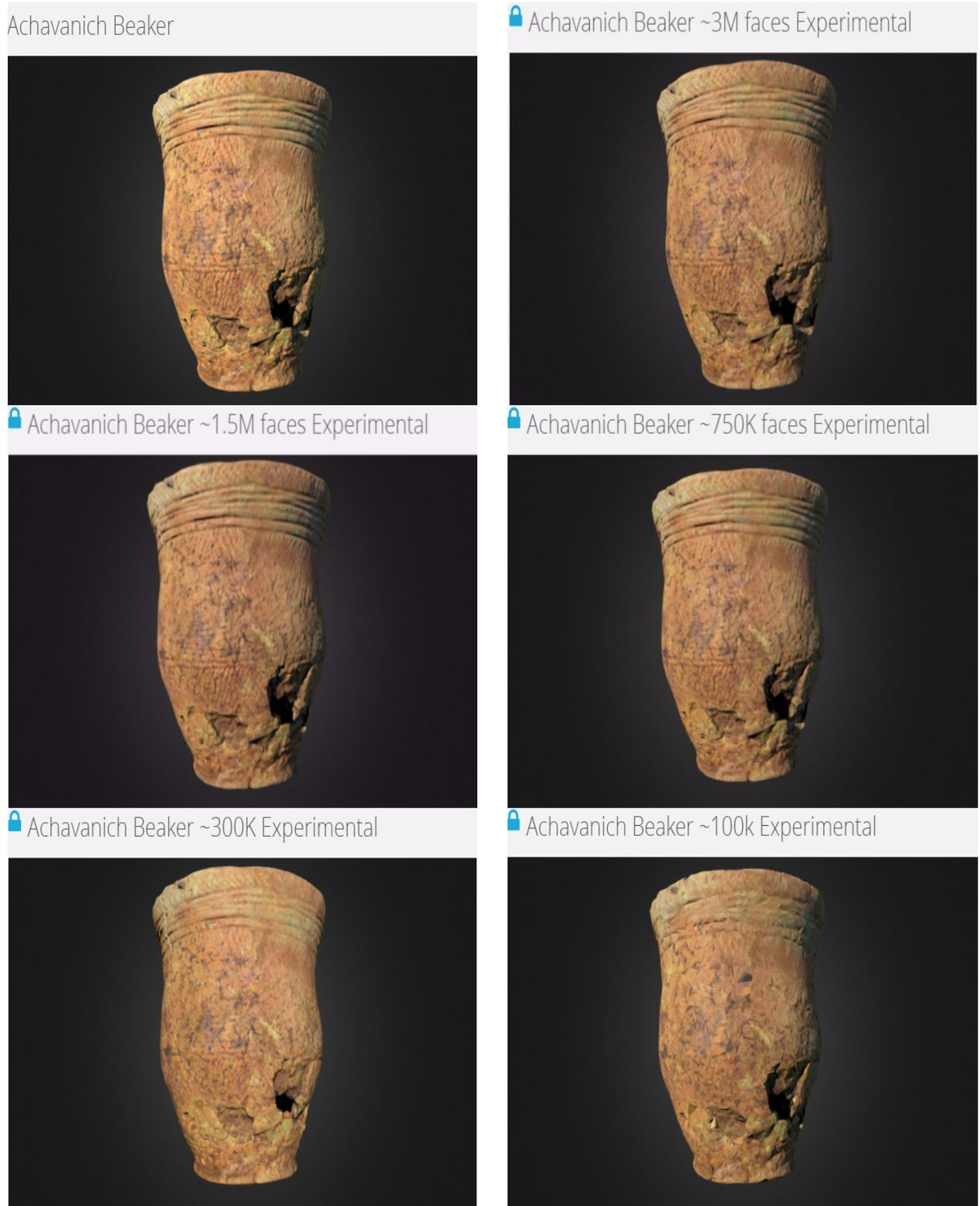
The choice of resolutions was made in such a way as to make comparisons across models and devices easy but this was sometimes not possible since it was more dictated by how the decimation algorithm works when minimising the number of faces while still preserving the integrity of the 3D meshes.

All the decimated models were uploaded to Sketchfab web repository. Table 3.9 shows images of some decimated models of Achavanich Beaker on Sketchfab. Each one of these 3D models has a specific resolution expressed in number of faces.

Due to the nature of Digital Heritage models, any simplification algorithm used must allow constraints of quality and integrity to be enforced so as to preserve the topology of the mesh at every simplification step i.e. preserving the genus of the mesh and preserving manifold connectivity [253]. There are defined quality criteria which aim to preserve specific essential properties of the original model such as mesh consistency or topological correctness [173].

When quality constraints are not enforced, the algorithm would reach a point where more decimation endangers the topological integrity of the mesh and could lead the mesh to fold on itself [161]. Figure 3.4 shows the Achavanich beaker model inside the Meshlab software at a resolution of 20K faces less than the minimum allowed 100K resolution. This is allowed only when the constraint to preserve the boundary of the mesh is not respected. As can be noticed in the figure, the 3D mesh has a lot of holes in places where many faces were not retriangulated.

Table 3.9: Table showing the decimated models of Achavanich Beaker (original resolution was 6.1M faces - 1st picture on the left)



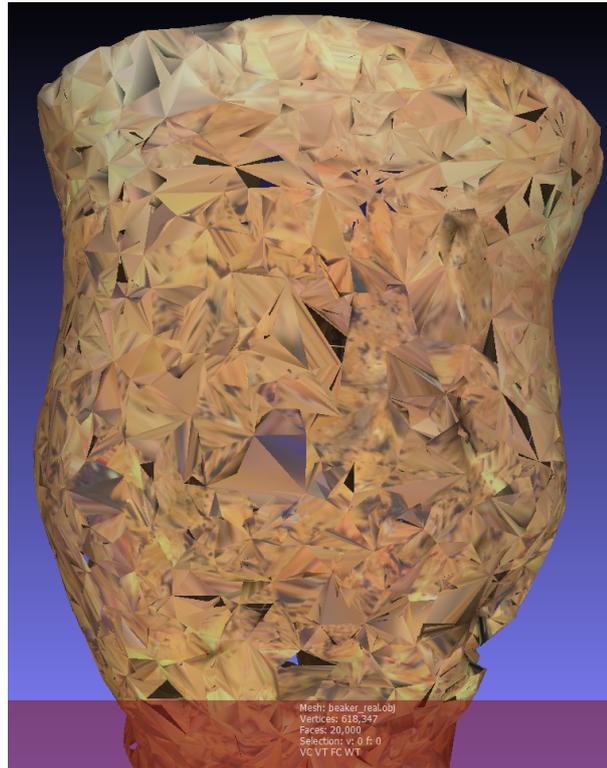


Figure 3.4: Achavanich Beaker with no respect to the integrity of the mesh (20K faces)

Devices Specifications

The following presents devices specifications (the hardware setup, the networks that the devices are connected to and specifications of the tethered screen).

Experiment 1:

Hardware set-up: The system that rendered the models was an Intel Core i5-440-3.10 GHz with 16GB 1067 MHz DDR3 RAM. The graphics card of the machine was NVIDIA GeForce GTX 970 with 4GB of Video RAM. The system had an installation of Windows 7 Enterprise 64 Bit with a minimal set of background processes running to avoid interference. The system had the latest drivers installed and the web browser used was Google Chrome version 57.0.2987.98 (64-bit).

The network connection of the system is to a 100 Mbps Ethernet Local Area Network which, in turn, is separated by four 1 Gbps router hops, and finally to the United Kingdom University JANET backbone.

The screen used to display the models was a Sharp Screen 60-inch Full HD with 1920x1080 native resolution. The system was connected to the screen with an HDMI

2.0 connection. The use of relatively powerful consumer hardware was necessary to render the complex 3D models with millions of faces and vertices.

Experiment 2: Two devices were chosen that pertain to two categories (smart phones and tablets) in this experiment. Both mobile devices were connected to a stable WiFi network connected to Access Points that support data rates of around 600Mbps:

iPad Pro (9.7 inch) WiFi 32GB MLMN2B/A model: has as a processor the Apple A9X (64 bit architecture). The GPU inside the A9X chip is the PowerVR Series 7XT GT7600 (six-core).

The OS on the iPad Pro was the latest version at the time when the experiment was conducted (iOS 10.3(14E277)). The mobile web browser used was Opera Mini version 14.0.0.104835 (64-bit). Opera Mini was the best WebGL browser on the iPad compared to other 4 common mobile browsers benchmarked for the purpose of the experiment (Apple Safari, Mozilla Firefox, Google Chrome and Dolphin). The experiments were conducted on this device, with a *portrait* screen orientation.

iPhone 7 Plus 256 GB: has a 5.5-inch LED-backlit wide screen with Retina HD Display technology. It has as a processor, the A10 Fusion chip 64-bit architecture (Embedded M10 motion coprocessor). The GPU inside this chip is the PowerVR Series 7XT GT7600 Plus (hexa-core). The OS version on the iPhone 7 Plus was iOS 10.3-14E277. The experiments were conducted on this device with a *portrait* screen orientation.

The mobile web browser used on this device was Safari (64-bit). Apple Safari was found to be the best WebGL browser on the iPhone 7 Plus compared to other 4 common mobile browsers benchmarked for the sake of the experiment (Opera Mini, Mozilla Firefox, Google Chrome and Dolphin).

The iPhone 7 Plus was considerably better in terms of graphical processing power than the aforementioned iPad Pro. This was shown by the fact that it was able to render a resolution even higher than the 1M faces, which is the highest that iPad Pro can render reliably.

Software: A PHP web application connected to a MySQL database captured participants responses. On the front end, JavaScript frameworks such as Bootstrap [45] and JQuery [54] were used also to make the application responsive and interactive. The same web application was used with relatively minor changes for the experiments

conducted on the iPad Pro tablet and the iPhone 7 Plus. This is due to bootstrap [45] being one of the best web frameworks for scaling web applications to fit on a wide range of screen sizes. All the models were fetched from the Sketchfab [374] web repository.

Experimental Procedure

The study took place in a tutorial room in the Jack Cole building in the school of Computer Science at the University of St Andrews. The room was booked for the purpose of the experiment and to ensure that participants were not disturbed during their sessions which lasted on average one hour.

The participants were presented with a questionnaire concerning basic demographic information (age and gender) in addition to information about eyesight. The questionnaire included also information about regular viewing preferences of participants for 3D content.

Each participant session took around 35 minutes. It included time taken to fill the initial questionnaire, sign the ethics forms, time to grade and rank the two reference models and their decimations (in two sets) and time for debriefing and questions.

In Experiment 1, participants were sat at a distance of 2 meters from the big screen (i.e a distance of around $2.5H$, where H is the height of the display $H= 80\text{cm}$). This is due to the sheer size of the screen (60 inches) on which 3D models were being viewed. Each participant were asked if he or she were comfortable in this setting and whether lights, room temperature and other environmental factors were satisfactory. All participants found this setting comfortable.

The PHP web application played the role of rendering the Sketchfab models in different views and resolutions. Furthermore, it captured the questionnaire answers, the grades and the ranks and stored them in different tables in the MySQL database for later statistical analysis.

Each experiment (both 1 & 2) was divided in two phases:

1. **Phase A:** Participants were asked to grade the models, one at a time, based on the 5-level scale presented in Table 3.10. All model variations were randomised on different web pages and the participants used the scale of 1 to 5 to grade each one alone in terms of the quality of fidelity. Subjects graded each model first

without interaction (i.e. based on look only). Then they were asked to interact with the models (zoom in and out, pan and drag) and then to grade it on the same scale. The idea here is that participants might change their grading (either downgrading or upgrading the model) after interaction. One of the models in each set, acted as “*reference model*” which was the model with the original resolution (i.e. before decimation). Figure 3.5 shows an example of how the grading was done. No clues were provided concerning the resolution or fidelity of the models. Table 3.10 shows the 5-level quality of presentation scale used in the experiment.

2. **Phase B:** Participants were then asked after the grading phase to rank models pertaining to the same reference model from worst fidelity to best fidelity. The original model (or the “*unimpaired model*”) is hidden between them. No clues were provided concerning the resolution or fidelity of any of the models. Five 3D model decimations labelled A to E were present on the same web page where participants could interact with any model resolution they wished to. The aim was to identify resolutions above which participants began to confuse the correct ranks.

The participants were made aware that they were grading and ranking only the quality of presentation or fidelity of the 3D model and they were not supposed neither to grade nor to rank the beauty of the actual artefact that the model realistically represents, or the responsiveness of the 3D model.

The ranking phase played the role of buttressing the grading results with the aim of studying at which resolution participants began to confuse the correct ranks. It should be mentioned that in the second experiment (i.e. on mobile devices) the “*original resolution models or unimpaired models*” were not used because they have considerably higher resolutions that the mobile devices could not render due to their limited graphical capabilities.

In the two experiments, we asked the participants to grade the models based on a 5-level quality of presentation scale shown in Table 3.10. The rationale behind that is that it is a typical scale widely used in the perception of fidelity of multimedia [398] and 3D models [306] and recommended by P910 [337]. The *9-level quality scale* which is another typical and more sensitive scale was not used due to the fact that both of scales classify scores in the same manner, meaning in 5 fixed categories. In addition, the 9-level scale can involve more guessing on the part of the participant,

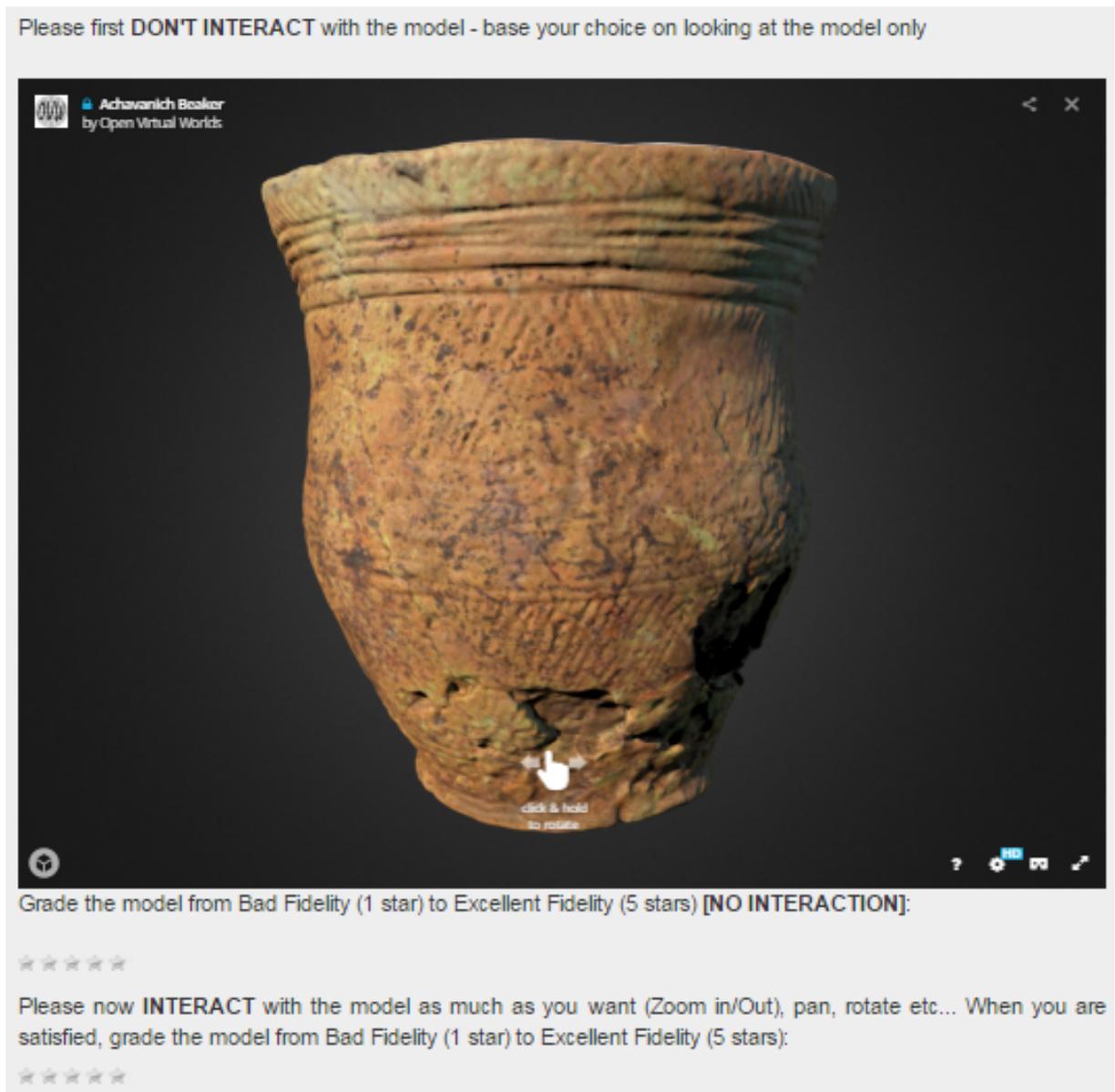


Figure 3.5: Fidelity Grading Phase Example

as per instance, a grade of “*Excellent*” given to a model resolution might be a score of either 8 or 9 whether on the 5-point scale, grades are more discrete.

In the grading phase, the Mean Opinion Scores (MOSs) for each resolution were calculated. The MOS is defined as follows:

$$MOS = \frac{\sum_{n=0}^N R_n}{N} \quad (3.1)$$

Where N is the number of participants, R_n is all the ratings given by all participants of a certain stimulus (as an example: the 100000 faces resolution of the Achavanich beaker model in no interaction mode on a 60 inches HD screen).

In the ranking phase, we calculated the Absolute Error which is defined as follows:

$$\Delta x = x_0 - x \quad (3.2)$$

Where Δx is the absolute error of a particular ranking response, x_0 is the response of the participant (i.e. the rank given by the participant) and x is the actual theoretical rank of a certain resolution. We also calculated the Mean Absolute Error (MAE) of all resolutions.

Table 3.10: Five level quality of presentation scale

5-level Score	Subjective Assessment
5	Excellent Resolution
4	Good Resolution
3	Fair Resolution
2	Poor Resolution
1	Bad Resolution

Models Textures in Sketchfab

Sketchfab [374] compresses the textures to minimise download times. It converts any type of images to PNG. It generates different compression versions of them at the power of two with 32 x 32 being the smallest and the largest being the original size (if it is of power of two). The maximum size should be of the order 8192 x 8192. Anything above that is compressed to this size. Images above the 8192 x 8192 size should be avoided [377].

Textures consumes Video Random Access Memory (VRAM). Sketchfab advises the use of 4K textures which can require around 50MB of VRAM according to Sketchfab. 4K textures seem to be a good limit for both performance and compatibility [375].

A future work worthy of investigation is the study of perception of fidelity of textures on 3D models in the aim of discovering perception thresholds. It would be interesting

to see if the “4K texture resolution” is an upper resolution threshold for textures above which users do not notice any difference in the resolution or quality of presentation of the 3D model as a whole. In a similar vein, it is pertinent to know what relationships can we deduce in terms of perception of fidelity when we fix the resolution of the model’s geometry (i.e. mesh resolution in number of faces and vertices) and change the resolution of the textures (following the power of two rule or any other rule for that matter) and check how the fidelity is perceived from the perspective of the users. This is a future work for the researcher. It should be mentioned that many other interesting future research directions for Ph.D and Masters candidates are presented in the conclusion chapter(Section 8.6).

The compression of textures made by Sketchfab corresponds to the pixel budgets under the three categories: Low Definition (LD), Standard Definition (SD) and High Definition (HD) that the user sees when fetching a Sketchfab model.

Sketchfab adaptively chooses the right pixel budget to use depending on the type and GPU capabilities of the device. Normally the majority of 3D Web models are fetched on mobiles devices as LD with some exceptions.

The user can manually override a pixel budget through a ReST API GET function of the form: `modelURL/embed? pixelbudget=x`. Whenever x is 0 it enforces fetching HD (no pixel budget for HD).

Pixel budgets lesser than 2048 are for the LD textures and those strictly lesser than 8192 are for the SD. Usually models with texture resolutions higher than 8K will have the 3 options (LD, SD and HD). Whenever the full original texture resolutions are within the SD "pixel budget" range, the SD is shown to the user as HD [376].

3.5 Summary

This chapter started by restating the research questions of this thesis and discussed different research methods used to answer these questions across the different chapters and sections. The thesis uses a mixed method approach consisting of quantitative system-level measurements and user-based studies culminating in the development of Hannibal, a QoS and QoE aware adaptive engine for WBVMs. The chapter presented and discussed in Sections 3.2 and 3.3 the rationale of using the methodological approaches adopted and contrasted them to research methods used

in some of the related works.

The chapter proceeded with detailing the empirical procedures which involves investigating the QoS and QoE of 3D Digital Heritage Web (3D Heritage Artefacts and 3D Heritage WBVW), aimed at investigating the research questions identified in Chapter 1.

The next part of this thesis discusses the results and analysis of all the empirical studies.

Part III

Empirical Studies

3D Web-Based Digital Heritage Environments

This chapter investigates the Quality of Service of Digital Heritage Web-Based Virtual Worlds (WBVWs) with the aim of furthering the understanding of the limitations and bottlenecks that these systems have. WBVWs became a possibility due the constant improvement of graphical capabilities of client devices and the progress achieved in 3D Web technologies especially in WebGL. Web-Based Virtual Worlds provide an immersive 3D experience to users in their regular web browsers. In order to navigate such environments, users take the form of avatars in either a first-person view [446] or a third person view [445] or a VR view [194, 423]. Web-Based Virtual Worlds whether requiring the installation of a web browser plug-in or which function without a plug-in (i.e. WebGL) are still less capable graphically than their counterpart in MUVWs (Second Life, OpenSim, vel cetera) or stand-alone desktop serious games, which constitute the ne plus ultra systems of 3D graphical complexity. In addition, they remain limited by their size and scope due to being bound by what can be rendered efficiently to users in web browsers. However, this did not diminish their useful role especially in web applications for CH domains both in a strict academic sense i.e. per instance, to document, visualise and disseminate a hypothesis of how a site's ruins used to look like in the past [110, 111] or as an attractive and educational means for both physical museums and virtual ones to engage and to fascinate their visitors.

A large proportion of this chapter appeared in the following peer-reviewed publication:

1. **Bakri, H.**, and Allison, C. "Measuring QoS in web-based virtual worlds: an evaluation of unity 3D web builds." Proceedings of the 8th International Workshop on Massively Multiuser Virtual Environments. ACM, 2016. [30].

4.1 Introduction

The world wide web is gradually becoming more suitable for hosting interactive and complex 3D content in the form of Web-Based Virtual Worlds (WBVWs) navigable by avatars. This is achieved through numerous Web3D technologies such as X3DOM [37], XML3D [383], Unity 3D and WebGL [262]. WBVWs are similar to traditional MUVWs such as Second Life [251] and OpenSim [298] albeit do not require any standalone installation as they appear to be integrated completely into the standard web fabric from the perspective of the user. All the user has to do is to navigate and interact with the immersive 3D environment via the proxy of their web browsers. Some tools and technologies of the 3D Web require a plug-in to be installed, which can discourage potential users, in contrast to others which are plugin-free such as WebGL which is now supported as a standard in all major web browsers.

The area of the countryside of Strath of Kildonan in the Highlands of Scotland was ab initio inhabited by many communities. Two hundreds years ago, traumatic land clearances were forced upon the population to make room for sheep farming. This event is still ingrained in the conscience of the community to this day.

To commemorate the Bicentenary anniversary of the Highland clearances, the Timespan Museum and Arts Centre in the village of Helmsdale in the county of Sutherland, in Scotland has developed the Translocation program which was a year long series of installations, exhibits and events. The reconstruction of the Caen township (i.e. one of the town that suffered the clearances) was part of this program. This reconstruction represents the final domestic phase of inhabitants occupation and was based on findings from the archaeological excavations of the Caen Township and on the community engagement and feedback [266]. It showed how the buildings and life of community members looked like in this period.

Two web builds were exported from the reconstruction of the ruins of the Caen Township in Unity 3D. These web builds are the Unity Web Player (UWP) build (a.k.a. Plug-in version) and the WebGL 1.0 version (U-WebGL) of the same WBVW dubbed as Timespan Longhouse (TL). Key Quality of Service metrics were measured on the two web builds: Unity Web Player and Unity WebGL.

Metrics include Frame per Second (FPS), Frame Time (FT), CPU usage, GPU usage, memory usage and Initial Download Time. The ability to transform virtual worlds from the Unity 3D engine into builds for web consumption has great potential to bring 3D immersive interaction capabilities to all web users but the results show that there are many bottlenecks and limitations of these environments. In addition, there is a significant performance difference between the Unity Web Player (plug-in needed) and Unity WebGL 1.0 (no plug-in required), in terms of all the metrics listed above.

Unity [410] is a leading general purpose game engine for creating immersive 3D environments. It gives developers the ability to export any Unity game or 3D world in different formats for different platforms. In particular, it has the ability to host a 3D world in a web browser through the Web Player plug-in or to generate a WebGL build. Unity 3D has been used considerably throughout the literature in diverse DH applications [222, 226] and in web-based digital heritage environments [7, 12, 316].

Unity 5.2 can generate two types of builds suitable for web browsers: Unity Web Player (UWP) and WebGL (U-WebGL). The crucial difference is that UWP requires a plug-in to be installed whereas U-WebGL can be run directly in any web browser that supports WebGL. This is the case of all major browsers such as Mozilla Firefox, Apple Safari or Google Chrome. A U-WebGL view of the Timespan Longhouse displayed in Google Chrome is shown in Figure 4.1.

The Initial Download Time (IDT) in the context of this work is the 3D Web equivalent of the widely used Page Load Time (PLT) metric in the 2D Web. IDT is important as existing 2D web pages aim to load within a few seconds at most, lest a visitor loses interest and goes elsewhere. Hence the proliferation of Page Load Time monitoring and analysis tools for the 2D web as evidenced by the built-in facilities in major web browsers and web sites such as www.webpagetest.org. FPS and FT are important QoS metrics for performance in real time immersive 3D environments. Understanding the demands on the CPU, GPU and memory are important also with real-time 3D graphics.

This work discusses what could affect the QoS of WBVWs built in Unity and proposes possible optimisations to improve their performance.



Figure 4.1: The Timespan Longhouse WBVW [30]

The methodology of how these metrics were captured is presented in the previous chapter 3, section 3.4.2.1.

The remainder of this chapter is organised as follows: Section 4.2 presents the results of the captured QoS metrics of DH WBVWs that cover the graphical performance (FPS, FT, GPU consumption), in addition to QoS metrics that cover CPU consumption, physical memory consumption and download times. These results are discussed in detail in Section 4.3, along with possible optimisations. Section 4.4 highlights the limitations of this investigation. Finally, Section 4.5 concludes.

4.2 Results

4.2.1 Frame Rates and Frame Times

FPS is the average number of frames rendered in a second while FT is the time taken to render a frame. These are key QoS indicators of the performance of a virtual world system or a 3D game [9, 176]. The box plots in Figure 4.2 summarise the FPS

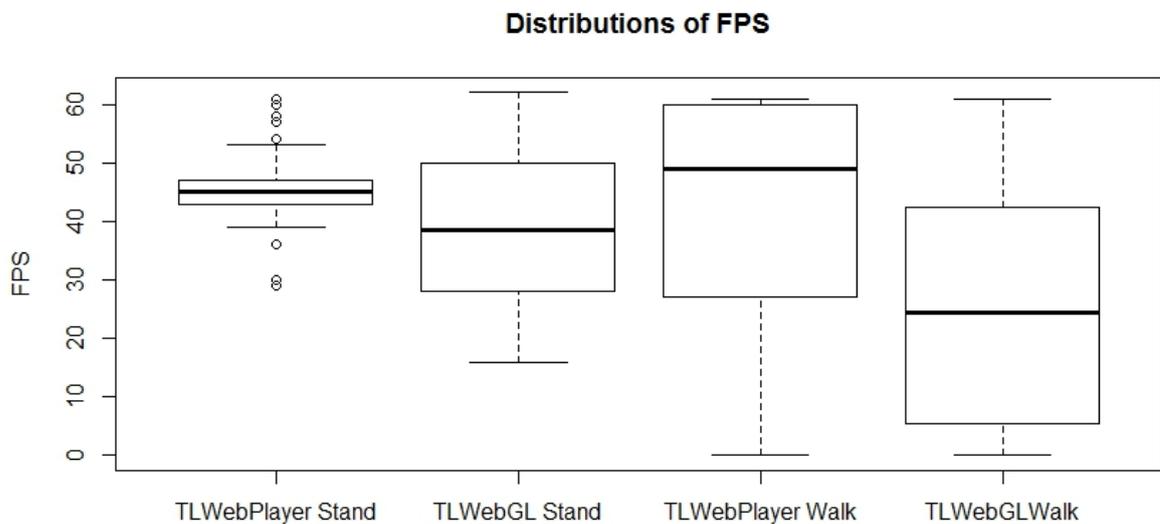


Figure 4.2: FPS Distributions of the Timespan Longhouse World

distributions of Timespan Longhouse virtual world for both UWP and U-WebGL when the avatar is standing with random yaw¹ and when she is walking randomly from a non-dense to a dense area. It can be seen that there are wide box-and-whisker diagrams with highs of around 60 FPS which is very good performance whether standing or walking and also very low FPS whiskers reaching 0. FPS of around 60 characterise non-dense areas in TL whereas FPS around 0 characterise very complex regions with complex geometry where the number of triangles (i.e. faces) and vertices is high. It is interesting that the FPS median (i.e. 50th percentile, the thick whisker line) while walking stays around 50 in the UWP version but is less than 30 in the U-WebGL version.

¹Yaw is the change in the avatar orientation or the change in the “look at” view

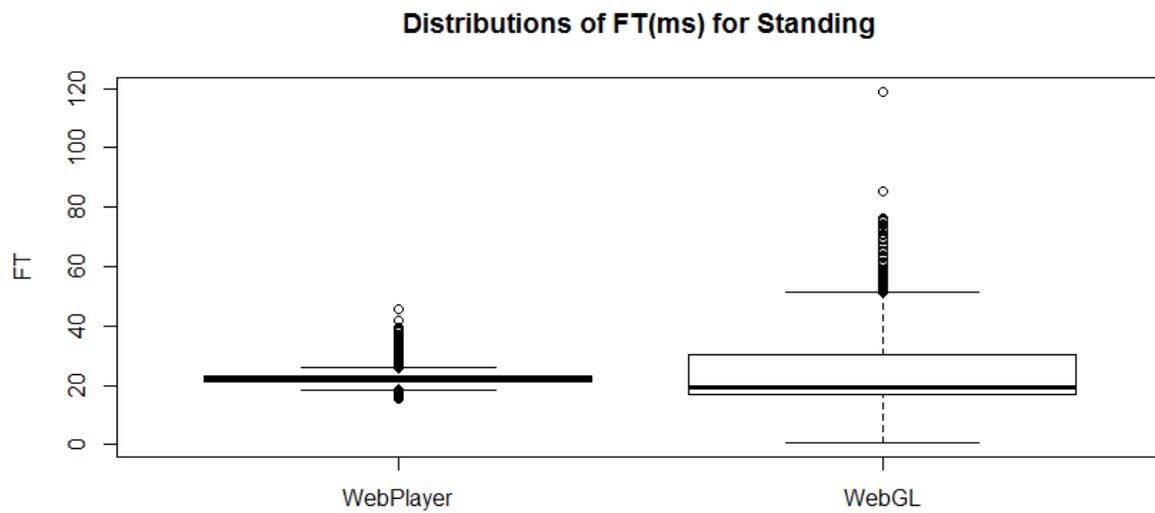


Figure 4.3: FT Distribution while avatar standing (ms)

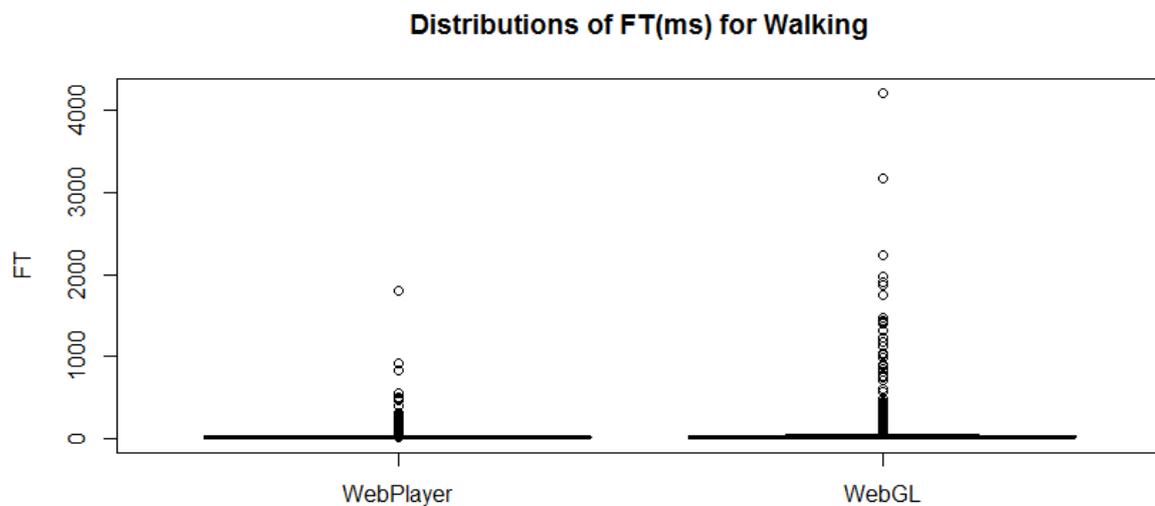


Figure 4.4: FT Distribution while avatar walking (ms)

Figures 4.3 and 4.4 show that the FT has many outliers above 2000ms which correlates with the low values of FPS while walking and standing. Both versions reach FT values above 1000ms. The UWP version has a more compact box and whisker diagram and is nearer to the high values of FPS than the U-WebGL version while both standing and walking. Thus, it can be seen that the UWP version outperforms U-WebGL in terms of FT and FPS.

In terms of overall performance of both WBVWs, the FPS values reached 0, especially when the avatar walked to areas dense in complex 3D objects. This performance leads to a freeze of the whole environment for a few seconds.

4.2.2 CPU, GPU and Memory

Physical Memory Load (%) in the client machine and the Physical Memory Used in MB were measured. Both give the same information.

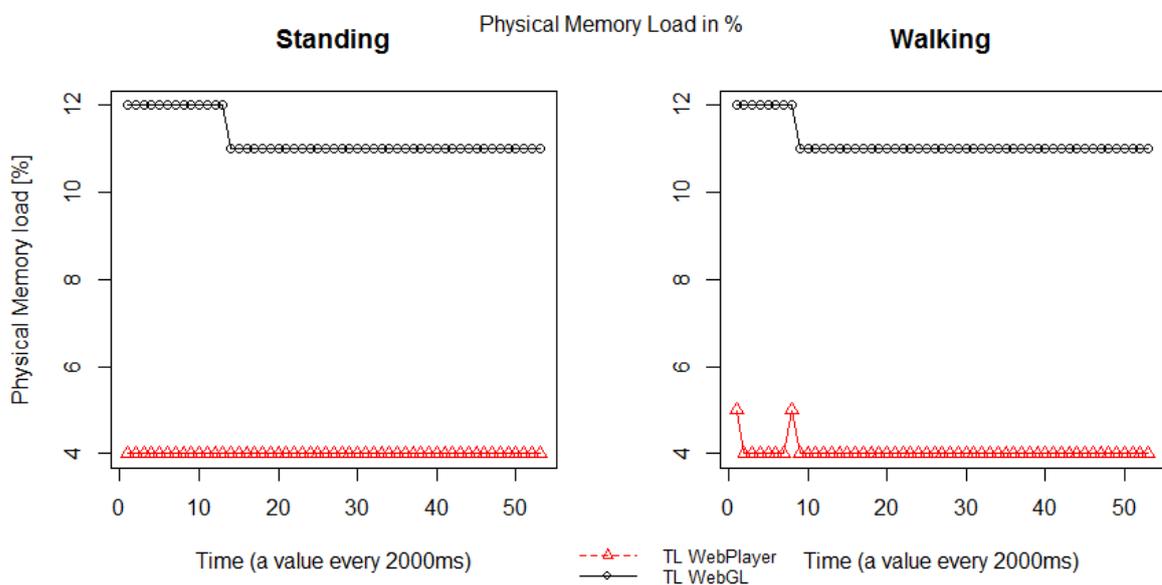


Figure 4.5: Physical Memory Load (%)

Figures 4.5 and 4.6 show that no matter whether the avatar is walking or standing, the memory consumption does not change. However, the U-WebGL version has a higher usage (11%) compared to UWP one (4%).

Figure 4.7 shows that U-WebGL consistently uses more CPU whereas Figure 4.8 shows that GPU load usage of the UWP build is greater than the U-WebGL. The spikes in U-WebGL occur when the avatar is walking. The U-WebGL API uses hardware accelerated rendering on the GPU: on Windows, DirectX is used for U-WebGL; on Linux and OS X, OpenGL is used [409].

Figure 4.9 shows the GPU utilisation via DirectX/Direct3D. This covers only the utilisation of the DirectX/Direct3D interface subsystem and does not cover usage via

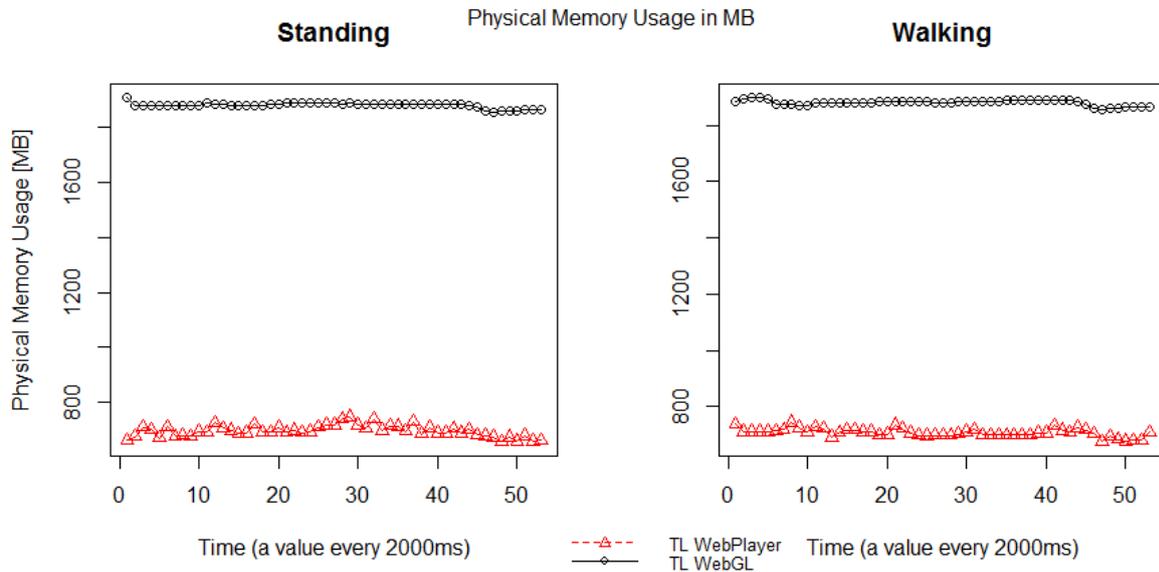


Figure 4.6: Physical Memory Usage (MB)

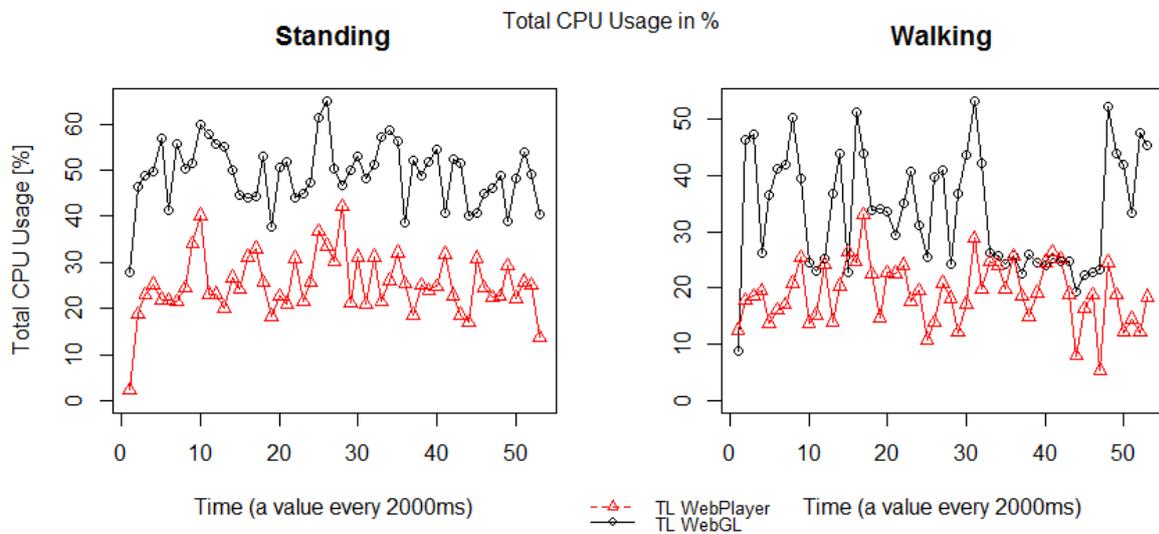


Figure 4.7: CPU Usage (%)

other GPU interfaces. Figures 4.8 and 4.9 show similar patterns because the major usage is on the Direct3D interface of the GPU.

Finally, Figure 4.10 shows the general GPU memory usage as a percentage (memory allocated from total GPU memory available in client machine). The U-WebGL build consumes a little more GPU memory (1-1.5%) than the UWP build of the Timespan Longhouse.

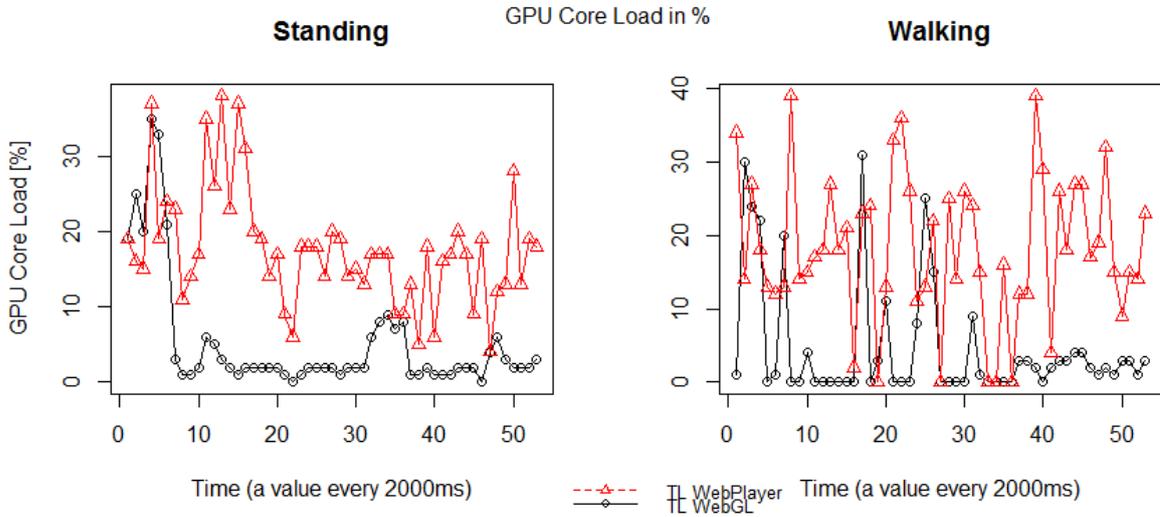


Figure 4.8: GPU Core Load (%)

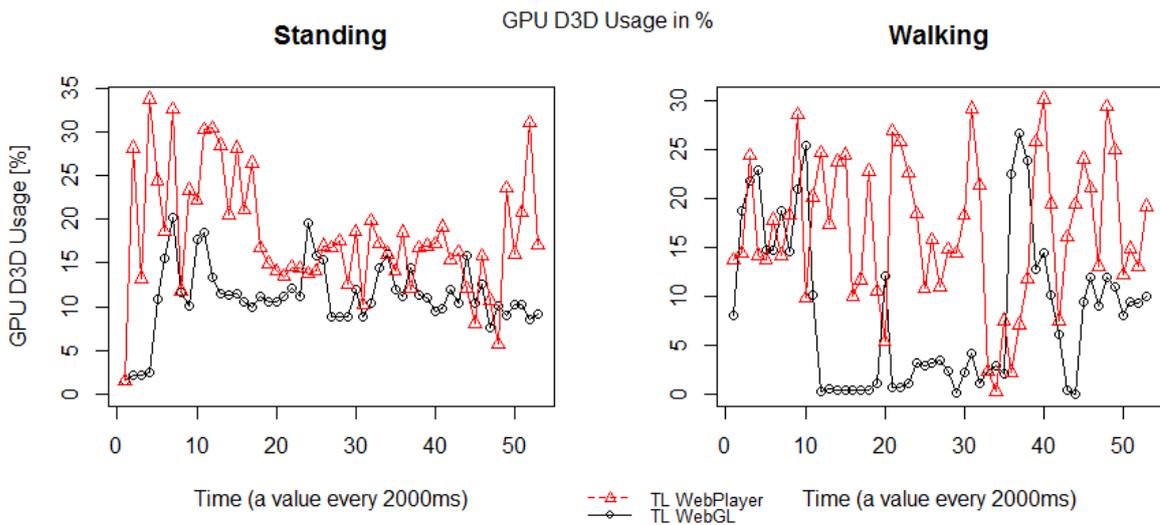


Figure 4.9: GPU D3D Use (%)

4.2.3 Initial Download Time

In the same way that the page load time is an important measurement for the 2D Web, the Initial Download Time (IDT) is important for WBVWs. Too long a time will result in the user losing interest and turning their attention elsewhere.

The client and the server were connected to different subnets of a campus network, which has minimum link speeds of 100Mb/s. Table 4.1 summarises the characteristics of the network path when downloading files from the server to the client.

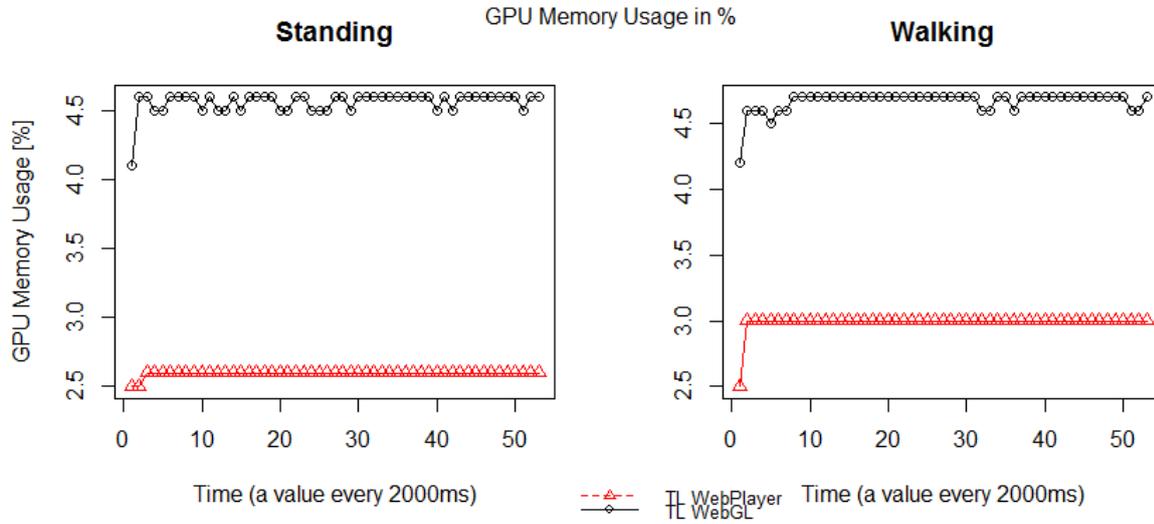


Figure 4.10: GPU Memory Usage (%)

Table 4.1: Average RTT & Downlink bandwidths of actual files on the server, without rendering or processing

Average RTT to Server	Sizes of Raw Files when downloaded	Average downlink bandwidth
0.271 ms	40 MB (UWP file)	11.2 MB/s
	129MB (U-WebGL .data file)	10.4 MB/s

Table 4.2: Initial Download Times (ms) for TL Builds

	Average	Standard Deviation
UWP	3934.33	91.258
U-WebGL	20191.67	581.461

For the IDTs, the caches of web browsers were completely cleared prior to each run. Table 4.2 shows that the U-WebGL version takes over 20 seconds, whereas the UWP only takes around 4 seconds, a significant difference.

4.3 Discussion

This section discusses some performance issues and possible optimisations. These are based on the experience of the researcher from conducting the experiments and also from the Unity official documentation [409].

When comparing UWP and U-WebGL builds of the Timespan Longhouse world, we notice that the size of the U-WebGL is considerably larger. FPS and FT vary in the same world quite considerably. It is possible to achieve 60 FPS (a very good performance in web browsers) in Unity worlds, as shown in Figure 4.2.

On the other hand, FPS can reach 0 in extremely dense scenes with complex geometry in both U-WebGL and UWP builds. The Fraps measurements were confirmed by values reported by Google Chrome and Mozilla Firefox FPS metres. For less than 16 FPS, worlds become noticeably sluggish and scenes begin to take longer to render. A user feels that it takes a considerable amount of time to change the orientation of her avatar in such low FPS scenes. At rates less than 16 FPS, especially less than 9 FPS, the avatar becomes unresponsive to commands of the user and freezes for several tens of seconds (shown by the very high frame times seen in Figure 4.4 - above 1000ms).

There is typically a better frame rate in the UWP version than the U-WebGL version. Walking can generate lower FPS and higher FT than standing especially when the avatar encounters lower FPS parts of a world (i.e. parts dense in graphical complexity). Dynamic batching and other optimisations explained in [412] can alleviate these frame rate bottlenecks.

The frame rate and thus the frame times correlate with the complexity of the world, its composition and its size. More complex worlds or even complex scene geometries inside a world lead to lower FPS and higher FTs. Other parameters such as lighting, shadows, and reflection mechanisms are among many others that can influence those rates as well as influencing GPU and CPU consumption. Walking consumes almost the same amount of physical memory as standing because the Unity 3D world takes a fixed reserved share from the web browser's memory regardless of the activity, i.e. regardless of whether the avatar navigates to a lower frame rate part of the world or not.

It can be seen from the results of the experiments that the U-WebGL version of the same world consumes more CPU, GPU memory and physical memory than the UWP version of the same world. U-WebGL builds have performance bottlenecks in physical memory consumption in their heap memory. U-WebGL memory problems may lead to crashes in web browsers running out of memory when loading big U-WebGL projects. Factors to consider include: whether the browser is a 32 or 64 bit process; whether the browser uses separate processes for each opened tab; how

the memory is shared between opened tabs; how much memory is allocated for the JavaScript engine to parse and optimise the U-WebGL code.

U-WebGL builds can easily produce millions of lines of JavaScript code thereby exceeding the maximum amount of JavaScript normally budgeted for web browsers. The JavaScript engine has to use large data structures to parse the code leading to considerable consumption of memory (sometimes amounting to Gigabytes). The emitted code of JavaScript always needs to be kept in check. Sometimes optimisations like changing the size of memory heap in U-WebGL builds can help in alleviating memory failures [409].

Unity 3D has many techniques for optimising WebGL projects. Features and techniques such as “*the optimisation level*” feature, the “*striping level*” feature (which strips any classes or components not used during a build) and the “*Exception support*” feature can all influence the performance and size of the builds and thus their correct configurations contribute to either fast or slow download times. Other modes tune how much exceptions are required in U-WebGL code and on which level and such modes increase/decrease the size of the builds. Unity can also generate a compressed version of the U-WebGL code using gzip for HTTP transfer [409].

Due to the fact that U-WebGL code has to be translated to asm.js, the behaviour of the JavaScript engine in the web browser is crucial to the performance of any U-WebGL environment. The web browser has to have an optimised Ahead of Time Compilation for the asm.js code. Unity 3D advises the use of Mozilla Firefox as the best browser for this [409].

The Initial Download Time (IDT) of a U-WebGL world is significantly longer than the UWP version, as can be seen in Table 4.2. This time is governed by 2 major file types. In a UWP build, IDT is governed by the time it takes to download the .unity3d file(s). On the other hand, in a U-WebGL build, IDT is governed by the time it takes to download the .data file(s) which are normally a lot bigger than their counterpart .unity3d files. Both types of files (.unity3d and .data) can be very big.

This can be very problematic with big WBVWs especially when fetched on slow connections with a high rate of packet loss and/or delay. In other words, by default in UWP builds, the entire 3D scene contained in the .unity3d file(s), is completely sent to the client device. All files should be received by the client device before the user can access or interact with the 3D environment. The progress bar seen by the user when loading a Unity Web-Based world, actually shows mainly the progress in

transferring the .unity3d file(s) /.data file(s) and miscellaneous files and an additional time for web browser processing. A particularly important file in UWP builds is Object2.js, which is responsible for detecting and communicating with the plugin, for customising the appearance of the loading screen and for embedding the Unity content.

The use of streaming mode [409] is advised in this case instead of downloading the complete files at the beginning of the sessions. This mode allows the user to receive portions of the 3D scene progressively. It is based on the philosophy of making the user access the 3D world as soon as it is possible instead of waiting for a complete download of the world. It is important to think about users who access these 3D worlds on slow connections. The world can be accessed even after downloading one MB of data. The game or 3D world can be divided into different levels. It is always advisable to use the Unity streaming mode for web builds of considerable sizes and to divide the scenes into different levels.

Caching would help in making the 3D Worlds run and execute faster because the .unity3d file can be stored in the web browser cache. Sometimes the default settings whether in Unity builds themselves or on the server that host Unity worlds do not provide caching capabilities and thus every time the worlds are fetched, all the files need to be sent to the client device. This could be solved by changing the Unity code itself (the caching class per example [411]) and by setting the headers adequately (Cache-Control header) in a web server directive especially for both .unity3d and .data files.

4.4 Limitations

This is the first work to measure QoS metrics in Unity generated WBVWs which was published in [30]. Two major studies [11, 12] that were published after the current work was published, have confirmed many aspects of the results presented in this chapter.

Despite this, the current study is not a complete assessment. For example, additional performance measurements for more avatar mobility models and on different graphics cards would give a more comprehensive view. In addition, potential optimisations of worlds in both UWP and U-WebGL builds need to be explored.

It was decided not to use the Unity profiler tool [413] which can generate metrics for CPU, GPU, physical memory, rendering, physics, and audio. Why? Firstly, the numbers that are displayed in the profiler (especially in the memory category) are not the same as the numbers given by operating system facilities such as Task Manager, Activity Monitor and similar tools. This is probably because some normal overhead is not taken into account by the profiler [408]. Also, the memory usage in the editor is different from what is shown in the player. Secondly, the frame rates of 3D games in the editor might be a lot higher than the capped 60 frames per second rate in UWP & U-WebGL on the majority of web browsers [409]. Finally, I aimed to measure the QoS metrics from outside the Unity software ecosystem to obtain a degree of objectivity, and to see how these worlds performed in web browsers “*in the wild*”.

4.4.1 Toward Plugin-less 3D Web

The experiments were performed on Google Chrome version 44 which supported the Unity Web player plug-in through the Netscape Plugin Application Programming Interface (NPAPI). However, in early 2016 Chrome dropped the support for *NPAPI based plug-ins* in version 45 and onwards [414].

Other plug-ins were also affected by this strategic decision to start moving towards a “*plugin-less web browser*”. The Unity plug-in version is still supported at time of writing by any remaining web browsers that support NPAPI but this is likely to change in the future e.g. Mozilla intends to remove support for NPAPI plug-ins.

In the Unity version 5.3 and onwards, the Unity Web Player build option has been removed completely implying that Unity is moving towards a WebGL only solution. The results of our experiments showed that UWP versions perform significantly better than the WebGL versions, and it will be interesting to see if Unity 3D can produce more efficient WebGL to address this problem. The results obtained still remain valid since Unity 3D continues to generate WebGL builds. In addition, the majority of web browsers support WebGL version 1.0 and only very few support at the time of writing WebGL 2.0.

4.4.2 Unity WebGL Limitations

WebGL is supported by the majority of web browsers which makes WebGL Worlds very accessible. Nevertheless, there are few limitations in WebGL itself as a 3D Web technology and in JavaScript as a language for 3D Graphics. JavaScript does not support multithreading or direct access to IP sockets of web browsers for security and privacy concerns. WebGL supports only baked global illumination and not real-time ones. In addition, it does not support procedural materials or linear colour rendering. It supports basic Web audio API which has many limited audio features compared to other platforms. The initial download time of a Unity WebGL world is a lot bigger than that of its plug-in counterpart of the same world due to build sizes being a lot bigger in many instances.

Another concern is the memory used by Unity 3D WebGL builds. First the heap memory which Unity 3D uses to store loaded assets and scenes needs to be at a convenient size to fit all data required to play the content in web browser. Tweaking the WebGL heap memory size avoids many out-of-memory problems encountered normally in big WebGL builds. Another issue related to memory in WebGL is the memory used by the JavaScript engines for parsing and optimising WebGL codes in browsers.

Compression and optimisation techniques of Unity WebGL builds minimise the emitted JavaScript code and thus result in smaller download times and lesser memory consumption. WebGL 2.0 (based on OpenGL ES 3.0) mitigates some of the limitations of WebGL 1.0 but is still experimental and is not yet supported in the majority of web browsers [409, 410].

4.5 Summary

This chapter investigated the QoS of DH WBVWs. QoS metrics were captured for two Unity 3D DH WBVWs which include FPS, FT, CPU, GPU, memory usage and Initial Download Times. Many limitations and bottlenecks found in these environments were identified and discussed and optimizations were proposed.

In the following chapter, 3D Digital Heritage artefacts, another type of 3D Web content used extensively in CH applications and WBVMs, will be investigated.

3D Digital Heritage Artefacts

Cultural heritage artefacts act as a gateway helping people learn about their social traditions and history. However, preserving these artefacts involves many difficulties, including potential destruction or damage from global warming, pollution, wars and conflicts, and degradation from day to day use. In addition, artefacts can only be present in one place at a time, and many of them can not be exhibited due to the limited physical space of museums. The digital domain offers opportunities to capture and represent the form and texture of these artefacts and to overcome the previously mentioned constraints by allowing people to access and interact with them on multiple devices and network regimes. The first part of this chapter investigates the QoS of DH artefacts mainly by capturing the download and processing times of different 3D models of different resolutions fetched from the Sketchfab web repository. In the second part of the chapter, a preliminary investigation of the perception of Visual Latency of 3D models is reported. Furthermore, the subjective perception of the fidelity of 3D digital heritage artefacts in web browsers is studied through two experiments in order to discover perceptible resolution thresholds. This helps CH stakeholders to create models of reasonable graphical complexity that could be fetched on the biggest range of end devices. It also enables the design of systems which efficiently optimise the user experience by adapting their behaviour based upon users' perception of fidelity and models' characteristics.

A large proportion of this chapter appeared in the following peer-reviewed publication:

1. **Bakri, H.**, Miller, A., & Oliver, I. (2018, June). Fidelity Perception of 3D Models on the Web. In *International Conference on Immersive Learning* (pp. 113-130). Springer, Cham. [28].

5.1 Introduction

The work presented in this chapter furthers the knowledge of Cultural Heritage stakeholders of the download and processing times, user perception of visual latency and subjective perception of fidelity of 3D digital heritage models of different levels of detail on a wide range of client devices and network regimes. This knowledge enables us to design digital heritage applications with the aforementioned areas in mind thus meeting the demands of different user bases.

This also paves the way for developing Hannibal, an adaptive engine that strikes the best possible balance between QoS and QoE. Hannibal is presented in Chapter 7.

This chapter presents an investigation into the Quality of Service (QoS) and Quality of Experience (QoE) of Web3D Digital Heritage models that are fetched from the Sketchfab social repository on mobile devices and standalone PCs. The aim is to understand responsiveness mainly in terms of Initial Download & Processing Times (IDPTs) of the DH models and to understand the user-based perception of visual latency (a preliminary study conducted in Section 5.3.1) and the subjective perception of fidelity of DH models by users (a study presented in Section 5.3.2 and published in [28]).

The detailed methodological procedures of how to capture the Initial Download and Processing Times (IDPTs) of the digital heritage Web3D models on personal computers and mobile devices are detailed in Chapter 3, Section 3.4.2.1. The subjective perception of fidelity of DH Web3D models is studied through two major experiments. The methodological procedures pertaining to those two experiments are detailed in Chapter 3, Section 3.4.2.2.

The remainder of this chapter is organised as follows: Section 5.2 presents the results and analysis of the QoS empirical studies conducted on DH Web3D models. In addition, this section presents best-practice recommendations following the

analysis of download & processing times. Section 5.3 studies the QoE in particular the subjective perception of fidelity of heritage artefacts in two experiments (one on a PC tethered to a big screen) and the other is on mobile devices (a tablet and a phone).

We start first by investigating the Quality of Service (QoS) of DH Web3D models in the following section.

5.2 QoS of Digital Heritage Models

5.2.1 Initial Download & Processing Times

The Initial Download & Processing Time (IDPT) is the time it takes any 3D model to download completely and to become usable in the web browser. The “*Finish Time*” in the Network Inspector in Google Chrome was found to provide such a time because it includes all asynchronously non-blocking resources on the page even after the DOM of the page is completely loaded.

It should be noted that this time is different then the “*Load time*” and the “*DOMContentLoaded time*” given by the Network Inspector tool. In the majority of cases, the “*Finish Time*” is longer than these timings.

The reader can refer back to Section 3.4.2.1 in Chapter 3 for a detailed methodological procedure of how to capture download times on web browsers of personal computers and mobile devices and for a description of the network regimes and devices used.

Five 3D models hosted on Sketchfab were used. One of the models, which is the *Human Head* model, is a very low resolution 3D model (Resolution: 112 faces). Models of such resolutions are unlikely to be found in any CH context but this model was chosen because it constitutes a baseline to show how a very low resolution model behaves across connections especially slow ones.

The other four 3D models resulted from digital heritage digitisation procedures. Two of these 3D Digital Heritage artefacts belong to the British Museum Sketchfab collection [60]: *The Statue of Ramesses II, the ‘Younger Memnon’ Model*¹ (Resolution:

¹Annotations & sound commentary were added to the Model by the British Museum after the current experiment was conducted

30.6K) and Statue of Gudea Model (Resolution: 300.9k)

The other two DH artefacts belong to the Open Virtual Research group collection on Sketchfab [300]: The 70s Shoe - North Uist model (Resolution: 3M) and the 4000 years old Ava Skull Model (Resolution: 4.8M).

5.2.1.1 Low End Device - Alcatel Pop 4

An Alcatel Pop 4 mobile phone (model 5051X) was used. The phone was considered a cheap low-end Android mobile device at the time of conducting the experiment (2016). The Alcatel Pop 4 device was not able to load the 3M faces model (i.e. the 70s Shoe model) and the 4.8M faces model (i.e. the Ava's Skull model), as the mobile web browser (Google Chrome) kept crashing while trying to load them.

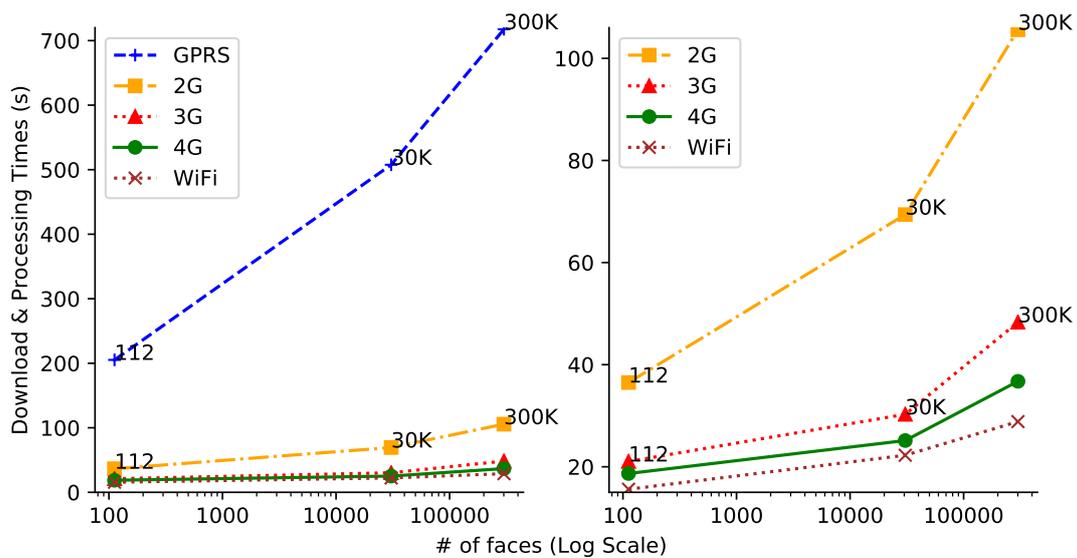


Figure 5.1: IDPTs for Alcatel Pop 4 on different network connections - the graph on the right is a version of the graph on the left but shows more clearly IDPTs on connections (2G, 3G, 4G, WiFi)

Figure 5.1 shows IDPTs of the three DH models that Alcatel Pop 4 device was able to handle on all network connections. IDPTs of Broadband WiFi, 4G and 3G networks are relatively near each other, with IDPTs on WiFi being lower followed by those of 4G then those of 3G. The IDPTs increase with the increase of the complexity (i.e. fidelity) of the 3D models on all types of networks, the highest resolution being that of the 300K faces (due to the capabilities of the Alcatel Pop 4 phone).

On WiFi Broadband, IDPT is around 15 seconds for the 112 faces model and it reaches 30 seconds for the 300K faces model. These figures increase for the 4G network on the Alcatel Pop 4 from around 18 seconds for the 112 faces, to around 40 seconds for the 300K faces model. 3G IDPTs, as expected, are relatively slightly higher than the 4G numbers.

In all cases, these numbers are not impressive compared to the normal page load time expected in a web setting. Too long a time will result the user losing interest and turning their attention elsewhere. It is interesting to see how much time DH models take to download and to become usable on mobile devices with limited capabilities such as Alcatel Pop 4 on the WiFi entry level Broadband in UK.

Figure 5.1 shows that on 2G networks, IDPTs are high and vary from around 35 seconds for the 112 faces model to over 100 seconds (more than 1 minute and a half) for the 300K model. 2G networks are a reality in rural areas with too weak signals for 3G and 4G networks to be operational.

Figure 5.1 shows also that on GPRS (General Packet Radio Service) connection, the IDPTs are extremely high with over 200 seconds for the 112 faces model and over 700 seconds for the 300K model. Although GPRS normally becomes the default network when other mobile networks fail mainly in the case of 2G, this service in a lot of cases becomes the only reality network in rural areas. We can deduce that it is inefficient to fetch any 3D models on such network connections.

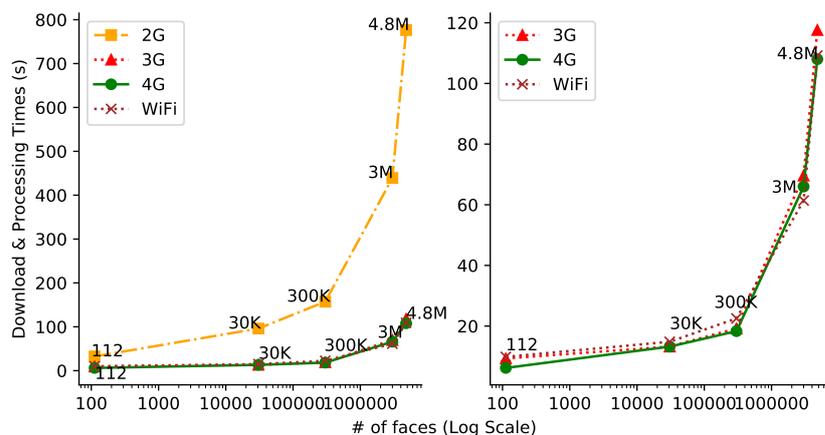


Figure 5.2: IDPTs for LG Nexus 5x on WiFi, 4G, 3G and 2G network connections - the graph on the right is a version of the graph on the left that shows more clearly IDPTs on connections (3G, 4G, WiFi)

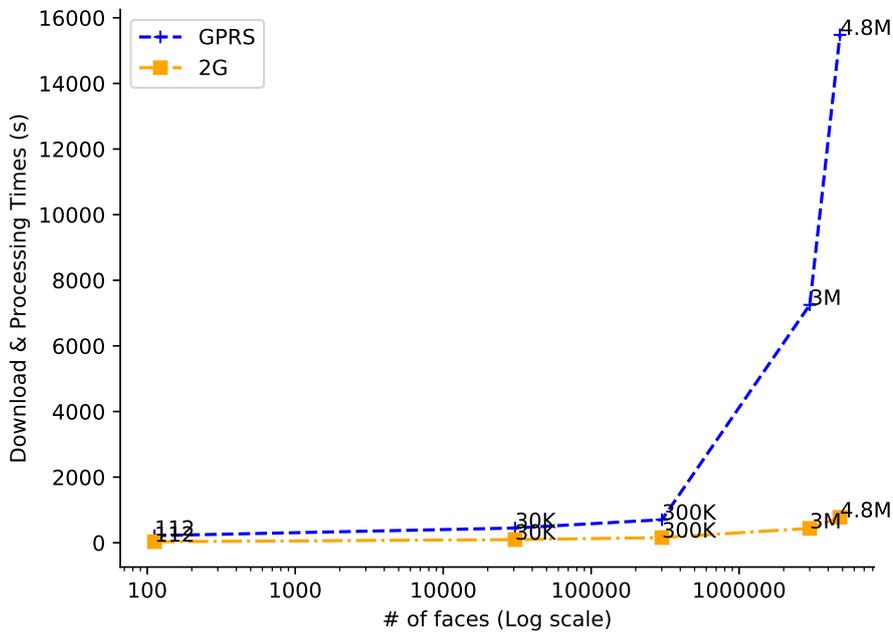


Figure 5.3: IDPTs for the LG Nexus 5x on GPRS & 2G

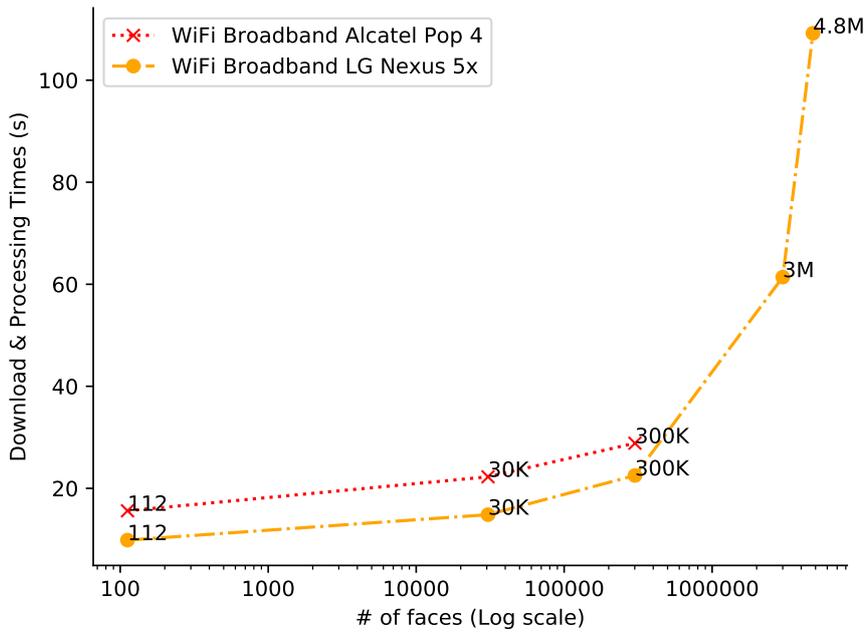


Figure 5.4: IDPTs for Broadband WiFi on LG Nexus 5x VS. Alcatel Pop 4

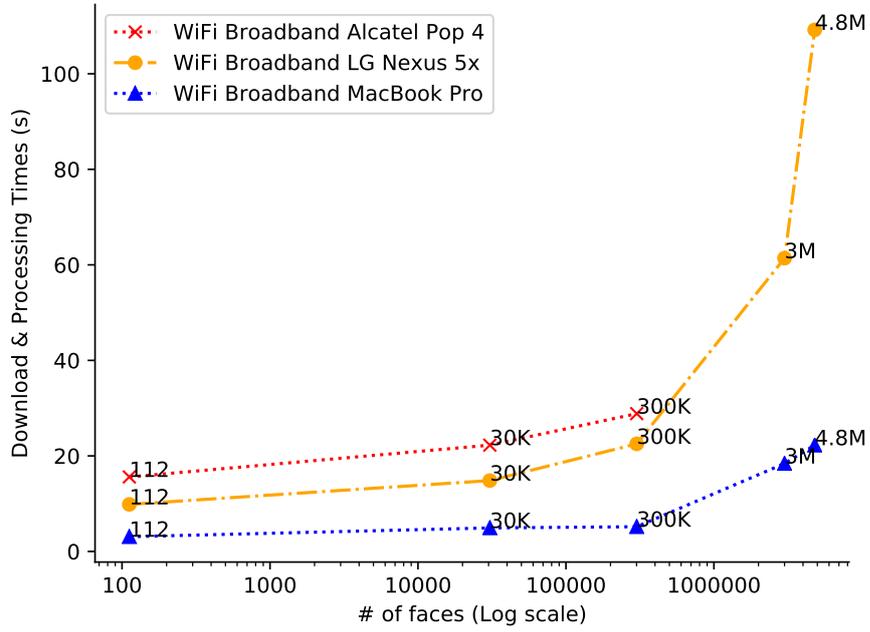


Figure 5.5: IDPTs for Alcatel Pop 4, Nexus 5x & Macbook Pro on WiFi Networks

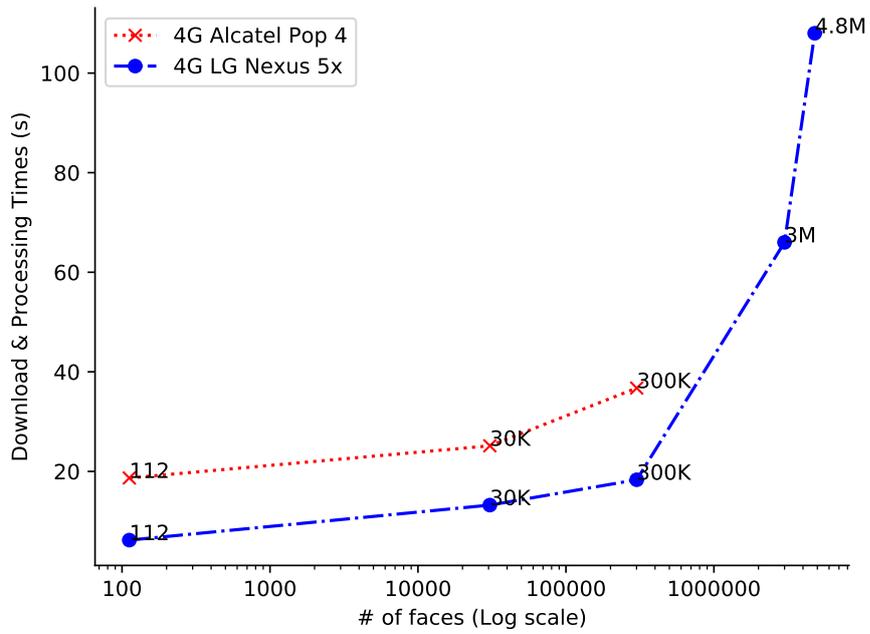


Figure 5.6: IDPTs for 4G Network on LG Nexus 5x VS. Alcatel Pop 4

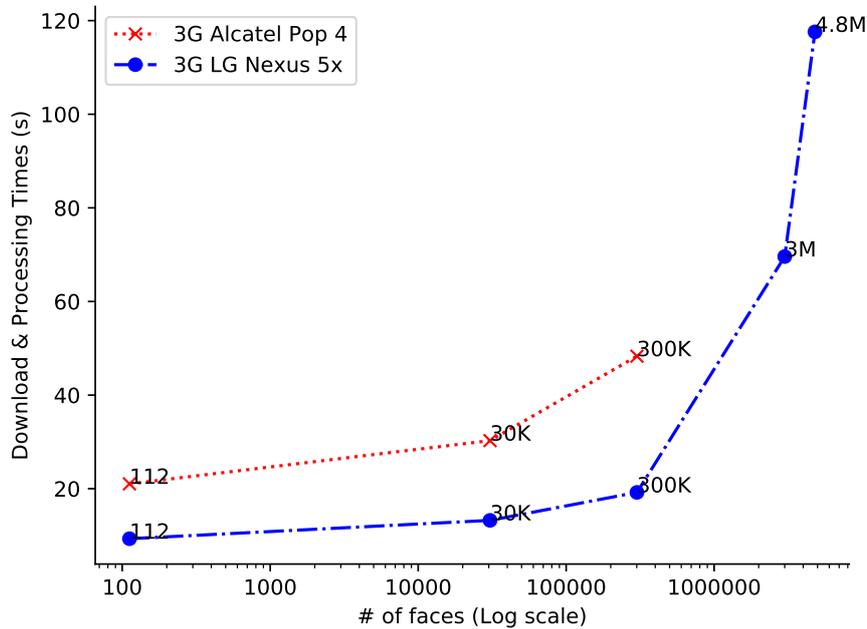


Figure 5.7: IDPTs for 3G Network on LG Nexus 5x VS. Alcatel Pop 4

5.2.1.2 Middle Range Device - LG Nexus 5x

Figures 5.2 and 5.3 show IDPTs of all the models on the LG Nexus 5x phone for all possible network connections. LG Nexus 5x is more capable than the Alcatel Pop 4 and was able to fetch the 3M faces model of the 70s Shoe and the 4.8M faces model of Ava's Skull.

In Figure 5.2, IDPTs measured on the LG Nexus 5x of all the models on Broadband WiFi, 4G and 3G networks are relatively near each other showing minor differences. Figure 5.4 shows that on the WiFi broadband, the IDPT of the 112 faces model is around 10 seconds, the IDPT of the 300K faces model is around 20 seconds and the IDPT of the 4.8M faces model is 110 seconds.

Compared to Alcatel Pop 4, Figure 5.4 shows a very interesting finding. The WiFi Broadband IDPTs of the same models on the LG Nexus 5x are lesser than the IDPTs on Alcatel Pop 4 for the same networks and the same models' resolutions. This allows us to deduce that the capability of the mobile phone has a direct impact on IDPTs. With more capable phones, the ability to process the WebGL JavaScript code increases, thus leading to an increased ability to receive more files such as textures

and other JavaScript files. This would thus increase the speed of downloading and processing 3D assets.

This observation is also corroborated in Figure 5.6 which depicts IDPTs on the 4G network and is underpinned similarly in Figure 5.7 which depicts IDPTs on the 3G network. The same observation can also be seen in Figure 5.5, which shows that using the same Broadband WiFi, IDPTs are lower on the MacBook Pro Laptop than on both mobile devices due to the hardware capability of the Laptop surpassing both mobile devices.

Another interesting observation that we can deduce is that the IDPTs of models with a number of faces less than or equal to the “300K” threshold increases linearly. IDPTs above the “300K” threshold begin to grow faster.

This is corroborated in Figures 5.2, 5.3, 5.4, 5.6 and 5.7. It can also be deduced that on slow networks (2G and GPRS), this fast increase in IDPTs becomes even more prominent as shown in Figure 5.3.

Thus it seems that the “300K” threshold strikes the balance of the best model complexity after which any increase in the number of faces leads to a faster rate increase in the IDPTs and thus affects responsiveness. Table 5.1 shows variation in IDPTs of all Sketchfab Web3D models across all network regimes and devices.

Table 5.1: Table showing IDPTs of all Sketchfab models across network regimes and devices

Model Name		Head	Statute of Ramesses	Statute of Gudea	70's Shoe	Ava's Skull
Number of faces		112	30.6K	300.9k	3M	4.8M
Devices	Networks					
i5 PC	Ethernet JANET	2.198	3.58	4.3	9.838	14.928
MacBook Pro	WiFi Broadband	3.084	4.924	5.176	18.41	22.24
MacBook Pro	Ethernet Broadband	3.304	5.1	5.534	18.44	22.508
LG Nexus 5x	WiFi Broadband	9.85	14.834	22.52	61.4	109.2
LG Nexus 5x	4G	6.196	13.228	18.318	66	108
LG Nexus 5x	3G	9.318	13.236	19.208	69.6	117.6
LG Nexus 5x	2G	32.456	96	157.2	439.2	776.4
LG Nexus 5x	GPRS	217.2	448.8	703.2	7250	15480
Alcatel Pop 4	WiFi Broadband	15.6	22.258	28.85	NA	NA
Alcatel Pop 4	4G	18.678	25.14	36.74	NA	NA
Alcatel Pop 4	3G	21.036	30.274	48.312	NA	NA
Alcatel Pop 4	2G	36.5	69.4	105.6	NA	NA
Alcatel Pop 4	GPRS	205.2	507.6	717.6	NA	NA

A summary of the IDPTs for all the combinations of Web3D models, devices and network connections is shown in Table 5.1. The 3D models' complexity spans from a hundred to several millions of faces. The devices range from high powered graphics personal computer to a basic smart phone and the network connections spans from

GPRS to 100Mbps Ethernet. IDPTs of higher than 30 seconds are shaded in red in the table. With IDPTs greater than 30 seconds, the user satisfaction rate is lower.

A direct observation from Table 5.1 is that the 2G and GPRS network connections are not suitable for fetching 3D models no matter what graphical complexities these models have. The head model with the lowest resolution (112 faces) can not represent models of cultural heritage artefacts. The 3M and 4.8M models have IDPTs above 30 seconds thus can not be fetched on mobile devices.

We can conclude that on one hand, high resolution models give acceptable results in download times on personal computers whether standalone or laptops on high-speed connections such as Ethernet JANET. On the other hand, medium resolution models can have reasonable results on only 4G and 3G regimes depending also on the mobile graphical and processing capability. No model can be fetched on the 2G or GPRS networks, instead a GIF of the model or an image might be more suitable.

Therefore if we have a one size model to fit all environments, then it needs to be a low resolution but that will mean it will not look good on big screens with high screen physical resolutions. Therefore there is a need for an adaptive engine to decide in lay terms *“the sweet spot”* for every scenario: network conditions - device capability.

The following section summarises the results and provides recommendations on the quality of delivery of DH artefacts on different network regimes and devices.

5.2.2 Summary & Recommendations

This section presents a summary of the analysis of results obtained. It proposes a few recommendations following the analysis of download and processing times.

1. **Observation 1:** Fetching Sketchfab 3D DH models is inefficient on networks such as GPRS no matter what the phone device capability is and for all types of Sketchfab 3D DH models even the smallest resolution. In the case of a limited capability phone, the IDPTs are extremely high for the GPRS even for the simplest model (around 200 seconds).

This observation can be extended to the 2G network (although better than GPRS), as the simplest possible model (112 faces) requires in both cases, low-end and middle-end phone devices, 1/2 minute to download. It is rare to see such models

with low complexity in a real context.

In an adaptive engine, if such connections are detected - no matter what the hardware/software capability of end devices - it is better to inform the user of the inefficiency of fetching 3D Web content and thus it is better to provide her with different alternatives (traditional multimedia forms or mere 3D image rendition of the model in the form of a GIF).

2. **Observation 2:** The capability of the end device has a direct impact on IDPTs even on the same networks with the same model sizes and complexity.

In an adaptive engine, this has a very interesting application. It shows that what can be fetched on a PC is different from what can be fetched on a Laptop and is different from what can be fetched on each mobile client. At this particular point, we are not looking at the network connections but the end device hardware and software capabilities.

3. **Observation 3:** It seems that the “300K threshold” is the best fidelity of 3D Digital Heritage model after which any increase in the number of faces leads to fast sharp increase in IDPTs.

In an adaptive engine, the resolution of Sketchfab DH model to fetch is the 300K faces that appears from a QoS perspective to strike a good balance between good perception of visual latency and IDPTs for mobile devices. There is no need for Sketchfab models with millions of faces from a QoS perspective.

The QoS study of DH in itself is not sufficient for deciding the right resolution to send to client devices as convenient resolutions might be found intolerable from a subjective user perspective (i.e QoE perceptive).

In the next section, we study the Quality of Experience of DH Web3D models.

5.3 QoE of Digital Heritage Models

The following section presents a brief preliminary study of the perception of visual latency of Web3D models.

5.3.1 Perception of Visual Latency

A preliminary investigation was conducted by the author of this thesis on the perception of visual latency [17, 104] or the perception of the *smoothness of the interaction* or in other words, the user-based perception of the degree of lag² of Web3D models.

The investigation is conducted on the five Web3D models used previously in Section 5.2 across the following two mobile devices: LG Nexus 5x and Alcatel Pop 4.

Latency is the time that passes between the user input action on the system and the system's response to that particular action [256]. Visual Latency or Perceived Latency is the latency of the action observed by the users.

The scale used is based on how much time it takes a user to see an immediate *visual effect* on the mobile phone screen after she slides or rotate any point on the Sketchfab Web3D model in question.

A likert scale from 1 to 5 defined in Table 5.2 was used, 1 being the highest visual latency possible or the worst smoothness of interaction and 5 being the lowest visual latency or the highest smoothness of interaction with the 3D models. The aim of this study is to investigate the suitability of a more expanded study. The preliminary study nevertheless presented very interesting results. The results are presented in Section 5.3.1.2.

5.3.1.1 Likert Scale

The scale and the explanation of the grades are presented in Table 5.2.

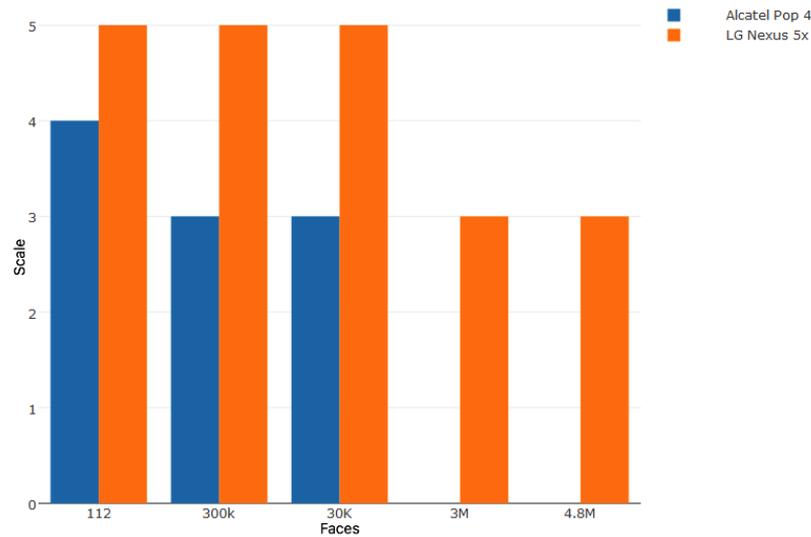
5.3.1.2 Results

Figure 5.8 shows that on a mid-range level phone device such the LG Nexus 5X, the optimum smoothness of interaction of value 5 was observed for all Sketchfab models below and equal to the "300K" threshold. Resolutions of the models that are higher

²'lag' in computer graphics, 3D modelling, games and virtual worlds parlance is the freeze in the 3D model or 3D environment that users notice for a certain period of time in which the environment or model becomes unresponsive. This is due to delay between the action of users and reaction of the environment or model. That delay is called latency [82, 349]

Table 5.2: Perception of the Visual Latency scale and explanations of the grades

Scale	Description
5	I was able to interact with the Sketchfab model smoothly (less than 1 second to see effect when rotating model)
4	I was able to interact with the Sketchfab model but with a tiny delay to see effect (1 or 1½ seconds)
3	I was able to interact with the model but with a delay to see effect (2 or 3 seconds)
2	I was able to interact with the model but unfortunately the delay to see any effect after I slide on the model is big (more than 3 seconds)
1	The model is completely unusable

**Figure 5.8:** Barchart of visual latency of different Web3D models

than the 300K lead to higher visual latency or higher *lag* or to a lower grade on the scale of smoothness of interaction. We also observe that on a low end phone device such as the Alcatel Pop 4, visual latency is lower than the optimum value of 5 at the level of the 30K resolution.

The following section details the experiments conducted on the subjective perception of fidelity of DH 3D models.

5.3.2 Subjective Perception of Fidelity

One of the best expressions of Greek wisdom is actualised in the saying “Ariston Metron” meaning the middle course is the best to take or all things are good in moderation and that is in essence the concept of the sweet spot that achieves the balance between performance of digital heritage artefacts and fidelity.

In this section, we investigate the subjective perception of the fidelity (i.e resolution) of 3D digital models in web browsers and how this affects the user experience. By studying the perception of fidelity of digital heritage artefacts on the web, we aim to measure the differences in terms of fidelity across different categories of graphical complexity (i.e. resolutions). We therefore investigate if there are any noticeable differences detected by users between these categories and to what degree and at which resolution thresholds or ranges those differences become either unnoticeable or intolerable.

As anchor points for our study, we are interested in investigating the following:

1. **The resolution limit or range that a device hardware & software can support.**
2. **The resolution limit or range** below which the fidelity of the model becomes unacceptable. I will call such limit the **lower resolution threshold**.
3. **The resolution limit or range** above which users will not notice any difference in fidelity. I will call such limit the **upper resolution threshold**.

I aim to discover if hard limits (i.e. thresholds) actually exist or if they fall into a range of values. Creating 3D models in the range of acceptable resolutions (between lower and upper thresholds) allows us to fetch to client devices lower levels of acceptable quality of 3D models thus improving the Quality of Service (QoS) mainly in terms of better download and processing times and better responsiveness especially on mobile devices. In addition, this helps us not to overcommit hardware resources that do not add much to the user experience hence achieving a trade-off between the fidelity of the 3D model and its responsiveness knowing that the higher the fidelity of the model, the lower the degree of its responsiveness and performance.

There is no need once the upper resolution threshold or range is discovered to fetch higher resolutions than that. In addition, we want to see if there is indeed a one size fits all solution, in other words, if there is a range of acceptable resolutions that we

can fetch on the majority of end devices.

The results of these experiments have helped us design and implement Hannibal, a QoS & QoE aware adaptive engine for Web-Based Virtual Museums (WBVMs) that aims to strike the best balance between QoS and QoE taking into consideration the capability of client devices and of network conditions. Please refer to Chapter 7.

The main contribution of this work is the investigation of subjective perception of fidelity of 3D cultural heritage models on the Web with the aim of discovering resolution thresholds at which the fidelity of the models becomes either unnoticeable or unacceptable. This information may be of particular interest to curators of digital material in museums.

For the methodological procedures of the experiments presented in this section, please refer back to Chapter 3, Section 3.4.2.2. The following section describes the distributions of participants' demographics in both experiment 1 (on a big screen tethered to a PC) and experiment 2 (on an iPad Pro tablet and iPhone 7 Plus).

5.3.2.1 Participants' Demographics and Descriptive Statistics

Experiment 1

Gender and Age: Descriptive statistics about the gender and age of the participants are illustrated in Figures 5.9 and 5.10 respectively. Figure 5.9 is bar plot showing the gender distribution of the participants. Figure 5.10 is a histogram showing the age distribution of the participants.

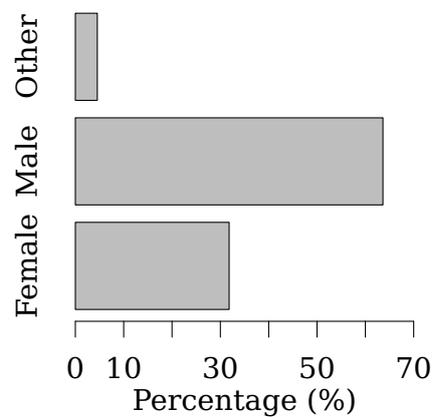


Figure 5.9: Gender Distribution of Participants - Experiment 1

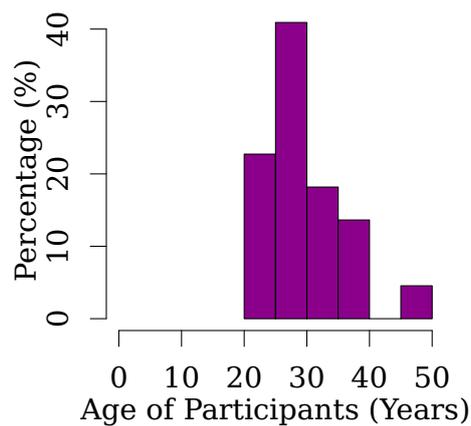


Figure 5.10: Age Distribution of Participants - Experiment 1

The mean age of participants was $\mu = 30$, standard deviation was $\sigma = 5.9$. Total number of participants $N=22$. 14 participants were males, 7 were females and 1 classified as other.

Most participants were involved in academia as lecturers or students (mainly postgraduate). Only two participants were not part of this category. This suggests they were all well educated and conversant with technology.

Vision acuity of participants: Descriptive statistics involving whether vision of participants required eyes correction (glasses, lenses...) are presented in Figure 5.11.

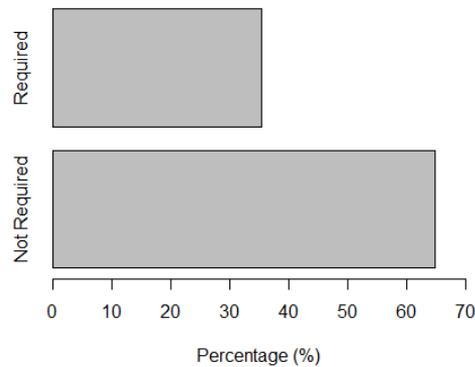


Figure 5.11: Barplot showing whether participants needed Eye correction (glasses, lenses, vel cetera) - Experiment 1

Normal viewing habits of participants: Descriptive statistics involving regular 3D content viewing habits, 3D content usage, screens' types, sizes and resolutions were collected from the participants.

Figure 5.12 shows the screens' types used by participants when viewing or interacting with 3D content such as playing video games, watching 3D movies etc. The most popular device' screen used by this cohort is that of a laptop. This could be attributed to the fact that most participants are students and university staff and so they use their laptops on a daily basis for all tasks including tasks such as playing games. The next most popular screen type is that of a desktop personal computer. Figure 5.13 shows the sizes of the screens commonly used to view/interact with 3D content and Figure 5.14 shows the screens' physical resolutions (if they are known by participants). Figure 5.15 shows the usage ratio that participants spent on watching 3D content or interacting with it from the total hours per week.

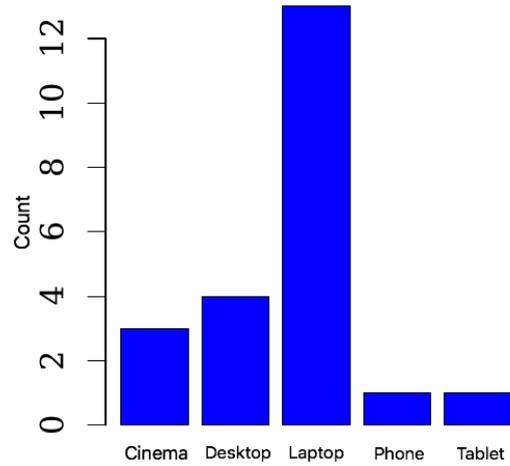


Figure 5.12: Primary screen types used by participants - Experiment 1

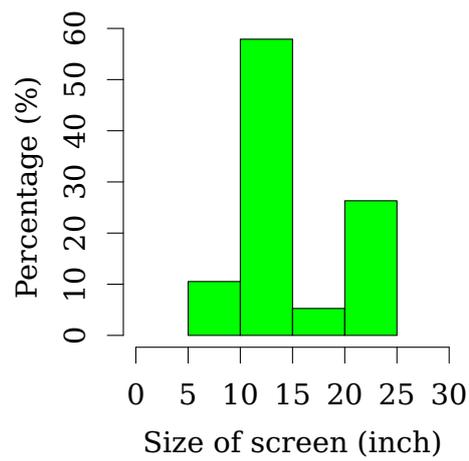


Figure 5.13: Primary screen sizes used by participants to view 3D content - Experiment 1

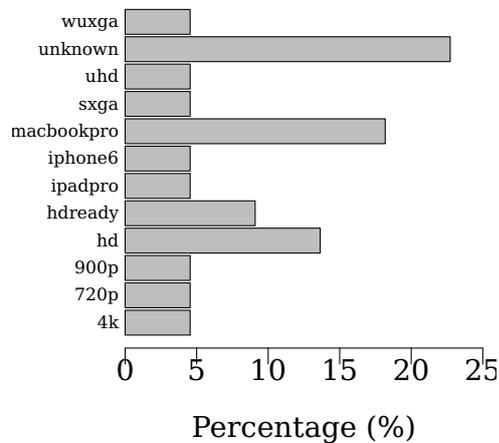


Figure 5.14: Resolutions of the Primary screens used by participants - Experiment 1

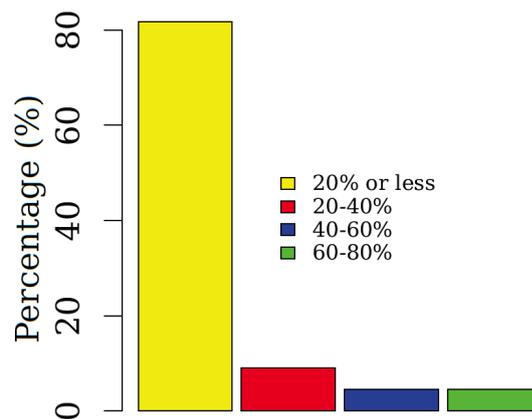


Figure 5.15: 3D content usage ratio from total hours spent per week by participants - Experiment 1

Experiment 2

Gender and Age: Descriptive statistics about the gender and age of the participants are illustrated in Figures 5.16 and 5.17 respectively. Total number of participants for this experiment on mobile devices (a tablet and a phone) is $N=10$. 7 participants were males, 3 were females. The mean age of participants was $\mu = 27.4$, standard deviation was $\sigma = 5.04$.

In experiment 2, all participants were involved in academia as students (mainly postgraduate), and only 3 were undergraduates. This suggests they were all well educated and conversant with technology. Figure 5.16 is bar plot showing the

gender distribution of the population. Figure 5.17 is a histogram showing the age distribution of the participants.

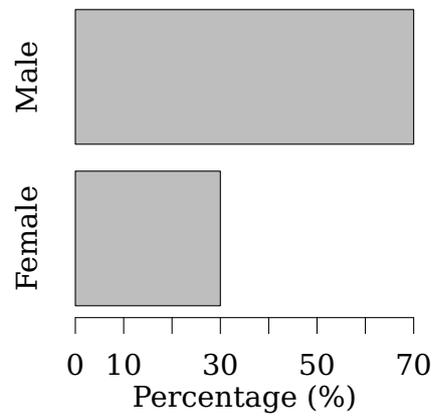


Figure 5.16: Gender distribution of participants - experiment 2

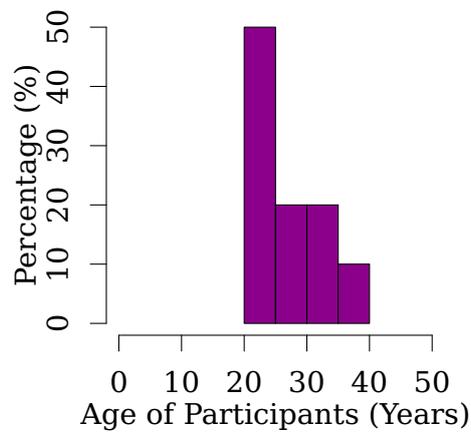


Figure 5.17: Age Distribution of Participants - Experiment 2

Vision acuity of participants: Descriptive statistics involving whether vision of participants required eyes correction (glasses, lenses, vel cetera) are presented in Figure 5.18.

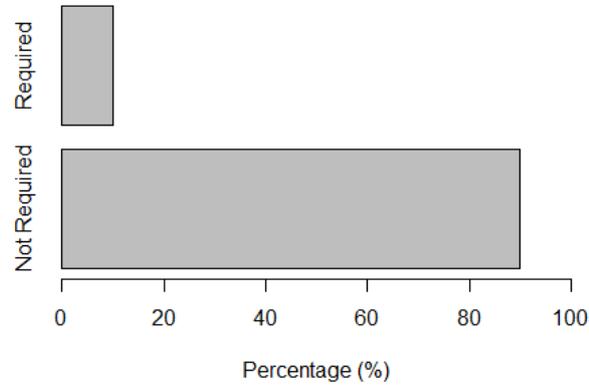


Figure 5.18: Barplot showing whether participants needed eye correction (glasses, lenses etc.) - Experiment 2

Normal viewing habits of participants: Descriptive statistics involving regular 3D content viewing habits, 3D content usage, screens' types, sizes and resolutions were collected from the participants. Figure 5.19 shows a bar plot of the types of the screens commonly used to view/interact with 3D content. Figure 5.20 shows a histogram of the screen sizes and Figure 5.21 shows physical screens' resolutions used by participants. Figure 5.22 shows 3D content usage ratio from the total hours spent per week by the participants.

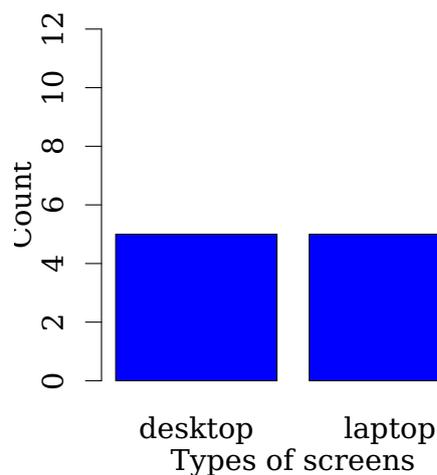


Figure 5.19: Primary screen types used by participants to view 3D content - Experiment 2

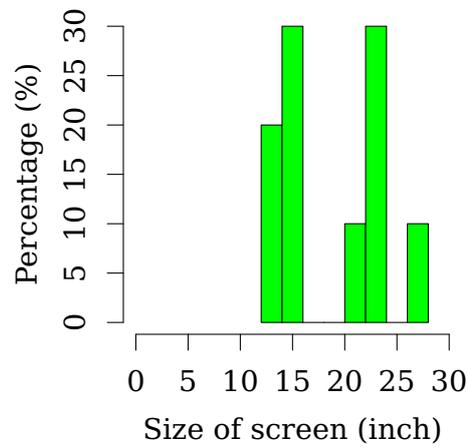


Figure 5.20: Primary screen sizes used by participants to view 3D content - Experiment 2

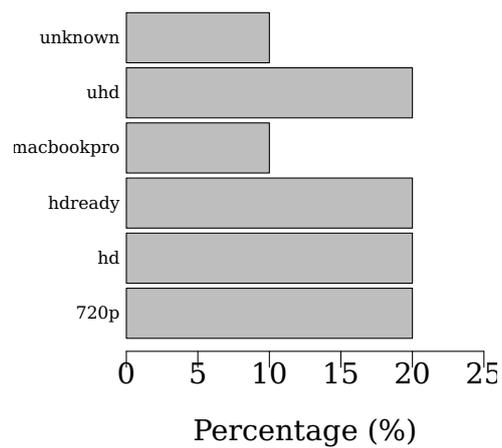


Figure 5.21: Resolutions of the primary screens used by participants to view 3D content - Experiment 2

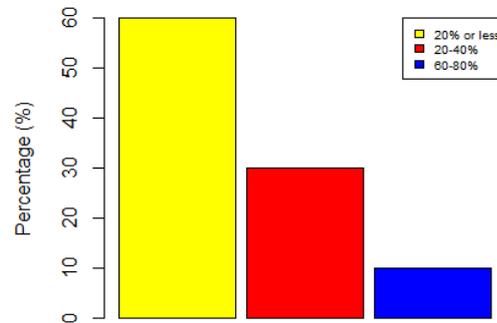


Figure 5.22: 3D content usage ratio from total hours spent per week by participants - experiment 2

5.3.2.2 Grading and Ranking Results and Analysis

This section presents the results obtained from grading and ranking the decimated Web3D models on the 60 inches screen, on the iPad Pro and on the iPhone 7 Plus.

Hardware & Software Resolution Limits

Concerning hardware limits: The iPhone 7 Plus and the iPad Pro were able to fetch successfully the 1.5M resolution (i.e. original resolution) of the Mercury model, which is a simple model with loosely defined topological features and darker textures. The iPhone 7 Plus and the iPad Pro could not fetch successfully more than the 1M resolution of the Achavanich Beaker, a model with brighter textures and more complex topological features than that of the Mercury model. The PC with a high-end graphics card was able to fetch all the decimated versions of the two models.

Concerning software limits: We benchmarked five web browsers on the devices used (PC, iPad Pro and iPhone 7 Plus). The web browsers were Google Chrome, Opera (for PC), Opera mini (for mobile devices), Mozilla Firefox, Apple Safari, and the Dolphin Browser.

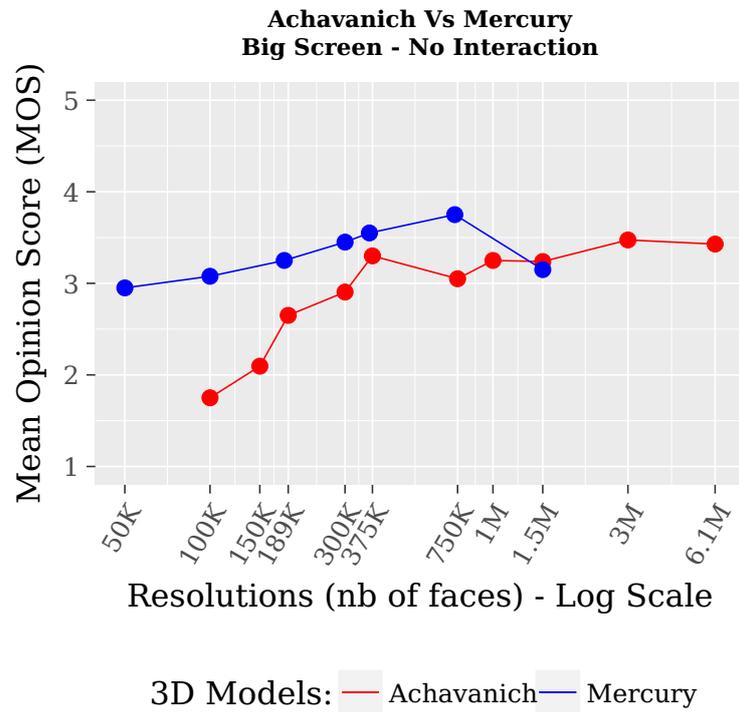


Figure 5.23: Comparison between models with no interaction mode on the big screen

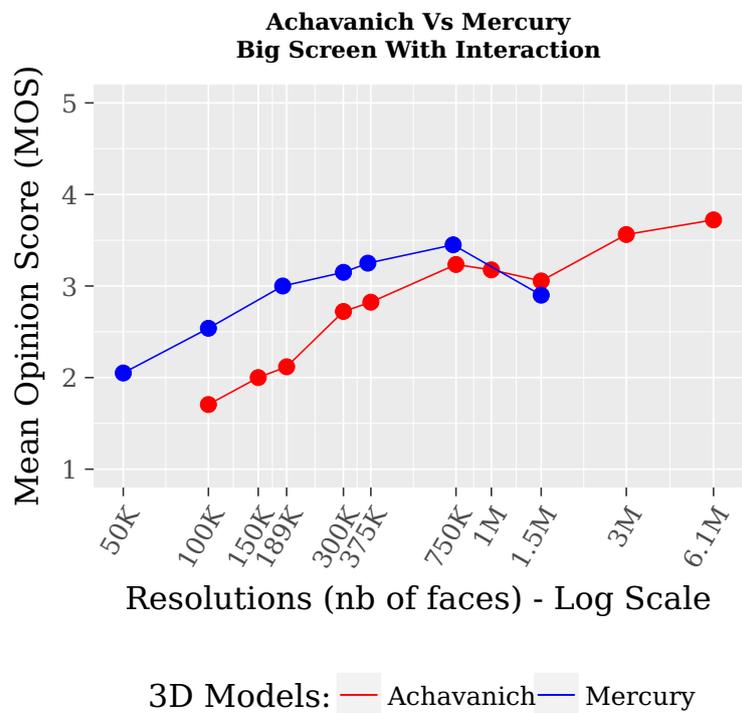


Figure 5.24: Comparison between models in interaction mode on the big screen

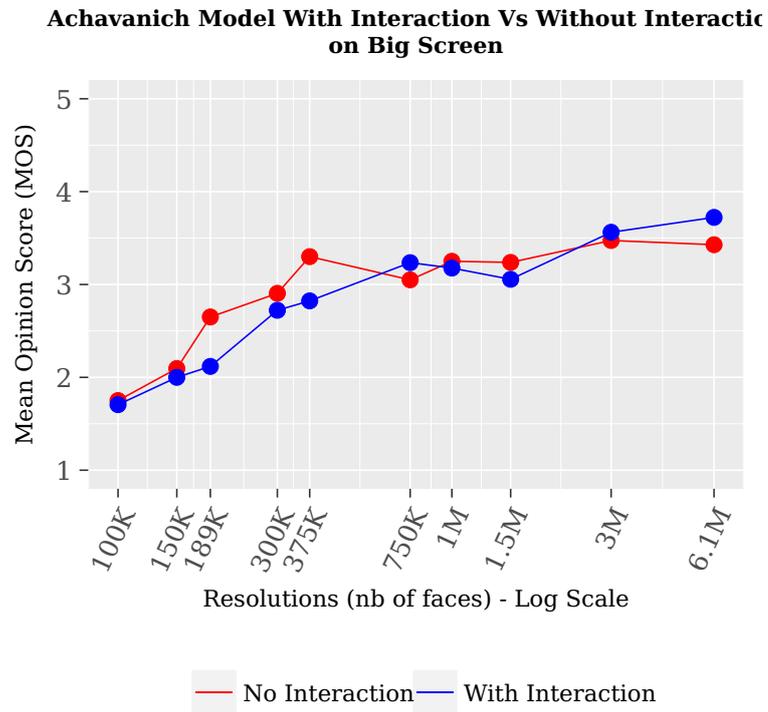


Figure 5.25: Effect of Interaction - Achavanich Model - Big Screen

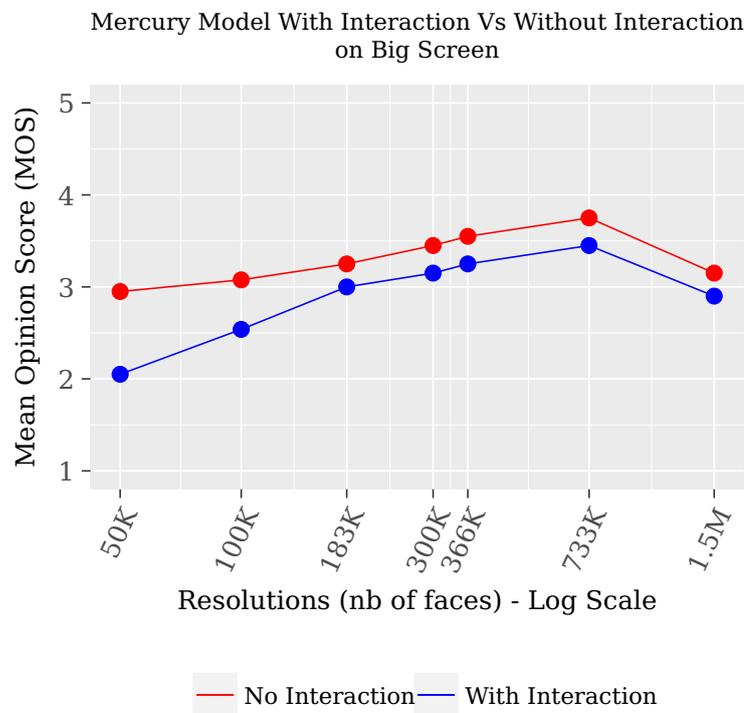


Figure 5.26: Effect of Interaction - Mercury Model - Big Screen

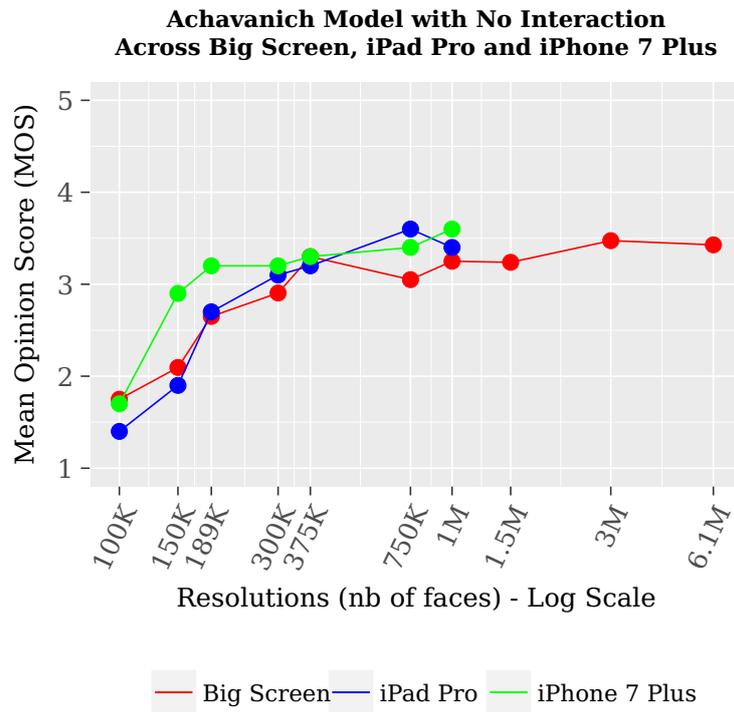


Figure 5.27: Effect of Screen Size - Achavanich Model - No Interaction

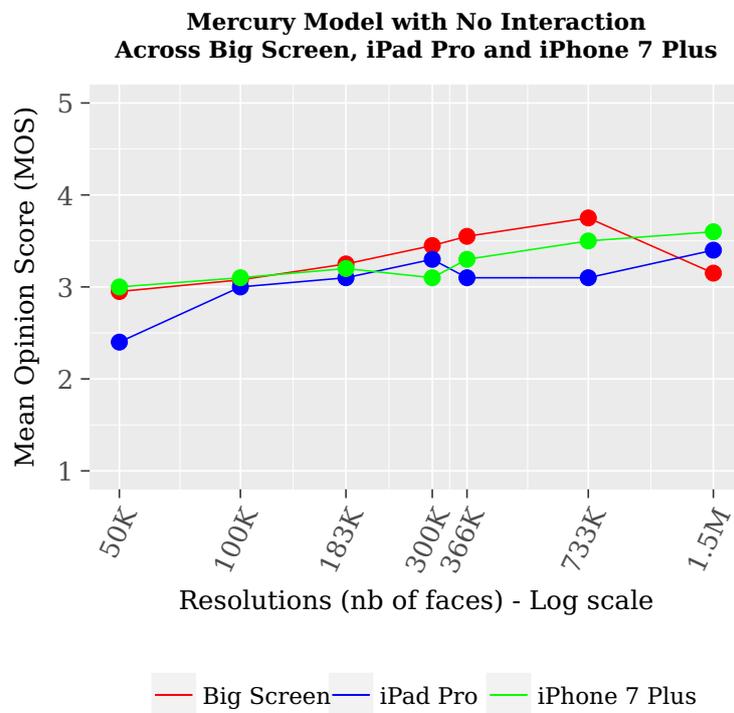


Figure 5.28: Effect of Screen Size - Mercury Model - No Interaction

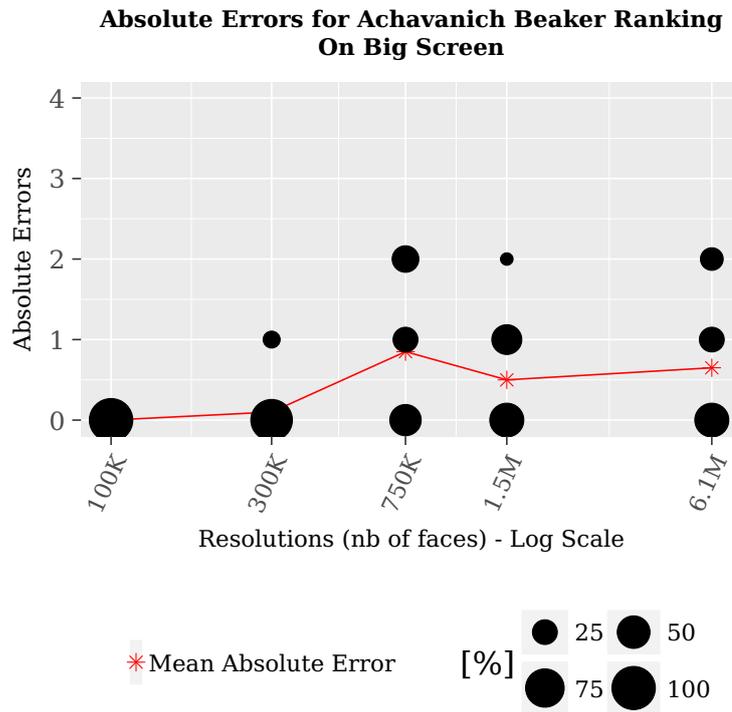


Figure 5.29: Ranking - Achavanich Beaker - Big Screen (Absolute Errors)

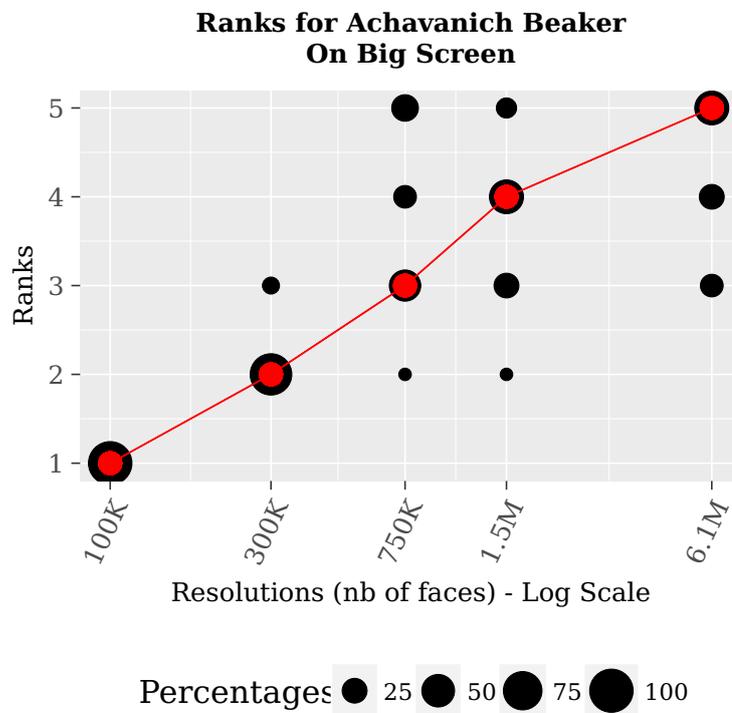


Figure 5.30: Ranking - Achavanich Beaker - Big Screen (Ranks Distribution)

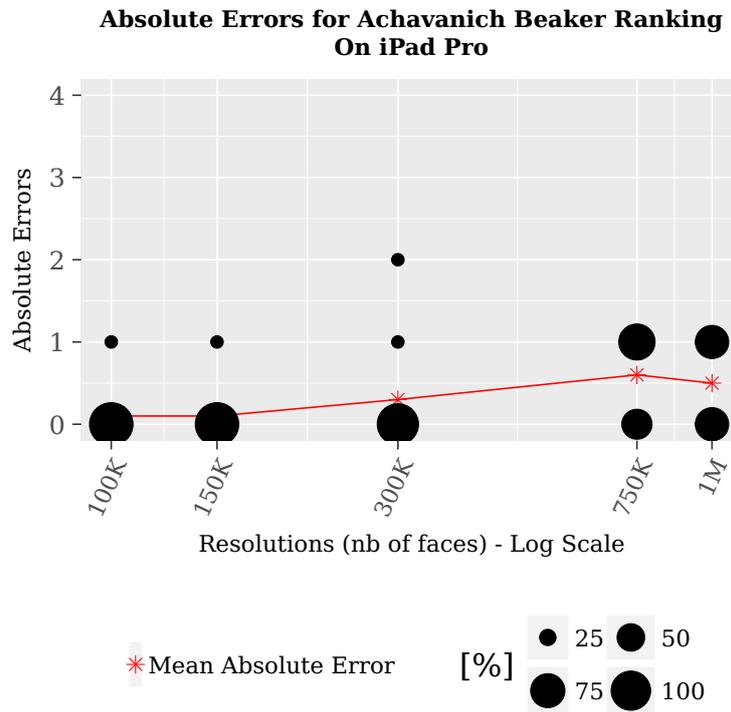


Figure 5.31: Ranking - Achavanich Beaker - iPad Pro (Absolute Errors)

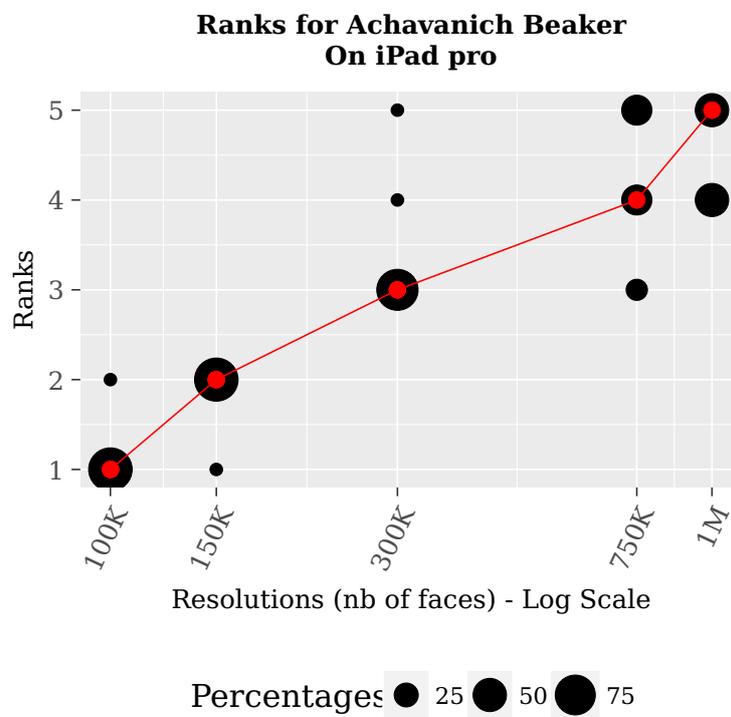


Figure 5.32: Ranking - Achavanich Beaker - iPad Pro (Ranks Distribution)

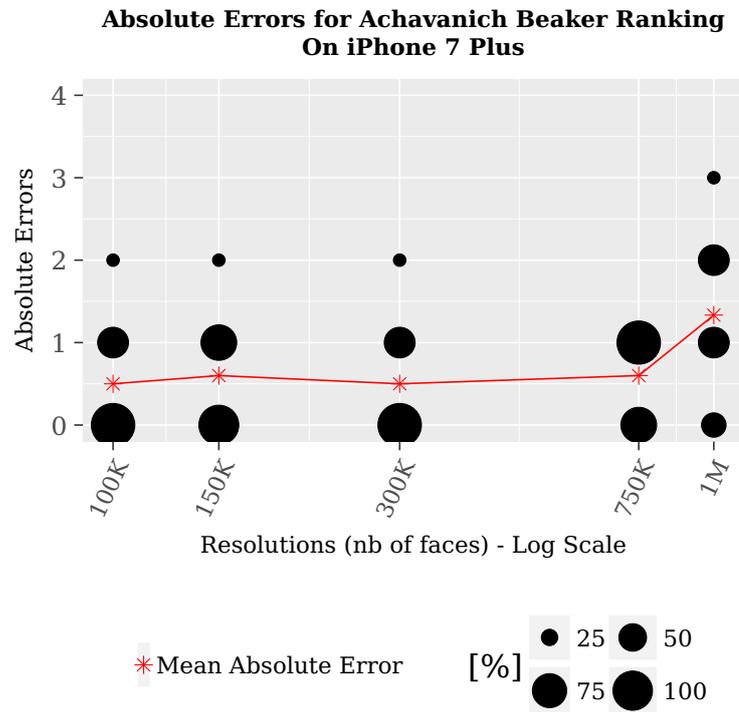


Figure 5.33: Ranking - Achavanich Beaker - iPhone 7 Plus (Absolute Errors)

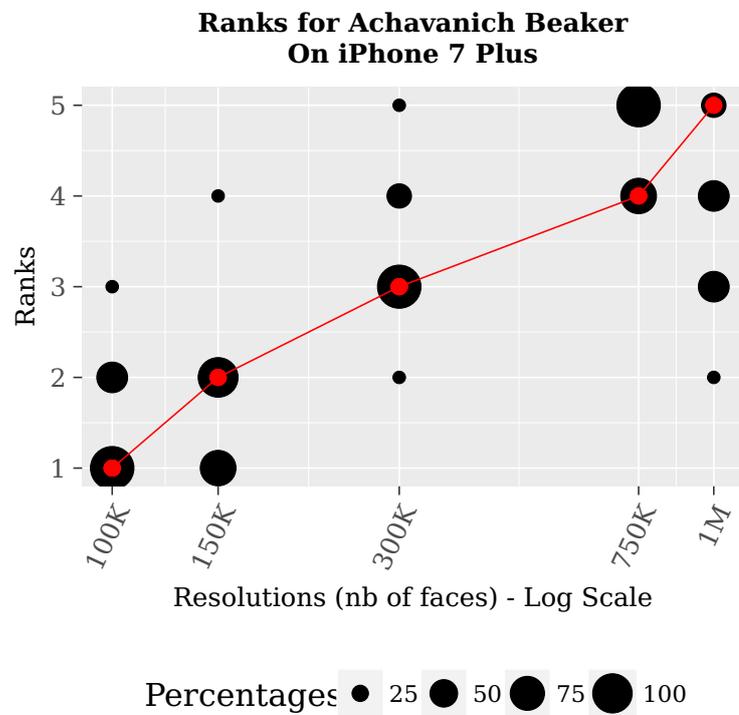


Figure 5.34: Ranking - Achavanich Beaker - iPhone 7 Plus (Ranks Distribution)

We discovered that web browsers play an important role in terms of software resolution limits on each device as some browsers were able to fetch higher resolutions on mobile devices than other browsers (this is probably due to different implementations and configurations of WebGL). Per example, on the iPad Pro, the Opera Mini web browser was able to render reliably the 1M resolution of Achavanich Beaker, whereas for the same resolution Apple Safari crashed many times. The best WebGL browsers: for the PC running Windows was Google Chrome, for the iPad Pro was Opera mini and for the iPhone 7 Plus was Apple Safari.

Upper and Lower Resolution Thresholds

The upper resolution threshold range or limit of a model is the resolution range or limit above which any improvement in fidelity is not noticeable or “barely” noticeable by users. In some cases, improvement of fidelity above the upper threshold range could even be detrimental to the overall perceived quality of presentation by users for different reasons mainly the model becomes “laggy” or having a new redistribution of the normals and vertices clouds which could have a reverse effect on the overall quality. Users might judge a lower resolution as better than a higher resolution.

The lower resolution threshold or range of a model is the resolution limit or range below which fidelity is unacceptable by users. This was difficult to discern from the results of our experiments as this limit if it exists, is more a judgement call from the participants on what is considered as unacceptable or intolerable quality of presentation.

Big Screen Figures 5.23 and 5.24 present a comparison between the grades of the two 3D models, Achavanich Beaker and Mercury, on a 60 inches HD screen with and without interaction. Both Figures show that the Mercury model is relatively a better model scoring higher MOS values than the Achavanich Beaker model. Per instance, the 50K resolution in the Mercury model has an MOS of around 3 (fair resolution) whereas the 100K resolution in the Achavanich Beaker model has a MOS of around 1.75.

We can observe from Figure 5.23, that *around the range of 300K to 375K, we cease to get any significant benefit in Achavanich Beaker*. This is due to the fact that higher than this range, meaning between 375K and 6.1M (a big range of resolutions

on a logarithmic scale), there is hardly any significant increase in MOS values (only around 0.25).

In a similar vein, for the Mercury model in no interaction mode, *the range between 300K and 375K* seems also to be the range of resolutions above which there is no significant or apparent benefit. This is due to the fact that there is barely an increase between 375K and 750K and after the 750K resolution, the MOS value decreased to around 3.

In interaction mode, the range between 375K and 750K resolutions is the range above which we cease to get any significant benefit in the quality of presentation. This is shown in Figure 5.24, where the increase in MOS between 750K and 6.1M in Achavanich beaker model is only around 0.4. Figure 5.25 shows the effect of interaction on the Achavanich beaker model.

This is was not apparent in the Mercury model as the *upper resolution range remained with interaction between 300K and 375K*. This can be seen in Figures 5.26 and 5.24.

When resolutions are ranked, Figure 5.29 shows that for the Achavanich Beaker model on a big screen, *participants begin to confuse ranking after the resolution of 300K*. In addition, Figure 5.29 shows that the 700K resolution has a Mean Absolute Error (MAE) of around 1 and that the absolute errors are distributed between 0, 1 and 2. This means that some participants ranked this model 2 positions offset.

Mobile Devices (iPad and iPhone) Figures 5.27 and 5.28 show the variation of MOS values for the iPhone 7 Plus and the iPad Pro in no interaction mode for both models. We can observe for the Achavanich Beaker model on iPhone 7 Plus (5.5 inches), that after *the range of 150K to 189K*, we cease to get any significant benefit in the quality of presentation. This is due to the fact that higher than this range, meaning between 189K and 1M (a big range of resolutions on a logarithmic scale), there is hardly any significant increase in MOS values. For the *Achavanich model on the iPad Pro (9.7 inches)*, the upper range is between 189K and 300K. On the other hand, for the *Mercury model almost the same range can be observed for mobile devices (183K to 300K)*.

When resolutions are ranked, Figure 5.31 shows that *participants begin to confuse ranking after the resolution of 150K* for the Achavanich Beaker on the iPad Pro. In addition, Figure 5.31 shows that the 300K resolution has absolute errors taking

values between 0, 1 and 2, meaning some participants ranked this model 2 positions offset. For the 150K resolution, absolute errors take values of 0 (no error) and 1.

Concerning the ranking on the iPhone 7 Plus, Figure 5.33 shows that for the 100K resolution we see absolute errors taking values of 0 (no error), 1 (rank offset by 1) and 2 (rank offset by 2) . This shows that it is more difficult to detect differences in fidelity for screen sizes of 5.5 inches.

Effect of Interaction

In this section, we study the effect of interaction on both models. Figure 5.25 shows the effect of interaction on the Achavanich model fetched on a 60 inches HD screen. In interaction mode, lower resolutions of the Achavanich Beaker model have higher MOS values compared to when interaction is not allowed. In other words, interaction lowers the MOS value of a resolution. On the other hand, interaction seems not to have any apparent effect on the MOS of higher resolutions (above the 375K resolution) for the Achavanich Beaker model. This is due to the fact that we see the 2 lines representing interaction mode fluctuate after the 375K while increasing.

Figure 5.26 shows the effect of interaction on the Mercury model fetched on a 60 inches HD screen, and it seems clear that with interaction, all resolutions (lower and higher) have lower MOS values than their counterparts in no interaction mode. At higher resolutions in a brighter textured model, interaction (zoom in, zoom out, panning) seems to have little effect on the perception of fidelity. This is not the case for a darker textured model.

Interaction plays a big role in the perception of fidelity for lower resolutions where we can see in both Figures 5.26 and 5.25 a big gap of MOS values in lower resolutions of each model. After the upper threshold range, interaction has little effect on the perception of differences in fidelity. This is shown by the smaller gap of MOS between the 2 lines representing the interaction and non-interaction modes.

Effect of Screen Sizes

In this section, we investigate if screen size has any effect on the perception of fidelity. Figure 5.27 shows a variation in MOS values of the Achavanich Beaker

resolutions in no interaction mode across devices (big screen, iPad Pro and iPhone 7 Plus). Figure 5.28 shows a variation in MOS values of Mercury resolutions in no interaction mode across all devices (big screen, iPad Pro and iPhone 7 Plus).

In the Achavanich Beaker model, the big screen seems to have lower MOS values than the mobile devices (a counter-intuitive observation), while in the Mercury model the opposite is apparent for a big number of resolutions. This is maybe due to the fact that having participants sit at 2m away from a very large HD screen (60 inches) seems to have the same effect as letting people interact with models on screens of 9.7 inch for iPad and 5.5 inch for iPhone. In addition, two parameters may be involved here: the resolution of the screen in question and its size. The iPhone 7 Plus has a 4K fidelity but a small screen size.

5.3.3 Conclusions

We have presented the design and results of QoE experiments conducted on DH Web3D models. The subjective perception of these models was investigated on a big screen, on a tablet and on a mobile device. We also presented the analysis of the results of these experiments.

We discovered from our experiments that each device has a hardware and software limit of fetching certain resolutions. This is important when it comes to mobile devices with limited graphical capabilities. Table 5.3 presents the hardware resolution limits of the 3 devices used in the experiment (PC with Big Screen, an iPad Pro and iPhone 7 Plus). It shows clearly that mobile devices (tablet and phone) were not capable of fetching all the range of resolutions that the PC with a high-end graphics card was able to render.

Table 5.3: Hardware Resolution Limits of both models

Models	iphone 7 Plus	iPad Pro	PC
Achavanich	1M	1M	6.1M
Mercury	1.5M	1.5M	1.5M

We discovered that with lower screen sizes like those of mobile and tablet devices, users tolerate lower resolutions because they could not tell the difference between many of them.

We could not discover lower resolution thresholds or ranges as hard limits. This was difficult to discern as such a limit if it exist is more a judgement call from the participants on what is considered as unacceptable or intolerable quality of presentation.

The upper resolution range is discernible. For a big screen, it is between 300K and 375K. For an iPad Pro (9.7 inches screen), this range becomes roughly between 189K and 300K. For an iPhone 7 Plus, this range is between 150K and 189K. These results were strengthened by the results that we have obtained from the ranking phase.

Finding such results allows us when designing an adaptive engine to fetch lower resolutions to mobile devices knowing that users will find these models' resolutions acceptable.

Interaction lowers the perception of fidelity of the models as people zoom in and out and thus discover imperfections in the models. We discovered that this effect (Interaction effect) is more apparent in lower resolutions below the upper range threshold than in higher resolutions. In higher resolutions, interaction plays little effect on the perception of the overall quality of presentation of a model.

The experiments gave us important insights into how a dark textured and loosely defined model in terms of surface topology and features like the Mercury model would be graded and ranked. We also, investigated the same for a brightly textured model with a more complex topology (Achavanich Beaker). Latency affects the QoS and thus the overall QoE, but this did not have any effect on perception of fidelity as all the Web3D models were pre-downloaded and rendered in the web browsers before participants graded or ranked them. In the following section, we provide future-proof discussion of the results of the perception of fidelity experiments detailed previously.

5.3.4 Future-proof Discussion

In the last decade, there has been great strides towards improving hardware capabilities of mobile devices mainly in video memory and GPU capabilities. This is projected to continue at an accelerated pace due to a large market demand for Augmented Reality, Virtual Reality and interactive 3D applications [99, 135]. Furthermore, people are using more mobile devices than resourceful desktop

computers (cf. Chapter 1 where we have discussed few statistics on mobile penetration especially in web browsing). This means that what is conceived at the current moment as a limitation in hardware capabilities, will be surpassed in the future by more powerful smart phones.

In addition, with the advent of 5G, the 5th generation mobile network which will bring high speed data rates and low latency, the Internet speed would reach speeds higher than 10 Gbps [324]. This would greatly improve streaming and consuming 3D content on the go. The signal strength in 5G network is a lot higher than the 4G system which would allow high cell throughput and better performance [146]. 5G will facilitate many low-latency and real-time applications such as tactile Internet and real-time VR and AR applications [121], Internet of Things (IoT), vehicle to vehicle communications, instant ultra high definition video downloading and sharing among many others.

Despite that; the issues that deal with what resolution to send to what device will remain the same. This is due to the fact that not being able to perceive high fidelities of DH 3D models on screen sizes mainly on mobile devices' screens would remain the same even if the hardware capabilities of mobile devices improve to allow them to render higher resolutions. Furthermore, it is still very wasteful (hardware resources-wise and energy-wise) to send a high resolution 3D model instead of a lower *acceptable resolution* especially when users can not notice the difference between of them.

In a Cultural Heritage and Virtual Museums context, we add another dimension to this discussion. There has been a trend of fostering participatory heritage approaches in digital heritage systems and VMs. This is especially prominent in multimedia and 3D. Participatory heritage is defined by Roued-Cunliffe and Copeland [342] as *"a space in which individuals engage in cultural activities outside of formal institutions for the purpose of knowledge sharing and co-creating with others"*.

It is the equivalent of *Do it Yourself (DIY)* movement but for cultural heritage contexts. Participatory heritage involves either ordinary members of the community or specialised members with specific expertise such as historians, archaeologists or scientists. The defining characteristic of such groups of users is the fact that they are not part of the formal cultural institutions such as museums [342].

Many participatory heritage approaches adopted in the literature pertain to the type of material that we have just studied in this chapter which is DH models produced by

digitisation pipelines such as Photogrammetry and 3D Scanning. These approaches were represented in crowdsourced, co-created and co-curated digitisation projects.

Crowdsourcing is a portmanteau of crowd and outsourcing. It is outsourcing specific activities in the form of an open call to members of the community who would participate in creating and editing digital heritage content [132, 297]. This is in order to harness their work, knowledge and experience [50]. Examples of digital heritage crowdsourcing in the literature involve transcribing old documents [297] or correcting the OCR text of old manuscripts [10] or social tagging multimedia by the public [293].

In a sense, crowdsourcing is utilising the wisdom and labour of the crowd. It aims to reduce costs and time. Crowdsourcing in digital heritage contexts could involve users contributing ideas to digital heritage systems, or to contribute images, videos, 3D models, or metadata.

Co-creation is a collaborative task which is similar to crowdsourcing but seeks information, ideas and contributions from a limited group of people usually with specialised skills and expertise. Volunteers could be in house curators or software engineers who contribute digital material and metadata or they could be volunteers from the public with appropriate needed knowledge [49, 50].

The availability of commodity cameras and free open source software tailored for Photogrammetry have facilitated the production of 3D models from images by non-specialists [76]. There are many examples in the literature of crowdsourced projects employing images and videos from the public to digitise artefacts for Photogrammetry purposes.

Alsadik [16] presented three case studies that focused on obtaining crowdsourced web-based videos and images from the public in order to create 3D models via low-cost Photogrammetry. Echavarria et al. [131] described a crowdsourced project where the public was invited to take photos of the prominent sculptures and monuments in the UK city of Brighton and Hove and upload them to a web platform along with adequate metadata about provenance. In this way, many images were taken of the same objects in different periods of time and from different vantage points. The images helped digitise 18 objects [214].

Digital heritage crowdsourced projects help preserve local cultural heritage by digitally reconstructing destroyed or lost sites or monuments from collections

of images available only to the community. An example of this can be seen in Stathopoulou et al. [388] work where a crowdsourced project successfully produced textured 3D models of the stone bridge of Plaka, a bridge that does not exist anymore. The project used images of the bridge available to the community.

Tourists take a considerable number of photos of artefacts in museums, of monuments and of sites from different vantage points and share them in mass on social media platforms. This unintentionally and fortunately lead to massive collections of photos that could be harnessed efficiently and from which 3D DH models could be created [76].

Relating back to the perception of fidelity experiments reported in this chapter, the results obtained detail from a QoE perspective *acceptable* resolutions perceived by users on PCs, tablets and mobile devices. These resolutions are tailored for web dissemination. Crowdsourced digital heritage systems and WBVMs mainly employing Photogrammetry and 3D Scanning would benefit from the results obtained. These will inform members of the community that are involved in such projects of what are the most suitable fidelities to contribute to in order to maximise the ability to disseminate them successfully on the web.

In addition, the thesis aims to provide a practical solution for web dissemination which is of great utility especially for crowdsourced projects where users upload 3D models of high resolutions digitised through commodity phones or cameras. These resolutions would be too high and thus inappropriate for web dissemination. In addition, these resolutions will not benefit users who want to view them on mobile devices.

5.4 Summary

This chapter investigated the QoS and QoE of 3D DH artefacts on the web. Download and processing times were captured for different 3D models of different resolutions on different devices (desktop and mobile) and on different network regimes (GPRS, 2G, 3G, 4G, WiFi and Broadband Ethernet). A preliminary study on the perception of visual latency or the perception of the *smoothness of the interaction* of Web3D models was conducted. The chapter went on to investigate the subjective perception of fidelity of 3D digital heritage artefacts in web browsers in the aim of discovering perceptible resolution thresholds.

The QoS and QoE studies presented in this chapter allow CH stakeholders to produce models of reasonable quality and performance to be fetched on the biggest range of users' devices. Furthermore, the studies constituted the pillars upon which the Hannibal adaptive engine (presented in Chapter 7) was based.

Part IV

Semantic 3D Web & QoS Metadata

QoS-Aware Semantic Digital Heritage

This chapter presents a proposal to enable adaptation of 3D Web applications by means of supplementing existing semantic vocabularies used in DH applications with QoS-related metadata. It starts with a brief technical background on two popular schemas: the Dublin Core Schema (DCS) and the Europeana Data Model (EDM). This chapter proposes an ontology dubbed Virtual Museum Quality of Service Ontology (ViMQO). The usage of ViMQO is also presented. Furthermore, the chapter discusses how custom QoS-metadata can be created inside Omeka [87], a Digital Asset Management System (DAMS) and how this approach was used by Hannibal, as will be described in Chapter 7.

6.1 Introduction

This work proposes supplementing existing semantic metadata vocabularies with QoS-related metadata for the 3D Web in order to achieve adaptivity in Web-Based Virtual Museums (WBVMs). This metadata makes available the characteristics of the media to consuming applications which enables them to make decisions about what is the “right resolution” to fetch to client devices across multiple platforms and network regimes at the best possible user experience.

The aspect of what is the “right resolution of 3D models” to send to client devices was studied in Chapter 5 by investigating the QoS of 3D artefacts in terms of download

and processing times and by investigating the QoE particularly the perception of fidelity of 3D models by users on different devices with different screen sizes. Users can not notice many high resolutions and as a consequence it makes sense to fetch lower acceptable resolutions thus not overcommitting unnecessary hardware and network resources that do not add much to the user experience, in addition this will result in lower download and processing times of 3D models and better responsiveness.

This requires the availability of different resolutions of 3D models in order for web servers to perform the adaptation and requires similarly that such resolutions are quantified by metrics supplemented as metadata alongside the 3D models. The number of faces and number of vertices are the major quantification metrics of the resolution of a 3D model. We employ these in ViMQO.

Metadata has always been pertinent in the description of museum holdings and their digital representations. They help in the process of management, discovery and identification of such holdings and they foster interoperability between tools and applications. Information about works of art and cultural objects are usually stored in archives and DAMS by museum registrars and curators. Metadata describes two types of material: physical material such as sculptures, paintings etc. and born-digital material such as images or electronic documents. Metadata of heritage material can be about dimensions, provenance, physical location, authorship, access rights among many others.

Metadata pertaining to well-established ontologies such as the Dublin Core (DC) and the Europeana Data Model (EDM), lack expressiveness when it comes to 3D model types and lack similarly, the availability of characterising QoS metadata such as the number of faces, number of vertices among others. In addition, 3D models could be stored as binary or as ASCII files and applications might need to accommodate their behaviour according to the type of 3D models they are dealing with or based on client devices conditions and requirements.

The aim of this chapter is to help achieve automatic QoS-aware adaptation in digital heritage applications by proposing the inclusion of metadata that characterises 3D artefacts. The proposed ontology ViMQO is DC and EDM compatible and interoperable with any DC-compatible vocabulary.

The remainder of this chapter is organised as follows: the concept of metadata and how it fits with Linked Data as an approach to describe data and relationships is

explained in Section 6.2. Section 6.3 presents briefly the Dublin Core (DC) standard along with its shortcomings in efficiently describing 3D models, in addition to the absence of explicit QoS-related metadata. Section 6.4 elucidates the Europeana Data Model (EDM) and some of the prominent semantic properties and classes. The section also shows the lack of expressiveness of this ontology when it comes to QoS-related metadata and the lack of specificity in describing media types and forms. Sections 6.3 and 6.4 which both describe two common ontologies used in DH and WBVMs, showing the lack of such common ontologies to take into consideration the QoS aspect. Section 6.5 describes what type of multimedia and digital artefacts can be encountered traditionally in WBVMs. In addition, the section gives a discussion on QoS-related metadata that are useful for adaptation purposes for the different multimedia and 3D artefacts/environments. Section 6.6 describes ViMQO and its usage for describing 3D models. Section 6.7 explains the Omeka Digital Asset Management System (DAMS) and how the availability of QoS-related metadata lay the groundwork for Hannibal, the adaptive engine for 3D Web presented in Chapter 7.

6.2 Metadata and Linked Data

The aim of any metadata is to describe data. From a machine perspective, this is expressed in machine-readable and machine-processable “*statements*” describing characteristics of data and how every datum in such data relates.

The backbone of the field of the “*Semantic Web*” which is also known as the “*Web of Data*”, relies on such statements to describe machine-readable linked data between different resources and locations on the world wide web. Machine readability is implemented with inference engines and ontology-based applications.

Linked Data (LD) statements are normally expressed through two major standardised information modelling languages: the first being the Resource Description Framework (RDF) [97] and the second being Web Ontology Language (OWL) [191]. In lay terms, these are formal languages understood mainly by computers (and by humans) to describe relationships between semantic data.

RDF has many serialisations. A serialisation means a format structured in a particular way such as to accommodate the different needs of data applications. Common serialisations of RDF are the Notation3 (N3) [42], the N-Quads [71],

the TriG notation [52], the XML-based serialization [159] which is also known as RDF/XML, the Turtle Notation [261], the RDFa serialization [189] a.k.a as the notation injectable into HTML, and JSON-LD [386] which is the JSON-based serialization of RDF.

RDF is expressed in the form of triples: Subject, Predicate and Object. In addition, describing triples requires the usage of Uniform Resource Identifiers (URIs) and by extension Internationalized Resource Identifiers (IRIs). IRIs are similar to URIs except they allow Unicode characters pertaining to languages other than English.

In the remainder of this chapter and in the proposed ontology we will focus on using the turtle serialization of RDF to describe our proposed ontology (i.e. ViMQO). This is due to the fact that this notation has a good level of readability.

6.3 Dublin Core Metadata Standard

Dublin Core is an International metadata standard created and helmed by the Dublin Core Metadata Initiative (DCMI) which is incorporated in a non-profit organisation in the National Library Board of Singapore. Dublin Core is endorsed by many international standards such as ISO 15836:2009 [206], ANSI/NISO Z39.85-2012 [284] and IETF RFC 5013-2007 [233]. Dublin Core is considered an upper Ontology. The first workshop of DCMI was held in the town of Dublin, Ohio, USA in 1995 which gave the standard its name. The workshop was used as a platform to discuss a core set of semantics for categorizing web resources in order to help in the discovery, retrieval and management of these resources [123].

The term “*Core*” is used to designate the nature of the elements being essential and at the same time broad, generic and intentionally vague allowing the metadata vocabulary to be used in a myriad of domains. The *core* or basic elements are 15 in number [123, 126].

The vocabulary of Dublin Core contains four types of terms: properties, classes, data types and concept schemes [127]. These four types of DC terms can be used in tandem with ViMQO (presented in Section 6.6) which encompasses all the DC vocabulary. The inclusion of DC terms with ViMQO is shown in Listing 6.3.

DC Elements and Properties: The 15 “core” elements or properties¹ of Dublin Core Schema are: Creator, Contributor, Title (as shown for example in Listing 6.1), Publisher, Date, Language, Format, Subject, Description, Identifier, Relation, Source, Type, Coverage, and Rights. Additional properties were added later to the DCMI basic terms. These are defined in the Dublin Core Element Set [124]. The refinement terms usually referred as the extended set of DC provided metadata-consuming applications with more semantic power. The refinement terms are: accessRights, accrualMethod, accrualPeriodicity, accrualPolicy, alternative, audience, available, bibliographicCitation, conformsTo, created, dateAccepted, dateCopyrighted, dateSubmitted, educationLevel, extent, hasFormat, hasPart, hasVersion, identifier, instructionalMethod, isFormatOf, isPartOf, isReferencedBy, isReplacedBy, isRequiredBy, issued, isVersionOf, license, mediator, medium, modified, provenance, references, replaces, requires, rightsHolder, spatial, tableOfContents, temporal, and valid. As can be seen, these metadata terms are very generic and made to constitute “*passe-partout*” fields that fit the needs of many knowledge domains.

For example, in a WBVM context, curators and museum documentation staff can use the provenance metadata to describe from where an artefact was obtained and how it arrived at the museum (i.e. acquisition method), in addition to describing its current custody arrangements. The accrual method metadata field can be used to describe the method by which artefacts are added to specific museum collections. The accrual periodicity metadata would then be the temporal frequency (i.e. every Monday, every week, every month etc.) with which such artefacts are added.

DC Classes: group resources which have certain characteristics in common. A property in DC may be related to one or more classes by what is dubbed as a *domain relationship* indicating a describing class of resources or a *range relationship* indicating a range of values that a property can have. The major classes used by DC are: Agent, AgentClass, BibliographicResource, FileFormat, Frequency, Jurisdiction, LicenseDocument, LinguisticSystem, Location, LocationPeriodOrJurisdiction, MediaType, MediaTypeOrExtent, MethodOfAccrual, MethodOfInstruction, PeriodOfTime, PhysicalMedium, PhysicalResource, Policy, ProvenanceStatement, RightsStatement, SizeOrDuration, and Standard.

¹In Dublin Core parlance the two terms: “*element*” and “*property*” are used interchangeably and have the same meaning

For example, the class of jurisdiction describes the judicial and legal rights concerning the ownership of artefacts.

DC Datatypes also known as Syntax Encoding Schemes describe essentially *rules* for the format of the values that a property can take. Per instance, a date property could have a datatype as dcterms:W3CDTF meaning a World Wide Web Consortium Date Time Format. There are specific *rules* dictating per instance how to write a date and time or how to include a date pertaining to the Before Common Era (BC).

DC Concept Schemes also known as Vocabulary Encoding Schemes which deal with controlled vocabularies such as those of thesauri and taxonomies. A few examples in this category are the Dewey Decimal Classification and the Library of Congress Classification (LCC).

Listing 6.1 shows a snippet of DC metadata of a 3D model named Corn Bird which is expressed in the XML serialization of RDF and taken from the Omeka DAMS. Figure 6.1 shows the Corn Bird 3D model, a digital representation of an artefact from the Shetland museum collection (Scotland, UK).

```

1 <rdf:RDF xmlns:rdf="http://www.w3.org/1999/02/22-rdf-syntax-ns#" xmlns:dcterms="http://purl.
  org/dc/terms/">
2 <rdf:Description rdf:about="http://localhost/omeka/admin/items/show/36">
3   <dcterms:title><![CDATA[Corncrake bird[G]]></dcterms:title>
4   <dcterms:description><![CDATA[Corncrake birds lived in Shetland for thousands of years,
  nesting in cornfields. However, they have nearly disappeared from the islands for
  over thirty years. People had come to use imported food and animal fodder, so
  farmers stopped growing oats and barley, and the corncrake's habitat vanished. Trade
  & Industry gallery NAT 2007.22]]></dcterms:description>
5   <dcterms:publisher><![CDATA[EULAC]]></dcterms:publisher>
6   <dcterms:contributor><![CDATA[museums@eu-lac.org]]></dcterms:contributor>
7   <dcterms:type><![CDATA[3D Object]]></dcterms:type>
8   <dcterms:format><![CDATA[text/plain Alias/WaveFront Object]]></dcterms:format>
9   <dcterms:language><![CDATA[English]]></dcterms:language>
10 </rdf:Description></rdf:RDF>

```

Listing 6.1: DC metadata of the Corn Bird 3D Model in RDF/XML from Omeka

Dublin Core can be integrated directly into an HTML web page through the RDF serialization called RDFa. Listing 6.2 shows how the DC metadata of the Corn Bird model can be integrated into the HTML code.



Figure 6.1: The Corn Bird 3D Model

```

1 <html prefix="dc: http://purl.org/dc/elements/1.1/" lang="en">
2 <head>
3 <title>Web3D Model</title>
4 <link rel="profile" href="http://www.w3.org/1999/xhtml/vocab" />
5 </head>
6
7 <body>
8 <div xmlns="http://www.w3.org/1999/xhtml" prefix="
9   rdf: http://www.w3.org/1999/02/22-rdf-syntax-ns#
10  dcterms: http://purl.org/dc/terms/
11  rdfs: http://www.w3.org/2000/01/rdf-schema#">
12 <div typeof="rdfs:Resource" about="http://localhost/omeka/admin/items/show/36">
13   <div property="dcterms:language" content="English"></div>
14   <div property="dcterms:type" content="3D Object"></div>
15   <div property="dcterms:publisher" content="EULAC"></div>
16   <div property="dcterms:format" content="text/plain Alias/WaveFront Object"></div>
17   <div property="dcterms:contributor" content="museums@eu-lac.org"></div>
18   <div property="dcterms:title" content="Corncrake bird[G]"></div>
19   <div property="dcterms:description" content="Corncrake birds lived in Shetland for
20     thousands of years, nesting in cornfields. However, they have nearly disappered from
21     the islands for over thirty years. People had come to use imported food and animal
22     fodder, so farmers stopped growing oats and barley, and the corncrake's habitat
23     vanished. Trade & Industry gallery NAT 2007.22"></div>
20 </div>
21 </div>
22 </body>
23 </html>

```

Listing 6.2: Intergrating Dublin Core into HTML5 with RDFa 1.1

DC metadata are too generic for efficiently describing 3D models. In addition, DC does not provide a framework to describe QoS-related metadata. Same applies to other types of media that are available in modern WBVMs such as Photospheres and VideoSpheres.

The following section discusses EDM, an ontology used to describe cultural heritage, archival and digital library resources designed to be used in a multi-lingual European context.

6.4 Europeana Data Model

The challenge that EDM is trying to solve is the duplication of effort which results from using many heterogeneous metadata standards to describe data from a wide range of providers such as museums, libraries, archives and audio-visual sectors [142]. EDM aims to be panoptic by providing an all-inclusive vast vocabulary for the domains of libraries, museums, archives and audio-visual sectors.

EDM has its own classes (similar to the DC concept of classes explained in Section 6.3) [143]. They are the Agent, Europeana Aggregation, Europeana Object, Event, Information Resource, Non-Information Resource, Physical Thing, Place, Provided Cultural Heritage Object, Time Span, and Web Resource.

Europeana incorporates also classes and properties from many ontologies such as the Creative Commons (CC) [93] which describes copyright licenses in RDF, Data Catalogue Vocabulary [429] which is a W3C ontology that describes data catalogues on the web, Open Archives Initiative Object Reuse and Exchange (OAI-ORE) [238] which is an ontology that describes aggregations of web resources and Simple Knowledge Organization System (SKOS) [272] which is an ontology that describes concepts, ideas, notions and units of thoughts.

EDM metadata properties and ranges encompass the DC properties and ranges. The type property of EDM (`edm:type`) is of interest in a WBVM context since it categorises the media available under five Europeana types values: text, image, sound, video or 3D. This is considerably better than the vague metadatum of DC type and format properties but similar to the case of DC, EDM lacks the QoS angle when it comes to metadata. In addition, EDM lacks expressiveness and specificity in terms of media types and forms. Per instance, the EDM video type does not specify

if the video is spherical, stereoscopic or simply flat.

The shortcomings of DC and that of EDM metadata show the lack of expressiveness of metadata of DH media and 3D objects types. In addition, these common ontologies lack essential QoS-related metadata that facilitates adaptivity in the delivery of such media by web servers. In order to propose extensions to these common vocabularies (as will be shown in ViMQO), it is pertinent to discuss first the types of media that are normally encountered in Web-Based Virtual Museums.

6.5 Web-Based Virtual Museum Media and 3D Objects

Web-Based Virtual Museums present to consumers of cultural heritage a plethora of multimedia, textual material and digital 3D artefacts. Textual material could be presented in numerous forms such as simple web pages or wiki articles editable by curators and CH experts.

It is common in many WBVMs and DH applications to use audio files for commentaries or speeches. Audio files could be presented in different file formats that are either compressed or non-compressed with either lossy or loss-less compression. Audio files are either streamed via external services or streamed by the WBVM web server.

In a similar vein, videos can be streamed from external services such as YouTube or Vimeo or can be streamed from the web server hosting the WBVM or can be provided as a download for users. The advent of spherical videos (i.e. 360° videos) facilitated by commodity cameras and phone cameras and the availability of many JavaScript libraries that renders such videos in web browsers, made the usage of such videos in WBVMs and CH quite attractive. Spherical videos can be hosted on popular video on demand services such as YouTube or other similar platforms.

VR technologies added a new viewing paradigm when it comes to viewing videos and that is the stereoscopic viewing mode (i.e. viewing a replica of the video scenes off-setted for each eye), a paradigm designed to be viewed using a VR headset.

Images, similar to videos, can be seen in three viewing modes: Flat, Spherical and Stereoscopic. A spherical image is also known by the term Photosphere. There

are many external services such as Roundme [343] that can host images of the three types mentioned above. In addition, many JavaScript libraries especially the ones that are based on WebGL and WebVR can render quite easily spherical and stereoscopic images in web browsers.

It is important to note that the content of different multimedia and 3D artefacts in a WBVM is of standard simple formats but it is interpreted differently by the consuming applications on the client side to give users a different experience. To elucidate this idea better, for example, a 3D model of the type OBJ Wavefront is from the system point of view a large text file containing information about the vertices, faces and normals of the 3D model. The 3D model file is then *rendered in 3 dimensions* on the client side. The following is a list of the characteristics and attributes of media and objects encountered in WBVMs:

Flat images : They are traditional 2D images. These could be of different sizes and resolutions. They could be compressed through a lossy compression such as the JPEG format or they could be loss-less such as PNG, GIF and TIFF.

From the back-end system point of view, QoS-related metadata for images are: size on disk, image file type, image resolution, image file compression, colour depth and exif metadata.

Spherical images : also called Photospheres or equirectangular panoramas are image(s) of any image format type PNG, JPG or tiff, presented as a single photosphere or stitched together as a collection of photosphere tours on the client side through JavaScript technologies such as WebGL in case of a web platform or through per example, OpenGL in the case of mobile or desktop application.

From the back-end system point of view, they are exactly treated as flat images in terms of Quality of Service Metadata. If the user opens such files with a normal image viewer, she will only see a skewed image. Client side manipulation algorithms render such images in a spherical view when the right file metadata are present. Tools dubbed as metadata injectors such as ExifTool [184] can add such metadata to images. Normally cameras add such metadata automatically when taking spherical images. A lot of spherical images viewers are based on WebGL libraries such as Three JS or Babylon JS. Additional examples of libraries that allow the creation and rendering of spherical images are the Photosphere viewer [384] and krpano [163].

Stereoscopic images : are images made to be used in a VR setting, they are viewed as two distinct equirectangular images directed individually to each eye. Normally each eye is made to see the same image with an offset on opposing sides.

From the back-end system point of view, they are just similar to flat images in terms of Quality of Service metadata.

Flat videos : These are the traditional monoscopic videos. They could be of different types mp4, wav, etc. Technically speaking a video is a large group of frames (or images) in a duration of time.

From the back-end system point of view, QoS-related metadata for videos are: size on disk, video file type, video resolution, bit rate, frame rate and compression and encoding codecs.

Spherical videos : also called videospheres or equirectangular videos or 360° videos are video(s) of different types (mp4, wav, webm or any other video format) where a view in every direction is recorded at the same time, shot using an omnidirectional camera or a collection of cameras. During playback the viewer has control of the viewing direction. It is stitched on the client side through JavaScript technologies such as WebGL in case of a web platform or through OpenGL in the case of mobile or desktop application.

From the back-end system point of view, they are just similar to flat videos in terms of Quality of Service metadata.

Stereoscopic videos : same explanation as the above for stereoscopy in images but in this case it is for video.

From the back-end system point of view, they are just similar to flat videos in terms of Quality of Service metadata.

Web 3D models : by this category we mean standalone 3D models viewed in web browsers. These 3D models could technically use a declarative 3D Web scripting language such as X3D to construct them and transform them into meshes, or they could be in the form of 3D Asset files (.obj, .gltf, .collada etc.) rendered using WebGL built-in viewers. There is no navigation capability by avatars in this 3D Web category, and the way to view them and interact with them is limited to different vantage points.

The relevant QoS-related metadata for 3D models are: size on disk, number of faces and number of vertices. Such metadata can be extracted programmatically from the meshes files.

Web-Based Virtual Worlds (WBVWs) : this could be virtual environments built in WebGL or any other native Web3D technology. These environments normally are navigable by one or more avatars which can or cannot interact with each others.

WBVWs can have as QoS-related metadata: size on disk, average frame rate, average frame time, total CPU/GPU consumption and memory consumption.

QoS metrics that were measured and studied in Chapter 4 on Unity3D Environments and were published in [30] are the type of metadata that are useful in such environments. The challenge for extracting such type of metadata/metrics from WBVWs is to create automated tools that can actually do that in a timely and efficient manner.

Text : traditional text could be encoded in ASCII or Unicode. In a WBVM, the text normally constitutes web pages or Wiki articles which could be linked to other textual documents or other Wikis.

Audio files : which normally constitute commentaries about artefacts. Audio files are of different formats that are uncompressed also known as raw or compressed using either a lossy or loss-less mechanism.

Audio files can have as QoS-related metadata: file type, size on disk, compression algorithm, sample rate, constant bitrate (CBR), variable bitrate (VBR), Mono/Stereo and metadata dealing with multichannel audio.

For audio files and video files most relevant technical and tag data that come from video and audio files formats constitute the useful QoS-metadata for such media. There are many open source web projects in Java [285] and Ruby [188] that set or retrieve metadata of files.

From the ten multimedia and digital types presented above, we only focus in this thesis on 3D digital heritage models when it comes to QoS-related metadata. ViMQO which has a generalised name to accommodate future extensions, only contains QoS-related metadata for 3D digital heritage artefacts in its current form.

Furthermore, we propose an adaptive engine for 3D digital models explained in Chapter 7. The engine relies on the availability of QoS metadata such as the size on disk, the number of faces and the number of vertices of 3D meshes, in order for it to function properly.

6.6 Virtual Museum Quality of Service Ontology

The current proposed ontology is an ontology designed to describe QoS-related metadata of 3D digital models. This ontology is machine-intelligible and can be used with RDF and OWL-consuming applications. It can also be queried through any RDF query language such as SPARQL Protocol and RDF Query Language (SPARQL) [318].

The ontology encompasses DC and EDM so all metadata terms and classes pertaining to these two ontologies can also be used with the proposed ontology. For example, the `dcterms:title`, `dcterms:creator` and `dcterms:description` can still be used to describe the 3D model. As discussed in Section 6.2, ontologies could be made machine-readable by formal languages such as Resource Description Framework and OWL. ViMQO is a contribution of this work stated in Chapter 1 (page 25).

6.6.1 Usage

In this section, the usage of ViMQO by RDF-aware applications is presented. Listing 6.3 presents the description of the Wireless Battery model in our proposed ontology in the Turtle serialization of RDF.

```

1 @prefix vimqo: <https://github.com/HusseinBakri/ViMQO/blob/master/terms/ViMQO> .
2 @prefix dc: <http://purl.org/dc/terms/> .
3 @prefix edm: <http://www.europeana.eu/schemas/edm/> .
4 @prefix xsd: <http://www.w3.org/2001/XMLSchema#> .
5 @prefix mymodel: <http://husseinbakri.org/PhD/Models/WirelessBattery> .
6
7 mymodel a vimqo:3DObject ;
8   dc:creator "OVW Group"@en ;
9   dc:title "Corncrake bird"@en ;
10  dc:description "Corncrake birds lived in Shetland for thousands of years, nesting in
    cornfields. However, they have nearly disappeared from the islands for over thirty
    years. People had come to use imported food and animal fodder, so farmers stopped
    growing oats and barley, and the corncrake's habitat vanished. Trade & Industry
    gallery NAT 2007.22"@en ;
11  dc:language "English" ;

```

```

12  edm:country "UK";
13  edm:dataProvider "Shetland Museum and Archive" ;
14  vimqo:3DMeshModelType vimqo:MeshAliasOBJ ;
15  vimqo:hasNubOfFaces "2767749"^^xsd:nonNegativeInteger ;
16  vimqo:hasNumOfVertices "1664380"^^xsd:nonNegativeInteger ;
17  vimqo:hasSizeOnDiskMB "215"^^xsd:double .

```

Listing 6.3: RDF Turtle expression of the Wireless Battery Model in ViMQO including DC and EDM terms

The turquoise colour in Listing 6.3 denotes the metadata pertaining to ViMQO terms. The blue colour denotes the metadata pertaining to DC and the red colour denotes the metadata pertaining to EDM. As can be seen, DC and EDM properties and classes can be used in tandem with ViMQO.

The ontology can be queried as mentioned above by SPARQL, a query language similar to databases Structured Query Language (SQL) but dedicated only for the RDF ontology specifications. SPARQL allows these applications to retrieve information concerning certain properties or values.

Another example of how ViMQO can be used is with the Bone Cup model (shown in Figure 7.19). Here the type of the file is the glTF binary format. As can be seen in Listing 6.4, ViMQO supports the specification of different types of 3D meshes. Types currently supported in the ViMQO are: MeshAliasOBJ, MeshBlender, MeshGLTF1 (i.e. glTF 1.0), MeshGLTF2 (i.e. glTF 2.0), MeshGLTFBinary, MeshCollada, Mesh3dc, Meshasc, Mesh3DS, Meshac3d, MeshAlembic, MeshBiovisionHierarchy, MeshCarbonGraphics, MeshDesignWebFormat, MeshDesignerWorkbench, MeshX3D and MeshVRML. Additional properties could be added in future extensions to ViMQO such as the number of textures or the number of normals.

```

1  @prefix dcterms: <http://purl.org/dc/elements/1.1/> .
2  @prefix vimqo: <https://github.com/HusseinBakri/ViMQO/blob/master/terms/ViMQO> .
3  @prefix owl: <http://www.w3.org/2002/07/owl#> .
4
5  <http://localhost/omeka/admin/items/show/3>
6    dcterms:title "Prehistoric cup" ;
7    dcterms:description "Whales are common in the North Atlantic, and since ancient times
8      people have used their meat and oil. In regions with no trees, bones were fashioned
9      into household items that would usually be made from timber; this handled cup is made
10     from a backbone. It is unfinished, and you can see the inside isn't fully hollowed-out
11     . Early People gallery ARC 85130" ;
12   dcterms:publisher "EULAC" ;
13   dcterms:contributor "museums@eu-lac.org" ;
14   dcterms:language "English" .

```

```
11
12 <http://localhost/omeka/admin/items/show/3> rdf:type vimqo:3DObject ;
13     vimqo:3DMeshModelType vimqo:MeshGLTFBinary ;
14     vimqo:hasNubOfFaces "1520607"^^xsd:nonNegativeInteger ;
15     vimqo:hasNumOfVertices "960763"^^xsd:nonNegativeInteger ;
16     vimqo:hasSizeOnDiskMB "117.2"^^owl:real .
```

Listing 6.4: RDF Turtle expression of the Bone Cup Model in ViMQO

The turquoise colour in Listing 6.4 denotes the metadata pertaining to ViMQO and the blue colour denotes the metadata pertaining to DC. The URI of the Bone Cup model is a Uniform Resource Locator (URL) that links to a file location in the Omeka back-end. Note that the OWL schema data type owl:double is used in this example.

6.7 Omeka DAMS & QoS Metadata

Omeka [87] is a free and open source web-based Digital Asset Management System (DAMS) that is built on top of the PHP Zend Framework [303]. It is used by universities, galleries, libraries, archival systems, and museums around the world to store and manage digital collections and resources.

Omeka S [90] the next generation of Omeka after Omeka Classic, facilitates the creation of multiple sites or network of sites from a single Omeka installation enabling all these sites to share resources.

Omeka is organized around items, metadata, collections of items, and tags. Omeka allows users to create new item types and new metadata to serve domain-specific needs. The functionality of Omeka can be extended through a myriad of plug-ins created by the Omeka community. These plug-ins add many features such as geo-location for items and collections, Dropbox integration, and the ability to build exhibits among many others.

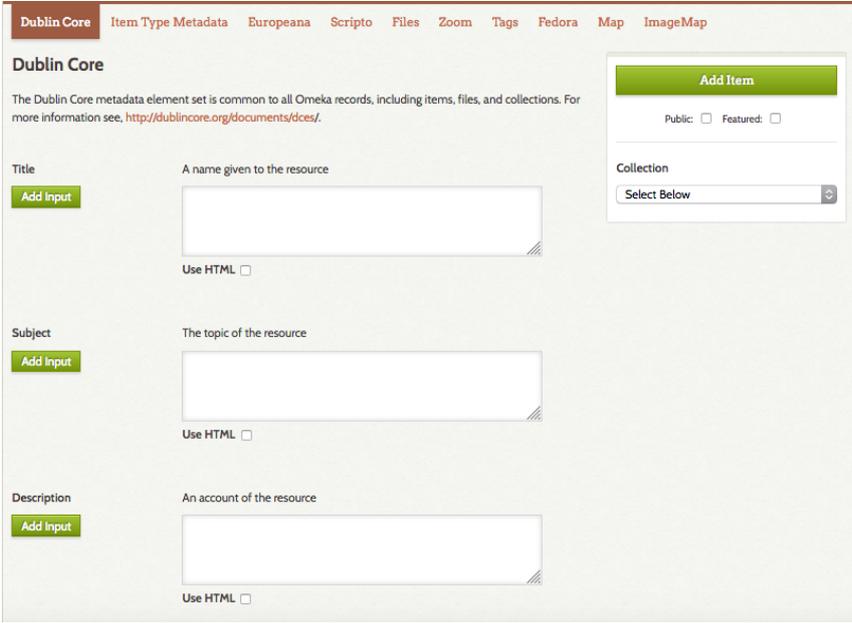
Items can be imported individually or in bulk to Omeka via a ReST API with hooks written in JavaScript, PHP, Python and Ruby. Items can also be imported in bulk via CSV files facilitated by the CSV Import plug-in or via the Open Archives Initiative Protocol for Metadata Harvesting (OAI-PMH) facilitated by plug-ins such as the OAI-PMH Harvester plug-in. Column names in CSV files should be mapped to DC metadata recommendations.

Ontologies for different domains can be added to Omeka and used to enrich the metadata of items and collections. Friend of a Friend (FOAF) [59] is a common machine-readable ontology used in Omeka to describe persons, their activities and their relationships. Users can write FOAF metadata terms inside DC metadata terms that contain information about persons (contributor, creator etc.).

6.7.1 Dublin Core & EDM in Omeka

The basic or “core” DC elements are available by default in the Omeka DAMS. In addition, the complete set of DC terms becomes available for every item through the installation of a plug-in.

The plug-in is dubbed “*Dublin Core Extended*” [89] which adds the full set of Dublin Core properties, refinements and supplemental elements to the system. The plugin allows the extraction of the metadata of items and collections via RDF. Figure 6.2 shows adding DC metadata to a new item in the web administrator interface of Omeka.



The screenshot displays the Omeka web administrator interface for adding a new item. The top navigation bar includes links for Dublin Core, Item Type Metadata, Europeana, Scripto, Files, Zoom, Tags, Fedora, Map, and ImageMap. The main content area is titled "Dublin Core" and contains a form for adding metadata. The form includes three main sections: Title, Subject, and Description. Each section has a text input field, a "Use HTML" checkbox, and an "Add Input" button. To the right of the form is a sidebar with an "Add Item" button, "Public" and "Featured" checkboxes, and a "Collection" dropdown menu with a "Select Below" button. The form is set against a light green background with a white border.

Figure 6.2: Adding Dublin Core metadata to a new item in Omeka

EDM is not available by default in Omeka DAMS. It can be enabled by installing a plug-in called Europeana Element Set [43]. Figure 6.3 shows adding EDM metadata to a new item in the web administrator interface of Omeka.

The screenshot shows the Omeka administration interface for adding metadata. The top navigation bar includes 'Dublin Core', 'Item Type Metadata', 'Europeana', 'Scripto', 'Files', 'Zoom', 'Tags', 'Fedora', 'Map', and 'ImageMap'. The main content area is titled 'Europeana' and contains the following fields:

- Country:** A text input field with an 'Add Input' button and a 'Use HTML' checkbox. Description: 'The name of the country of the data provider or "Europe" in the case of Europe-wide projects.'
- Europeana Data Provider:** A text input field with an 'Add Input' button and a 'Use HTML' checkbox. Description: 'The name or identifier of the organisation that contributes data to Europeana.'
- Is Shown At:** A text input field with an 'Add Input' button and a 'Use HTML' checkbox. Description: 'An unambiguous URL reference to the digital object on the provider's web site in its full information context.'

On the right side, there is a sidebar with an 'Add Item' button, 'Public' and 'Featured' checkboxes, and a 'Collection' dropdown menu with a 'Select Below' button.

Figure 6.3: Adding EDM metadata to a new item in Omeka

6.7.2 Custom Metadata through Omeka Item Types

Item types [88] are the types of objects stored in Omeka in addition to their corresponding metadata. There are 12 default item types that come with a default installation of Omeka: Document, Moving Image, Oral History, Sound, Still Image, Website, Event, Email, Lesson Plan, Hyperlink, Person, and Interactive Resource. All these item types come with their own specific metadata fields which can be changed or supplemented. Metadata elements could be used for many item types.

A resource in Omeka as was discussed above could be described using the DCS which provides fields to elucidate the nature and types of resources. Other ontologies can also be used but this usually requires the installation of plug-ins to enable them, such as the case of the EDM ontology.

There might be cases, where the type of applications connected to the Omeka back-end requires more specialised item types and metadata that common ontologies and Omeka's default item types do not provide. The following section explains how such metadata can be made available in Omeka.

6.7.2.1 Omeka & QoS-Related metadata

Hannibal, an adaptive engine for 3D digital heritage models is presented in Chapter 7. Hannibal is integrated into a small subset clone of a WBVM built for the EULAC Web-Based Virtual Museum initiative, a complete web-based virtual museum infrastructure.

The WBVM uses Omeka as a back-end to store all the heritage media and 3D models. The WBVM has a management interface that allows the curators of the museum to upload media including 3D models and their metadata (title, description, publisher, contributor etc.)

A new Omeka item type dubbed “*3D Object*” was created and supplemented the metadata fields: *Wiki*, *Social Media*, *Nb_of_vertices*, *Nb_of_faces* and *Size_On_Disk_MB*. These metadata fields are available for any item of the type “*3D Object*”.

The *Wiki* metadata field is for a link to the wiki article that describes the 3D model. The *social media* field normally contains a list of social media web pages pertaining to the 3D model, separated by semi-colons. The list is parsed by the WBVM application. The presence of the other three QoS-related metadata (the number of faces, number of vertices and the size on disk of the 3D model in MB) is important for the adaptive engine to function properly. These fields are never filled by curators but retrieved by an algorithm that decimates the uploaded 3D model into lower resolutions and stores all the produced models of different resolutions in Omeka with all the metadata: the ones supplemented by curators in addition to the QoS-metadata that were automatically captured. Figure 6.4 shows the process of adding new item types and custom metadata on the administrator web interface of Omeka.

6.8 Summary

This chapter discussed the DC and EDM ontologies and their current semantic properties and classes. It proceeded to explain the myriad media normally available in WBVMs. It presented Virtual Museum Quality of service Ontology (ViMQO), an ontology that describes the QoS-related metadata aspect of digital heritage 3D artefacts. Then an explanation of Omeka DAMS, the ontologies, item types and metadata available in it was given. The chapter explained then how the QoS-related

The screenshot shows the 'Add Item Type' interface in Omeka. The form is titled 'Add Item Type' and has a search bar in the top right. It is divided into two main sections: 'Item Type Information' and 'Elements'. In the 'Item Type Information' section, there is a 'Name*' field with the value '3D Object' and a 'Description' field with the value 'A Web3D model'. A green 'Add Item Type' button is located in the top right of this section. The 'Elements' section contains a dropdown menu labeled 'Select Below', a field labeled 'NB_Of_Faces' with the value 'The Total number of faces of the 3D Model.', and an 'Add Element' section with radio buttons for 'Existing' and 'New'.

Figure 6.4: Adding new item types and metadata in Omeka

metadata were created in Omeka in order to facilitate the functioning of the Hannibal adaptive engine which is presented in the next chapter.

Hannibal supplements the management interface of the WBVM with a decimation layer that reduces the resolution of the uploaded 3D model into lower resolution models and then stores the QoS-related metadata (number of faces, number of vertices and size on disk) of all these lower resolution models in the Omeka back-end.

Part V

Hannibal

Hannibal - an Adaptive Engine for Virtual Museums

This chapter describes the design & implementation of Hannibal, a QoS and QoE-aware adaptive engine for 3D Web content in Web-Based Virtual Museums. The chapter begins by explaining the Hannibal solution. It then goes on to describe how results from QoS and QoE studies conducted in previous chapters fed its design. Then the architecture, implementation, and evaluation of the system are presented. Finally, the limitations of Hannibal and avenues for future improvements are elucidated.

7.1 Introduction

This chapter is an exposition of the architecture, design and implementation of an adaptive engine for 3D Web content used specifically in the context of Web-Based Virtual Museums (WBVMs). The adaptive engine is named “*Hannibal*” after “*Hannibal Barca*” [193], the hero of the Phoenician Carthaginians who was himself adaptive in his war tactics against the Romans during the Punic wars.

Fetching 3D Web components presents a significant challenge. This is because nowadays these types of media are fetched on multiple platforms (mobile devices, tablets and personal computers) with varying levels of graphical and processing

capabilities and across multiple network regimes (3G, 4G or WiFi and Broadband Ethernet) with varying bandwidth and latency. Therefore, this makes it difficult to achieve a good user Quality of Experience (QoE) across all these platforms which have different characteristics and capabilities.

This means that different levels of fidelity and complexity of media may be appropriate and should be available. Therefore, servers hosting those media types need to adapt to the capabilities of a wide range of networks and devices. Hannibal aims to alleviate such challenges by adaptively giving any client device the adequate fidelities that are convenient to its situation.

Hannibal is an adaptive solution that was informed by findings from Quality of Service and Quality of Experience studies conducted on heterogeneous systems. In particular, Hannibal draws on the results obtained from the experiments in Chapter 5 that measured the download and processing times of different DH models on different network connections and client devices. Hannibal also draws on the findings from experiments studying the subjective perception of fidelity of 3D models (Section 5.3).

Hannibal contributes a complete eco-system for adapting 3D Web content by decimating, behind the scene, 3D models uploaded to WBVMs into lower fixed resolutions supplementing these media with QoS-related metadata. In a similar vein, Hannibal transforms 3D meshes into 360° sprite images to be given to clients in situations where they are found to be connected to extremely slow connections or when they do not support 3D Web technologies. The Hannibal solution for adaptivity of 3D Web content relies on supplementing semantic vocabularies with QoS-related metadata (Chapter 6).

The engine as a proof of concept, is integrated in a small subset clone of a WBVM built for the EULAC Web-Based Virtual Museum initiative, a complete web-based virtual museum infrastructure developed by researchers in the Open Virtual World research group at the University of St Andrews, that aims to replicate all the functions of traditional museums on the web providing curators a management interface where they can upload digitised 3D models and other media and their metadata, in addition to providing documentation in the form of wiki articles. This infrastructure provides them with the ability to easily disseminate cultural heritage material of their own countries to a wider audience across the web. A block diagram of the Hannibal eco-system is presented in Figure 7.1.

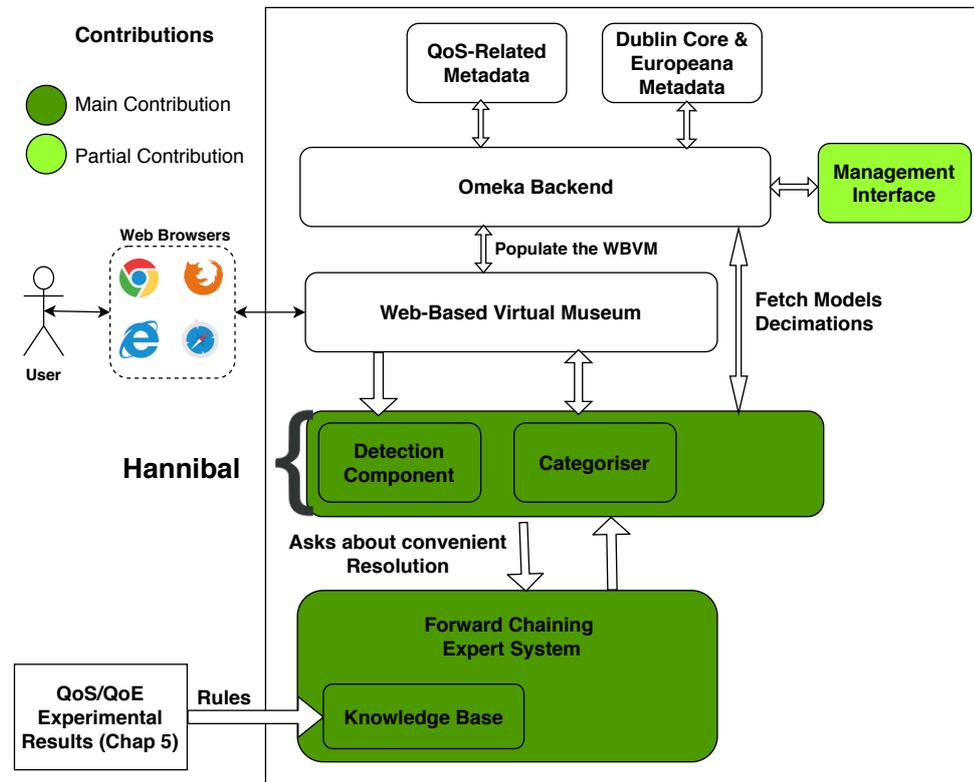


Figure 7.1: Hannibal Block Diagram

The current version of Hannibal bases the rules used in its knowledge base on previous results obtained from experiments conducted in this thesis that fed the decision process of its expert system. This is depicted in Figure 7.1.

The contributions presented in Figure 7.1 depict main and partial contributions to the WBVM. It shows the main contributions of this work which are located in the middleware layer of the system: mainly the detection component, the categoriser component and the expert system. Furthermore, we have partially contributed to the management interface of the WBVM which already exists and was built by members of the Open Virtual World research group at the University of St Andrews. The contributions to the management interface which are shown in a more detailed way in Figure 7.2 include several integrated tools. The first tool captures QoS-related metadata such as size, number of faces and number of vertices. The second is a decimation tool that works in bulk to decimate an uploaded model into the lower resolutions required by Hannibal. Finally, the third tool creates out of 3D model a 360° sprite images that gives a 360 view or a spherical Photosphere like view of the model for cases where 3D Web is not supported or in case of very low speed networks.

Hannibal detects the graphical capability of the client device it is communicating with in addition to the network conditions. It then categorises this client under certain categories that are sent to the expert system to receive back the decision of the most convenient resolution suitable for the client.

This chapter also describes the high level overview of the system architecture which is further decomposed into low-level detailed depictions of system components, including the flow of data and control in the system.

The current focus of Hannibal is on achieving good adaptation of 3D Digital Heritage models rendered with WebGL frameworks such as Sketchfab [374], Babylon JS [278] or Three JS [116]. 3D models, which are uploaded through a management interface provided by the WBVM, are decimated behind the scenes into lower specific resolutions and into 360° sprite images of 3D models. A 360° sprite is a collection of snapshots or image frames taken from different angles forming in total 360° of the 3D model. These images are then stitched together.

In this chapter, the term “*decimations*” is used to refer to “*lower levels of detail*” or to “*lower resolutions*” of a particular 3D model.

Furthermore, Hannibal mandates that the resolutions of 3D models are specific, fixed and standardised and more importantly are the same for every single 3D model uploaded to the WBVM. This is an idea inspired by YouTube HAS [244, 364] which decimates an uploaded video into specific standardised segments of video bit rates (a.k.a video resolutions). These bit rates or resolutions are always the same across all videos that are uploaded by users.

QoS-related metadata are stored in the Omeka back-end accompanying all the decimated 3D models. By QoS-related metadata we refer to characterising information about 3D models such as size and fidelity (number of faces and number of vertices).

Omeka [87], is a Digital Asset Management System (DAMS) used by WBVMs and by Hannibal. Omeka supports Dublin Core Schema (DCS) [123, 125] which is a metadata vocabulary for describing digital assets. The WBVM front-end interface mainly the interface that deals with uploading 3D Web artefacts is mapped to the Europeana Data Model (EDM) [144] which in its turn builds on Dublin Core Schema (DCS).

The remainder of this chapter is organised as follows: the following section elucidates

the Hannibal solution. Section 7.3 presents a summary of the findings from Chapter 5 that fed the design of Hannibal. Section 7.4 explains the importance of QoS-related metadata to be available to Hannibal. The high level architecture of the adaptive system is explained in Section 7.5, the system views are depicted in Section 7.6 and the classifications used by Hannibal are discussed in Section 7.7. The implementation of Hannibal is explained in Section 7.9. Finally, the system is appraised in Section 7.10 on a systematic level and in terms of advantages and disadvantages of the adaptivity technique achieved.

7.2 The Hannibal Solution

The problem we are seeking to address is to achieve a good QoE across heterogeneous devices and network regimes in the context of WBVMs.

The solution for adaptivity of 3D Web content adopted in this work is based on utilising the already rich semantic vocabularies used in DH applications and in WBVMs, and this is done by supplementing them with QoS-related metadata as described in Chapter 6. On a practical level in this work, these metadata are created in Omeka [87]. The same procedure used by Hannibal could be applicable to any DAMS used by WBVMs.

The Hannibal system solves both the problem of intensive network requirements and that of the heterogeneity of graphical capabilities of client devices. This is a core contribution of this thesis. Our literature review presented in Chapter 2 suggests that *Hannibal is the only adaptive solution that follows findings of Quality of Service studies on heterogeneous systems and more importantly, follows findings from the Quality of Experience field in 3D Web*. In particular, the Quality of Experience that deals with the subjective perception of fidelity of 3D digital heritage models, which is a study that was presented in Chapter 5 and was published in [28]. Table 7.1 provides a comparison framework of Hannibal with other adaptivity approaches available in the literature.

Table 7.1: Adaptivity Solutions used for 3D Web

Challenges	Remote, Mixed Rendering	Compressing/Decompressing 3D Models	Adaptive Streaming	Progressive Meshes Progressive textures	Hannibal
Bandwidth Intensive	✓ ^a	✗ ^b	✗ ^c	✗	✗ ^d
High Latency of interaction	✓	✗	✗	✗	✗
CPU Overhead (Client Side)	✗	✓	✗	✗	✗
Limited Graphical Capabilities Clients	✓ ^a	✗ ^e	✗ ^c	✗	✓
Consider Perception of Fidelity	✗	✗	✗	✗	✓
Is QoS-Aware?	✗	✗	✗	✗	✓
Utilise semantic DH vocabularies	✗	✗	✗	✗	✓
Require High Server storage space	✓	Less than others	✓	✓	✓ & ✗ ^g
3D Piracy & Copyright Protection	✓	✗ (unless using explicit methods) ^f			
Relevant Section in Literature Review	Section 2.6.2.1	Section 2.6.1.2	Section 2.6.1.1	Section 2.6.1.2	—

^a Remote rendering consumes considerable bandwidth. Remote and mixed rendering allow limited graphical capability devices to see 3D (even old devices such as PDAs).

^b While such techniques are not seen as bandwidth intensive, in reality large models even when compressed can still consume extensive bandwidth.

^c Recall from the survey presented in Chapter 2, that *adaptive streaming* means streaming the mesh progressively based on network conditions by using multi-resolution progressive meshes. Such solutions do not consider graphical capability of the device, meaning fetching a high resolution will fail if a device can not handle it.

^d The current version of Hannibal does not stream the 3D model (it can though utilise a streaming mechanism). It does not consume much bandwidth since it makes sure by design not to (by giving lower resolutions).

^e Yes, if the original high resolution can be rendered on the device. No, if the client device can not handle that resolution before compression.

^f Remote Rendering protects from piracy by nature [228] since the 3D models are never sent to the client devices and thus can not be copied easily. This does not mean that if the 3D models are sent, there would be no solutions for protecting copyright. The reader can refer to different studies [77, 227] that have tackled these concerns, since this topic is outside the scope of this thesis.

^g Hannibal adapts commonly used mono-resolution 3D file formats such as Wavefront OBJ. With the advent of glTF file format (dubbed as the JPEG of 3D), the server storage space needed for storing 3D models and their instantiations could be reduced to at least half of the overall storage space [220].

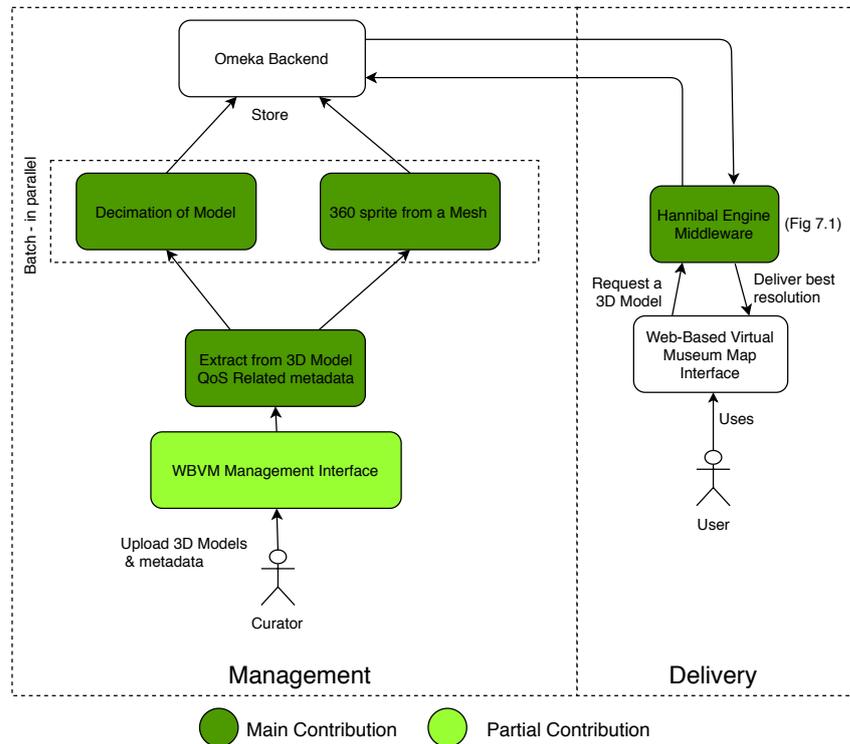


Figure 7.2: Hannibal Flow Diagram

7.3 Hannibal and Findings From QoS & QoE Studies

Findings that fed the design of Hannibal are divided into three overarching categories:

1. **Downloading and processing times of 3D models' resolutions across devices and networks (coming from Chapter 5).**
2. **Hardware and software resolutions thresholds for certain devices (coming from Chapter 5).**
3. **User perception of fidelity findings of different resolutions of 3D models across screen sizes (coming from Chapter 5).**

From Chapter 5, the following QoS and QoE findings governed the behaviour of the Hannibal adaptive engine:

QoS Studies Considerations for Hannibal

The following is an exposition of the QoS considerations obtained from the results of previous experiments conducted in this regard. Some of the considerations buttress the findings of others.

C1: 3D Models and Slow Connections There should be no fetching of any 3D model on network connections such as GPRS and 2G or their equivalent in the network profile categories shown in Section 2.6.1, no matter what the client device capability is. This is due to the large amount of time taken to download and process these 3D models. Recall from Chapter 5, the statue of Rameses model which is of resolution 30K faces, took 507 seconds (8.45 minutes) on a GPRS connection to download and become usable on the mobile device Alcatel Pop 4. The statue of Gudea model with resolution 300K faces, took 717 seconds (around 12 minutes) to load on the GPRS connection on the same device. Same model took 105 seconds (1.75 minutes) to load on a 2G network connection. Even a simple and low resolution model such as the Head model (only 112 faces), took $\frac{1}{2}$ minute to download and become usable on a 2G network connection, on both a low-end device such as the Alcatel Pop 4 and a middle-end device such as the LG Nexus 5x. A 3D model of such low resolution is unlikely to be found in any CH context. GPRS and 2G networks are a reality in many rural areas in which signals are too weak for 3G and 4G networks to be operational. Hannibal on such networks always sends to the user a 360° sprite image representation of the 3D model in question.

C2: Mobile Devices and High Resolution Models Capable mobile devices that could fetch 3D models of resolutions higher than 1M faces (usually models with loosely defined topologies) spend considerable time downloading and processing the models. Per instance, on a WiFi broadband connection with a download speed of 55 Mbps, such times could reach easily a complete minute for a 3M faces model to download (eg. 70s Shoe model - 3M faces), and can take more than 105 seconds for a model such as the Ava Skull model which has a resolution of 4.8M faces. Such durations are not convenient for any web usage since web pages aim to load within few seconds lest the users loose interest or think there is something wrong with the web application. 3D models of a resolution of 3M faces took on a fast WiFi broadband connection (download speed of 55 Mbps) for a mobile device such as the LG Nexus 5x more than

60 seconds (more than a minute) to render and become usable in the web browser. Thus it can be deduced that *it is better not to fetch for mobile devices in general, resolutions higher than 1M faces* (this finding will be refined further when discussing fidelity perception findings and hardware resolution limits).

C3: Resolutions on PCs and Laptops It can be seen that even with fast Ethernet and WiFi download speeds (Ethernet of JANET: 94 Mbps, Broadband Ethernet and WiFi: 55Mbps) on PCs and Laptops, it is still time consuming to fetch a 3D model of 4.8M faces which takes around 22 seconds to become usable in the web browser. It should be noted fidelity perception (see QoE consideration C7) tells us that it is a waste of computational resources to send such a resolution even to PCs and Laptops, since users could not tell the difference in the quality of presentation between this resolution and many lower resolutions. Hannibal allows users to override fetched resolutions if the user specifically wanted a higher resolution.

C4: Hardware Resolution Limits Mobile devices have an upper hardware resolution limit above which the resolution can not be fetched reliably. Per instance, as shown in Chapter 5, the Apple iPhone 7 Plus and the iPad Pro mobile devices have an upper resolution limit of 1M faces above which any higher resolution either does not load or load for few seconds and when the user interact with the model, the web browser crashes. This consideration buttresses QoS consideration presented in C2 in the fact that resolutions for mobile devices should not exceed the 1M faces. The iPhone 7 Plus and the iPad Pro were able to load the 1.5M faces of the Mercury model (a loosely defined model) but were not able to load the 1M faces of the Achavanich Beaker (a 3D model with complex topology and textures).

C5: Software Resolution Limits It was found from results obtained in Chapter 5, that web browsers play a role in limiting the highest resolution that could be rendered successfully. Per instance, from benchmarking five web browsers (Google Chrome, Opera (for PC), Opera Mini (for mobile devices only), Mozilla Firefox, Apple Safari and the Dolphin browser, it was found that a resolution could be fetched reliably on one web browser while could make another web browser crash (bear in mind it is same mobile device with the same resolution of the 3D model). Per instance, on the iPad Pro tablet, the Opera Mini web browser was able to render reliably the 1M faces resolution of the Achavanich Beaker model, whether for the same resolution, Apple Safari crashed many

times. The best WebGL browser for the PC running MS Windows was Google Chrome. For the iPad Pro tablet, it was Opera mini and for the iPhone 7 Plus, it was Apple Safari. Thus, it can be seen that it is not an easy task to find the maximum software resolution limit for web browsers. It suffices to say, that it is better to stay under resolution limits than to fetch resolutions above them (risking crashes of 3D models on certain web browsers).

Hardware & Software resolution limits (QoS considerations C4 & C5) constitute one maximum resolution limit, we call it the *Maximum Resolution Limit* which is a fidelity that can be fetched reliably on a certain client device no matter what the web browser is. The use of the word reliably is intentional due to the fact that sometimes a resolution can be fetched but on other occasions it can not, or can be fetched on some web browsers but not on others or finally can be fetched successfully but during later interaction with the 3D model, the web browser would freeze or crash.

QoE Studies Contributions for Hannibal

The following is an exposition of the QoE considerations obtained from results of the experiments conducted in this regards. Some of the considerations buttress the findings of others.

C6: Perception of Visual Latency study Chapter 5 showed that a 3D model of resolution 30K faces on low end mobile device such as the Alcatel Pop 4 has a visual latency grade of 3. This means that the user sees a delay of 2 to 3 seconds between the moment the user initiates a rotate action on the 3D model and the time to see the effect of that action. Therefore, on a low end device such as the Alcatel Pop 4, such 3D model's resolution behave in a laggy way. On a mid range mobile device such as the LG Nexus 5x, the interaction with the 30K model is extremely smooth with a grade of 5 (less than one second to see the effect of a rotate action). However on the LG Nexus 5x, a model of 3M faces has a visual latency grade of 3. Meaning between 2 to 3 seconds have to pass until the user sees the effect of the rotate action. This tells us also that 3D models with resolutions of millions of faces on commonly used middle range mobile devices have usability and performance issues and that it seems from a perception of visual latency perspective mobiles devices should not have a resolution higher than 300K. Subsequent considerations that deals

with perception of fidelity on mobile devices will refine this further to exact ranges of fidelities that are perceptible and tolerable by users).

C7: Subjective Perception of Fidelity on PCs and Laptops studied in Chapter 5, the discovery of upper resolution and lower resolution thresholds across screens with and without interaction. The upper resolution threshold range or limit is the resolution range or limit above which any improvement in perception of fidelity is not noticeable or *barely* noticeable by users. The lower resolution threshold or range of a 3D model is the resolution limit or range below which fidelity is unacceptable by users. In non interaction mode where users just look at the 3D model and for the two 3D models studied in Chapter 5, on a big screen, the range of resolutions between 300K and 375K constitutes *the upper resolution threshold range* above which there is barely any improvement in perception of fidelity. With interaction, understandably this range changes since users zoom in and begin to discover imperfections in 3D models. In interaction mode and on a big screen, the upper resolution range shifts upward to be between 375K faces and 750K faces. That means above the 750K resolution there is little difference in perception of fidelity. This leads Hannibal to cap the highest resolution given by it to PCs and Laptops to just 750K faces (unless the user overrides the adaptive automatic resolution fetched and chooses to see the original resolution instead - which is a feature that Hannibal allows and is explained in further detail in Section 7.6.2.2).

C8: Subjective Perception of Fidelity on Mobile Devices it is shown from previous results that users tolerate lower resolutions on screen sizes pertaining to the category of mobile devices and tablets, since users could not tell the difference between many of the resolutions (please refer back to Chapter 5). On a 5.5 inches screen (iPhone 7 Plus) and in no interaction mode, the upper resolution threshold is between *150K faces and 189K faces*. On a 9.7 inches screen, and in no interaction mode, the upper resolution threshold is between 189K and 300K. Hannibal can fetch lower resolutions to mobile devices, confident that users will not notice any difference in fidelity.

Table 7.2 shows a summary of the QoS and QoE considerations taken from the experiments conducted before in the thesis. The table also presents the priority of each consideration and how such consideration is taken into account as either a feature of Hannibal or as a rule in the knowledge base of the expert system.

Table 7.2: Summary of QoS & QoE considerations, their priorities and their translations into either Hannibal features or rules in the expert system

Consideration	Priority	Feature(s) or rule(s)
C1	High	Translated into a feature that transforms 3D meshes into 360° sprite images Rule: 360° sprite images for models on GPRS and 2G Networks (no matter what the graphical capability of the client is)
C2	High	No decimation on the back-end is produced higher than 1M faces
C3	Medium	Detection component of Hannibal will send resolutions lower than the 1M faces even when encountering fast networks
C4	High	Mobile devices should not receive higher than 1M faces
C5	Medium	Consideration buttresses C4
C6	Low	Consideration buttresses C4
C7	High	Rule: 750K faces is the upper resolution threshold for PC and Laptops
C8	High	Rule: 189K faces is the upper resolution threshold for mobile devices Rule: 300K faces is the upper resolution threshold for tablets

7.4 Hannibal and QoS-related Metadata

In order for the Hannibal solution to work effectively, 3D models need to have QoS-related metadata stored in tandem with the models in a similar fashion as other semantic metadata about provenance, authorship, dimensions etc. are stored. These QoS-related metadata provide Hannibal or any other application characterising information necessary for achieving adaptivity.

Chapter 6 proposes ViMQO, an ontology that supplements existing semantic DH vocabularies such as EDM and DCS with QoS-related metadata. In terms of what concerns DH models, QoS-related metadata such as the number of faces, the number of vertices and the size on disc of a 3D model are captured in addition to metadata of textures (images) such as the exif metadata, which are the metadata of images

stored with 3D model in the back-end.

In this thesis, we used the number of faces as a 3D mesh resolution metric to characterise a 3D model in all the experiments. Hannibal uses many batch tools that were developed to decimate a 3D model into lower fixed resolutions by minimising the number of faces. Another tool was developed to transform a 3D mesh into 360° image sprites. Furthermore, a tool captures characteristics of 3D models such as the number of faces and textures metadata and store them in the back-end.

7.5 High Level Architecture

Setting the engine in its general architectural context is essential and necessary. The system is decomposed based on 4 perspectives describing 4 main components: the client device, the network, the server and the 3D models and their metadata. These system's perspectives shed the light on the type and flow of information in the system and what each major component contributes to that flow. The following diagram shows an abstract view of the WBVM in which Hannibal is integrated showing the 4 main components mentioned above.

Influencing factors are very important for each perspective. These factors are summarised in Figure 7.4.

CPU & GPU characteristics, memory, screen size, screen resolution in addition to Web3D support and Web3D performance are among the main influencing factors for client devices. Due to the complexity and variety of these client factors, they were abstracted and contextualised under what is termed as "*client capability categories*" corresponding to only 5 general categories (Labelled from A to E). Please refer to Section 7.7.2 for more information on this.

Network influencing factors are important as client devices operate on a myriad of network regimes with varying bandwidth, jitter, RTT and levels of loss. The WBVM could be in a local network (Ethernet or WiFi) and hosted on a local server. In local network scenario, the bandwidth would be the bandwidth of the network where the WBVM lives. For instance, the WiFi 802.11b has a theoretical speed of 11 Mbps and actual speed of 5.5 Mbps. The WiFi 802.11a has a theoretical downlink bandwidth of 54 Mbps and actual of 20 Mbps [275].

For a remote scenario, traditional common network connection types range from

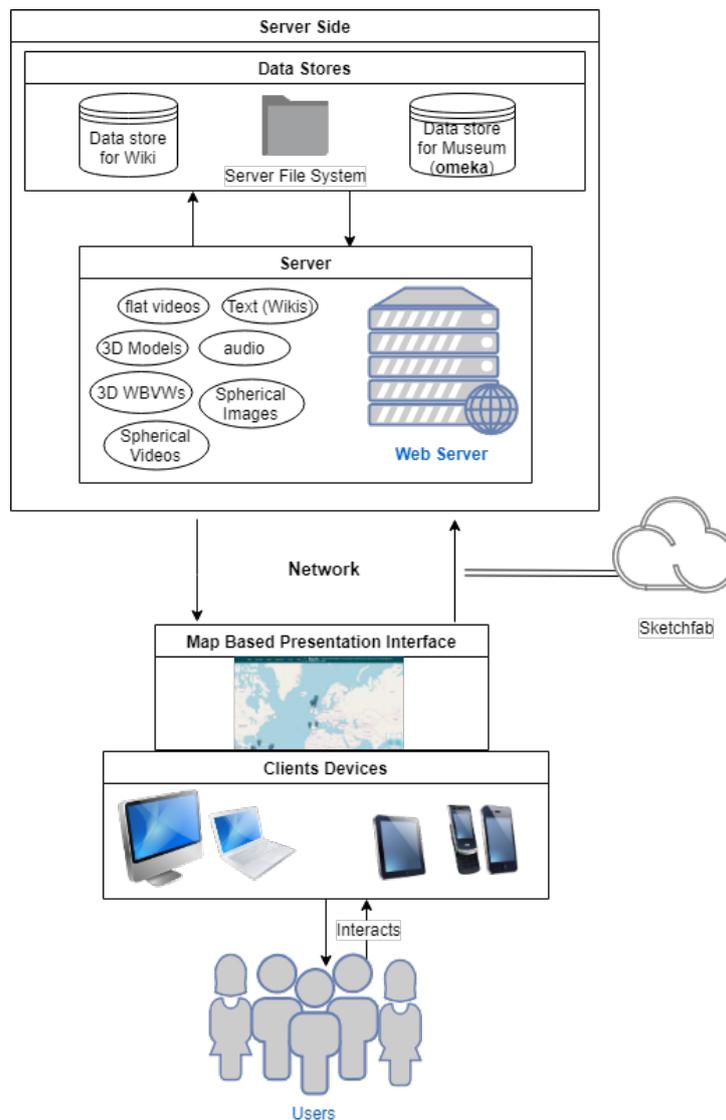


Figure 7.3: Abstract View of the WBVM in which Hannibal is integrated

cellular networks such as 3G or 4G to Ethernet and WiFi broadband. Furthermore, WBVMs can use many external services such as Sketchfab [374]. These exacerbate sometimes the network influencing factors due to the fact they are separate services outside the WBVM eco-system and thus incur an additional overhead on the network.

Hannibal is agnostic of the rendering system used on the client side. This is something that the WBVM deals with not the adaptive engine which acts as a middle-ware. Hannibal was tested with the Shetland WBVM (part of a subset clone of the EULAC Web-Based Virtual Museum) using on the front end, the Sketchfab JS, Babylon JS and Three JS renderers. The only difference with using local rendering (by WebGL libraries such as Three JS, Babylon JS or Spider JS) on the WBVM server

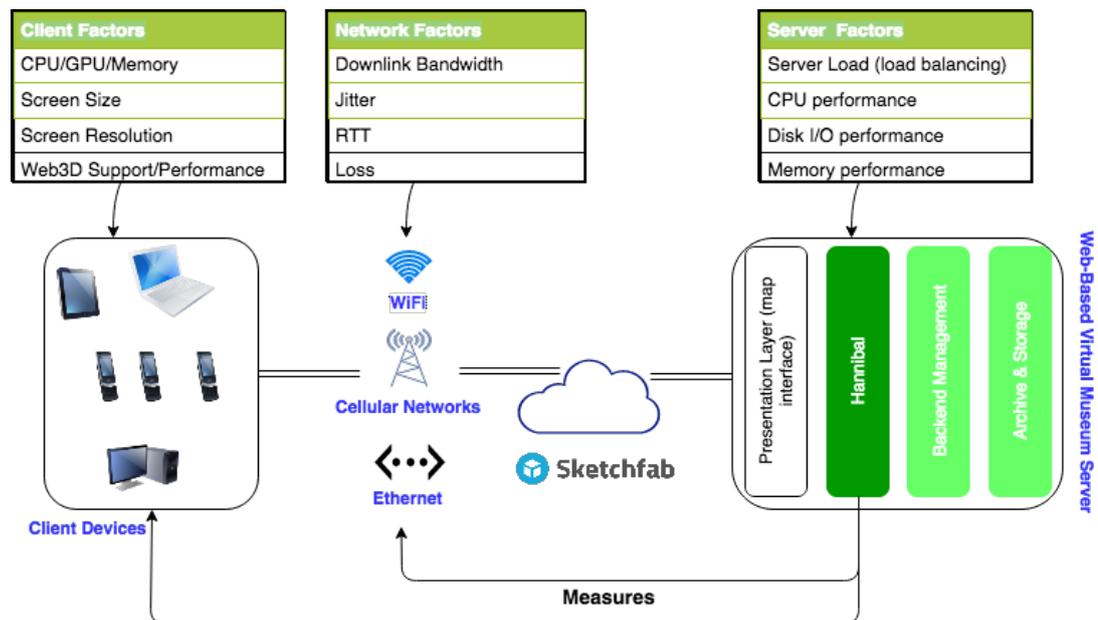


Figure 7.4: Influencing Factors on Performance

compared to using an external service (eg. Sketchfab OSG.JS) is the fact that the overhead of calling and fetching a 3D model from an external service is removed.

It is pertinent for WBVM stakeholders to consider whether to use a separate service for rendering 3D models or to build their own bespoke rendering viewer. There are pros and cons on both sides. Using an external service has its own benefits in the sense that a company such as Sketchfab takes care of the rendering, and more importantly takes care of continuously improving the rendering quality of 3D models. Furthermore, such services take care also of the storage requirements of 3D models but the disadvantage is the price of membership and the limitations on uploads. On the other hand, building a WebGL viewer using libraries such as Three JS has the benefit of making the stakeholders of museums not tied to the policies and prices of external services but has the disadvantage of not getting the latest updates in computer graphics improvements done continuously by companies as the majority of these stakeholders are not 3D graphics programmers nor technology specialists.

The influencing factors on the server are mainly the server load, CPU performance, disc I/O speed and memory performance. Server load is the main influencing factor in the sense that its effects become a real concern when the server becomes overloaded. The WBVM ideally would be on a capable server in order for it to serve many requests from a big number of users on the Internet.

With the advancement in hardware capabilities of servers and progress in cloud computing services, bottleneck of performance are more emphasised on other parts of the system rather than on the server level. The two main bottlenecks in performance lies on the client side in terms of client device capability and on the level of the network.

Hannibal measures the graphical capabilities of the client devices and the network parameters as depicted in Figure 7.4. The dark green area depicts the Hannibal layer which is the main contribution of this work. This work also contributed tools used for decimating 3D models into lower resolutions and a tool that produces 360° sprite images out of a 3D model. All of these tools were integrated into the management interface (built for the WBVM) and the resulting models and media are stored in the back-end. 3D models stored in Omeka has been supplemented also with QoS-related metadata. The lighter green layers are layers that depict the partial contributions subject of this work.

7.6 System View

This section explains the view from the perspective of each of the main components in the system.

7.6.1 Client Side View

The client device has many attributes or characteristics that are useful to the Hannibal adaptive engine. The client screen size and screen resolution are of usefulness since fidelity perception studies make obvious the need to send different maximum resolutions for different screen sizes.

Information on graphics memory and processing units (GPU & CPU) are very useful but unfortunately or fortunately depending on how a person sees this, are not accessible from web server side languages (PHP, Ruby, Python, JavaScript vel cetera) or client side languages (JavaScript) due to privacy and security concerns. This is called in security parlance, *fingerprinting the client device web browser* [61]. The majority of web browsers (except web browsers on Apple devices) do not share this information. Thus the detection component of Hannibal has to rely in its decision on the screen size and resolution of the client device. In a first prototype of Hannibal

few rules containing GPU architectures were included in the forward chaining engine ruleset (please refer for Section 7.9.2.1) but it was found that this solution could not be generalised especially for devices where this type of information could not be detected.

An important consideration for Hannibal is to check whether the device supports Web3D technologies mainly WebGL in order for the user to consume 3D content. In the absence of Web3D, a 360° sprite image of the 3D model is sent to the client.

WebGL benchmark is the main method which Hannibal relies on to categorise the graphical capability of the client device. This is done by capturing WebGL parameters on the client side and sending them via AJAX to the server. This is in order to discover which graphical capability category the client device belongs to. The graphical capability categories are presented in Section 7.7.2.

Many WebGL parameters were captured from 18 devices (please refer to Appendix D, Appendix D.1) which were used to classify the different graphical capability categories of the client devices in tandem with using data captured on the client concerning device screen size and screen resolution.

7.6.2 Data and Control Flow of Hannibal

7.6.2.1 Default Adaptive Mode

The scenario presented in Figure 7.5 is called the adaptation scenario. It is the default behaviour adopted by Hannibal. The characteristics of the clients (i.e. client screen sizes, screen resolutions and WebGL metrics) are captured on the client side and then sent to the server via AJAX. The server then classifies the client under a quality/fidelity category based on these characteristics (check Section 7.7.2).

Network conditions (download speed, upload speed, jitter and RTT) are captured between the client device and the server. The server then classifies the client device connection status under a network profile category (please refer to Section 7.7.1).

The forward chaining expert system (please refer to Section 7.9.2.1) decides then the specific resolution to send based on the rules in the knowledge base.

The idea of categorization which is explained in more detail in sections: *client capability categories* (Section 7.7.2) and *Network Profiles* (Section 7.7.1) is used

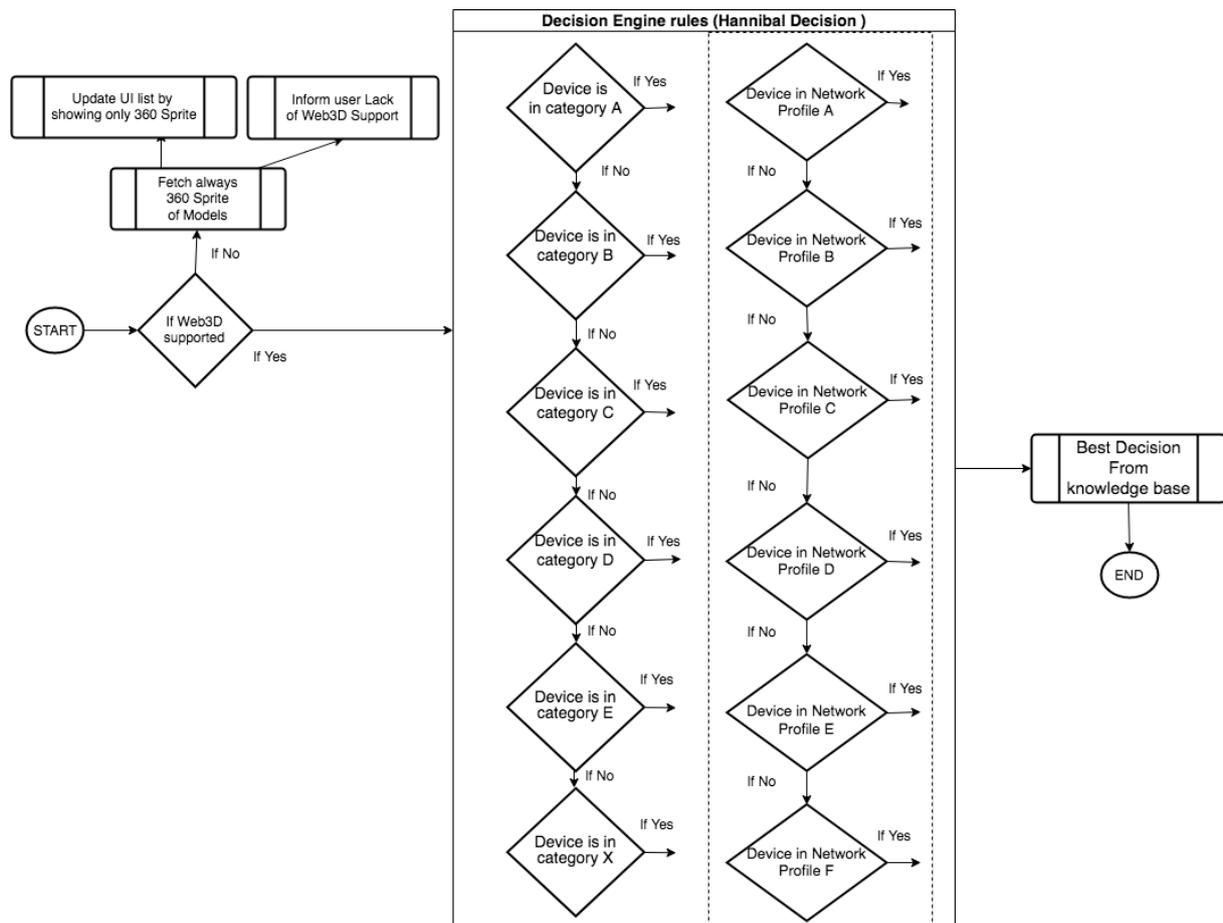


Figure 7.5: Flowchart showing the operation of the adaptive engine

to *conceptualise and abstractify an infinity* of devices, hardware and software limitations and network regimes under a simple overarching category for the decision process.

7.6.2.2 Manually Overriding the Fidelity

The scenario represented in Figure 7.6 is relevant only if the user decides she wants a specific level of fidelity after Hannibal automatic adaptation behaviour is executed (similar to the analogy of the user telling YouTube explicitly to fetch a video fidelity of 240p after YouTube adaptively chose to stream an HD resolution).

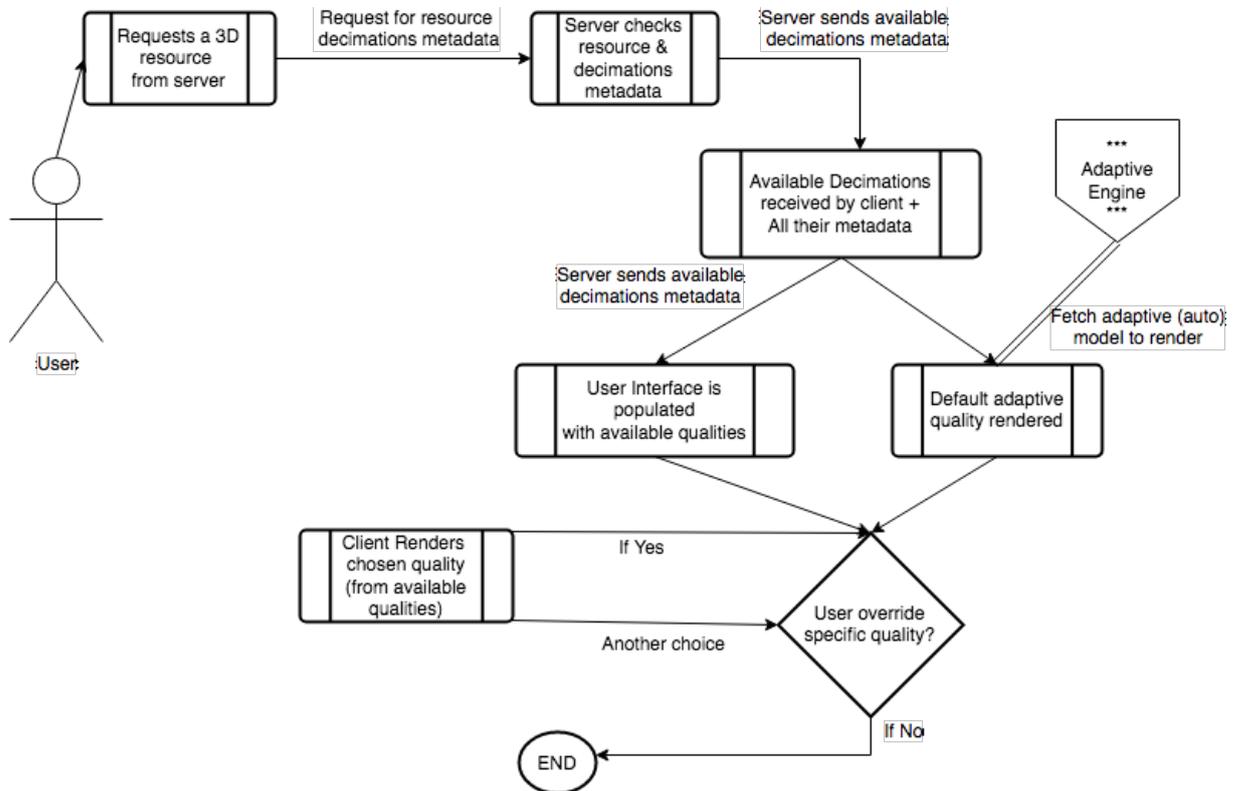


Figure 7.6: Flowchart showing the operation of overriding a fidelity

7.7 Classifications used by Hannibal

7.7.1 Network Profiles

7.7.1.1 Definition

A network profile is a term used to designate a client device *X* in certain network conditions *Y*. It is a high level category that corresponds *roughly* to a *situation* where the client device might be in, from the perspective of the network. Network conditions varies indefinitely and in order to simplify the logical design of the system, they are classified under specific network profile categories.

The *Similar to* column of Table 7.3 does not necessarily mean that the client is in such type of network (mobile, WiFi or otherwise), but rather that it is in similar network conditions of such network connection where the downlink bandwidth usually fall under such ranges. Hannibal does not care about the type of network the client device is connected to but rather cares about network conditions the client is

Table 7.3: Network Profiles used by Hannibal to classify client network conditions

Network Profile Letters	Shorthand	Downlink Bandwidth	Similar to
A	Profile A or Na	>50Mb/s	Speedy WiFi/Ethernet
B	Profile B or Nb	15Mb/s-50Mb/s	Average Speed WiFi/Ethernet Fast 4G/ LTE
C	Profile C or Nc	3Mb/s - 15Mb/s	Fast 3G or Regular 4G
D	Profile D or Nd	750Kb/s-3Mb/s	Regular 3G
E	Profile E or Ne	250Kb/s-750Kb/s	2G
F	Profile F or Nf	50Kb/s-250Kb/s	GPRS
G	Profile G or Ng	0 Kb/s-50Kb/s	Between Offline To Extremely slow

in mainly focuses on the downlink download speed.

The client device is classified in a network profile described in Table 7.3 and thus it is judged during the whole session based on such profile. The following section describes the graphical capability categories that Hannibal uses.

7.7.2 Client Device Capability Categories

7.7.2.1 Motivation

There are many factors that influence the decision of what 3D model resolution should be sent to a client device. Factors are shown in Figure 7.4. Concerning graphical, processing, memory and performance capability of both the hardware and the software of the client, the amount of variations is infinitely big.

Per example, If the client is an iPhone 8 Plus mobile device running Mozilla Firefox mobile browser on iOS 11.1.1 operating system, what would be the adequate resolution to fetch that the client can handle gracefully? Same scenario for when we have a MacBook Pro (late 2011) Laptop running Google Chrome. These scenarios can become more and more complex and they are literally infinite.

The following approach is used to classify the client capability in specific high-level categories as a *concrete and realistic solution* to solve the problem. The approach is presented next.

7.7.2.2 Classification Approach

All Web3D models and Web3D environments which are used in the WBVM are based on WebGL as a main Web3D language. In addition, a lot of other Web3D technologies which were surveyed in Chapter 2 and Appendix C are basing their current implementations on WebGL. Some examples of such technologies would be O3D [171] and Oak3D [295]. The majority of famous game engines such as Unity [410], Unreal [85], Shiva Engine [368] and CryEngine [276] are generating only WebGL builds in their newest versions for their 3D environments (at the time of writing). Most importantly, web-based game engines based on JavaScript uses WebGL as a renderer (Babylon JS [278], Three JS [116] etc..). 3DMLW is completely deprecated and Java applets running Java 3D or other Java based 3D technologies are deprecated for some time now.

In addition, all major web browsers are moving toward becoming completely plugin-less. 3rd party technologies such as Adobe Flash will have an end of life in 2020 [4]), in addition, Microsoft Silverlight is now deprecated [69].

All this leads us to safely assume that WebGL is the most used standard for 3D Web now and may be the only 3D Web language after 2020. WebGL 2.0, a better version of WebGL 1.0, is based on OpenGL ES 3.0 which is officially enabled in only very few web browsers such as Mozilla Firefox (at the time of writing). WebGL 2.0 contains many performance enhancements and features.

Therefore, it makes sense to base the classification of the graphical capability of the client devices on the benchmarking parameters of WebGL 1.0 itself. In addition, we need to take into consideration the screen resolution and screen size of the client device which can be *programmatically* measured via JavaScript. All this contributes to the classification criteria.

In addition, it is pertinent to mention that Sketchfab [374], DelightVR [107], PlayCanvas [129] among many other services that are involved in 3D Web content rely on WebGL parameters as a way to classify hardware and software capability. As a concrete example, we refer the avid reader to check WebGL Stats library [57] which the aforementioned companies are contributing to in order to facilitate the challenge of classifying client devices' hardware and software capabilities.

Different WebGL 1.0 parameters across 18 devices were benchmarked. Please refer to Appendix D for more information. This is in order to discover what are the ranges

of values of the main influential WebGL 1.0 benchmarking parameters (variables) we need in order to classify successfully the graphical capability categories.

The operating system, the web browser (Mozilla Firefox), the WebGL version (which is 1.0) and the WebGL shading language were captured on each client device. In addition, WebGL parameters pertaining to the vertex shader, rasterizer, fragment shader, frame buffers and textures were also measured. Furthermore, the number and type of WebGL 1.0 extensions supported by the client device, and the resolution of the Achavanich Beaker model that the device can handle (meaning the *maximum resolution limit*) were also benchmarked. The graphics card (i.e. the GPU) and the screen size were used to sort the devices from the most graphically capable to the least graphically capable.

Table 7.4: Client Capability Categories adopted by Hannibal

Category Letter	WebGL						
	Max Texture Size	Max Render Buffer Size	Max CubeMap Texture Size	Maximum Vertex Uniform Vectors	Maximum Combined Texture Image Units	Maximum Fragment Uniform Vector	Max Color Buffers
A	>=8192	>=8192	>=8192	>=1024	>=32	>=512	8
B	4096-8191	4096-8191	4096-8191	512-1023	8-31	256-511	4
C	2045-4095	2045-4095	2045-4095	256-511	5-7	64-255	1
D	1024-2044	1024-2044	1024-2044	128-255	2-4	16-63	1
E	<1024	<1024	<1024	<128	<=1	<16	1

Table 7.4 shows the graphical capability classification of Hannibal based on WebGL 1.0 parameters. Hannibal discovers if it is talking to a phone, tablet or a PC/Laptop. In tandem to that information, it classifies the client device in a specific graphical category. Class A is for PCs and Laptops. Class B to Class E are classes for mobile devices (tablets and phones) with Class B being the most graphically powerful clients while Class E is the least graphically capable clients.

The screen resolution is the number of pixels (Picture Elements) in a unit area. Screens have an actual physical resolution which is the maximum total number of the pixels (Picture Elements) on the screen, although the graphical user interface shown on a screen could be of a lesser *displayed resolution*. This can be changed on the operating system level or on the application level which is influenced by how densely the pixels are clustered.

Screen size is the actual size normally measured in inches (in other words, what the ruler gives). It is normally measured on the diagonal of the screen, which means that two screen of the same size (20 inches) could be of different shapes (i.e. how much landscape the screen looks like).

Screen size is always fixed but screen resolution varies under an upper fixed physical resolution limit. Screen sizes and screen resolutions don't have a direct effect on the rendering process of the 3D models (i.e they are not in theory a hardware hinderer for Quality of Service), but have a bigger influence on the Quality of Experience of users particularly in terms of perception of fidelity. Per instance, a high fidelity 3D model, fetched on an 24 inches CRT screen from 1995 with a low physical resolution, would have a negative effect on the perceived quality of the models fetched on such screen by users.

Screens resolutions and sizes are retrieved by getting the viewport resolution and size of the client through JavaScript. A lot of famous front end framework such as Bootstrap [45] and Semantic UI [361] uses CSS3 media queries and JavaScript to retrieve this information and make websites responsive across devices.

Hannibal measures the screen sizes and resolutions. It does not send a resolution higher than 300K faces for all devices of screens of 9.7 inches and below (of course depends on network conditions also). Once screens detected are 5.5 inches and less, the highest resolution fetched is the 200K (of course depends on network conditions also). For client capability category A (meaning PCs and Laptops), the highest resolution sent to client devices is that of 750K faces.

The following section presents the resolution decimation levels adopted by the Hannibal engine. These are fixed across all 3D models.

7.7.3 Resolution Decimation Levels

Table 7.5: Discrete Resolution Levels (number of faces) used by Hannibal Engine

Resolution Levels
Original Resolution
750K
600K
500K
400K
300K
200K
100K

Table 7.5 shows the discrete resolution levels (in number of faces) used across the

system. When a user uploads a 3D model with a specific original resolution to the management interface (shown in Figure 7.7). The 3D model is decimated on the server side behind the scenes into all discrete resolutions mentioned in Table 7.5 that are *lower* than the original resolution. The lowest resolution level being the 100K faces because we found from experience, that some 3D models can not be decimated under 100000 faces at least if we aim to preserve the integrity of models' meshes.

The screenshot displays the WBVM Management Interface, a web-based form for uploading and managing 3D models. The interface is organized into several sections:

- Metadata Fields:** Title, Language, Creation Date, Maker/Creator, and Contact, each with a corresponding input field.
- File Upload:** A section showing a file named '100000.zip' (23.4 MB) with buttons for 'Remove', 'Upload', and 'Browse...'.
- Location:** A map interface with 'Map' and 'Satellite' views, showing a red pin on Iceland. Below the map are input fields for 'Current', 'Origin', and 'Find' coordinates, each with 'Latitude' and 'Longitude' sub-fields.
- Model Details:** A section with fields for 'Subject', 'Description', 'Author', 'Publisher', 'Size' (with input boxes and a unit dropdown), 'Type' (dropdown), 'License' (dropdown), 'Museum' (dropdown), and 'Country' (input field).
- Advanced Options:** 'Format' (dropdown), 'Cleared for Release: [1][2]' (checkbox), 'Archive to Web-Based Virtual Museum:' (checkbox), 'Collection:' (dropdown), and 'URL:' (input field with a placeholder 'Sketchfab or Roundme embed URL for objects').

Figure 7.7: WBVM Management Interface

It is rare to find 3D models of less than 100K in CH since these models are digitised through either Photogrammetry or 3D Scanning. If the WBVM curators upload through the management interface a 3D model with resolution less than 100K, the model would not be decimated and would be sent to the client as is. In the first version of the decimation algorithm, the decimation time taken to generate all Hannibal resolutions presented in Table 7.5 from an original 3M faces model was around 17 minutes. This is because the decimation process was done sequentially (a resolution after the other). With the current version, an efficient threading mechanism was implemented that generated all resolutions in tandem and the time

it takes to create all the resolutions is reduced to only 5 minutes (a considerable improvement).

7.8 Comparison of Hannibal With YouTube Adaptive System

This section shows the similarities and differences of the Hannibal system with what is being used as an adaptive engine in YouTube [172], the most popular video on demand service.

YouTube [172] uses HTTP Adaptive Streaming (HAS) by default to deliver videos to client devices [364]. HAS requires the server to have multiple quality levels or representations in terms of many specified video resolutions or bit rates. In addition, uploaded videos are split into segments of few seconds of playtime. When a video is first requested, the downlink bandwidth is measured and an appropriate level of quality (in term of bit rate resolution) is fetched to the client device. Afterwards the downlink bandwidth and the buffer health/status are periodically measured and the adaptive engine decides depending on changes in the bandwidth and the buffer status what bit rate to fetch to the client device for the next video segment. The following section describes the analogy of Hannibal to HAS.

7.8.1 Analogy of Hannibal to YouTube

The similarity of Hannibal adaptive engine to YouTube HAS is apparent by the fact that Hannibal requires a set of resolution decimations and 360° sprite images of 3D models to be available on the server side similar to the idea of available video bit rates that YouTube HAS requires for a video segment. Ideally, on the server side, in Hannibal case, an uploaded 3D model with a specific resolution in number of faces/vertices, will be always decimated to lesser fixed resolutions through a Python tool (explained later in the implementation section).

This decimation algorithm is integrated on the server side of the WBVM management interface shown in Figure 7.7 so that it is started just after the curator upload the 3D model and its metadata through the management interface. The 3D model is then decimated into fixed lower resolutions preserving UV textures and then all

3D models and their metadata (including the QoS-related ones) are stored in the Omeka back-end data store and on the server file system. Compared to videos, the process of decimating 3D meshes take usually greater amount of time. The 3D models' resolutions are chosen based on fixed quality categories similar to the way videos have fixed quality categories established by the video industry (example: 720p, 480p etc)

In YouTube, per instance, an uploaded MP4 video of resolution 1280x720 will always be decimated to lesser fidelities on the server side in the form of the following fixed resolutions: 720p, 480p, 360p, 240p and 144p (see Figure 7.8). "Auto" mode is the automatic adaptive mode that is chosen by YouTube from these fixed available qualities depending on the network profile of the client. This is changed dynamically when the network parameters mainly the download bandwidth and the buffer health changes (see Figure 7.9).



1280x720 30mb MP4

Figure 7.8: YouTube Qualities for a Flat MP4 Video of Resolution 1280x720¹

For a spherical video or 360° video, such as the Guardbridge video of resolution 4096x2048 (shown in Figure 7.10), is normally decimated to the following fixed resolutions: 2160s (a.k.a 4K), 1440s (a.k.a HD), 1080s (a.k.a HD), 720s (a.k.a HD), 480s, 360s, 240s, 144s².

¹The Video is sample free video obtained from <http://www.sample-videos.com/index.php>

²s stands for spherical in YouTube fidelities decimations

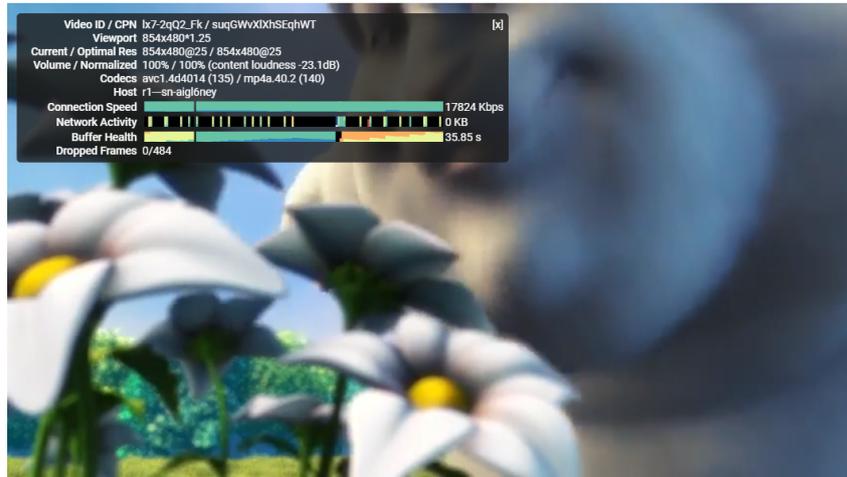


Figure 7.9: Governing Parameters considered by YouTube



Figure 7.10: YouTube Qualities for a 360° MP4 Video (Videosphere) of Resolution 4096x2048

7.8.2 Differences from YouTube

YouTube [244, 364] as mentioned previously, uses HAS. The fidelity of a video is dynamically changed to suit the network conditions and status of the buffer of the client device during the playback of the video. Hannibal in its current version does not stream (i.e. progressively download) the 3D models but chooses the best quality resolution to send to the client at the start of the request in contrast to YouTube which switches to different video segments of different resolutions when the network conditions change during the session between the client and the server. This is due to the fact that Web3D models are different from videos in the sense that these 3D

models are only accessible and interact-able only when downloaded completely to the client device.

Another difference is due also to nature of 3D models versus that of a flat or even a spherical or stereoscopic video in the fact that client device capabilities mainly graphics capabilities play a bigger role of whether a certain 3D model can be fetched on a certain client device. This is not important for a video.

7.9 Implementation

7.9.1 Front-End of the WBVM

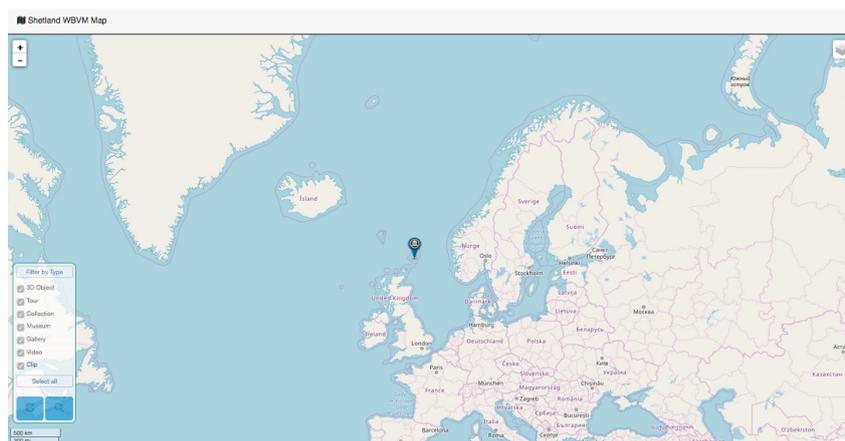


Figure 7.11: The Shetland WBVM Leaflet Map

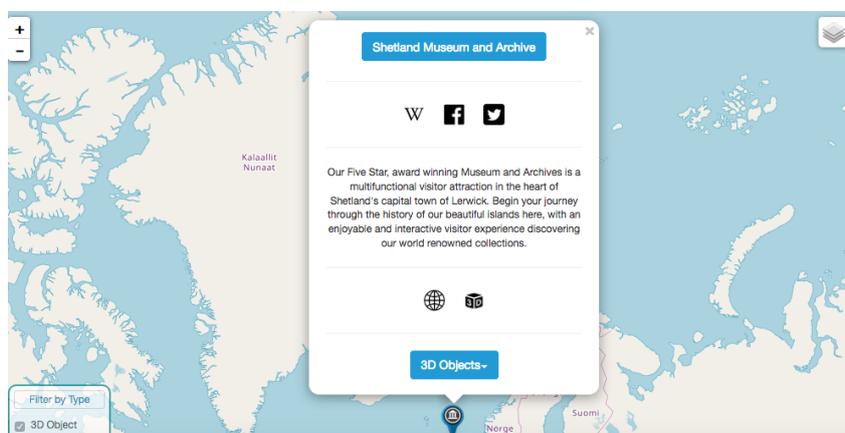


Figure 7.12: Popup Menu of the WBVM

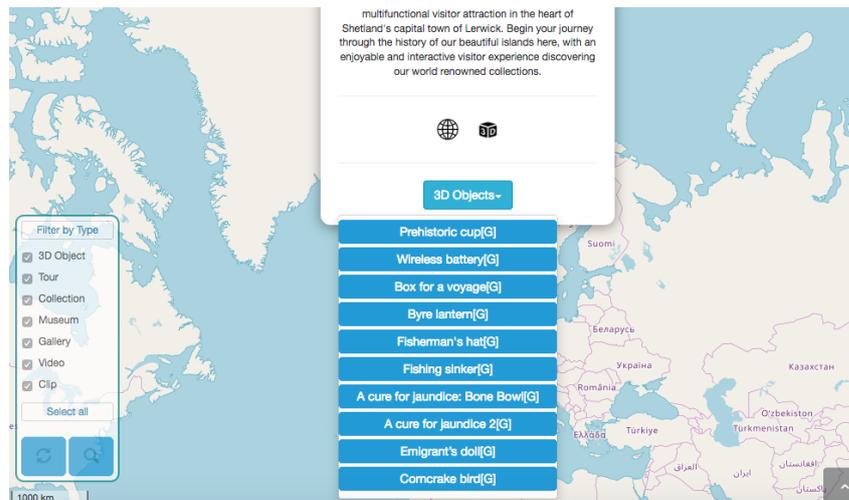


Figure 7.13: Shetland WBVM Available Models



Figure 7.14: Pre-historic Cup 3D Model shown in the WBVM (WebGL Library is Babylon JS) - Resolution fetched adaptively is 750K

The front end of the WBVM constitutes a Leaflet JS [94] map based interface with locations of museums on the map. Figure 7.11, shows the WBVM which represents the Shetland Museum in Scotland.

When the user clicks on a pin on the map, a pop-up box which contains information about the museum is shown (Figure 7.12). The user can navigate from the pop-up to the Wiki of the museum, can contact the museum via different social media platforms or can browse the collection of 3D models available (see Figure 7.13). A new web page pane is opened on the right side where the web page of the museum or the Wiki or the 3D model is shown.

Figure 7.14 shows the prehistoric cup model rendered via the Babylon JS Library with a fetched resolution of 750K faces since the client device in this case is a laptop on a fast WiFi connection. For an in depth evaluation of Hannibal on different network regimes and across client devices, the reader is referred to Section 7.10.

The Leaflet JS map front end system of the WBVM shown in Figures 7.11, 7.12 and 7.13 was built by members of the Open Virtual World research group while the Babylon JS WebGL render presenting the Pre-historic Cup model shown in 7.14 is a contribution of the author of this thesis to the front-end.

7.9.1.1 Detection Layer

In this section, we explain the detection layer & its components. The detection layer as part of the adaptive solution is a contribution of this work.

Network Detection

The code used to detect network parameters (RTT, jitter, loss, downlink/uplink bandwidth) is a combination of JavaScript web workers (in order for the process to run in the background) and PHP sever logic. The network detection logic used the self-hosted Speedtest [120]. It resides on both the client and the server sides. The client sends continuously AJAX requests to the server in separate threads to do different tasks aiming to capture network parameters such as downloading and uploading small sized files and pinging the server.

To check the validity of the used detection algorithm of network conditions, the throttling mechanism of Google Chrome Developer tools was utilised. The download speed was throttled to specific values mimicking network profiles presented in Section 7.7.1. It was found that the algorithm used gives the exact download speed set in the throttling mechanism. In addition, the network detection component was tested on different network regimes and provided similar numbers to famous performance and connection speed testing tools such as Speedtest [219].

Graphics Detection

A small HTML5 canvas of size 1px (literally invisible) is spawned on the web page and a WebGL 1.0 context is initiated. Many WebGL parameters are captured such as WebGL version, shading language, parameters on anti-aliasing, vertex shader, rasterizer, fragment shader, frame buffer and textures. In addition, part of the graphics detection involves measuring the number and types of WebGL 1.0 extensions supported by the client device (please refer to Listing 7.2). In addition, web browser data, user agent, operating system, screen width, screen height and colour depth are also captured (please refer to Listing 7.1).

All these parameters are compiled into objects, serialised and then sent to the server via AJAX. The server then de-serialises the objects and then stores them into PHP7 session and cookies' variables so that they can persist for all the duration of the session between the client and the server. The usage of cookies constitutes a fall-back scenario for when the web browser is closed by the user for a brief time and when the session variables are cleared. Cookies variables are stored on the client device for 3 hours. After that the server launches the categorizer in order to categorise the client under a category class (A to E).

It is pertinent to mention the great advantage of the currently implemented detection features in that they do not require any explicit consent from the user to share anything with the web server.

There are many reasons why users might not be able or not willing to share information on their devices hardware capabilities which Hannibal requires. First, there are security and privacy concerns that make many normal users reluctant on giving such information to remote web servers asking for them.

Second, even when users are willing to give such information, many of them do not know the hardware capabilities of their devices especially from a computer graphics point of view. Finally and more importantly, users do not know what is the best resolution to fetch to their specific devices from both QoS and QoE perspectives. Users tend to request the highest resolution available and this might not work on many devices with limited capabilities.

Listing 7.2 shows a JavaScript function that handles the capturing of many WebGL 1.0 parameters of interest to the detection component of Hannibal. It creates a WebGL 1.0 context and captures and stores these parameters into variables which

are then grouped under an object that is sent via AJAX along with more information about the client devices such as the screen size and resolution to the server. For example, a person on an iPhone 7 might ask for a 10M faces original resolution which would not work on her device.

Screen size among other information is also sent to the server. A JavaScript user agent parser was developed to parse information from the user agent field sent normally by web browsers to web servers. This functionality gives Hannibal the web browser version and type, the operating system and the device name and type. It helps Hannibal answer the questions of the form: am I talking with an iPad or an iPhone or an Android mobile etc.?

```

1 // ..... Many other functions....
2 // Retrieve the current Resolution
3 function get_Current_Resolution { return screen.width + "x" + screen.height; }
4 // Retrieve the Color Depth
5 function get_Color_Depth {return screen.colorDepth;}
6 // Retrieve Available Resolution
7 function get_Available_Resolution { return screen.availWidth + "x" + screen.availHeight;
8     }
9 // Retrieve Device XDPI
10 function get_Device_YDPI {return screen.deviceYDPI;}
11 // Retrieve Device XDPI
12 function get_Device_XDPI {return screen.deviceXDPI;}
13 // ..... Many other functions....

```

Listing 7.1: Other Web Browsers Finger Printing Functions

Hannibal detects the resolution of the client device using common methods used by many websites such as <http://whatsmyscreenresolution.com/> through vanilla JavaScript involving the capture of attributes such as screen width, height, pixel ratio.

```

1 function getWebGLandReturnObj() {
2     var canvas = document.getElementById('myCanvas');
3     var gl = canvas.getContext('webgl', {stencil: true});
4     var glVersion = gl.getParameter(gl.VERSION);
5     var glSHADING_LANGUAGE_VERSION = gl.getParameter(gl.VERSION);
6     var Antialiasing = gl.getContextAttributes().antialias;
7     var debugInfo = gl.getExtension('WEBGL_debug_renderer_info');
8     var vendor = gl.getParameter(debugInfo.UNMASKED_VENDOR_WEBGL);
9     var GPUrenderer = gl.getParameter(debugInfo.UNMASKED_RENDERER_WEBGL);
10    var glMAXVERTEXATTRIB = gl.getParameter(gl.MAX_VERTEX_ATTRIBS);
11    var glMAXVERTEXUNIFORMVECTOR= gl.getParameter(gl.MAX_VERTEX_UNIFORM_VECTORS);

```

```

12  var glMAXVERTEXTEXTUREIMAGEUNITS = gl.getParameter(gl.MAX_VERTEX_TEXTURE_IMAGE_UNITS);
13  var glMAXVARYINGVECTORS = gl.getParameter(gl.MAX_VARYING_VECTORS);
14  var glALIASEDLINETHICKNESS = gl.getParameter(gl.ALIASED_LINE_WIDTH_RANGE);
15  var glALIASEDPOINTSIZE_RANGE = gl.getParameter(gl.ALIASED_POINT_SIZE_RANGE);
16  var glMAXFRAGMENTUNIFORMVECTORS = gl.getParameter(gl.MAX_FRAGMENT_UNIFORM_VECTORS);
17  var glMAXTEXTUREIMAGEUNITS = gl.getParameter(gl.MAX_TEXTURE_IMAGE_UNITS);
18  var glFloatPrecision = gl.getShaderPrecisionFormat(gl.FRAGMENT_SHADER, gl.HIGH_FLOAT).
    precision;
19  var glINTPrecision = gl.getShaderPrecisionFormat(gl.FRAGMENT_SHADER, gl.HIGH_INT).precision
    ;
20  var FragmentShaderPrecision = gl.getShaderPrecisionFormat(gl.FRAGMENT_SHADER, gl.HIGH_FLOAT
    ).precision;
21  var FragmentShaderrangeMax = gl.getShaderPrecisionFormat(gl.FRAGMENT_SHADER, gl.HIGH_FLOAT)
    .rangeMax;
22  var FragmentShaderrangeMin = gl.getShaderPrecisionFormat(gl.FRAGMENT_SHADER, gl.HIGH_FLOAT)
    .rangeMin;
23  var glMaxColorBuffers = getMaxColorBuffers(gl);
24  var glRGBABits = [gl.getParameter(gl.RED_BITS), gl.getParameter(gl.GREEN_BITS), gl.
    getParameter(gl.BLUE_BITS), gl.getParameter(gl.ALPHA_BITS)];
25  var glDepthBits = gl.getParameter(gl.DEPTH_BITS);
26  var glStencilBits = gl.getParameter(gl.STENCIL_BITS);
27  var glMAXRENDERBUFFER_SIZE = gl.getParameter(gl.MAX_RENDERBUFFER_SIZE);
28  var glMAXVIEWPORTDIMS = gl.getParameter(gl.MAX_VIEWPORT_DIMS);
29  var glMAXTEXTURESIZE = gl.getParameter(gl.MAX_TEXTURE_SIZE);
30  var glMAXCUBEMAPTEXTURESIZE = gl.getParameter(gl.MAX_CUBE_MAP_TEXTURE_SIZE);
31  var glMAXCOMBINEDTEXTUREIMAGE_UNITS = gl.getParameter(gl.MAX_COMBINED_TEXTURE_IMAGE_UNITS);
32  var glMaxAnisotropy = getMaxAnisotropy(gl);
33  var glSupportedExtensions = gl.getSupportedExtensions();
34  //creating a JavaScript Object and putting all above variables in it
35  //...CODE OMITTED FOR BREVITY
36  return ClientParameters; }

```

Listing 7.2: Capturing WebGL Parameters

The following section explains further the adaptive engine developed. It elucidates the middle-ware which is the heart of the system.

7.9.2 Middle-ware

7.9.2.1 Hannibal Forward Chaining Expert System

We rely on experts in our daily life because of their unique knowledge and expertise. When confronted with a situation or a problem, we seek guidance from people with expertise such as lawyers, doctors, mechanics, engineers and so forth.

Hannibal uses an expert system. To put it simply, the expert system has a set of

rules or conditions; this set of rules is referred in Artificial Intelligence parlance as a knowledge base. The inference engine of Hannibal takes facts about the *situation of the client device* particularly in the form of category grades (network profile category and client capability category) and then deduces through a process of *forward chaining* the right resolution to send to the client device.

Forward chaining is a type of reasoning used in inference engines that relies basically on executing or applying continuously the *modus ponens inference rule*. In other words, it repeatedly goes from conditions or available data to either a goal or outcome or inferring additional data leading to an outcome as opposed to the *backward chaining* process. A forward chaining engine is suited better for the situations where conditions change or new facts are added to the knowledge base [346].

In lay terms, conditions or rules are “*chained*” forward toward a goal which would be in this situation the most convenient resolution to send to the client device. The rules in Hannibal came from the findings of the QoS and QoE studies conducted previously in Chapter 5.

This choice of 3D models by the adaptive engine should always establish the best acceptable trade-off between the QoS (download time, processing time etc...) of the 3D models and the QoE of such models from the perspective of the users (good perception of fidelity).

This work implemented its own version of a forward chaining expert system in PHP 7 based on the unlicensed implementation of Felerminoali PHP 5 expert system which can be found on Github [148].

```

1 //*****Bootstrapping the expert system*****
2 // Set the include path
3 $includepath = ini_get( "include_path" );
4 ini_set( "include_path", $includepath . PATH_SEPARATOR . "classes/phpexpertsystem" );
5
6 // Let the class files be located correctly
7 function __autoload( $class_name ) {
8     include $class_name . '.php';
9 }
10
11 //include Databased configurations
12 include( "./util/mysql.php" );
13
14 $ie = new InferenceEngine( "adaptive" );
15 $wm = $ie->getWorkingMemory();

```

```
16 $wm->setFact( "Client_Capability_Category", Get_Client_Capability(  
    $Client_Capabilities_Session_Cookie, $fingerprint_Session ) );  
17 $wm->setFact( "Network_Profile", Get_Network_Profile( $download_Session_Cookie ) );  
18  
19 //Running the inference engine  
20 $ie->run();  
21  
22 $Chosen_Resolution = $wm->getFact( "Chosen_Resolution" );
```

Listing 7.3: Setting facts and running the PHP Expert System

In the current version of Hannibal, the rules in the expert system Knowledge Base (KB) do not involve any forward chaining from one rule to the other. Each rule is a flat conditional rule consisting of mainly two conditions: a client capability condition and a network profile condition which then entails a certain resolution outcome. Few example rules are similar to the following:

- Client Capability E && Network Profile A would lead to an outcome resolution of a model of 200K faces. The client capability E is akin to that of for example, the Nexus 4 mobile device fetching the model on the School of Computer Science WiFi network (Kindly refer to the download speeds of networks in Table 7.7)
- Client Capability A && Network Profile B would lead to an outcome of a 500K resolution. This is akin to a PC with NVidia GTX 970 graphics card on a network with a download speed varying between 15Mb/s and 50Mb/s.

There are currently 36 rules in the knowledge base that governs the decisions of the Hannibal expert system. These rules could be honed further in future versions of the adaptive engine to include more conditions.

7.9.3 Back-end

Many scripts in PHP 7 and Python 2 server side languages were used to communicate with Omeka [87]. Omeka uses MySQL DBMS as a data store. The Python API client of Omeka [267] was used by Hannibal to communicate with the DAMS back-end via HTTP verbs such as POST, GET and PUT. Omeka DAMS provides a ReST API for applications to communicate with and there are many high level libraries in JavaScript and Python that makes communicating with Omeka easy.

When a 3D model is uploaded by curators of the WBVM to Omeka via the

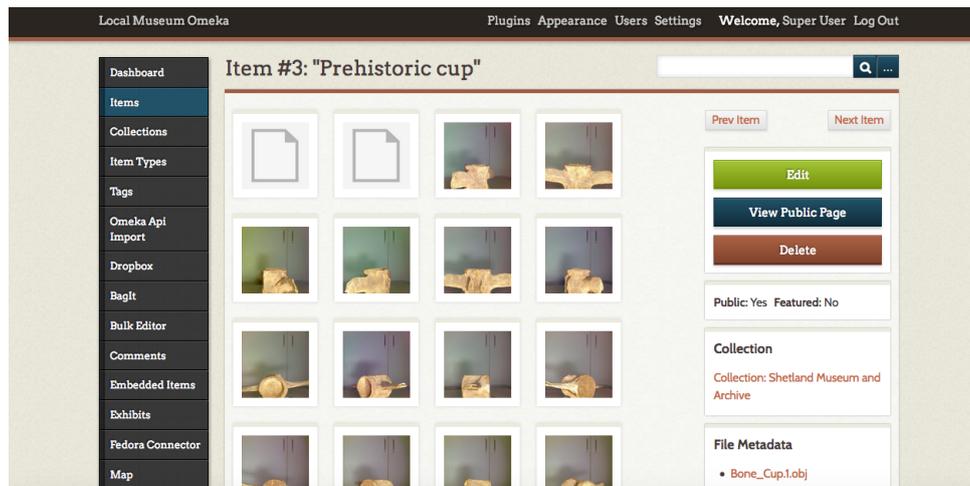


Figure 7.15: Screenshot of Omeka web interface of the Prehistoric Cup Model

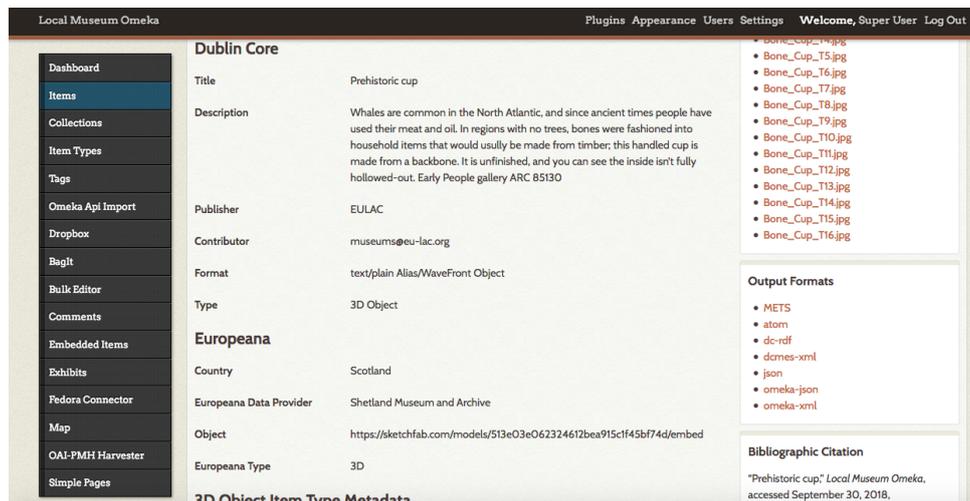


Figure 7.16: Screenshot of the Dublin Core and Europeana Data Model metadata of the Prehistoric Cup model

management interface (shown in Figure 7.7), the 3D model is decimated behind the scenes and the original and decimated resolutions are all stored in Omeka together with their Dublin Core and Europeana metadata, supplemented with their QoS-related metadata.

An example of how a 3D model such as the Pre-Historic Cup looks like in the Omeka web interface is shown in Figures 7.15, 7.16 and 7.17. Figure 7.16 shows basic metadata belonging to the DCS and EDM semantic vocabularies. Figure 7.17 shows the QoS-related metadata of particular interest to Hannibal which constitutes the number of faces, number of vertices and the size on disk in MB.

3D Object Item Type Metadata	
Wiki	http://localhost/vmwiki/index.php/Prehistoric_cup
DescriptionEN	Whales are common in the North Atlantic, and since ancient times people have used their meat and oil. In regions with no trees, bones were fashioned into household items that would usually be made from timber; this handled cup is made from a backbone. It is unfinished, and you can see the inside isn't fully hollowed-out. Early People gallery ARC 85130
Nb_of_vertices	1664380
Nb_of_faces	2767749
Size_On_Disk_MB	215

Figure 7.17: QoS-Related Metadata of the Pre-historic Cup model

With such QoS information available for all 3D models and their decimations, Hannibal can query the Omeka DAMS and retrieve the convenient resolution, fetching all meshes files that it wants via the Omeka Python ReST API discussed before.

The contributions to the management interface are tools that decimate the 3D models, that create 360° sprite images and that capture QoS-related metadata necessary for the functioning of Hannibal.

7.9.3.1 Batch Decimation into Lower Resolutions

A Python tool was developed that decimates a 3D Model/Mesh (with and without textures) into lower resolution meshes using the MeshlabXML Library [1], an interface to Meshlab Python API. A Meshlab server is hosted on the same virtual museum server. The Quadric Edge Collapse Decimation filter is implemented via the `mlx.remesh.simplify` method (Listing 7.4, Line 22) which decimates a 3D model into a lesser resolution which then is stored into the Omeka back-end with all the metadata. This tool uses threading to decimate efficiently the uploaded model by running a thread for each resolution thus improving considerably the speed of decimation.

```

1 def simplify(originalMeshName, NumberOfFaces, WithTexture):
2     FilterScript = 'SimplificationFilter.mlx'
3     original_mesh = originalMeshName # input file
4     simplified_mesh_name = (originalMeshName + str(Num_Of_Faces)) # filename (original+
    Nboffaces)

```

```

5     Num_Of_Faces = int(NumberOfFaces) # Final Number of Faces
6
7     MetricsMeshDictionary = {}
8     MetricsMeshDictionary = mlx.files.measure_topology(original_mesh)
9
10    if (MetricsMeshDictionary['face_num'] <= Num_Of_Faces): # a safe check
11        raise ValueError("\n decimated mesh can not have higher number of faces that the
12            input mesh.....")
13        sys.exit()
14
15    # Creating a folder named as the modelname+numberoffaces ex: 'Battery.obj150000'
16    MeshNameFolder = originalMeshName + str(Num_Of_Faces)
17    if not os.path.exists(MeshNameFolder):
18        os.makedirs(MeshNameFolder)
19
20    # Create FilterScript object
21    simplified_meshScript = mlx.FilterScript(file_in=original_mesh, file_out=MeshNameFolder + '
22        //' + simplified_mesh_name, mL_version='2016.12')
23
24    mlx.remesh.simplify(simplified_meshScript, texture=WithTexture, faces=Num_Of_Faces,
25        target_perc=0.0, quality_thr=1.0, preserve_boundary=True,
26        boundary_weight=1.0, preserve_normal=True,
27        optimal_placement=True, planar_quadric=True,
28        selected=False, extra_tex_coord_weight=1.0)
29
30    # Beginning the process of Decimation ..
31    simplified_meshScript.run_script() # Run the script
32
33    # Decimation finished, copying original textures into the folder of decimated model.
34    os.chdir('upload/'+ originalMeshName)
35    allfilelist = os.listdir('.')
36
37    for Afile in allfilelist[:]:
38        if not (Afile.endswith(".png") or Afile.endswith(".PNG") or Afile.endswith(".jpg") or
39            Afile.endswith(".JPG")):
40            allfilelist.remove(Afile)
41
42    os.chdir('..')
43
44    for file in allfilelist:
45        shutil.copy(file, MeshNameFolder)
46
47    #sleeping for 2 seconds
48    time.sleep(2)
49    return simplified_mesh_name

```

Listing 7.4: Decimating following the Quadric Edge Collapse algorithm using MeshlabXML

Listing 7.4 shows the Python function that plays the role of decimating a 3D model uploaded to the management interface into lower resolutions.

The parameters of the decimation algorithm were set as follows: the reduction

constraint was set to zero to give the algorithm the freedom to define the final size of the model according to the decimation process. The quality threshold constraint which penalises bad-shaped faces is set to the maximum penalty value of 1. The preserve boundary of the mesh constraint which preserves the integrity of the topology was enabled (refer to Listing 7.4, Line 23 - `preserve_boundary=True`).

The *boundary preserving weight* constraint which emphasises the degree of the importance of the integrity of the mesh boundary during the simplification process was given a maximum value of 1 (`boundary_weight=1.0`). Furthermore, the *preserve normal constraint* was enabled (`surfacepreserve_normal=True`). This prohibits face flipping effects by preserving the original orientation of the faces. The *optimal position of simplified vertices constraint* instructs how the collapsing of edges should happen. Similar to our explanation in Section 3.4.2.2, the reason of enabling this is to oblige the algorithm to place each collapsed edge into the vertex with the optimum possible position. The *planar simplification constraint* improves the quality of the simplification of the planar portion of the mesh. This feature was also enabled (`planar_quadric=True`). Finally, the *texture weight constraint* is for providing additional weight for texture coordinates for every vertex and since we are simplifying textured models, the value was set to 1 (`extra_tex_coord_weight=1.0`).

The decimation tool follows exactly the parameters that we have used in Chapter 3, Section 3.4.2.2. These exact parameters were also used for decimating all the reference DH models employed in all of the QoS and QoE experiments. The reader can refer back to Chapter 3, Section 3.4.2.2 for more details. These parameters offer the optimum possible fidelity out of the decimation process.

The 3D model's resolutions in addition to the DCS, EDM and QoS-related metadata such as the number of faces, the number of vertices and the sizes in MB were stored into the Omeka Back-end. A snippet of the Python code that retrieves the QoS-related metadata (i.e number of faces, number of vertices and total size of the mesh and textures) is presented in Listing 7.5.

```

1 QoSMetadata = []
2
3 def get_size_textures_MB(start_path = '.'):
4     total_size = 0
5     for dirpath, dirnames, filenames in os.walk(start_path):
6         for f in filenames:
7             if(f.endswith((".jpg", ".jpeg", ".png", ".gif", ".JPG"))):
8                 fp = os.path.join(dirpath, f)

```

```

9         total_size += os.path.getsize(fp)
10        return total_size/1000000.00
11
12    obj_file = [f for f in os.listdir('.') if f.endswith('.obj')]
13    if len(obj_file) != 1:
14        raise ValueError('no .obj file detected')
15
16    OBJfilename = obj_file[0]
17    OBJfilenameSize = (os.stat(OBJfilename).st_size)/1000000.00
18
19    mtl_file = [mtlf for mtlf in os.listdir('.') if mtlf.endswith('.mtl')]
20    if len(mtl_file) != 1:
21        raise ValueError('no .mtl file detected')
22
23    MTLfilename = mtl_file[0]
24    MTLfilenameSizeMB = (os.stat(MTLfilename).st_size)/1000000.00
25
26    with open(OBJfilename) as f:
27        lines = f.readlines()
28
29    num_vertices = len([line for line in lines if line.startswith('v ')])
30    QoSMetadata.append(num_vertices)
31
32    num_faces = len([line for line in lines if line.startswith('f ')])
33    QoSMetadata.append(num_faces)
34
35    size_in_MB = OBJfilenameSize + MTLfilenameSizeMB + get_size_textures_MB()
36    QoSMetadata.append(size_in_MB)

```

Listing 7.5: Retrieving size, faces, vertices from 3D Models in OBJ format

The exchange of data between the PHP server logic and any back-end Python scripts is achieved through the proxy of the `exec()` PHP function which facilitates the execution of the Python code pertaining to the different steps of the management interface. For example, the script in Listing 7.5 is executed and its output is handed back to the PHP `exec` function for further processing.

As part of this work, we also contributed another tool which was built and used initially in the first version of the decimation component of the management interface. This tool can decimate a 3D model (with and without textures) into lower specific resolutions using the Blender Python API [53]. For a bulk decimation, this tool uses also a threading mechanism by running a thread for each resolution thus improving considerably the speed of decimation. The tool also implements the Quadric Edge Collapse Algorithm [161].

7.9.3.2 Transforming 3D Meshes Into GIFs and 360° Sprite Images

A Python application was developed to transform behind the scenes a 3D model into a GIF file and into a CSS3 360° Sprite image file using the Blender Python API. It should be mentioned that the tool, although used by Hannibal, could be used in any project that requires generating from 3D models, GIFs and 360° sprite image representations for the consumption of 3D in devices that do not support the 3D Web.

The developed tool reads the 3D model OBJ file with all its textures into a Blender scene. It then cleans the scene and centres the 3D model. It then rotates each time the 3D model by 22.5° on its vertical axis and takes a snapshot (i.e. captures a frame) of it with the view camera being fixed. The result is 16 images showing all angles (spanning 360°). All generated images are then fed automatically to another Python script which creates from them a 360 sprite image and a GIF representation. On the client side, a JavaScript library called CodyHouse 360° product viewer [83] was used to show the 360° image sprite of the 3D model.



Figure 7.18: Part of a 360° Sprite Image - Bone Cup Model

The following section presents the evaluation done on the Hannibal adaptive engine implemented in the Shetland WBVM. It is divided into two parts. The first is an appraisal of Hannibal from a systematic QoS perspective while the second part is more abstract and states the advantages and disadvantages of Hannibal.

7.10 Evaluation of Hannibal

7.10.1 QoS Evaluation of Hannibal

The engine is assessed based on a quantitative approach by gathering QoS metrics in particular download and processing times of 3D Digital Heritage models in both scenarios when Hannibal is used and when it is absent in order to compare if any benefit is achieved and noticeable.

7.10.1.1 Methodology

This section presents the methodological procedures of the evaluation experiment. The section starts by presenting the characteristics of the 3D models that were chosen from the collection of the Shetland WBVM. It then proceeds with describing the device specification and finally, the procedures used to measure the download and processing times are elucidated.

Chosen Models

The Shetland web-based museum has a collection of 3D models (please refer to Figure 7.13). We chose two 3D models: the pre-historic cup dubbed as the Bone Cup model and the Wireless Battery model.



Figure 7.19: Pre-historic Bone Cup Model



Figure 7.20: Wireless Battery Model

Table 7.6 shows the characteristics of the original models of the Bone Cup and Wireless Battery in terms of the number of faces, number of vertices and sizes on disk in MB.

Table 7.6: Characteristics of the 3D Models considered for Hannibal Evaluation

Model	Number of faces	Number of vertices	Size on Disk (MB)
Bone Cup Model (1.5M)	1520607	960763	117
Battery Model (2.7M)	2767749	1664380	215

7.10.1.2 Devices' Specifications

Device 1 - PC: Intel Core i5-440- 3.10 GHz with 16GB 1067 MHz DDR3 RAM. The graphics card of the machine was NVIDIA GeForce GTX 970 with 4GB of Video RAM. The system had an installation of MS Windows 10 64 Bit with a minimal set of background processes running to avoid interference. The system had the latest drivers installed and the web browser used was Google Chrome version 69.0.3497.100 (64-bit). The network connection of the system is to a 100 Mbps Ethernet Local Area Network which, in turn, is separated by four 1 Gbps router hops, and finally connected to the United Kingdom University JANET backbone.

Device 2 - iPad Pro Tablet: iPad Pro (9.7 inch) WiFi 32GB MLMN2B/A model has as a processor the Apple A9X (64 bit architecture). The GPU inside the A9X chip is the PowerVR Series 7XT GT7600 (six-core). The OS on the iPad Pro was the latest version at the time when the experiment was conducted (iOS 11.4.1). The mobile web browser used was Apple Safari (64-bit).

Device 3 - LG Android Nexus 4 Phone: has a GPU Qualcomm Snapdragon(TM) S4 Pro. LG Nexus 4 is a low-end mobile device. The web browser is Google Chrome.

7.10.1.3 Network Connections' Scenarios

Table 7.7 shows the 6 network scenarios used to evaluate Hannibal. The 4G EE is a real 4G connection speed that was measured. EE is a UK mobile service provider. The 3G, 2G and GPRS were simulated through throttling the bandwidth in Google Chrome Developers Tools similar to the procedure that was used in Chapter 3. In the case of the PC, even the 4G was simulated since obviously the PC does not support mobile networks but we simulated the other network connections on the PC which is the most graphically capable device among the devices used for evaluation. We wanted to see how such a device behaves with network connections pertaining to mobile devices. The CS WiFi is the School of Computer Science WiFi.

Table 7.7: Network scenarios used for the evaluation of Hannibal

Network Connection	Average Download (Mbps)	Average Upload (Mbps)
Ethernet Janet	94.85	94.62
CS WiFi	144	154
4G (EE)	49.71	28.45
3G (Simulated)	15.9	2
2G (Simulated)	0.45	0.15
GPRS (Simulated)	0.05	0.02

7.10.1.4 Experimental Procedures

Measuring Download Times on iOS

Measuring download times on an iOS device requires an Apple Safari web browser on a Mac Laptop or iMac and another mobile version of the web browser on the iOS device. The iOS version of the web browser network inspector is used to capture the IDPTs. The web inspector feature should be enabled on the PC version of the web browser.

Afterwards, the iOS device is connected to Apple XCode in order to designate it as a development device. *Apple XCode* is the main integrated development environment used to create the myriad applications on Apple devices. This process (i.e. designating a device for development) will force the developer settings on the iOS device (iPhone/iPad) to appear and thus revealing the “*Network Link Conditioner*” tool. The *Network Link Conditioner* allows the creation of network profiles in which network conditions are emulated by changing the following parameters: In Bandwidth, In Packet Loss, In Delay, Out Bandwidth, Out Packet Loss, Out Delay, DNS Delay, the Internet Protocol (IPv4 or IPv6) and the Interface (Wi-Fi or Cellular).

Measuring Download Times on Android

The procedure of how to capture the IDPTs on Android devices is explained in detail in Chapter 3.

The following section presents the results obtained when simulating network scenarios presented in Table 7.7 while first using Hannibal and then without using it.

7.10.1.5 Results

Figure 7.21 and Table 7.8 show the IDPTs of the Bone Cup model on an iPad Pro tablet across the network scenarios with and without Hannibal.

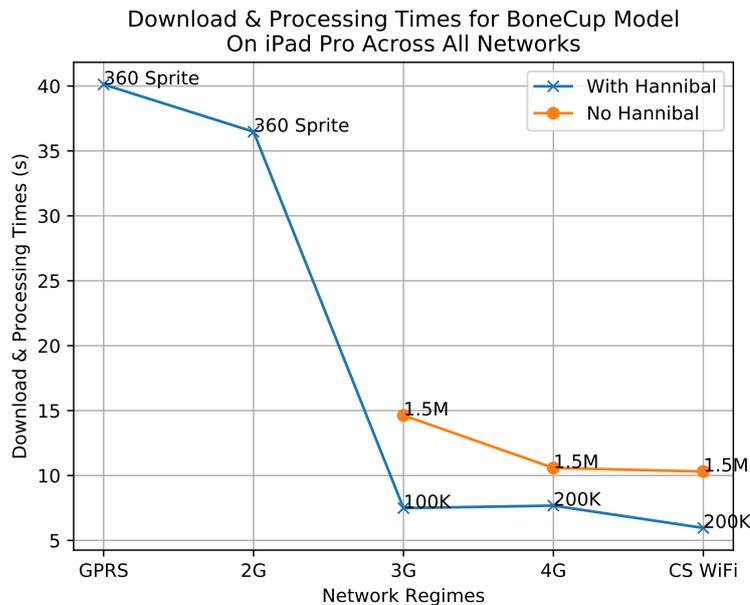


Figure 7.21: Bone Cup Model on iPad Pro across networks with and without Hannibal

Table 7.8: Bone Cup Model on iPad Pro across networks with and without Hannibal

Network Scenario	Without Hannibal IDPT (s)	Outcome of Hannibal	With Hannibal IDPT (s)
GPRS (Simulated)	Browser Timeout	360 sprite	40.12
2G (Simulated)	Browser Timeout	360 sprite	36.48
3G (Simulated)	14.633	Model (100K)	7.68
4G	10.583	Model (200K)	6.01
CS WiFi	10.31	Model (200K)	5.96

It is clear that without Hannibal, on GPRS and 2G, the web browser fails to render the 3D models and times out since fetching the 1.5M faces model with size=117 MB is an impossible task on such networks. On the other hand, with Hannibal, the IDPTs for the GPRS and 2G networks are those of fetching a 360° sprite image representations of the 3D models. This constitutes an important improvement by making the WBVM function on such networks.

For the network scenarios: 3G, 4G and Computer Science School WiFi, Hannibal improves the IDPTs considerably with an improvement of over 50% for the 3G network, over 43% for the 4G network and finally over 42% for the CS WiFi.

Figure 7.22 and Table 7.9 show the IDPTs of the Bone Cup model on Nexus 4 Android phone across networks regimes with and without Hannibal. We can observe the same behaviour manifested on the iPad Pro tablet in terms of web browser times out. The Android Nexus 4, a phone that was released in 2012, is less capable graphically than the iPad Pro tablet and thus has higher IDPTs. Hannibal only delivers on mobile phones of such screen sizes, either the 360° sprite image, the 100K face resolution or the 200K faces resolution. Recall, the upper resolution threshold on mobile devices from results of Chapter 5 is the 189K faces.

We can observe on the Nexus 4 phone that with Hannibal on a 3G network connection there is an improvement in IDPTs of over 43% than when the WBVM do not use Hannibal. On the 4G connection, the improvement is around 51%. Finally on the Computer Science School WiFi, the improvement is over 50% when using Hannibal, from the case when it is not used by the WBVM.

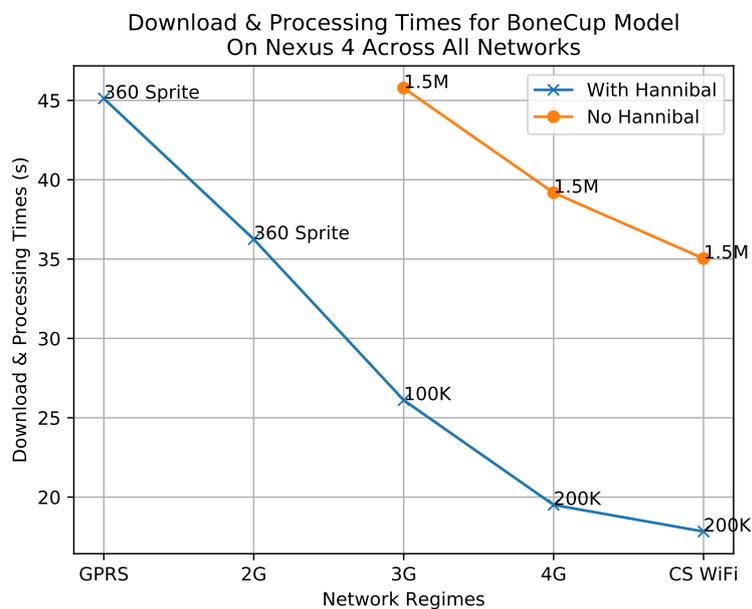


Figure 7.22: Bone Cup Model on Nexus 4 across networks With and Without Hannibal

Figure 7.23 and Table 7.10 show the IDPTs of the Bone Cup model on PC across network regimes with and without Hannibal. The improvement of using Hannibal on a simulated 3G network connection on a PC is around 26% of when not using

Table 7.9: Bone Cup Model on Nexus 4 across networks With and Without Hannibal

Network Scenario	Without Hannibal IDPT (s)	Outcome of Hannibal	With Hannibal IDPT (s)
GPRS (Simulated)	Browser Timeout	360 sprite	45.12
2G (Simulated)	Browser Timeout	360 sprite	36.25
3G (Simulated)	45.78	Model (100K)	26.11
4G	39.18	Model (200K)	19.507
CS WiFi	35.036	Model (200K)	17.83

Hannibal. For the simulated 4G, it is around 29%. On the Ethernet JANET, it is around 18%. Finally, on the CS WiFi, it is around 16%. Both CS WiFi and Ethernet JANET are considered speedy network connections with the CS WiFi being even faster than the Ethernet connection.

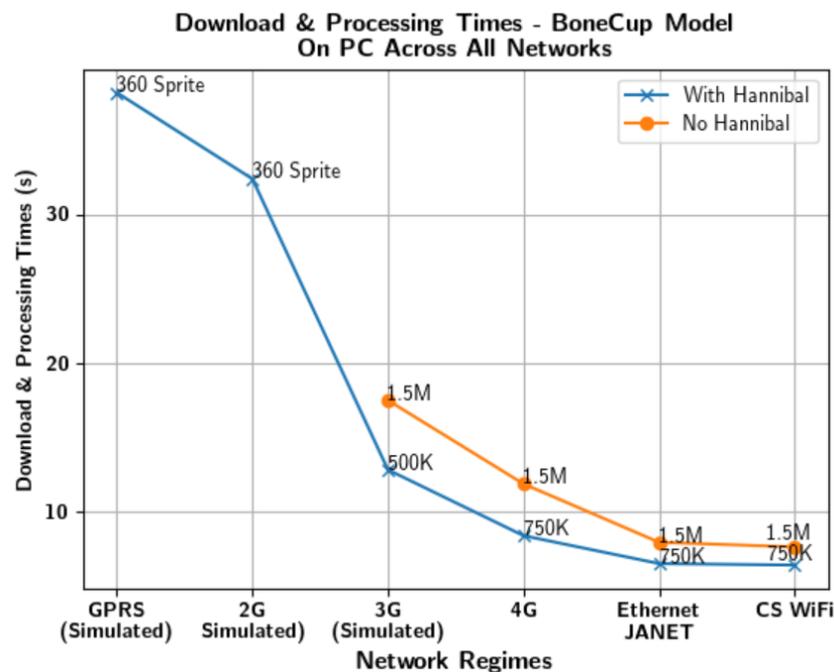
**Figure 7.23:** Bone Cup Model on PC across network regimes with and without Hannibal

Figure 7.24 and Table 7.11 show the IDPTs of the Wireless Battery model on the iPad Pro tablet across network regimes with and without Hannibal. In this case the original resolution of the Wireless Battery model is around 2.7M faces and is higher than the maximum resolution limit of both mobile devices (the iPad Pro tablet and the Nexus 4 phone). That means in the case where Hannibal is not used, the WBVM can not show them at all as the web browser either crashes or times out as is shown

Table 7.10: Bone Cup Model on PC across network regimes with and without Hannibal

Network Scenario	Without Hannibal IDPT (s)	Outcome of Hannibal	With Hannibal IDPT (s)
GPRS (Simulated)	Browser Timeout	360 sprite	38.21
2G (Simulated)	Browser Timeout	360 sprite	32.4
3G (Simulated)	17.48	Model (500K)	12.8
4G (Simulated)	11.85	Model (750K)	8.36
Ethernet JANET	7.913	Model (750K)	6.48
CS WiFi	7.603	Model (750K)	6.38

in Table 7.11.

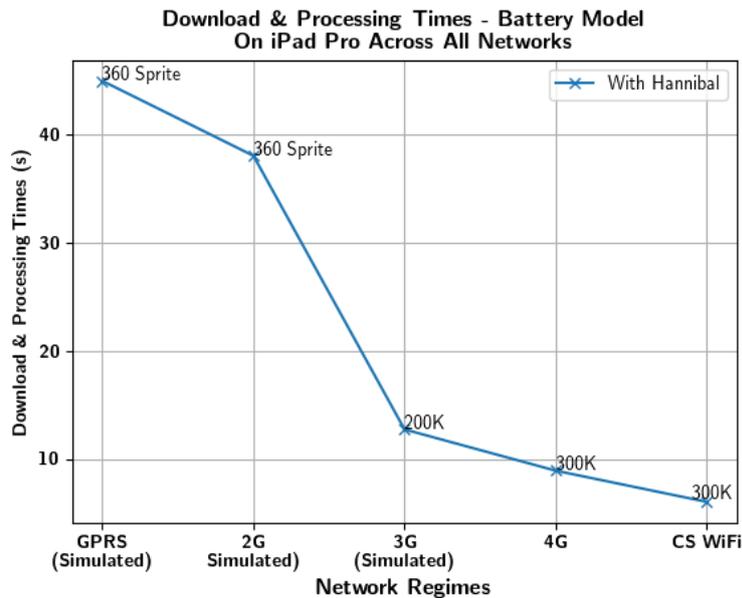


Figure 7.24: Battery Model on iPad Pro across network regimes with and without Hannibal

Table 7.11: Battery Model on iPad Pro across network regimes with and without Hannibal

Network Scenario	Without Hannibal IDPT (s)	Outcome of Hannibal	With Hannibal IDPT (s)
GPRS (Simulated)	Browser crashes	360 sprite	45
2G (Simulated)	Browser crashes	360 sprite	38.07
3G (Simulated)	Browser crashes	Model (200K)	12.73
4G	Browser crashes	Model (300K)	8.91
CS WiFi	Browser crashes	Model (300K)	6.02

Figure 7.25 and Table 7.12 show the IDPTs of the Wireless Battery model on the Nexus 4 Android phone across networks regimes with and without Hannibal. The same observation as the case of the iPad with the Wireless battery model can be made for the Nexus 4 phone. The low-end Android mobile device can not handle the original resolution of 2.7M faces of the model. This shows how Hannibal can make the WBVM functional on limited capability phones when encountering resolutions that the devices can not support; in addition Hannibal improves considerably the IDPTs (as is shown previously).

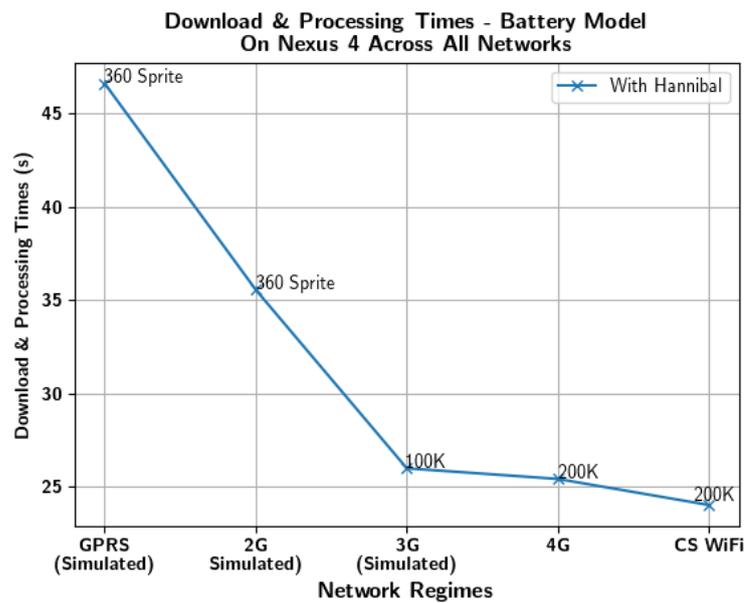


Figure 7.25: Battery Model on Nexus 4 across networks with and without Hannibal - in the case of no Hannibal, the model can not be fetched at all

Table 7.12: Battery Model on Nexus 4 across networks with and without Hannibal

Network Scenario	Without Hannibal IDPT (s)	Outcome of Hannibal	With Hannibal IDPT (s)
GPRS (Simulated)	Browser crashes	360 sprite	46.63
2G (Simulated)	Browser crashes	360 sprite	35.56
3G (Simulated)	Browser crashes	Model (100K)	25.98
4G	Browser crashes	Model (200K)	25.41
CS WiFi	Browser crashes	Model (200K)	24.01

Figure 7.26 and Table 7.13 show the IDPTs of the Battery model on the PC across all network regimes with and without Hannibal. The PC can handle the original

resolution of the Battery model. On both GPRS and 2G connections, the web browser times out. For the simulated 3G, the improvement in IDPTs is around 44% and for the simulated 4G, it is around 35%. For speedy connections, the improvements respectively are of 32% and 37% for the Ethernet and CS WiFi.

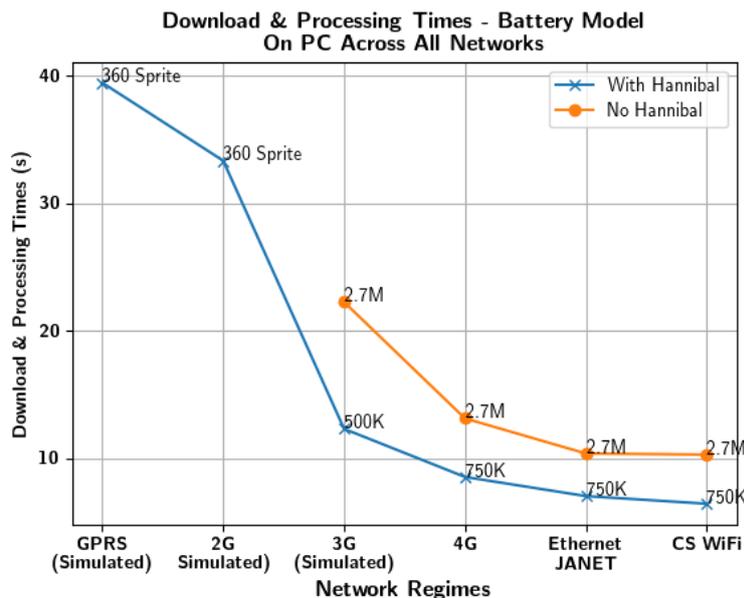


Figure 7.26: Battery Model on the PC across networks with and without Hannibal

Table 7.13: Battery Model on PC across networks with and without Hannibal

Network Scenarios	Without Hannibal IDPT (s)	Outcome of Hannibal	With Hannibal IDPT (s)
GPRS (Simulated)	Browser Timeout	360 sprite	39.43
2G (Simulated)	Browser Timeout	360 sprite	33.32
3G (Simulated)	22.24	Model (500K)	12.3
4G (Simulated)	13.12	Model (750K)	8.53
Ethernet JANET	10.37	Model (750K)	7.03
CS WiFi	10.28	Model (750K)	6.43

The following section presents the advantages of Hannibal. It also discusses one disadvantage of the adaptivity method of Hannibal and how this disadvantage can be mitigated.

7.10.2 Advantages and Disadvantages of Hannibal

The pros and cons of the Hannibal adaptive solution for 3D Web content in Web-Based Virtual Museums are summarised Table 7.14.

Table 7.14: Pros and Cons of Hannibal

Advantages	Disadvantages
Hannibal utilises existing rich semantic vocabulary Solves challenge of limited graphical capability of clients Solves challenge of network demand Hannibal can use other networked based solutions (see Chapter 2) Solution of Hannibal is generalisable to other virtual museum media types	Requires server storage space to store instantiations of 3D models

The only disadvantage of Hannibal when it is integrated especially in WBVMs of considerable size, is that *it requires the availability of server disk space to store the many resolutions of the same 3D content*. But this is not an important issue these days, for different reasons:

- The availability of cheap hard disks (with sizes in TerraBytes) makes the additional disk size resulting from all the resolutions of 3D models not a big concern. In addition, a large WBVM logically would require a powerful server to serve many connections across the globe with considerable storage space to host the myriad multimedia (videos, images, audio narratives etc.) and 3D content needed (3D models & 3D environments). The current version of Hannibal fits well in scenarios where a small community museum with a small non powerful server is needed for an in-situ setup or where the availability of the Internet is a luxury. Furthermore, a lot of WBVMs use already external services that are very convenient to host their 3D models such as Sketchfab, Microsoft remix3d [102] and Google Poly [170], plus there are numerous cloud computing solutions available to store massive 3D models if required for specialist needs.
- The perception of fidelity studied in Chapter 5, concluded that casual consumers of 3D DH content can not notice the high resolutions of 3D models especially

on commodity devices. Normally, high resolution models are the main culprit in taking high storage space from hundreds of MBs to even GBs.

- The advent of 3D formats that are efficient in binary mesh compression such as the Khronos glTF [220] format for 3D files assets which has over 50% reduction in size compared to traditional mono-resolution file formats which are heavily used by CH stakeholders such as Wavefront OBJ and COLLADA files formats.

Server hardware requirements are a matter of judgement call from museum management to make especially on what Information Technology infrastructure they are willing to invest in and the number of visitors they wish to handle gracefully.

7.11 Summary

This chapter presented Hannibal, an adaptive engine for Web-Based Virtual Museums. The chapter started by exposing the architecture of the system then went on to elucidate the implementation of the different components that constitute the system. This was followed by an evaluation of Hannibal in terms of capturing QoS metrics to gauge the performance of the adaptive engine by comparing the 3D models in terms of IDPTs in two scenario when Hannibal is used and when it is absent on different network regimes and devices. Appraisal of the system in terms of advantages and disadvantages is also presented in this chapter.

Part VI

Summary and Final Words

Conclusion And Future Work

This chapter summarises the work done in this research and provides concluding thoughts. It reiterates the thesis statement and the contributions of this thesis. The chapter restates the research questions, elucidates how they were addressed, presents the limitations of this work and suggests avenues for further research.

8.1 Summary of the Work

This thesis provides an underpinning empirical foundation for the investigation of the QoS and the QoE of Digital Heritage 3D Web components. The results have led to the development of Hannibal, an adaptive engine for 3D digital heritage artefacts in WBVMs. DH WBVMs were also studied on the level of QoS in order to discover their bottlenecks and limitations.

The work resulted in a proposed ontology dubbed ViMQO, which supplements describing 3D artefacts with QoS-related metadata needed for adaptation purposes. ViMQO is born out of the lack of expressiveness of DH semantic ontologies when it comes to different 3D models' types and from the lack of the availability of characterising QoS metadata such as the number of faces, and number of vertices among others. ViMQO ontology is unique in the sense that it is an ontology that focuses on the QoS metadata pertaining to 3D models in web-based virtual museums. The ontology encompasses the Dublin Core (DC) and Europeana Data Model (EDM)

ontologies and can be extended to support a myriad of QoS-related metadata for other multimedia found usually in a WBVM context.

This research furthers the understanding of museum stakeholders and computer scientists of the different technologies involved in the digitisation, simulation and dissemination of heritage content over the web. Quality and efficiency are two major concerns for achieving possible and good acceptable user experience. One of the important takeaways of this work is how resolutions under upper resolution perception threshold of a certain device can be tolerably used by users in lieu of the original resolutions which could be high-detailed and could be above what a device hardware and software can support. This is a totally new dimension in the research domain of the 3D Web and that of DH quality investigations and was actualised by Hannibal. We should allude to the need of the continuous evolution of the subject of perception of fidelity when it comes to such contexts.

The work in this thesis has contributed an adaptive engine and set of tools that came with it and which can be used separately. These tools decimate digital artefacts and transform them into lighter formats such as 360° sprite images. The findings of this research have contributed to best practices and recommendations concerning quality and performance of digital heritage artefacts across devices and networks suitable for web consumption.

The following section reiterates the thesis statement of this research.

8.2 Thesis Statement

The thesis statement of this work is that:

“through supplementing digital heritage semantic web vocabularies to include Quality of Service related metadata, we can achieve adaptivity of 3D Web content in Web-Based Virtual Museums and this leads to improved Quality of Experience across the range of networks and client devices regimes.”

This work has demonstrated that adaptivity of 3D Web content can be achieved through the availability of QoS-related metadata. In similar vein, it has demonstrated

how such adaptivity is in tune with the best possible user experience and best possible quality of delivery.

Adaptivity was actualised through Hannibal a QoS and QoE aware adaptive engine integrated into a Web-Based Virtual Museum (WBVM). QoS-aware means it is aware of the device it is communicating with and the network conditions it is functioning in. QoE-aware means it does not deliver a resolution that can not be perceived by the user or that is detrimental to the user experience thus leading to a better responsiveness and shorter download time of the model and by consequence a better user experience. The Hannibal system solves both the problem of intensive network requirements and that of the heterogeneity of graphical capabilities of client devices at the same time in contrast to other adaptivity approaches surveyed in the literature (please refer back to Chapter 7, Section 7.2, and to the literature review in Chapter 2, Section 2.6). Hannibal builds on the findings of a set of system-level empirical and user-based studies reported in Chapter 5 which described what constitutes the “*optimum resolution*” for each client device and network regime scenario.

Hannibal decimates behind the scene Web3D models uploaded to the WBVM management interface into lower fixed resolutions supplementing these media with QoS-related metadata. In a similar vein, Hannibal transforms 3D meshes into 360° sprite images to be given to clients in situations where they are found to be connected to extremely slow connections or when they do not support 3D Web technologies.

The system-level QoS evaluation of Hannibal showed that the adaptive engine minimises considerably the download and processing times of DH heritage models. The engine can preclude many cases of crashes and timeouts in web browsers which were a serious problem in the case of its absence especially for engaging with high detailed Web3D heritage material on mobile devices with limited capabilities.

8.3 Research Questions Addressed

The research conducted and reported in this thesis set out to answer five pertinent research questions:

- **Q1:** What are the main 3D Web technologies used throughout the literature and what taxonomies can the 3D Web be classified upon?

This was addressed in the literature review (Chapter 2) which aimed at defining the myriad 3D Web technologies and 3D Web environments and their usage in digital heritage. 3D Web technologies were classified further into different taxonomies based on four dimensions of 3D Web tools and languages (Language Paradigm, Installation and Deployment, Rendering mode and Use case) and those taxonomies coupled with additional survey material are presented in Appendix C.

- **Q2:** How do digital heritage Web-Based Virtual Worlds (WBVWs) perform and what are their bottlenecks and limitations?

The importance of this question stems from the fact that these environments are used throughout the CH literature in a strict academic sense i. e. per instance, to document, visualise and disseminate a hypothesis of what a site's ruins used to look like in the past [110, 111] or as an attractive and educational means for both physical and virtual museums to engage, educate and fascinate visitors. The work conducted in Chapter 4 that addressed this question is the first to study the limitations and bottlenecks of Unity 3D WBVWs. The work was published in [30].

- **Q3:** How does the QoS relate to the QoE for 3D Web components used in Digital Heritage across the range of QoS regimes?

This question is addressed through the investigation of the relationship (trade-off) between the QoS and the QoE of 3D Web components used in Digital Heritage across the range of QoS regimes. The question was addressed in Chapter 5. There is a trade-off between performance and fidelity. High fidelity of 3D content leads to a bad performance and by consequence a bad user experience, while low fidelity content although benefiting directly performance and download time, is intolerable for users and thus leads to a bad user experience. The chapter shed the lights on investigating the *middle sweet spot region of resolutions* across different devices and network regimes that achieve the best *possible* user experience.

- **Q4:** How can semantic web digital heritage vocabularies be extended to provide the sort of information required for adaptive 3D Web content?

This question is addressed in both Chapters 6 and 7. A formal proposal of an ontology called ViMQO that is RDF-compliant is given in Chapter 6 which filled the lack of expressiveness in other ontologies when it comes to QoS-related metadata of 3D digital heritage models of many types in WBVMs. A practical

approach to creating custom QoS-metadata inside Omeka [87] DAMS, the back-end that is used by Hannibal, was also presented in Chapter 6. This is in order to provide a concrete example of how the Hannibal solution works with the supplementation of such necessary QoS-related metadata.

- **Q5:** How to utilise cross-aware 3D web adaptive engines in Web-Based Virtual Museums that achieves the best possible user experience?

The question has been addressed in the course of Chapter 7, a cross-aware adaptive engine for 3D Web in WBVMs.

8.4 Research Contributions

The following is a list of major contributions of this work:

- C1:** Surveying 3D Web technologies, and the types of environments they constitute. This contributed to the literature in this regard [Chapter 2]. A large portion of the survey in Chapter 2 and Appendix C was published in [32].
- C2:** Developing our understanding through measurement studies of the performance, limitations and bottlenecks of digital heritage WBVMs [Chapter 4]. The work in Chapter 4 was published in [30].
- C3:** Developing our understanding through measurement studies of the performance, limitations and bottlenecks of digital heritage 3D models [Chapter 5]. The subjective perception of fidelity work in Chapter 5 was published in [28].
- C4:** Developing the relation between fidelity, Quality of Service (QoS) and Quality of Experience (QoE) for each media that forms up the 3D Web [Chapters 4 and 5].
- C5:** Developing Hannibal, an adaptive engine that optimises the QoE for a particular QoS of 3D digital heritage models [Chapter 7].
- C6:** Proposing a new ontology dubbed Virtual Museum Quality of Service Ontology (ViMQO) that supplements semantic web vocabularies such as Dublin Core (DC) and EDM to include QoS-related metadata in the context of Web-Based Virtual Museums [Chapter 6].

C7: Creating and discussing a set of best practice recommendations to guide WBVMs stakeholders concerning DH artefacts and environments, mainly in the context of web dissemination [Chapters 4, 5, and 7].

There are also secondary contributions that resulted from conducting the work in this thesis:

c1: Defining definitions and taxonomies of the 3D Web [Appendix C].

c2: Contributing two Python applications used for decimating (i.e. decreasing the resolution) in bulk of 3D digital models into specified lower resolutions and another Python application used for transforming automatically a 3D model into a GIF and 360° image sprite representations.

8.5 Limitations of the Work

Hannibal (Chapter 7) solved only the adaptation aspect of 3D Web digital heritage artefacts. Adaptation of DH WBVWs is still an open question worthy of a complete Ph.D. Streaming a very complex WebGL world does not solve the client capability problem of limited client devices. There are many solutions presented in the Literature (please refer back to Chapter 2) for adapting 3D Web in this regard but none of them are QoS-aware and none of them are QoE-aware especially concerning the perception of fidelity of WBVWs.

Digital Heritage Web-Based Virtual Worlds were studied in Chapter 4. However, these findings could not be included in the current version of Hannibal since this requires the existence of different LODs decimated worlds in the back-end which would require a systematic “*passe-partout*” method of a decimation process that works gracefully on all types of WebGL WBVWs (i.e. whether exported from game engines such as Unity3D or Unreal, or built from scratch from libraries such as Three JS and Babylon JS). It also requires capturing the same QoS metrics across many resolutions (i.e. LODs) of the WBVWs. Hannibal at the current stage uses decimations for 3D models only.

There is a need to conduct additional QoS empirical investigations and more importantly there is a need to conduct QoE fidelity perception studies on different level of details of such environments which is not done yet to the best of our

knowledge. Please refer to future work Sections 8.6.4 and 8.6.5 for more details on how to approach the adaptation of these environments in a systematic way.

The experiments of perception of fidelity conducted in Chapter 5 would require a larger set of models and a larger population to give a more detailed insight. For example, additional 3D models with different categorised topologies would give a more comprehensive view. The two models chosen were obtained from digitising actual historical heritage artefacts through Photogrammetry and 3D Scanning. They might be different from 3D models constructed in authoring applications such as Blender or Maya as “*photogrammetised*” or scanned models tend to have more complex texture seams. There is also the need to address the effect of texture resolutions and 3D assets file types on the overall subjective perception of fidelity of 3D models on the web and what the thresholds are then in this regard. These are two interesting ideas worthy of investigation (please refer to the future work Sections 8.6.1 and 8.6.2 for more details).

In addition, more benchmarking of mobile and non-mobile devices and network regimes would enhance the engine knowledge base of Hannibal.

Future works are elucidated in the following section.

8.6 Future Work

The following sections are an exposition of different future avenues for research. Many of these ideas warrant a complete Ph.D while others could be done in a Masters project. Some of the work will hopefully be conducted by the researcher in the future.

8.6.1 Effect of Textures Resolutions on QoS/QoE of DH artefacts

There is a need to study the effect of textures’ resolutions on the overall perceived resolution of the 3D model. Recall in Chapter 5, I kept the textures intact (i.e. unaffected) while I decimated the 3D meshes into lower resolutions; in other words only the resolution of the geometry of the 3D model changed. Now a few worthy questions to be asked here: what happens if I did the opposite? How does that

affect the QoS and QoE mainly in terms of the perceived perception of fidelity of the artefacts? If textures' resolutions play a more important role than that of 3D mesh resolution, that means tangibly: we can have high resolution textures mapped to a low resolution 3D mesh and still have a good overall quality of presentation perceived by users. More importantly Sketchfab claims that the 4K texture resolution can require around 50MB of VRAM (please review Section 3.4.2.2, in Chapter 3). This texture resolution is considered according to Sketchfab a good limit for both performance and compatibility.

It would be interesting to see if the "4K texture resolution" is an upper resolution threshold for textures above which users do not notice any difference in the resolution or quality of presentation of the 3D model as a whole and that of the textures in particular. In similar vein, it is pertinent to know what relationships we can deduce in terms of perception of fidelity when we fix the resolution of the model's geometry (i.e. mesh resolution in number of faces and vertices) and change the resolution of the textures (following the power of two rule or any other rule for that matter) and check how the fidelity is perceived from the perspective of the users. This future work can be coupled with the work presented in Section 8.6.3.

8.6.2 Effect of 3D Models Types and Compression on QoS/QoE of DH Artefacts

This includes the investigation of the effect of 3D models' types (example: Wavefront OBJ vs glTF vs COLLADA etc.) and their compression schemes on the QoS and on the subjective perception of fidelity of 3D models on the web and what the perception thresholds are then in this regard.

The idea here is that if we know that per example the Khronos glTF is the JPEG of 3D models with a significant reduction in size (according to Knronos [220]) compared with the other commonly used mono-resolution 3D models types in CH (Wavefront OBJ and COLLADA) and if people can not notice any difference in the fidelity between different versions of the same 3D model with same mesh and textures resolution but with different file types (Wavefront OBJ Vs COLLADA Vs glTF), then we can strongly confirm that there is no need to send between the 3 types exemplified here other than the glTF format thus leading to less storage footprint and less download time.

8.6.3 Systematically Calculating VRAM Consumption of 3D Models & Textures

It is interesting to investigate the Video Random Access Memory (VRAM) consumption of different variations of 3D model meshes - textures of different fidelity levels. This would allow us to quantify these levels in terms of VRAM consumption and this would constitute a very useful information for the Hannibal adaptive engine to actualise in its knowledge base. Not to mention that there is a clear benefit of such study in figuring out what affects the VRAM more: is it the 3D mesh or the textures and how? In Chapter 3, I gave many methodological procedures of how to capture QoS metrics through different tools. These tools can capture VRAM also. There is a need in this regard to systematically and accurately capture the VRAM metric across models and textures in order to study what relationships could be discovered.

8.6.4 Decimation Algorithms for Different LODs of WebGL WBVWs

The idea of creating many LODs has been actualised in a few recent works in the literature. One example is that of Wang et al. [420] who developed a workflow for rendering WebGL GIS geo-visualization of a forest landscape. The environment is built using the X3DOM framework and HTML5 in two LODs switching techniques and has a navigation aid to help users. They conducted an evaluation recruiting 15 participants with the aim of studying the usability and accessibility of the different LODs of the trees. To increase the interest of participants in the virtual environment, they employed a “*gamification*” approach by including a deer hunting task. They provided two hypotheses: the first is that the navigation aid will have a positive improvement on users’ performance in the game. The second is about how the exponential cut-off function will provide smoother transition between the different LODs.

Very recently Unity 3D has added mechanisms for creating many LODs of a game or 3D world [415].

The idea here is to export the build of a certain LOD as a separate WebGL build from Unity. It would be nice to be able to create systematically a system that has a *decimator algorithm*, akin to what I did for Hannibal, that passes through all

3D objects and textures in a uploaded WebGL avatar-based world to the WBVM management interface and then decimates the whole world into different specific LODs.

Hannibal (Chapter 7) could then be changed to detect what is needed from a user request: i.e. a 3D model or a 3D world and then invokes the right complete LOD of the WBVW suitable to the client device and network conditions. This of course needs to be coupled with research similar to what is suggested in Section 8.6.5.

8.6.5 Subjective Perception of Fidelity of LODs of WebGL WBVWs

It is pivotal to study the subjective perception of fidelity of different LODs of WebGL WBVWs in order to discover LODs resolution thresholds (upper & lower thresholds). This of course requires a way to decimate successfully and systematically a complete WebGL WBVW into low resolution worlds as stated in Section 8.6.4.

The same questions that this research addressed of the type: Do people notice a certain high resolution of 3D Digital Heritage model on an iPhone 7 Plus screen?, can be applied this time to the context of WBVWs. Can people notice the different LODs of these avatar-based environments? How can we quantify a resolution of a WBVW LOD? Can we automate a decimation process that minimises the overall resolution of a WebGL avatar-based environment into different LODs?

8.6.6 Extensions to ViMQO and Creation of DAMSs Plug-ins

Avenues for future work constitute extending the Virtual Museum Quality of Service Ontology (ViMQO) to support all multimedia, file types and the QoS-related metadata that are normally encountered in a WBVM context. This is in order to allow applications to adapt multimedia such as videos not just 3D models.

The creation of an Omeka plug-in is of great need to facilitate the automatic inclusion of the ViMQO ontology into the Omeka eco-system. This would allow DH applications that use Omeka as a back-end to utilise ViMQO without going into configuring and creating manually the item types and specific metadata needed.

Other common DH DAMSs might also benefit from developing extensions or plug-ins

to actualise ViMQO in their systems.

8.6.7 Machine Learning in Hannibal

The use of machine learning algorithms in Hannibal might be an interesting avenue for further improvement of the engine. Hannibal in its current proof of concept version presented in this thesis uses a forward-chaining expert system [128], implemented in the PHP 7 language. Machine learning can be used in Hannibal, where users can grade on the client side whether the resolution fetched by Hannibal was convenient for them or not, in addition to stating the degree of that convenience. Hannibal will then *learn* and alter the rules of its decision engine accordingly.

8.6.8 QoE study on Hannibal and further extensions

Hannibal was only evaluated on a systematic QoS level and proven to decrease the download and processing times considerably. A user-based study would be a great future addition in this regard which could involve creating scenarios for users to test the WBVM while using Hannibal and without using it.

Hannibal can be extended further in many ways. Hannibal can use many of the network-based solutions presented in Section 2.6.1, mainly mesh compression techniques and the use of progressive meshes.

The Hannibal solution can be generalised to other media such as flat images and videos, and spherical images and videos, while utilising the approach adopted for 3D DH artefacts. Adapting Photospheres and Videospheres per instance can be achieved with the same logic used for Web3D models, as Photospheres are at the end of day from the system perspective, just mere image files and Videospheres are just mere video files, with specific metadata which allow the client side to process them and show them differently to users, using WebGL, giving them the desired effect needed (Spherical or Stereoscopic).

The same logic of adaptation would apply when having many levels of detail for both photospheres and videospheres available on the server side. Adaptation in this situation is easier than the case of Web3D models due to the fact that only network considerations are relevant to this kind of media not quite so much the client processing or graphical capability as is shown in the way YouTube adapts

videospheres (this is discussed in YouTube Section 7.8). 360° videos and 360° images can still be consumed with no problems on the lowest end mobile devices in the market. Someone would argue that even the network aspect is not a problem, the size of the images of Photospheres sent is not of great concern and the flat or spherical videos are streamed already to the client devices adaptively (the case of YouTube).

The same questions that this research addressed: do people notice a certain high resolution of a 3D DH model on an iPhone 7 Plus screen? can be applied to fidelity perception of videos and images. Can people notice the difference between a 4K Video and 2K or HD video on an iPhone 7 screen? if not, why stream the 4K or 2K resolutions? Many studies have addressed QoE particularly perception of fidelity in multimedia such as flat videos [277, 363, 424], spherical videos [444], flat images [33, 341] and spherical and stereoscopic images [432]. Others studies have investigated extensively the QoS angle [443]. Hannibal, in future versions, can actualise those perception of fidelity and QoS studies for multimedia and embrace them in the rules of its adaptive engine. Many instantiations of the media in question could be decimated also by batch algorithms to lower resolutions, similar to 3D models and then could be stored in the DAMS back-end. The Hannibal rule-based engine can decide then for these media what resolution to send armed with confident decisions supported by QoS/QoE findings from the Literature.

8.7 Final Thoughts

This thesis has demonstrated that it is possible to achieve a good degree of adaptivity of 3D Web models through balancing network demands, client devices capabilities and QoE needs of users. No system has done this before.

This work has also deepened our understanding of the many technologies, aspects of digitisation and dissemination of heritage across platforms and networks and provided us with many best practices and guidelines. It has provided knowledge and insights into the fields of Quality of Service (QoS) and Quality of Experience (QoE) of digital heritage 3D content tailored to be used on the most democratic digital system which is the World Wide Web.

It is my hope that the insights here presented can guide the design of better digital heritage applications and better adaptive systems and could motivate further

research in many under-studied areas exposed in this work.

Part VII

Appendices

Appendix A

Appendix A - Ethics Approval



University of St Andrews

Scotland's first university – 1413

University Teaching and Research Ethics Committee Sub-committee

07/12/2016
 Hussein Bakri
 School of Computer Science

Ethics Reference No: <i>Please quote this ref on all correspondence</i>	CS12477
Project Title:	Investigating Quality of Experience on the 3D Web
Researchers Name(s):	Hussein Bakri
Supervisor(s):	Alan Miller

Thank you for submitting your application which was considered at the Computer Science School Ethics Committee meeting on the 06/12/2016. The following documents were reviewed:

- | | |
|----------------------------------|------------|
| 1. Ethical Application Form | 06/12/2016 |
| 2. Participant Information Sheet | 06/12/2016 |
| 3. Consent Form | 06/12/2016 |
| 4. Debriefing Form | 06/12/2016 |

The University Teaching and Research Ethics Committee (UTREC) approve this study from an ethical point of view. Please note that where approval is given by a School Ethics Committee that committee is part of UTREC and is delegated to act for UTREC.

Approval is given for three years. Projects, which have not commenced within two years of original approval, must be re-submitted to your School Ethics Committee.

You must inform your School Ethics Committee when the research has been completed. If you are unable to complete your research within the 3 three year validation period, you will be required to write to your School Ethics Committee and to UTREC (where approval was given by UTREC) to request an extension or you will need to re-apply.

Any serious adverse events or significant change which occurs in connection with this study and/or which may alter its ethical consideration must be reported immediately to the School Ethics Committee, and an Ethical Amendment Form submitted where appropriate.

Approval is given on the understanding that the 'Guidelines for Ethical Research Practice' <https://www.st-andrews.ac.uk/utrec/guidelines/> are adhered to.

Yours sincerely



P. Selva
 Coordinator of the School Ethics Committee
 Ccs Supervisor
 School Ethics Committee

Appendix B - Perception of Fidelity Experiment Questionnaire, grading and ranking

Welcome

Welcome to this Quality of Experience Experiment for Sketchfab Web3D Models.

Let me tell you before we begin about Sketchfab models. You might not be familiar with them.

Sketchfab (<https://sketchfab.com/>) is social web repository of 3D models created by amateurs and professionals alike in different domains that spans from cultural heritage to scientific explorations.

We are interested in the subjective perception of these models from the point of view of users.

We hope from the results and analysis of the QoE experiment to achieve the following **main goal**:

To measure the differences in terms of Fidelity across different categories of graphical complexity (i.e. resolutions) of different models on the web. These models will be fetched on different devices (PCs, Mobile phones, tablets) with different screen sizes and resolutions.

This is in the aim of seeing if on the level of Quality of Experience, there are noticeable differences detected by users between these categories and to what degree and at which thresholds those differences become noticeable and/or intolerable.

In lay terms, we are indeed seeking to discover empirically 2 thresholds:

The 1st Threshold is the limit which below a certain model resolution the Fidelity of the model is unacceptable.

The 2nd Threshold is the limit which above a certain model resolution there is no difference noticeable in Fidelity.

Please complete the following form before we proceed:

Personal information:

Age:

Gender:

Eye correction required (glasses, contact lenses etc.):

Yes No

Eye correction worn:

Yes No NA

Do you have any conditions/diseases impacting your vision other than Nearsightedness(Myopia) or long-sightedness? (examples: color blindness, lazy eyes etc...):

Yes No NA Prefer not to say

Normal viewing properties:

Please specify the properties of the **primary** screen that you use most often when viewing 3D content (i.e. playing games, watching 3D movies, viewing 3D models, Virtual Reality etc...)

Type of screen: If "Other" chosen please specify:

Size of screen:

0" (0 cm)

Resolution: (Pick the one that is closest)

How many hours a week do you spend using this screen? (Note: This is the amount of time spent using this screen/device for ALL purposes during the week)

Usage ratio: (Note: This is the percentage of total time you spend using this device for only 3D usage (playing games, watching 3D movies...) from the total number of hours of usage for ALL purposes)

Progress to first phase of the experiment

Appendix C - 3D Web Technologies

This appendix provides additional survey material on different 3D Web technologies and Web-Based Virtual Worlds (WBVWs). The appendix contributes to the literature by proposing taxonomies that classify 3D Web technologies on four dimensions: Languages paradigms, Installation and Deployment, Rendering and Use cases.

A large proportion of this appendix appeared in the following peer-reviewed publication:

1. **Bakri, H.**, Allison C., Miller A., Oliver I. (2016) Virtual Worlds and the 3D Web - Time for Convergence?. In: Immersive Learning Research Network. iLRN 2016. Communications in Computer and Information Science, vol 621. Springer, Cham [32].

C.1 3D Web Technologies

C.1.1 VRML, X3D and X3DOM

Virtual Reality Modelling Language (VRML) [70] was the first technology used for building and delivering 3D Web content. It was proposed in 1994 and was later ISO certified in 1997 under the name of VRML97 or VRML version 2.0. The language

incorporated the capability to define 2D and 3D graphical scenes, in addition to running a myriad of multimedia file formats combined with a powerful XML-based scripting language.

VRML is based on a declarative paradigm [245] meaning the 3D scene is presented by describing its geometry and the interactions inside the 3D model in an XML-based encoding, instead of using an imperative language such as JavaScript which describes the low level details of how vertices and triangles get built and rendered. VRML code is injected inside the hosting HTML code itself. VRML was very attractive in the nineties till the beginning of the 2000s, due to the fact that it supported multi-user networked worlds, avatars and 3D communities (through per example, the Blaxxun ecosystem [114]). VRML was never a core technology implemented inside web browsers and thus needed a plug-in to be installed in the host web browser in order for VRML to work [69]. VRML is deprecated as it is now superseded by the X3D standard.

eXtensible 3D (X3D) [62] is a royalty-free standard that joined in 2001 the family of declarative XML-based encodings on the web. It became the successor of VRML. X3D advances over VRML include techniques such as humanoid animations, programmable shaders, the ability to provide multi-textures, geo-location and tagging. Its XML-based encoding makes it easy to incorporate into web pages similar to VRML. X3D scenes and models on their own require a plug-in to be installed in web browsers in order for the X3D code to work.

The X3DOM framework [37] integrates X3D scenes and models into the HTML Document Object Model (DOM) tree. With this technology, X3D can be fully integrated into any web page in the same way as SVG can. Nodes of X3D can be declared inside basic HTML elements and scenes of X3D can be modified using JavaScript. The implementation of X3DOM is based on WebGL thus with the majority of web browsers now supporting WebGL 1.0 by default, X3DOM code can be embedded and manipulated without any need to install a web browser's plug-in.

C.1.2 XML3D & Xflow

XML3D [383] is portable cross-platform declarative approach [245] that leverages the existing web technologies stack such as HTML, CSS, Document Object Model

(DOM), and AJAX for creating 3D content on the web. XML3D can be used in a separate file linked to the HTML web page or can be embedded directly into HTML using a separate XML namespace.

Xflow [224] is a high performance data processing system for declarative 3D Web usually used in conjunction with XML3D [383]. It provides the ability to describe data flows in 3D scenes.

C.1.3 WebGL

WebGL [210, 262, 312] is a standard introduced by the Khronos Group for web browsers. It consists of large set of JavaScript bindings accessing a limited subset of OpenGL dubbed as Open Graphics Library Embedded System (OpenGL ES). WebGL is rendered in the canvas element of the HTML5 page. WebGL allows browsers to render 3D environments and models without any installation of web browsers' plug-ins [302]. WebGL features sophisticated 3D graphical hardware accelerated capabilities rendered in real-time.

WebGL Frameworks and Game Engines

WebGL has many high-level frameworks and JavaScript game engines on top of it. The rationale of having these high-level frameworks or JavaScript game engines is the fact that programming in pure low level WebGL (a.k.a using vanilla low level WebGL) is an anathema for many programmers not to mention the fact that programming at such level is inefficient and cumbersome for many medium to large scale projects [310]. There are many WebGL frameworks tailored to many tasks and tastes that would require complete books to list and explain them. The following present briefly the most commonly used WebGL frameworks.

Three JS [116]: is one of the most commonly used and most stable high-level WebGL frameworks. It provides a stable API that allows programmers to create rich 3D graphics applications and games on the web.

The stability of Three JS made it suitable for many WebVR frameworks to be built on top of it. One example of such powerful virtual reality web frameworks is the declarative entity component library dubbed A-Frame [289].

Babylon JS [278]: is a real-time 3D game engine and a WebGL high-level framework. The Babylon JavaScript library allows programmers to use powerful JavaScript physics engines such as Cannon JS [287] and Oimo JS [19] to mimic complex networked simulations of the laws of physics in their games and WBVWs.

OSG.JS and Sketchfab: Sketchfab [374] is a single media social repository that hosts Web3D models online. Sketchfab is akin to social media websites but dedicated to Web3D models only. Users can engage with the 3D models, share them on their websites and other social media, comment on them, and buy and sell them. Sketchfab is used extensively by CH memory institutions such as museums and galleries as a platform to host and disseminate their digitised collections. It uses a Web3D viewer based on the open source framework OSG.JS [326]. OSG.JS is a WebGL framework which was created by the founder of the Sketchfab company (Cedric Pinson). OSG.JS implements OpenSceneGraph concepts in a WebGL context. Cedric and other members of the public are continuously updating and maintaining the framework.

Open Scene Graph [422] is an open source cross 3D graphics toolkit and an extensive API. It is used to create computer graphics applications and games. It is based on a C++ implementation of OpenGL. It basically use the scene graph approach to represent 3D content.

C.1.4 HTML5 & CSS3 3D Features

In **HTML5** [178, 325] the “*canvas*” element is the only facility that allows web browsers to render 2D and 3D content without the need of installing a web browser plugin. 3D content in the canvas element is rendered frame by frame as bitmap images. Solutions that don’t require plug-ins to be installed and in which the HTML canvas element is used to render 3D are those of the X3DOM framework (i.e. the X3D code is directly injected into the HTML DOM) or those using JavaScript bindings to the OpenGL graphics library such as that of WebGL [210].

CSS3 3D transformations and animations extends CSS3 rules with 3D capabilities. It is possible with CSS3 to scale, rotate, and define the 3D perspective view of almost any DOM element [155].

C.1.5 3D Markup Language for the Web (3DMLW)

3D Markup Language for the Web (3DMLW) is an open source XML-based language for integrating 2D and 3D dynamic scenes into web pages. The render used by the technology is based on the OpenGL graphics library. Programmers can use the Lua scripting language [274], to control and animate the 3D scenes. Plug-ins are needed for different web browsers in order for 3DMLW material to be executed [312]. The technology is now deprecated.

C.1.6 Java 3D and Java OpenGL (JOGL)

Java3D is a runtime scene graph 3D API bindings for both OpenGL library (all Operating Systems) and DirectX library (only Microsoft OS). Java3D integrated VRML/X3D into Java applets that would be run on the client side. Sun (the company of Java language before it was bought by Adobe) stopped supporting the development of Java3D in mid-2003 and it became afterwards a community-driven open source project. In 2012, Java3D became **Java OpenGL (JOGL)** a low level binding to the OpenGL library [312]. All Java 3D technologies can be integrated on the web browser side via Java applets. Unfortunately, Java applets' usage in web browsers is deprecated now.

C.1.7 O3D and Oak3D

O3D

O3D [171, 312] is a Google open source project. In its initial versions, O3D required a plug-in to be installed in the web browser. O3D is JavaScript API that allows programmers to create 3D interactive content on the web. It takes advantage of hardware accelerated graphics and supports advanced rendering capabilities. O3D code can be edited in real-time. Recent implementations of the framework [171] utilise WebGL and thus do not require any plug-in.

Oak3D

Oak3D [295] is an open source JavaScript framework for creating interactive Web3D applications with GPU acceleration. Oak3D runs on top of WebGL and thus do not require any plug-in to be installed. The framework provides many libraries helping developers in many tasks needed for 3D applications such as Math operations, and skeletal animations among many others.

C.1.8 PDF3D

PDF3D a.k.a 3D PDF is integrating a 3D model into a Portable Document Format (PDF) file [347]. PDF documents are widely used across many disciplines which makes them attractive for sharing information and 3D models across the web, mobile devices and desktop platforms. All the user needs to do in order to view PDF documents is to install a free software such as Acrobat Reader which installs also many plug-ins for web browsers.

According to the PDF specification [5], the supported 3D model file types which can be integrated into PDF documents across all versions of Acrobat Reader, are the Universal 3D (U3D) file format and the Adobe PRC file format. Once a 3D model is embedded into a PDF document, the Adobe PDF engine provides basic interaction tasks such as zooming in and out, panning and rotating the 3D model. 3D PDFs can be manipulated via JavaScript as shown in this example [264], in addition, models can be manipulated through many APIs in other programming languages.

Any 3D model of a file type different than U3D has to be transformed into U3D in order for it to be embedded into the PDF. This can be done via applications such as Meshlab [81] or the paid version of Acrobat Reader DC Pro.

PDF3D are used commonly in anatomical and biological studies. An example of such usage is the work of Mavar-Haramija et al. [264] who created presentation tools that produce interactive PDF documents containing embryonic craniofacial models (reconstructed from historical section images). The tools utilised the JavaScript API to provide the ability to highlight points of main interest on the 3D models.

There are few examples of the usage of PDF3D in Digital Heritage applications [179, 315] and in Virtual Museums [249].

The author of this thesis have created a blog article [29] that teaches users how to embed a 3D model into an Adobe PDF document via the LaTeX system. This allows them to host 3D models via PDF online.

C.1.9 Web-Generated Builds from Traditional Game Engines

Unity 3D and **Unreal** are two of the most popular game engines. They can export web builds of games and 3D worlds to different platforms such as mobile devices, game consoles, and smart TVs. They can also generate 3D worlds and games that can work using bespoke web browser plug-ins or WebGL. Recently, all these game engines dropped the web browser plug-in solution in favour of supporting only a WebGL build.

Unity 3D Engine

Unity3D [410] supports the development of 2D, 3D, VR, AR games and environments. The game engine is quite known in the game and film industry due its astonishing graphics, advanced lighting and shadows, particle systems and its powerful physics engine. Unity is a game engine that adopt the philosophy of “*develop once run everywhere*” in the sense of giving the ability to developers to export their games and 3D environments into many platforms including WebGL. Unity uses the C# language as a main development language.

CyArk [96], an organisation that aims to document and digitise the most endangered world’s heritage sites, has a 3D archive containing many WebGL builds exported from the Unity 3D game engine.

There are also many examples of DH applications and WBVWs that have used Unity 3D game engine. The interested reader could check the works of Giles et al. [164], Kiourt et al. [222], Koller et al. [226], Pecchioli et al. [316], Agugiaro et al. [7], Alatalo et al. [12] and of course the work in Chapter 4.

Unreal Engine

Unreal Engine [85] is developed by Epic Games. It is written in C++ and uses the language as the main game programming language. The Blueprint visual coding

language was created to facilitate access to a wider community of developers. Blueprint helps developers write complex games without even writing a single line of C++ code. Unreal engine games and 3D worlds can be exported as a WebGL builds which allow them to be run in web browsers without the installation of plug-ins.

C.2 Web-Based Virtual Worlds

KataSpace: Kataspace [216] is an open source multi-user 3D WBVW which runs on top of WebGL via the Kata JS library. KataSpace is built using the Sirikata MUVW.

Virtual World Framework (VWF): [417] is a governmental project for the US secretary of defence. It allows the creation of 3D multi-user networked WBVWs via technologies such WebGL, WebRTC and WebSockets. It is an open source platform written in JavaScript (Node JS) and Ruby.

3D Web technologies play a main role in bringing the 3rd dimension to the web. In order to further our understanding of the way these technologies are classified into different diversified categories, we contribute several taxonomies obtained from surveying the literature of 3D Web technologies. The following section elucidates these taxonomies.

C.3 Taxonomies and Classifications of the 3D Web

This work contributes to the literature by proposing taxonomies or classifications based on four dimensions of 3D Web tools and languages (Language Paradigm, Installation and Deployment, Rendering mode and Use case). In addition, a fifth taxonomy [207] found already in the literature that states the myriad user interaction modes in 3D Web avatar-based environments is also elucidated.

The term “*taxonomy*” is used to denote the branch of science concerned with classification [282]. The Cambridge Online English dictionary defines a taxonomy as “*a system for naming and organizing things, ... into groups that share similar qualities*” [67].

The act of classifying the literature or creating taxonomies is a very useful research outcome since it sheds the lights on the many similarities and differences of technologies and systems and help in grouping them under categories.

A language paradigm taxonomy of 3D Web technologies is presented in Appendix C.3.1, it divides the technologies under three overarching categories: the declarative paradigm, the imperative paradigm and the mixed paradigm of both imperative and declarative. The section also presents few examples from the literature. Appendix C.3.2 presents a second taxonomy based on installation and deployment processes of the myriad 3D Web technologies or the resulting environments from these technologies. Appendix C.3.3 is a rendering taxonomy where it situates and classifies 3D Web systems based on the location of where the rendering process is executed. Appendix C.3.4 is a use case taxonomy classifying the different uses found in the literature of the 3D Web. Finally, Appendix C.3.5 presents Jankowski [207] taxonomy on user interaction mode found in 3D Web systems.

C.3.1 Language Paradigm Taxonomy

Programming languages of the 3D Web are divers and have been improving since VRML. They can be classified under three categories: Declarative, Imperative and Mixed.

A declarative paradigm of 3D Web languages involves the code being part of the HTML document and integrated into Document Object Mode (DOM) of web page code. The 3D graphics programmer expresses what the outcome of the 3D scene or model will be (especially based on the concept of scene graph) instead of the step by step procedure of how the 3D scene will be constructed. The majority of these languages are based on using tags and attributes usually using XML-based schemas.

The advantages of the declarative paradigm in 3D Web development is the fact that this paradigm facilitates development and takes less time to create 3D scenes [248], while the disadvantages are that such languages tend to be overly verbose and less powerful than their counterpart in the imperative camp.

The Imperative paradigm of languages also known as “*procedural paradigm*” constitutes the dominant old school paradigm in the history of computing. The idea of imperative paradigm is to give machines sequential instructions to be executed or a series of statements that change the program state. WebGL [221] is the major

type of imperative languages for the 3D Web since it uses JavaScript to create and describe 3D scenes. The advantages of imperative languages is in the power and the efficiency of code but the disadvantage comes in the cost of being difficult to learn and to use [145].

A mixed paradigm involves using an imperative language and a declarative language at the same time to describe 3D Web scenes. Few examples in the literature are those of Barbieri and Paolini [34] and Kostadinov and Vassilev [229] where Java3D through the proxy of Java Applets was used in tandem with VRML. Table C.1 summarises the most common 3D Web technologies based on the language paradigm taxonomy.

Table C.1: Taxonomy of 3D Web based on Language Paradigm

Types of 3D Web	Examples
Declarative Paradigm	1) VRML [70] X3D [62]/X3DOM [37] XML3D [383]/Xflow [224] Mozilla A-Frame [289]
Imperative Paradigm	WebGL[221] & its frameworks such as Three JS [116] Java 3D[350] & JOGL[103]
Mixed Paradigm	Using both imperative and declarative languages Example: using Java 3D[350] & VRML[70]

C.3.2 Installation and Deployment Taxonomy

Different 3D Web technologies involve different installation and deployment procedures. 3D Web technologies can be divided into three categories when it comes to the dimension of installation and deployment: Plugin-based, Plugin-free and Software-tied.

- **Plug-in based:** The VRML technology needs the installation of web-browser plug-ins in order for the scenes to be rendered. Many plug-ins were developed to present VRML 3D scenes such as the Cosmo Player [92]. X3D on its own also requires plug-ins to be installed in web browsers in order to view the X3D content.
- **Plug-in free:** X3DOM and WebGL need no effort on the part of user in order to view 3D content. In other words, no plug-ins or software needs to be installed

on the user' machine in order to consume Web3D applications. WebGL [221] is supported by default in all major web browsers.

- **Software-tied** are 3D Web technologies where no plug-in is required in web browsers but a software or toolkit needs to be installed or to be available on the user' machine outside the web browser ecosystem. One example from the literature is that of Jara et al. [209] system which works on Java technologies such as JOGL and thus requires the Java Virtual Machine to be installed in order for the system to work but the system works over WebGL so no need for a web browser plug-in to be installed.

C.3.3 Rendering Taxonomy

Rendering the 3D scene can happen on the client side, or on server side or can be distributed between the client and the server.

- **Browser-Based Rendering or Client Side Rendering:** In this scenario, web browsers play the role of 3D graphics fat client software meaning the rendering completely happens on the client side (i.e. the web browser).
- **Remote Rendering or Server Side Rendering:** Remote rendering as a technique allows the streaming of large 3D scenes on a myriad of end devices where the rendering process happens on the server side. In remote based rendering, the rendering burden is taken from the client side. Remote rendering utilises the server processing muscles (i.e. the server GPU and CPU) to do the rendering and not those of the client machine. Remote rendering is used as a solution to adapting 3D Web content and is explained in detail in Section 2.6.2.1.
- **Mixed Rendering:** Part of the processes of the rendering occur on the client side (i.e. the web browser) and other intensive processes occur on the server side. One example from the literature is that of Santos et al. [352] system which generates the local views on the client side while the actual scene rendering remains on the server side.

C.3.4 Use Case Taxonomy

The academic literature on 3D Web usage has revealed the emergence of several use case modalities that used to require from users in the past to download and install a separate software for the tasks they solve. The taxonomy presented here is a taxonomy of use cases, meaning it deals with what 3D Web tools and languages are capable of doing for users (things that used to require in the past installing a fully-fledged fat software on desktop machines).

The use cases in this section form a quick cursory review out of a large number of use cases that could be found in the literature where the 3D Web plays the role of Software as a Service. Per instance: 3D Modelling can be done now in a web browser via WebGL while in the past users needed to install a desktop software such as Blender or a complex CAD system in order to do the modelling. The following present a few of the use cases of the 3D Web extracted from the literature.

C.3.4.1 3D Modelling on the Web

3D modelling is now a reality with integrated development environment on the web. As an example, Agenjo et al. [6] presented a WebGL editor called WebGL studio, an open source 3D graphics editor which allows editing 3D models directly inside the web browser. A prototypical mobile app for searching for shapes or 3D models via snapshots was also developed.

There are also many CAD tools developed with WebGL in the literature. One example is that of Xu et al. [434] who created a Web3D visualization CAD tool for visualising and edition Building Information Modeling (BIM) models in web browsers via WebGL.

C.3.4.2 3D Printing and Digitising on the Web

The web is becoming a hospitable environment to a lot of services pertaining to 3D hardware pipeline such as 3D Printing tools. Examples in this category would be shapeways [365]. Many on-line 3D Scanning and Photogrammetry tools that facilitate 3D reconstructions of actual real objects are now available on the web via services such as Autodesk Recap [25] and simscale [372].

C.3.5 User Interaction Modes Taxonomy

User interfaces and user tasks based taxonomy for the 3D Web were presented in the work of Jankowski [207]. His taxonomy classifies 3D Web user interaction under five categories: navigation, wayfinding, selection, manipulation and system control. Figure C.1 presents how Jankowski classified 3D Web user tasks. The taxonomy is based on the interaction modes in the Web-Based Virtual Worlds category of the 3D Web. The taxonomy aims to help designers and developers of 3D Web applications to choose between different options of user interaction modes.

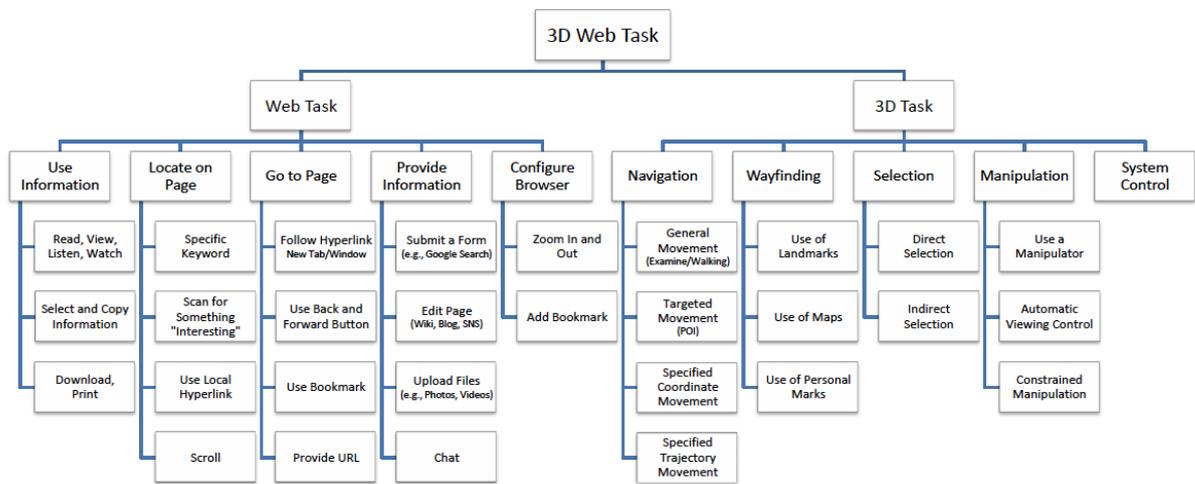


Figure C.1: Taxonomy of 3D Web User Tasks [Source: [207]]

Appendix D

Appendix D - WebGL Benchmark

D.1 WebGL Benchmark across 18 devices

ID	Device				Fragment Shader	Frame Buffers		Textures			Graphics Card	Maximum Resolution (# of faces) fetched reliably of Achavanich Beaker Model
		OS	Browser	Max Vertex Uniform Vectors	Max Fragment Uniform Vectors	Max Colour Buffers	Max Render Buffer Size	Max Texture Size	Max Cube Map Texture Size	Max Combined Texture Image Units	Render Name	
1	PC	MS Windows	Firefox	4096	1024	8	16384	16384	16384	32	NVIDIA GeForce GTX 1070 Pascal (8 GB GDDR5 VRAM)	6.1M (original)
2	PC	MS Windows	Firefox	4096	1024	8	16384	16384	16384	32	NVIDIA GeForce GTX 1060 Pascal (6 GB GDDR5 VRAM)	6.1M (original)
3	PC	MS Windows	Firefox	4096	1024	8	16384	16384	16384	32	NVIDIA GeForce GTX 970 (4 GB GDDR5 VRAM)	6.1M (original)
4	Macbook Air (Mid 2013)	Mac OS	Firefox	1024	1024	8	16384	16384	16384	80	Intel HD Graphics 5000 (VRAM from System RAM - up to 1.5GB)	6.1M (original)
5	MacBook Pro (Late 2011)	Mac OS	Firefox	1024	1024	8	8192	8192	8192	48	Intel HD Graphics 3000 (VRAM used from System RAM) - 256MB	6.1M (original)
6	iMac (Late 2009)	Mac OS	Firefox	1024	512	8	8192	8192	8192	48	NVIDIA GeForce 9400 (256 MB VRAM)	6.1M (original)
7	Nexus 6p (phone - 2015)	Android OS	Firefox	512	256	4	4096	4096	4096	22	Qualcomm Adreno (TM) 430	1.5M
8	OnePlus One	Android OS	Firefox	512	256	4	4096	4096	4096	22	Qualcomm Adreno 330	1.5M
9	ASUS Google Nexus 7 Tablet (16GB)	Android OS	Firefox	256	64	1	2045	2045	2045	5	Qualcomm Snapdragon S4 Pro (Snapdragon 600) APQ8064-1AA	1M
10	iPhone 7 Plus (phone - 2016)	Mac OS	Firefox	256	64	1	2045	2045	2045	5	Apple A10 GPU	1M
11	iPad Pro 2016	iOS	Firefox	256	64	1	2045	2045	2045	5	Apple A9X GPU (12-core PowerVR Series 7XT)	1M

12	iPad 2017 (MP2G2B/A)	iOS	Firefox	256	64	1	2045	2045	2045	5	Apple A9 GPU	1M
13	iPhone 6 (phone - 2014)	iOS	Firefox	256	64	1	2045	2045	2045	5	Apple A8 GPU	1M
14	iPhone 5 (phone - 2012)	iOS	Firefox	128	32	1	1024	1024	1024	3	PowerVR SGX 543 part of Apple A6	750K
15	Ulefone S8 Pro model (2017)	Android OS	Firefox	128	32	1	1024	1024	1024	3	MediaTek MT6737	750K
16	Alba Tablet	Android OS	Firefox	128	16	1	1024	1024	1024	3	MediaTek MT8163	750K
17	Lenovo Tab3 (7 inch tablet)	Android OS	Firefox	128	16	1	1024	1024	1024	3	Mali-450 MP	750K
18	LG Nexus 4 Phone	Android OS	Firefox	64	8	1	512	512	512	1	Qualcomm Snapdragon(TM) S4 Pro	600K

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