1	Title
2	Human ostensive signals do not enhance gaze following in chimpanzees but do
3	enhance object-oriented attention
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21 Abstract

22 Previous studies have shown that human infants and domestic dogs follow the gaze of a human agent only 23 when the agent has addressed them ostensively -e.g. by making eye contact, or calling their name. This 24 evidence is interpreted as showing that they expect ostensive signals to precede referential information. 25 The present study tested chimpanzees, one of the closest relatives to humans, in a series of eye-tracking 26 experiments using an experimental design adapted from these previous studies. In the ostension 27 conditions, a human actor made eye contact, called the participant's name, and then looked at one of two objects. In the control conditions, a salient cue, which differed in each experiment (a colorful object, the 28 29 actor's nodding, or an eating action), attracted participants' attention to the actor's face, and then the actor 30 looked at the object. Overall, chimpanzees followed the actor's gaze to the cued object in both ostension 31 and control conditions, and the ostensive signals did not enhance gaze following more than the control 32 attention-getters. However, the ostensive signals enhanced subsequent attention to both target and 33 distractor objects (but not to the actor's face) more strongly than the control attention-getters—especially 34 in the chimpanzees who had a close relationship with human caregivers. We interpret this as showing that 35 chimpanzees have a simple form of communicative expectations on the basis of ostensive signals, but 36 unlike human infants and dogs, they do not subsequently use the experimenter's gaze to infer the intended referent. These results may reflect a limitation of non-domesticated species for interpreting humans' 37 38 ostensive signals in inter-species communication.

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40 Key words: Domestication; Gaze following; Great ape; Ostensive signals; Referential communication

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42 Introduction

Humans use 'ostensive' signals such as making eye contact and calling an intended recipient's name as a 43 44 way of indicating their communicative intention to others. These signals function to alert an intended recipient to the possibility that a signaler has a message to convey (Csibra 2010; Moore 2016; Moore 45 46 2017; Scott-Phillips 2015a; Scott-Phillips 2015b; Sperber and Wilson 1995), and so provide the recipient 47 with evidence that they should devote their cognitive resources to figuring out the content of that message. The ability to determine, on the basis of non-verbal cues, when others are acting with communicative 48 49 intention has been argued to play a fundamentally important role in both language acquisition and cultural learning more generally (e.g. Gergely and Csibra 2006; Tomasello 1999; Tomasello 2006). 50

51 Csibra and Gergely have suggested that humans possess an adaptation for 'natural pedagogy', 52 which explains how humans efficiently transmit generic knowledge between individuals (Csibra 2010; 53 Csibra and Gergely 2009; Gergely and Csibra 2006; Gergely et al. 2007). According to their proposal, 54 human infants have a set of perceptual and cognitive biases that make them interpret ostensive signals as indicating that an agent is trying to deliver generic information. These biases are: (1) Preferential attention 55 56 for the source of ostensive signals: Human infants are highly sensitive to the presence of signals (e.g. eve 57 contact and infant-directed speech) that indicate that they are being addressed by a communicating agent. 58 (2) Referential expectation: Following ostensive signals, human infants expect to find the intended 59 referent of the communicating agent's message - that is, the entity about which the agent is 60 communicating. (3) Generality: Infants take ostensive communication to provide them with generic 61 information about objects like those to which the agent referred – that is, information that is generalizable 62 to objects in other situations.

Although the nature of the mechanisms at work in Csibra and Gergely's hypothesis remain
controversial (Heyes 2016; Hoicka 2016; Moore et al. 2013; Moore et al. 2015b), its functional aspects
are supported by compelling evidence. Related to the first feature of their proposal, it is well established
that human infants have early-developing preference for attending to faces (Farroni et al. 2002) and

67 infant-directed speech (Cooper and Aslin 1990). Additionally, in relation to the second feature, Senju and 68 Csibra (2008) found that 6-month-old humans followed an experimenter's gaze to an intended referent 69 when it was preceded by either directed speech or ostensive eve contact, but not when a similarly salient 70 animation was used to solicit their attention. This suggests that ostensive signals may help infants to 71 identify an agent's referential goals, and thereby better understand referential communication. Finally, 72 related to the third feature, Topál et al. (2009) found that 9-month-old humans (and domestic dogs, but 73 not wolves) made more frequent search errors in the A-not-B task when an agent ostensively hid the objects compared to when the agent just hid the objects without any ostensive signals. That is, in the 74 ostension condition, infants (and dogs) persistently searched for a hidden object at its initial hiding place 75 even after observing it being hidden at another location. This finding is interpreted as showing that, on the 76 77 basis of ostensive signals, the infants (and dogs) had formed general expectations about where the objects 78 would be hidden, and these expectations trumped their own experience of seeing them hidden (but see 79 Vorms (2012) for criticism of this interpretation).

80 While the adaptation for natural pedagogy was initially proposed to be uniquely human, more 81 recent studies suggest that domesticated dogs are attuned to humans' ostensive signals in ways that are 82 similar to human infants. Dogs spontaneously attend to human faces in a variety of communicative 83 contexts (Topál et al. 2014), and they use both eye contact and (to a lesser extent) name-calling to identify 84 that an experimenter is communicating with them (Kaminski et al. 2012). Additionally, Téglás et al. 85 (2012) showed that, using the experimental paradigm developed for human infants (Senju and Csibra 2008), dogs followed an experimenter's gaze to a referent only if it had been preceded by ostensive eve 86 87 contact and directed speech. Thus, similar to human infants, dogs may have the expectation that humans' 88 ostensive signals precede referential information. These results support the domestication hypothesis, 89 which postulates that, likely as a consequence of their domestication, domestic dogs have evolved 90 adaptive responses to human referential communication, in a manner similar to human infants. This 91 domestication hypothesis gains support particularly from comparative studies with human infants,

92 domestic dogs and non-domesticated species such as wolves and great apes. Domestic dogs, even from a 93 few weeks old, outperform chimpanzees and wolves at a number of tasks in which they must read human 94 communicative signals such as gazing and pointing to locate hidden food (Hare et al. 2002; Riedel et al. 95 2008; Topál et al. 2014). Virányi et al. (2008) compared hand-raised four-month-old wolf and dog 96 puppies, finding that the dogs were both more willing to maintain eye-contact with experimenters, and 97 better able to use the experimenters' points to find hidden food. While wolves were able to learn to 98 respond to ostensive signals after training, the results suggest that dogs possess an early-developing 99 responsiveness to human communication that wolves do not.

100 If human infants and domestic dogs follow human gaze with the expectation that ostensive 101 signals precede referential information (Senju and Csibra 2008; Téglás et al. 2012), an interesting 102 question is whether nonhuman great apes would do so as well. Although great apes (chimpanzee, bonobo, gorilla and orangutan) have not been domesticated by humans, they are humans' closest living relatives 103 104 and thus are much more closely related to humans phylogenetically than are dogs. In addition, they are 105 equipped with a variety of key skills that might enable them to act like human infants and dogs. Like 106 humans (and many other animals, Bugnyar et al. 2004; Emery 2000), great apes spontaneously follow 107 others' gaze. They do not just co-orient with others but take others' visual perspectives into consideration 108 when following their line of sight (Bräuer et al. 2005; Okamoto-Barth et al. 2007). Moreover, as in 109 humans, eye contact plays an important role in the natural repertoire of communicative behaviors in great 110 apes (Gomez 1996; Liebal and Call 2012). For example, when chimpanzees and gorillas attempt to reconcile with conspecifics after fighting, they first establish eve contact before approaching their 111 112 counterparts (De Waal 1990; Yamagiwa 1992). When tension arises among individuals, bonobos regulate it by making eve contact and engaging in sexual activities (De Waal 1988). Some apes even use their eve 113 114 contact ostensively when requesting food from human experimenters in a laboratory (Gomez 1996).

In light of the pervasive role of eye contact in great ape communication, great apes should satisfy,
at least at a functional level, the first feature of natural pedagogy hypothesis (Csibra and Gergely 2009):

117 the preference for the potential source of communicative signals. Previous studies have also shown that 118 great apes spontaneously attend to both conspecific and human faces (Kano et al. 2012; Kano and 119 Tomonaga 2009). Like human infants (Farroni et al. 2002), chimpanzee infants preferentially attend to 120 human faces with direct gaze, rather than those with averted gaze (Myowa-Yamakoshi et al. 2003). 121 Chimpanzees are less accurate in distinguishing between the faces of humans and conspecifics when 122 those faces are presented upside-down compared to when they are presented upright (Parr et al. 1998; 123 Tomonaga 1999). Finally, for apes living in a typical zoo and research environment, human caregivers 124 and experimenters regularly call apes' individual names (and make eye contact with them) when attempting to communicate with them. A previous study measuring a chimpanzee's event-related 125 126 potentials showed that the chimpanzee became attentive immediately after they heard their own names 127 called by a human experimenter (Ueno et al. 2010).

However, it remains unclear whether great apes have referential expectations following ostensive 128 129 signals, or whether they understand others' intentions to communicate about specific referents. One study 130 reported that, when chimpanzees saw that another individual is requesting a particular item, they could 131 infer the item that the other was requesting, even on the basis of ambiguous gestures (Yamamoto et al. 132 2012). Moreover, while it was once doubted that great apes could understand others' intentions at all, 133 recent evidence challenges this view. Great apes understand others' goals and intentions and utilize that 134 knowledge in various social contexts (Call and Tomasello 2008; Kano and Call 2014b). A recent eye-135 tracking study even showed that, when apes are viewing an agent and an antagonist competing for an object, they anticipate the agent's actions according to the agent's false beliefs (Kano et al. 2017; 136 137 Krupenye et al. 2016).

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Nonetheless, in general, great apes do not appear to be as sensitive to the referential aspects of human communication as human infants and domestic dogs. For example, in studies where human experimenters try to inform them of the location of hidden food with referential gestures such as gaze and pointing, both human infants (Behne et al. 2005; Behne et al. 2012) and domestic dogs (Hare and 142 Tomasello 1999; Miklósi et al. 1998) excel at using such experimenters' cues to locate food. However, 143 great apes perform comparatively poorly in similar paradigms (Hare and Tomasello 2004; Herrmann and 144 Tomasello 2006), although enculturated apes (those reared by humans in human environments) generally 145 perform better than unenculturated apes (Call and Tomasello 1994; Call and Tomasello 1996; Lyn et al. 146 2010). Previously only one study (Moore et al. 2015a) has addressed whether orangutans respond 147 differently to pointing gestures produced with and without ostensive signals. In this study, no effect of 148 ostension was found. However, since comprehension was poor in all conditions, this finding is difficult to 149 interpret.

150 A number of studies have tested great apes' gaze following behavior. In several previous 151 experiments (Call et al. 2000; Tomasello et al. 2007), the human experimenter called the apes' names and 152 made eye contact before providing a gaze cue. Such cues did seem to work, at least in drawing the apes' attention to the experimenter's face (before the gaze cue was provided). In an eye-tracking experiment 153 154 presenting still images to chimpanzees, Hattori et al. (2010) showed that chimpanzees followed the gaze 155 of a conspecific agent but not that of a human agent. In another eye-tracking experiment (Kano and Call 156 2014a), while bonobos, orangutans and human adults all followed the gaze of both conspecific and 157 allospecific agents, human infants and chimpanzees only followed the gaze of conspecific agents. These 158 findings indicate that at least chimpanzees may not be receptive to following a human experimenter's 159 gaze when watching still pictures and movies without clear ostensive cues. None of these studies directly 160 compared the effect of humans' ostensive signals with that of control attention-getters on gaze following 161 in great apes.

In this study, we examined whether great apes, particularly chimpanzees, would exhibit enhanced gaze following in response to a human actor establishing ostensive eye contact and calling the participant's name. We designed our experiment based on previous eye-tracking studies of human infants and dogs (Senju and Csibra 2008; Téglás et al. 2012). According to the domestication hypothesis and previous evidence that apes are not good at comprehending human referential communication, they may not understand a human actor's ostensive signals in the same way as human infants and dogs do. On the other hand, based on previous evidence that apes make eye contact with conspecifics and humans, and are familiar with humans calling their names, such signals should have some effect on apes' behavior. While it is possible that humans' ostensive signals would enhance gaze following in great apes just as in human infants and dogs, it is also possible that ostensive signals function in a more limited way – for example, by enhancing attention to the actor's face or to all objects in front of the actor (i.e. to both cued and noncued objects).

The question we address here is whether chimpanzees would be more likely to follow the gaze of 174 175 a human actor after the actor addressed them ostensively as compared with after a control attention-getter 176 attracted their attention to the actor's face. We specifically tested 1) whether the actor's ostensive signals 177 attracted apes' attention to the actor's face in the cueing phase (when the actor was addressing either ostensively or non-ostensively) as strongly as the control cues in the cueing phase, and 2) whether the 178 179 ostensive signals enhanced the chimpanzees' gaze following (i.e. their looking at the cued object), 180 attention to the objects (i.e. their looking at both cued and non-cued objects in front of the actor), and/or 181 attention to the actor's face more strongly than the control cues in the looking phase (when the actor was 182 looking at one of the objects).

183 We tested chimpanzees from different rearing backgrounds: zoo-reared and institute-reared individuals. The institute-reared individuals (who were similar but technically not identical to 184 185 'enculturated' individuals; see Method) had richer early experiences of interacting with human caregivers than the zoo-reared individuals. It is well established that such individuals perform better than the other 186 187 individuals in tests in which they need to locate hidden foods based on an experimenter's referential cues 188 (Call and Tomasello 1994; Call and Tomasello 1996; Lyn et al. 2010). Also, a previous eye-tracking study found that the institute-reared chimpanzees paid more attention to the objects manipulated by 189 190 conspecifics than the zoo-reared chimpanzees (Kano et al. 2018). It was thus expected that the institutereared chimpanzees would show greater sensitivity to human ostension than the zoo-reared chimpanzees 191

in our test. We also tested two other closely related great ape species, bonobos and orangutans, in this
study, but mainly focus on the results for the chimpanzees in this article. We do so primarily for the
simplicity of analyses and reports: although we found that bonobos and orangutans were similar to
chimpanzees in terms of the key results, there were differences between the three species in their basic
responses to the human agent's gaze cues (but not to the ostensive *and* gaze cues). We discuss the bonobo
and orangutan results briefly in the main text and report them in greater detail in the Supplemental
Materials.

199 We used the actor's eye contact and calling of the participant ape's name as ostensive cues. One 200 potential methodological issue is that it remains unclear from previous studies what control cues are 201 appropriate for great apes. In particular, it remains unclear to what extent different control cues attract 202 apes' attention in comparison to the ostensive cues. Previous studies with human infants and dogs (Senju 203 and Csibra 2008; Téglás et al. 2012) used a visually salient object (presented on the top of the actor's 204 head) as a control for eye contact and a low-pitched, adult-directed voice as a control for a high-pitched, 205 infant/dog-directed voice. We could not use a low-pitched voice as a control because in their daily lives 206 the apes we tested often hear their names in a low pitch. We were also uncertain which visual cues could 207 be used as a control because of debates over the extent to which different control stimuli attracted covert 208 and overt attention to the actor's face in previous studies (see Szufnarowska et al. 2014 and also the 209 comments by Senju and Csibra in the same journal). We thus examined the effect of control cues using 210 several different attention-getters in Experiment 1-3 in order to explore to what extent the use of different 211 control cues altered the chimpanzees' responses to the actor's ostensive and gaze cues. Experiments 1-3 212 used the same design but differed in the types of control cue used. Experiment 1 used the actor's head 213 gesture with a voice (unrelated to the participant ape) as a control (partly following Szufnarowska et al. 214 2014). Experiment 2 used a visually salient object with an artificial sound on the actor's face as control 215 (following Senju and Csibra 2008; Téglás et al. 2012). Experiment 3 used the actor's eating action with a 216 crunch sound and a voice as control (a control used for the first time in this study). As a result, we could

- ensure that chimpanzees were similarly attentive to the human actor's ostensive and gaze cues across the
- 218 experiments.

219

220 Method

221 Participants

Experiment 1 tested 15 chimpanzees (*Pan troglodytes*) from the Wolfgang Koehler Primate Research 222 Center (WKPRC) in Leipzig, Germany. Experiment 2 tested 12 chimpanzees from the Kumamoto 223 224 Sanctuary (KS) in Kumamoto, Japan, and the Primate Research Institute (PRI) in Inuyama, Japan. 225 Experiment 3 tested the same 19 chimpanzees (5 from KS and 14 from WKPRC). We also tested 7 bonobos (Pan paniscus) and 7 orangutans (Pan troglodytes) from WKPRC in Experiment 1, 6 bonobos 226 227 from KS in Experiment 2, and the same 13 bonobos and 6 orangutans in Experiment 3 (see the Supplemental Material for the results from these species). We did not exclude any apes in this study. All 228 apes were reared in captivity and lived with conspecifics in enriched naturalistic environments at WKPRC, 229 230 KS, and PRI. All apes had some experience watching naturalistic movies for enrichment and in 231 experiments, although they were never explicitly trained for their gaze behavior. The chimpanzees from 232 KS (recently moved from Hayashibara Great Ape Research Institute, Okayama, Japan) and PRI had participated in numerous cognitive experiments since their youth. Consequently, they had more human 233 interaction experience than the chimpanzees from WKPRC (they are similar to, but technically not 234 235 'enculturated' chimpanzees, as enculturated chimpanzees are typically defined as those reared by humans 236 in human environments in literature; our chimpanzees were reared by their biological mothers or mostly 237 by their conspecific peers in a chimpanzee group. See Table S1 for more details about each participant).

238 Ethics statement

All participants were tested in the testing rooms prepared for each species, and their daily participation in this study was voluntary. They were given regular feedings, daily enrichment, and had ad libitum access to water. Animal husbandry and research protocol complied with international standards (the Weatherall report "The use of non-human primates in research") and institutional guidelines (KS: Wildlife Research Center "Guide for the Animal Research Ethics"; PRI: Primate Research Institute 2002 version of "The Guidelines for the Care and Use of Laboratory Primates"; WKPRC: "EAZA Minimum Standards for the Accommodation and Care of Animals in Zoos and Aquaria", "WAZA Ethical Guidelines for the Conduct
of Research on Animals by Zoos and Aquariums", "Guidelines for the Treatment of Animals in
Behavioral Research and Teaching" of the Association for the Study of Animal Behavior (ASAB)").

248 Apparatus

An infrared head-free eye-tracker recorded their eye movements [60 Hz; X120 in WKPRC and X300 in 249 250 KS and PRI; Tobii Technology AB, Stockholm, Sweden]. The eye-tracker and monitor were installed outside of the testing room. Apes watched the movies on the monitor through a transparent acrylic panel 251 (1-2 cm in thickness); we previously confirmed that this transparent acrylic panel does not interfere with 252 253 recording of eye movements (Kano et al. 2011). Apes were allowed to sip diluted grape juice via a 254 custom-made juice dispenser attached to the transparent acrylic panel (irrespective of their gaze behavior). In both facilities, the movies were presented at a viewing distance of 70 cm with a resolution of 1280×720 255 256 pixel on a 23-inch LCD monitor (43×24 degree) with Tobii Studio software (version 3.2.1). Two-point automated calibration was conducted for the apes by presenting a small object or movie clip on each 257 258 reference point. Each time before the recording session, we manually checked the accuracy and repeated 259 the calibration if necessary. Calibration errors were typically within a degree (Hirata et al. 2010; Kano et 260 al. 2011).

261 Stimuli and procedure

Each experiment had two conditions: an ostension condition and a control condition (Figure 1).

Experiment 1-3 used the same test (ostension) condition but differed in the types of control cues. The test and control conditions of Experiment 1-2 were designed based on the previous studies with human infants and domestic dogs (Senju and Csibra 2008; Szufnarowska et al. 2014; Téglás et al. 2012). In all experiments, in the ostension condition, a human actor faced the participant and initially looked down, with two identical objects ("still phase"), one on each side of him. After 2 seconds, the actor looked up, made eye contact, and called the participant ape's name twice (the actor opened his mouth twice, and each participant's unique name was dubbed into the mouth movements). This "cueing phase" lasted for

2.5 seconds. The actor then turned his head to one of the two same objects ("target;" the other object is 270 271 called "distractor") and kept still for the remaining time ("looking phase;" 5 seconds). The objects were 272 supported by the actor's hand while they rested on a table in Experiment 1-2, while they were mounted on 273 tripods to each side of the actor at eye level in Experiment 3 (see Results and Discussion for the reason 274 for these changes). The control condition was the same as the ostension condition except that the cueing 275 phase presented a non-communicative attention-getter instead of the actor's communicative cue. As a 276 control attention-getter, in Experiment 1, the actor nodded 3 times (following Szufnarowska et al. 2014) 277 and made a "hmm" sound (said as if to himself), during this action (Figure 1A). The actor repeated this action and sound twice during the cueing phase. In Experiment 2, a circle with red-white color patterns 278 279 (Figure 1B) rolled 360 degrees with a chime sound twice, once clockwise, and then counter-clockwise 280 (following Senju and Csibra 2008). In Experiment 3, the actor ate an apple with crunching and "hmm" 281 sounds (Figure 1C).

282 Each participant was tested in both conditions; each participant first completed all trials in one condition over several days, before completing the other condition on subsequent days (i.e. within-subject 283 284 design; one concern regarding this design is a carry-over effect, but note that a supporting analysis on the 285 first 6 trials—which mimicked a between-subject design—yielded the same results; see Supplemental 286 Material). The order of presentation of the conditions was counterbalanced between participants. Each 287 condition had 6 trials; in 3 trials the actor looked at the left object in the looking phase and in the other 3 288 trials, the actor looked at the right object. The presentation order of the cued side was pseudo-randomized so that no more than 2 successive trials cued the same side. Each trial presented one movie file. Each trial 289 290 presented a unique object as both target and distractor. Each participant received typically 2 trials a day 291 (max. 4 trials depending on the motivation of the participant). We did not exclude any trials based on apes' 292 performance or any other criteria.

293 Data analysis

294 The apes' eye-movement responses to each scene feature were coded automatically in the Tobii Studio software based on Area-Of-Interest (AOI). Apes' eye movement was filtered (fixations were extracted) 295 296 using Tobii Fixation Filter in the same software. The AOIs were defined for the actor's face, the target 297 object the actor gazed at and the distractor object the actor did not gaze at. The AOIs were drawn about 298 20% larger than the actual size of the face/object to avoid any fixation error (see Figure S1 for the defined 299 AOIs). To check if the actor's ostensive signals equally attracted apes' attention, we examined the 300 viewing times for the actor's face during each phase (still, cueing, and looking) in each condition. We 301 then examined apes' responses to the actor's gaze cues during the looking phase. We examined their first looks (i.e. their initial responses) either to the target or distractor object (the number of trials in which 302 they first looked either to the target or distractor object) and their total viewing times (i.e. their overall 303 304 responses in 5 seconds) to the target and distractor object (the total viewing times for the target and 305 distractor objects). Following previous studies with great apes (Hattori et al. 2010; Kano and Call 2014a), 306 but unlike in the original studies with human infants, we used raw scores of looking to the objects, instead 307 of the number of saccades from the face to the object (because apes typically do not attend to the actor's face as much as human infants do in the gaze-following context); however, preliminary analyses 308 309 confirmed that the results were the same using either of these measures.

310 It should be noted that the original studies used difference scores for both the first-look and 311 viewing-time measures (the response to the target minus the response to the distractor, divided by the sum 312 of these), while this study used raw scores of first-look and viewing time to the target and distractor. We 313 used raw scores and a repeated-measures ANOVA (instead of difference scores) because this method can 314 analyze participants' overall level of attention to *both* objects (target and distractor). This means that the 315 main effect of AOI (target, distractor) indicates the presence of gaze following (or more looks to the target than distractor), and the interaction effect of AOI and Condition (ostension, control) indicates the 316 difference in gaze-following responses between conditions. The main effect of Condition indicates more 317

- 318 looks to *both* target and distractor (which was not measured in the difference-score analysis). Thus, the
- 319 only difference between our analysis and those based on difference-scores is that ours did not involve

320 dividing the target-distractor differences by the target-distractor sum (because we were also interested in

- 321 the difference in the target-distractor sum between conditions). Our preliminary analyses, however,
- 322 confirmed that this method of calculation did not critically change our results (with regard to the presence
- 323 of gaze following and the difference in gaze following between conditions).

324

Results and Discussion for Experiment 1-3

326 Experiment 1 (WKPRC group).

To check if the actor's ostensive signals and control attention-getter similarly attracted chimpanzees' 327 attention to the actor's face (Figure 2A), we conducted a repeated-measures ANOVA with Condition 328 329 (Ostensive, Control) and Phase (Still, Cueing, Looking) on the chimpanzees' viewing times for the actor's 330 face. We found a significant main effect of Phase (F(2,28) = 33.92, p < 0.001, $\eta^2 = 0.71$) but no other significant main or interaction effects. This result indicates that chimpanzees attended more strongly to 331 the actor's face during the cueing phase than the other phases. The results also indicate that chimpanzees 332 attended equally strongly to the actor's face during all conditions (and more strongly during the cueing 333 334 than the still and looking phases). We then examined the chimpanzees' first-look and viewing-time responses toward the target and distractor objects during the looking phase (Figure 2A). A repeated-335 measures ANOVA with Condition (Ostensive, Control) and AOI (Target, Distractor) revealed no 336 significant main or interaction effects in the first-look response (Condition: F(1,14) = 3.06, p = 0.10, $\eta^2 =$ 337 0.18; AOI: F(1,14) = 2.89, p = 0.11, $\eta^2 = 0.17$; Interaction effect; F(1,14) = 0.02, p = 0.90, $\eta^2 = 0.001$) or 338 in the viewing-time response (Condition: F(1,14) = 3.98, p = 0.07, $\eta^2 = 0.22$; AOI: F(1,14) = 2.15, p = 0.07, $\eta^2 = 0.22$; AOI: F(1,14) = 0.15, p = 0.07, $\eta^2 = 0.22$; AOI: F(1,14) = 0.15, p = 0.07, $\eta^2 = 0.22$; AOI: F(1,14) = 0.15, p = 0.07, $\eta^2 = 0.22$; AOI: F(1,14) = 0.15, p = 0.07, $\eta^2 = 0.22$; AOI: F(1,14) = 0.15, p = 0.07, $\eta^2 = 0.22$; AOI: F(1,14) = 0.15, p = 0.07, $\eta^2 = 0.22$; AOI: F(1,14) = 0.15, p = 0.07, $\eta^2 = 0.22$; AOI: F(1,14) = 0.15, p = 0.07, $\eta^2 = 0.22$; AOI: F(1,14) = 0.15, p = 0.07, $\eta^2 = 0.22$; AOI: F(1,14) = 0.15, p = 0.07, $\eta^2 = 0.22$; AOI: F(1,14) = 0.15, p = 0.07, $\eta^2 = 0.07$, $\eta^2 = 0.22$; AOI: F(1,14) = 0.15, p = 0.07, $\eta^2 = 0.07$, $\eta^2 = 0.07$, $\eta^2 = 0.07$, $\eta^2 = 0.02$; AOI: F(1,14) = 0.15, p = 0.07, $\eta^2 = 0.07$, $\eta^2 = 0.07$, 339 0.10, $\eta^2 = 0.13$; Interaction effect; F(1,14) = 0.094, p = 0.76, $\eta^2 = 0.007$). 340

341 Experiment 2 (KS-PRI group).

In Experiment 1, chimpanzees responded to the actor's ostensive and gaze cues only weakly. This result 342 343 was not surprising given that this group of chimpanzees (zoo-reared: WKPRC) didn't follow a human 344 actor's gaze in a similar eye-tracking set-up (Kano and Call 2014a). In Experiment 2, we thus tested another group of chimpanzee participants (institute-reared: KS-PRI) who had richer experiences of 345 interacting with human experimenters/caregivers since youth. In addition, in the results, we noticed that 346 the control attention getter in Experiment 1 elicited apes' attention during the cueing phase slightly more 347 weakly than the actor's ostensive cues. Consequently, we used another type of control cue that has been 348 349 implemented in the previous studies with infants and dogs (Senju and Csibra 2008; Téglás et al. 2012).

350 As in Experiment 1, we first checked if the actor's ostensive signals and control attention-getter similarly attracted chimpanzees' attention to the actor's face (Figure 2B); a repeated-measures ANOVA 351 352 with Condition (Ostensive, Control) and Phase (Still, Cueing, Looking) on the chimpanzees' viewing 353 times for the actor's face revealed a significant main effect of Phase (F(2,22) = 26.43, p < 0.001, η^2 = 354 0.71), but no other significant main or interaction effects; consistent with Experiment 1. We then examined the chimpanzees' first-look and viewing-time responses during the looking phase (Figure 2B). 355 356 For the first-look responses, a repeated-measures ANOVA with Condition (Ostensive, Control) and AOI (Target, Distractor) revealed a significant main effect of AOI (F(1,11) = 7.29, p = 0.02, $\eta^2 = 0.40$), but no 357 significant effect of Condition (F(1,11) = 2.17, p = 0.17, $\eta^2 = 0.17$) or interaction between AOI and 358 Condition (F(1,11) = 0.007, p = 0.93, η^2 = 0.001). That is, chimpanzees made more first looks to the 359 360 target object than the distractor in both conditions. For the viewing-time responses, a repeated-measures 361 ANOVA with Condition (Ostensive, Control) and AOI (Target, Distractor) revealed a significant main effect of Condition (F(1,11) = 15.18, p = 0.002, $\eta^2 = 0.58$), but no significant main effect of AOI (F(1,11)) 362 = 2.29, p = 0.16, η^2 = 0.17) or interaction effect (F(1,11) = 0.33, p = 0.58, η^2 = 0.029). That is, 363 364 chimpanzees spent more time looking at both the target and distractor objects following the ostensive cue 365 than following the non-ostensive cue. These results suggest that, 1) ostensive cues did not enhance those chimpanzees' gaze-following responses more than control cues, 2) although the actor's gaze cues did 366 guide chimpanzees' looks to the target object (i.e., chimpanzees looked first to the target in both 367 368 conditions). However, 3) the actor's ostensive cues elicited greater looking to both objects (but not to the 369 actor's face per se) as compared with control cues.

An additional analysis for Experiment 1 and 2.

Experiment 1 and 2 consistently did not find significant interaction effects between Condition and AOI (i.e. a differential looking to the target and distractor between conditions), and the effect sizes were also small. In these experiments, however, the statistical results for the main effects of AOI/Condition were mixed; specifically, Experiment 1 found neither significant main effect of AOI nor that of Condition, while Experiment 2 found both (but in different eye-movement measures). Yet the result trends were
similar between the experiments (Figure 2). It thus remains unclear if the lack of significant effects
simply reflects insufficient power of the statistical tests (e.g. small sample sizes) or specific differences
between the experiments, such as the control cues used (the actor's shaking head vs. the animation on the
actor's head) or the chimpanzee groups tested (zoo-reared vs. institute-reared).

To test this, we conducted a combined analysis on the results from Experiment 1-2 with an 380 381 addition of the factor Group (WKPRC, KS-PRI). We first checked if the actor's ostensive signals and 382 control attention-getter similarly attracted chimpanzees' attention to the actor's face; a repeated-measures 383 ANOVA with Condition (Ostensive, Control), Phase (Still, Cueing, Looking), and Group (WK PRC, KS-384 PRI) on the chimpanzee's viewing times for the actor's face revealed a significant main effect of Phase $(F(2,48) = 55.85, p < 0.001, \eta^2 = 0.67)$, but no other significant main or interaction effects. We then 385 examined the chimpanzees' first-look and viewing-time responses during the looking phase. For the first-386 387 look responses, a repeated-measures ANOVA with Condition (Ostensive, Control), AOI (Target, 388 Distractor), and Group (WK PRC, KS-PRI) revealed a significant main effect of AOI (F(1,25) = 10.73, p = 0.003, η^2 = 0.30) and Condition (F(1,25) = 5.15, p = 0.032, η^2 = 0.17), but no significant interaction 389 effect (F(1,11) < 0.001, p = 0.99, η^2 < 0.001). The effect of Group (either main or interaction effect) was 390 391 not significant. These results indicate that chimpanzees followed the actor's gaze and looked first to the 392 target in both conditions across experiments but also looked more often (in more trials) at both the target 393 and distractor following the ostensive cue. For the viewing-time responses, a repeated-measures ANOVA with Condition (Ostensive, Control), AOI (Target, Distractor), and Group (WK PRC, KS-PRI) revealed 394 significant main effects of AOI (F(1,25) = 4.56, p = 0.043, η^2 = 0.15) and Condition (F(1,25) = 20.57, p < 395 0.001, $\eta^2 = 0.45$), but no significant interaction between AOI and Condition (F(1.25) = 0.18, p = 0.68, η^2 396 397 = 0.007). These results indicate that chimpanzees spent more time looking at the target across conditions 398 but showed more looking to both the target and distractor following the ostensive cue. The main effect of Group (F(1,25) = 7.95, p = 0.009, η^2 = 0.24) and the interaction effect of Group and Condition (F(1,25) = 399

400 5.43, p = 0.028, η^2 = 0.18) were also significant (the main effect of Condition was more evident in

401 Experiment 2/KS-PRI group). Conducting the analysis separately for each condition (on the viewing-time

402 responses) revealed that those two groups differed from one another in the ostensive condition (F(1,25) =

403 9.31, p = 0.005, η^2 = 0.27), rather than in the control condition (F(1,25) = 2.61, p = 0.12, η^2 = 0.095).

404 These results indicate that the KS-PRI chimpanzees responded to the actor's ostensive cues more strongly,

405 looking more to both objects, than WKPRC chimpanzees (at least in the viewing-time responses). Overall,

406 these combined analyses consolidated the findings from Experiment 1 and 2.

407 Experiment 3

Experiment 3 was conducted to further consolidate the findings from Experiment 1 and 2 with a different 408 409 control attention-getter and minor changes in the stimuli. In Experiment 1 and 2, there was still a concern that those control attention-getters might have been slightly weaker than the actor's ostensive signals to 410 411 attract apes' attention during the cueing phase. In Experiment 3, we used the actor's eating action as a control attention-getter because a previous eve-tracking study confirmed that eating action strongly 412 413 catches apes' attention (Kano et al. 2018). Moreover, in Experiment 1-2, there might be a concern that the actor holding both objects in his hands might confound the effect of gaze following (to the target objects) 414 and that of attention to manual actions (to both target and distractor objects). In Experiment 3, we thus 415 416 made minor changes in the configuration of the scenes in the movies so that the objects were mounted on 417 tripods to each side of the actor at eye level instead of supported by the actor's hand while they rested on a table. Finally, in Experiment 3, we tested the chimpanzees from both groups (WKPRC, KS-PRI) and 418 419 thus included the factor Group into the analyses.

As in Experiment 1 and 2, we first checked if the actor's ostensive signals and control attentiongetter similarly attracted chimpanzees' attention to the actor's face (Figure 2C); a repeated-measures ANOVA with Condition (Ostensive, Control), Phase (Still, Cueing, Looking), and Group (WKPRC, KS-PRI) on the chimpanzees' viewing times for the actor's face revealed a significant main effect of Phase (F(2,34) = 29.5, p < 0.001, $\eta^2 = 0.64$), but no other significant main or interaction effects; consistent with 425 Experiment 1 and 2. We then examined chimpanzees' first-look and viewing-time responses to the target 426 and distractor objects during the looking phase (Figure 2C). For the first-look responses, a repeatedmeasures ANOVA with Condition (Ostensive, Control), AOI (Target, Distractor), and Group (WKPRC, 427 428 KS-PRI) revealed a significant main effect of Condition (F(1,17) = 6.34, p = 0.022, η^2 = 0.27), but no significant main effect of AOI (F(1,17) = 1.43, p = 0.25, $\eta^2 = 0.08$) or interaction effect (F(1,17) = 0.054, 429 p = 0.82, $\eta^2 = 0.003$). The effect of Group (either main or interaction effect) was not significant. The main 430 431 effect of Condition indicates that chimpanzees looked more often (in more trials) at both the target and 432 distractor in the ostension than control condition. For the viewing-time responses, a repeated-measures ANOVA with Condition (Ostensive, Control), AOI (Target, Distractor), and Group (WKPRC, KS-PRI) 433 revealed a significant main effect of Condition (F(1,17) = 24.89, p < 0.001, η^2 = 0.59), but no significant 434 main effect of AOI (F(1,17) = 0.069, p = 0.80, $\eta^2 = 0.004$) or interaction effect (F(1,17) = 0.071, p = 0.79, 435 436 $\eta^2 = 0.004$). These results indicate that chimpanzees spent more time looking at both objects in the ostensive condition than in the non-ostensive condition. The main effect of Group (F(1,17) = 7.45, p = 437 0.014, $\eta^2 = 0.31$) and the interaction effect of Group and Condition (F(1,17) = 22.94, p < 0.001, $\eta^2 = 0.57$) 438 439 were also significant; the KS-PRI chimpanzees looked more to both objects than WKPRC chimpanzees. 440 Overall, the results from Experiment 3 were consistent with Experiment 1 and 2 (although we did not find 441 the main effect of AOI, but this is likely due to weaker power in this analysis compared with the combined analysis, rather than due to the changes in the stimulus; note that bonobos and orangutans 442 443 showed the main effect of AOI; see Supplemental Material).

444 Summary of the results for the other species (bonobos and orangutans)

We also tested bonobos in Experiment 1-3 and orangutans in Experiments 1 and 3 using the same stimuli and procedure. See Figure S2 and S3 for the summary of the results from bonobos and orangutans, respectively. Like chimpanzees, neither species followed the actor's gaze more sensitively in the ostension than control condition (i.e. no significant interaction between Condition and AOI). Interestingly, while orangutans were somewhat similar to chimpanzees in that they viewed both target and distractor

450	objects longer in the ostensive than control condition (i.e. a significant main effect of Condition at least in
451	Experiment 1), bonobos were not; in all three experiments, they spent similar time looking at the objects
452	in both conditions. Moreover, bonobos viewed the actor's face longer in the ostension than control
453	condition during the cueing phase. Presumably, such behaviors were driven by bonobos' reflexivity in
454	following others' gaze (Kano and Call 2014a) and their general sensitivity to eye contact with other
455	individuals (Kano et al. 2015).

458 General Discussion

This study tested whether humans' ostensive signals enhance gaze following in great apes, particularly in 459 460 chimpanzees. We found that, although chimpanzees did follow the actor's gaze (i.e., looked first to the target object following gaze cueing), unlike infants and domestic dogs, human ostensive signals did not 461 462 enhance gaze following more strongly than control attention-getters for chimpanzees (nor for bonobos or 463 orangutans; see supplementary materials). However, chimpanzees did distinguish between the ostensive 464 signals and the control attention-getters (as did orangutans to some extent, although not bonobos). In the 465 ostension condition, they spent more time attending to both the target object (the actor's intended 466 referent) and the distractor than in the control condition. Importantly, they did so even though they paid 467 an equal level of attention to the actor's face across conditions during both the cueing and looking phases. Thus, these results showed that the ostensive signals increased apes' attention specifically to the objects 468 469 but not to the actor following the actor's ostensive cues. Overall, therefore, chimpanzees seemed to expect 470 that the actor's ostensive signals would precede information specifically about the objects (rather than 471 about the actor). Nonetheless, this expectation seems more functionally limited than in human infants and 472 domestic dogs, because chimpanzees did not subsequently focus their attention on the intended referent of 473 the actor's communicative act.

474 The finding that humans' ostensive signals do not enhance gaze following in great apes is consistent 475 with the idea that human infants and domestic dogs are better at understanding humans' referential signals 476 than great apes and wolves (Hare et al. 2002; Topál et al. 2009). It thus suggests that non-domesticated 477 species such as great apes lack one of the skills (or perceptual/cognitive biases) that would help them to 478 understand or respond appropriately to human referential communication, while human infants and 479 domestic dogs have acquired such skills through ontogeny and evolution (Csibra and Gergely 2009; Senju 480 and Csibra 2008; Téglás et al. 2012). However, our results do suggest that apes understand, at least partly, 481 that humans' ostensive signals precede referential information, as they searched the environment longer after witnessing the ostensive signals compared to equally attention-grabbing non-ostensive signals. What 482

483 they clearly didn't do is attempt to specify the intended referent further, on the basis of the actor's gaze 484 behavior after seeing the ostensive signals. This might suggest that, although they understood a basic role 485 of humans' ostensive signals (i.e. the agent is trying to communicate something), they have failed to 486 understand the function of ostensive and gaze signals combined (i.e. the agent is trying to communicate 487 something about the cued object). Such reliance on environmental cues in apes may be observed not only 488 in communicative contexts but also in the context of apes' social referencing behavior in general – and 489 particularly in the context of research on chimpanzee imitation and emulation. Previous studies 490 consistently suggest that when apes watch others using tools, they preferentially attend to the features of an environment that permit certain sorts of causal affordances, while being relatively inattentive to the 491 492 particular techniques used by those whom they observe (Tennie et al. 2009; Tomasello 1999). By virtue 493 of attending to environmental affordances, apes can learn to use tools by watching others. However, 494 because they are inattentive to the particular techniques produced by agents, they are unable to reproduce 495 any arbitrary features of actions (this is sometimes described as a preference for emulation over imitation). 496 In contrast, human infants tend to show an opposite preference; they sometimes even over-imitate others 497 (Whiten et al. 2009). It may be that, when apes are seeking to gain information about their environment, 498 they have a stronger tendency than human infants to attend to the environment rather than to social cues – even when attending to social cues might prove particularly helpful. 499

500 The differences between our results with chimpanzees and previous results with human infants and 501 dogs are not due to differences in the particular control stimuli used in this and previous studies. 502 Experiment 2 presented a similar control attention-getter (a salient pattern on the actor's head) to that 503 used in previous studies (Senju and Csibra 2008; Téglás et al. 2012). Although the artificial nature of this 504 control attention-getter was considered a potential problem in other studies (Gredebäck et al. 2018; 505 Szufnarowska et al. 2014), in our study, this control condition produced similar results to the more natural 506 actions performed by the human actor in Experiments 1 and 3. Critically, while human infants and dogs in 507 previous studies (Senju and Csibra 2008; Téglás et al. 2012) followed the actor's gaze -looked more

frequently and longer at the cued object respectively in the first-look and viewing-time measures—only in the ostension conditions, apes in this study did not show such a pattern in either measure. Instead, we found that chimpanzees followed the actor's gaze across conditions (i.e., looked first to the cued target object) but continued to search longer (i.e., looked longer at both cued and non-cued objects) in the ostension conditions than the controls.

Interestingly, the differences between our results and previous ones with chimpanzees highlight the 513 514 potential importance of attention-getters in eliciting reliable gaze following. One notable difference between this and previous ape eye-tracking (gaze-following) studies is that chimpanzees followed the 515 516 human actor's gaze in this study; in previous studies that lacked ostensive or attention-getting signals, 517 chimpanzees did not follow human gaze (Hattori et al. 2010; Kano and Call 2014a). Thus, this study builds on previous work by providing evidence that the general presence of attention-getters, including 518 519 both ostensive signals and non-ostensive attention-getters, may help chimpanzees to follow human gaze 520 in this setting. This may explain why chimpanzees reliably follow the gaze of a human experimenter in a 521 live setup where the human experimenter typically ensures the chimpanzees' attention to the face (or at 522 least to the body) before providing the gaze cue (e.g. Call et al. 2000; Tomasello et al. 2007). 523 Interestingly, this same argument may apply, to some extent, to human infants. That is, while human 524 infants (and domestic dogs) showed limited gaze-following responses after seeing non-ostensive control 525 attention-getters in the two earliest studies (Senju and Csibra 2008; Téglás et al. 2012), in two more 526 recent studies (Gredebäck et al. 2018; Szufnarowska et al. 2014), they followed an actor's gaze after both 527 ostensive and non-ostensive actions (e.g. head shivering; but see Senju and Csibra's (2014) commentary 528 on the potential problems in Szefnarowska's article). It should be also noted that, unlike chimpanzees, 529 human infants and dogs, human adults should follow the actor's gaze in any condition because the task 530 demands in this and previous studies seem too easy for them. Our preliminary tests with human adults 531 indeed showed that human adults strongly followed the actor's gaze in both ostention and control 532 conditions (Figure S5). Thus, although the results of our three studies consistently show that apes do not

533 gaze follow more robustly in response to ostensive cues than various non-ostensive cues, if humans also 534 do not distinguish between those stimuli as efficiently as previously assumed, our conclusion about a 535 species difference between humans and the other apes must be tentative; further studies are necessary to 536 examine to what extent and in what circumstances humans distinguish between an actor's ostensive 537 signals and control actions in potentially communicative contexts.

538 It is noteworthy that chimpanzees who had richer early experiences interacting with human caregivers 539 paid more attention to the objects (but not to the actor's face) following the actor's ostensive cues than did other chimpanzees. This suggests that chimpanzees with more experience of human interaction are more 540 541 sensitive to humans' ostensive signals than are the less experienced chimpanzees. This is consistent with 542 previous findings that enculturated chimpanzees show improved performances in tests in which they need to locate hidden foods based on an experimenter's referential cues (Call and Tomasello 1994; Call and 543 Tomasello 1996; Lyn et al. 2010). Nonetheless, in our study, the ostensive signals did not enhance gaze 544 545 following in either group of chimpanzees. These results suggest that, although chimpanzees with richer experiences with humans are generally more sensitive to human signals, even those experienced 546 547 chimpanzees do not interpret humans' ostensive signals in the same way as human infants and domestic 548 dogs do.

549 An interesting question that can be addressed in future studies is whether the current findings can be extended to situations in which a conspecific ape actor, instead of a human actor, addresses participants 550 551 ostensively. In general, chimpanzees (but not bonobos and orangutans) are more likely to follow conspecific than human gaze (Hattori et al. 2010; Kano and Call 2014a). While such differences may be 552 553 explained by the fact that chimpanzees are more attentive to conspecific faces than human faces in the 554 context of gaze following (Kano and Call 2014a), it remains untested whether chimpanzees would be more likely to follow the gaze of a conspecific after seeing a combination of species-typical attention-555 556 getters (hand clapping, spattering) and indexical cues (e.g. gazing and extending arms).

557 In conclusion, we confirmed that, unlike human infants and domestic dogs, humans' ostensive signals 558 do not enhance gaze following in great apes. However, we also found that such signals do enhance 559 subsequent object-related attention or search behaviors in apes, at least in chimpanzee participants who 560 had richer early experiences of interacting with humans. Thus, they may, at least in part, expect ostensive 561 signals to precede referential information. However, instead of fully relying on referential cues, apes may search for additional environmental cues to interpret communication. This may be a limitation (or a lack 562 563 of human-like perceptual/cognitive bias) of non-domesticated species for interpreting humans' ostensive signals in inter-species communication. 564

565 Data availability

All data generated or analyzed during this study are included in this published article (and itssupplementary information files).

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735

736 Figure caption

737

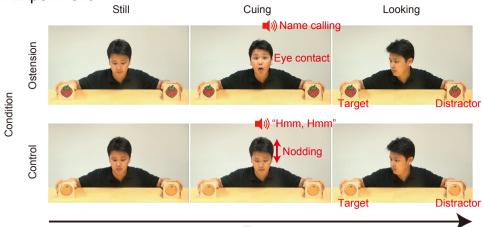
Figure 1. Movie stimuli used in Experiment 1-3 (A-C)

739

- Figure 2. Results from Experiment 1-3 (A-C). Mean viewing times for the actor's face in each phase of
- each condition (in 2.5 seconds), and number of first looks (in 6 trials) to the target or distractor object, and
- mean viewing times for the target or distractor object (in 5 seconds). Asterisks indicate the significance of
- the main effects (AOI-target/distractor, Condition-ostension/control). * p < 0.05, ** p < 0.01, *** p <

744 0.001

A. Experiment 1



Time

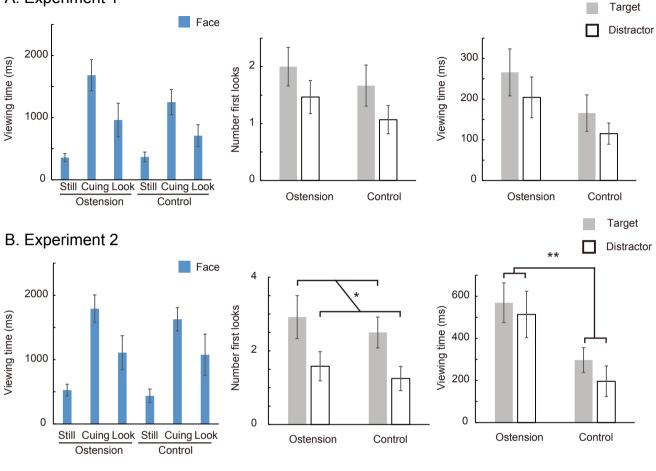
B. Experiment 2



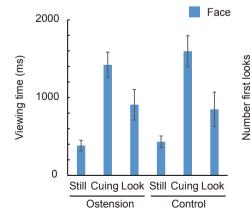
C. Experiment 3

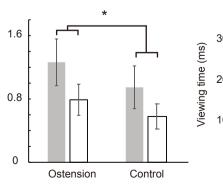


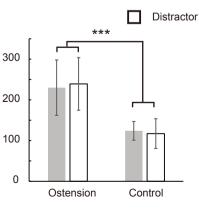




C. Experiment 3







Target

Supplemental Texts

"Within-subject" vs. "between-subject"

Due to sample size limitations, we used a within-subject design in this study, while previous studies with human infants and dogs used a between-subject design. One concern is that there might be a carry-over effect across conditions in this within-subject design, thereby enhancing chimpanzees' gaze-following responses particularly in the control condition. We therefore analyzed the data using the first 6 trials and removing the remaining 6 trials, mimicking a between-subject design (note that each participant first completed one condition in the first 6 trials, before completing the other condition in the remaining 6 trials). We found very similar results in this additional analysis compared with the original analysis (although the results were statistically weaker due to the reduced sample sizes; most importantly here, we did not find the interaction effect between Condition and AOI in either first-look or viewing-time responses).

Specifically, in all experiments, a repeated-measures ANOVA with Condition and Phase on the viewing times for the actor's face confirmed a significant main effect of Phase (Experiment 1: F(2,26) =37.02, p < 0.001, $\eta^2 = 0.74$; Experiment 2: F(2.20) = 19.76, p < 0.001, $\eta^2 = 0.66$; Experiment 3: F(2.30) = 18.89, p < 0.001, $\eta^2 = 0.56$), but no other significant main/interaction effects, confirming that chimpanzees viewed the actor's face during each phase similarly between conditions. We then conducted a repeated-measures ANOVA with Condition and AOI (and Group in some of the analyses) on the firstlook and viewing-time responses to the target/distractor objects. In Experiment 1, we found no significant effect in either first-look or viewing-time responses to the target/distractor. In Experiment 2, we found no significant effects in the first-look response. In the viewing-time response, we found a significant main effect of Condition (F(1,10) = 6.58, p = 0.028, $\eta^2 = 0.40$), but not a significant main effect of AOI or interaction effect. In the combined analysis for Experiment 1-2, we found a significant main effect of Condition (F(1,23) = 5.78, p = 0.025, $\eta^2 = 0.20$), but no significant main effect of AOI or Group, or interaction effect, in the first-look response. In the viewing time responses, we found a significant main effect of Condition (F(1,23) = 8.84, p = 0.007, $\eta^2 = 0.28$) and Group (F(1,23) = 4.31, p = 0.049, $\eta^2 = 0.16$), but no significant main effect of AOI or interaction effect. In Experiment 3, we found no significant effect in the first-look response. In the viewing time responses, we found a significant main effect of Condition $(F(1,15) = 13.59, p = 0.002, \eta^2 = 0.48)$ and Group $(F(1,15) = 7.65, p = 0.014, \eta^2 = 0.34)$ and the interaction effect between Condition and Group (F(1,15) = 7.35, p = 0.016, $\eta^2 = 0.33$; the effect was stronger in the KS-PRI group than in the WKPRC group), but no significant main effect of AOI or interaction effect.

Results for bonobos and orangutans

Figure S2 and S3 visualizes the results for bonobos and orangutans, respectively. See the main text for a summary of these results.

Bonobo

In Experiment 1, we tested 7 bonobos from WKPRC with the same stimuli and procedure as described in the main text (Figure 1A). A repeated-measures ANOVA with Condition and Phase on the viewing times for the actor's face confirmed a significant interaction effect between Condition and Phase (F(2,12) = 11.53, p = 0.002, $\eta^2 = 0.66$) as well as a significant main effect of Condition, F(1,6) = 6.23, p = 0.048, $\eta^2 = 0.51$, and Phase, F(2,12) = 41.29, p < 0.001, $\eta^2 = 0.66$). Bonobos viewed the actor's face longer in the ostensive than control condition during the cueing phase (paired t-test, t(6) = 3.33, p = 0.016). In the looking phase, a repeated-measures ANOVA with Condition and AOI on the first-look responses to the target/distractor objects revealed no significant effects. A repeated-measures ANOVA with Condition and AOI on the viewing-time responses to the target/distractor objects revealed no significant effects. A repeated-measures ANOVA with Condition and AOI on the viewing-time responses to the target/distractor objects revealed no significant effects. A repeated-measures ANOVA with Condition and AOI on the viewing-time responses to the target/distractor objects revealed a significant main effect of AOI (F(1,6) = 6.81, p = 0.040, $\eta^2 = 0.53$), but no significant main effect of Condition or interaction effect. Bonobos spent more time looking at the target than the distractor object across conditions.

In Experiment 2, we tested 6 bonobos from KS with the same stimuli and procedure as described in the main text (Figure 1B). A repeated-measures ANOVA with Condition and Phase on the viewing times for the actor's face confirmed a significant interaction effect between Condition and Phase (F(2,10) = 5.94, p = 0.020, $\eta^2 = 0.54$; also a significant main effect of Phase, F(2,10) = 14.93, p = 0.001, $\eta^2 = 0.75$). Bonobos tended to view the actor's face longer in the ostensive than control condition during the cueing phase (paired t-test, t(5) = 2.51, p = 0.054). In the looking phase, a repeated-measures ANOVA with Condition and AOI on the first-look responses to the target/distractor objects revealed no significant effects. A repeated-measures ANOVA with Condition and AOI on the viewing-time responses to the target/distractor objects revealed a significant main effect of AOI (F(1,5) = 6.93, p = 0.046, $\eta^2 = 0.58$), but no significant main effect of Condition or interaction effect. Again, bonobos spent more time looking at the target than the distractor object across conditions.

In Experiment 3, we tested the same 13 bonobos from WKPRC and KS with the same stimuli and procedure as described in the main text (Figure 1C). A repeated-measures ANOVA with Condition and Phase on the viewing times for the actor's face confirmed a significant main effect of Phase (F(2,24) = 53.97, p < 0.001, $\eta^2 = 0.82$), but no other significant main/interaction effects. In the looking phase, a repeated-measures ANOVA with Condition and AOI on the first-look responses to the target/distractor objects revealed a significant main effect of AOI (F(1,12) = 5.98, p = 0.031, $\eta^2 = 0.33$), but no significant

main effect of Condition or interaction effect. A repeated-measures ANOVA with Condition and AOI on the viewing-time responses to the target/distractor objects revealed a significant main effect of AOI $(F(1,12) = 6.71, p = 0.024, \eta^2 = 0.36)$, but no significant main effect of Condition or interaction effect. Bonobos looked first and looked longer to the target than the distractor object across conditions.

Orangutan

In Experiment 1, we tested 7 orangutans from WKPRC with the same stimuli and procedure as described in the main text (Figure 1A). A repeated-measures ANOVA with Condition and Phase on the viewing times for the actor's face confirmed a significant main effect of Phase (F(2,12) = 33.61, p < 0.001, η^2 = 0.85), but no other significant main/interaction effects. Like the other species, orangutans attended to the actor's face more in the cueing phase than in the other phases. In the looking phase, a repeated-measures ANOVA with Condition and AOI on the first-look responses to the target/distractor objects revealed no significant effects. A repeated-measures ANOVA with Condition and AOI on the viewing-time responses to the target/distractor objects revealed a significant main effect of Condition (F(1,6) = 16.41, p = 0.007, $\eta^2 = 0.73$), but not a significant main effect of AOI or interaction effect. Like chimpanzees, orangutans spent more time looking at the objects following the ostensive cue than the non-ostensive cue.

Experiment 2 was skipped with this species due to the unavailability of the participants at that time.

In Experiment 3, we tested the same 6 orangutans from WKPRC with the same stimuli and procedure as described in the main text (Figure 1C). A repeated-measures ANOVA with Condition and Phase on the viewing times for the actor's face confirmed a significant main effect of Phase (F(2,10) = 50.87, p < 0.001, $\eta^2 = 0.91$), but not the other significant main/interaction effects. Orangutans attended to the actor's face more in the cueing phase than in the other phases. In the looking phase, a repeated-measures ANOVA with Condition and AOI on the first-look responses to the target/distractor objects revealed a significant main effect of AOI (F(1,5) = 7.35, p = 0.042, $\eta^2 = 0.60$), but no significant main effect of Condition or interaction effect.. A repeated-measures ANOVA with Condition and AOI on the viewing-time responses to the target/distractor objects revealed a significant main effect of AOI (F(1,5) = 11.20, p = 0.020, $\eta^2 = 0.69$), but no significant main effect of Condition or interaction distractor objects revealed a significant main effect. Like bonobos, orangutans looked first and looked longer to the target than the distractor object across conditions.

Follow-up experiments

We conducted two follow-up experiments in response to the reviewers' comments. The first comment was increasing the number of distractors, and/or presenting different (rather than identical) objects as the distractors may change the results. To address this comment, we tested the 8 PRI chimpanzees with stimuli identical to those used in experiment 3 except we used 3 different objects as distractors (Figure S4A). We found the similar results (Figure S4B, see its caption for the statistical results) regardless of those changes.

The second comment was regarding how human adults would perform in the same task. That is, the previous human studies have tested only young infants but not human adults. Human adults may perform differently from human infants, and the results with human adults may not be different from those with great apes. To address this issue, we tested 20 human adults (13 females, all Japanese) using the stimuli similar to those used with infants (Figure S5A). We tested the participants in a standard office and they provided written informed consent before participating in the study. We found that human adults indeed performed differently from human infants tested in previous studies, namely they followed the actor's gaze in both conditions (Figure S5B). No difference was observed between conditions (except the significant tendency in the first-look measure; see Figure S5 caption for statistical results). However, unlike apes, their performance seemed to reach ceiling levels in both conditions. Our chimpanzee participants did not respond to the actor's gaze as sensitively as did human adults; thus, the results were not equivalent between chimpanzees and humans. The institute-reared chimpanzees or bonobos were more sensitive than the zoo-reared chimpanzees to the actor's gaze. Nonetheless, we found no evidence that the ostensive signals enhanced the gaze-following responses in any of those ape groups.

Thus, although we confirmed that human adults responded differently from human infants and apes, the ceiling effects are problematic, potentially precluding the appearance of any potential differences between conditions. Also, it is possible that, as pointed out by Szufnarowska et al. (2014) and Gredebäck et al. (2018), obtaining attention to the actor's face during the cuing phase may be sufficient to elicit gaze following in humans. Addressing this issues is beyond the scope of this study but should be addressed in future comparative and developmental studies. One idea for future research is to use cinema-like stimuli in which the actor's gaze cues are embedded within a series of actions in a complex scenario that mimics the natural contexts where human adults typically see others' ostensive signals.

Supplemental Tables and Figures

Species	Participated	Name	Facility	Age Class	Rearing history
Chimpanzee	Exp. 1	Kara	WKPRC	Adult	Mother
Chimpanzee	Exp. 1	Lome	WKPRC	Adult	Mother
Chimpanzee	Exp. 1	Natasha	WKPRC	Adult	Mother
Chimpanzee	Exp. 1 & 3	Alex	WKPRC	Adult	Nursery/peer
Chimpanzee	Exp. 1 & 3	Bangolo	WKPRC	Juvenile	Mother
Chimpanzee	Exp. 1 & 3	Daza	WKPRC	Adult	Mother
Chimpanzee	Exp. 1 & 3	Frederike	WKPRC	Adult	Mother
Chimpanzee	Exp. 1 & 3	Fraukje	WKPRC	Adult	Nursery/peer
Chimpanzee	Exp. 1 & 3	Jahaga	WKPRC	Adult	Mother
Chimpanzee	Exp. 1 & 3	Jeudi	WKPRC	Adult	Mother
Chimpanzee	Exp. 1 & 3	Kofi	WKPRC	Adult	Mother
Chimpanzee	Exp. 1 & 3	Lobo	WKPRC	Adult	Mother
Chimpanzee	Exp. 1 & 3	Riet	WKPRC	Adult	Nursery/peer
Chimpanzee	Exp. 1 & 3	Robert	WKPRC	Adult	Mother
Chimpanzee	Exp. 1 & 3	Sandra	WKPRC	Adult	Mother
Chimpanzee	Exp. 2	Ai	PRI	Adult	Wildborn/peer
Chimpanzee	Exp. 2	Akira	PRI	Adult	Wildborn/peer
Chimpanzee	Exp. 2	Chloe	PRI	Adult	Mother
Chimpanzee	Exp. 2	Cleo	PRI	Adult	Mother
Chimpanzee	Exp. 2	Mari	PRI	Adult	Wildborn/peer
Chimpanzee	Exp. 2	Pal	PRI	Adult	Mother
Chimpanzee	Exp. 2	Pan	PRI	Adult	Nursery/peer
Chimpanzee	Exp. 2 & 3	Misaki	KS	Adult	Mother
Chimpanzee	Exp. 2 & 3	Hatsuka	KS	Juvenile	Nursery/peer
Chimpanzee	Exp. 2 & 3	Iroha	KS	Juvenile	Mother
Chimpanzee	Exp. 2 & 3	Mizuki	KS	Adult	Nursery/peer
Chimpanzee	Exp. 2 & 3	Natsuki	KS	Adult	Mother
Chimpanzee	Exp. 3	Frodo	WKPRC	Adult	Mother

Table S1. Participant information.

Chimpanzee	Exp. 3	Tai	WKPRC	Adult	Mother
Orangutan	Exp. 1	Batak	WKPRC	Juvenile	Mother
Orangutan	Exp. 1 & 3	Dokana	WKPRC	Adult	Mother
Orangutan	Exp. 1 & 3	Padana	WKPRC	Adult	Mother
Orangutan	Exp. 1 & 3	Pini	WKPRC	Adult	Nursery/peer
Orangutan	Exp. 1 & 3	Raja	WKPRC	Adult	Mother
Orangutan	Exp. 1 & 3	Suaq	WKPRC	Juvenile	Mother
Orangutan	Exp. 1 & 3	Tanah	WKPRC	Juvenile	Mother
Bonobo	Exp. 1	Jasongo	WKPRC	Adult	Mother
Bonobo	Exp. 1	Joey	WKPRC	Adult	Nursery/peer
Bonobo	Exp. 1	Luiza	WKPRC	Adult	Mother
Bonobo	Exp. 1 & 3	Fimi	WKPRC	Juvenile	Mother
Bonobo	Exp. 1 & 3	Gemena	WKPRC	Adult	Mother
Bonobo	Exp. 1 & 3	Kuno	WKPRC	Adult	Nursery/peer
Bonobo	Exp. 1 & 3	Yasa	WKPRC	Adult	Mother
Bonobo	Exp. 2 & 3	Ikela	KS	Adult	Nursery/peer
Bonobo	Exp. 2 & 3	Junior	KS	Adult	Mother
Bonobo	Exp. 2 & 3	Connie-Lenore	KS	Adult	Mother
Bonobo	Exp. 2 & 3	Lolita	KS	Adult	Nursery/peer
Bonobo	Exp. 2 & 3	Louise	KS	Adult	Nursery/peer
Bonobo	Exp. 2 & 3	Vijay	KS	Adult	Nursery/peer
Bonobo	Exp. 3	Kasai	WKPRC	Juvenile	Mother
Bonobo	Exp. 3	Lexi	WKPRC	Adult	Nursery/peer
Bonobo	Exp. 3	Yaro	WKPRC	Juvenile	Mother

* Rearing histroy: whether the ape participants were mainly reared by their biological mothers (mother) or human caregivers and nonrelated conspecifics (nursery/peer), or wild-born (then reared by human caregivers and nonrelated conspecifics).

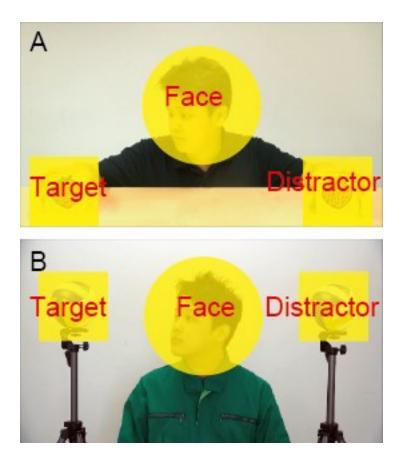


Figure S1. A. Areas-Of-Interest defined for the actor's face, the looking target and the distractor in Experiments 1 and 2 and B. in Experiment 3.

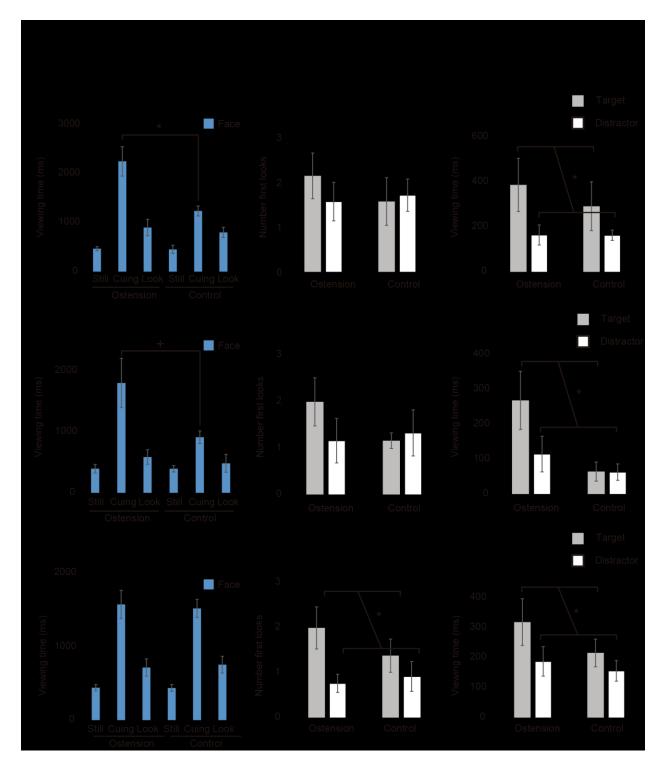


Figure S2. Results for bonobos from Experiment 1-3 (A-C). Mean viewing times for the actor's face in each phase of each condition (in 2.5 seconds), and number of first looks (in 6 trials) to the target or distractor object, and mean viewing times for the target or distractor object (in 5 seconds). + p < 0.1, * p < 0.05.

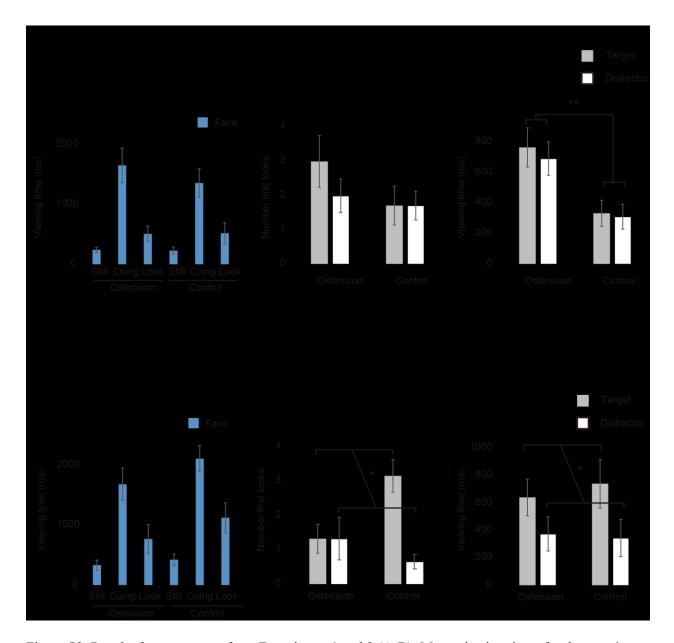


Figure S3. Results for orangutans from Experiment 1 and 3 (A-B). Mean viewing times for the actor's face in each phase of each condition (in 2.5 seconds), and number of first looks (in 6 trials) to the target or distractor object, and mean viewing times for the target or distractor object (in 5 seconds). * p < 0.05, ** p < 0.01.



Figure S4. Results for a follow-up test with the 8 PRI chimpanzees (institute-reared). The stimuli were identical to experiment 3's except that different objects were used as the distractors and the number of distractors were increased to 3. A repeated-measures ANOVA with Phase (Still, Cuing, Look) and Condition (Ostension, Control) on the viewing times for the actor's face revealed a significant main effect of Phase (F(2,14) = 32.95, p < 0.001, $\eta^2 = 0.83$). A repeated-measures ANOVA with AOI (Target, Distractor1, Distractor2, Distractor3) and Condition (Ostension, Control) on the viewing times for the objects revealed a significant main effect of Condition (F(1,7) = 14.80, p = 0.006, $\eta^2 = 0.68$). The other main/interaction effects were not significant. Therefore, these results were similar to those from Experiment 3.

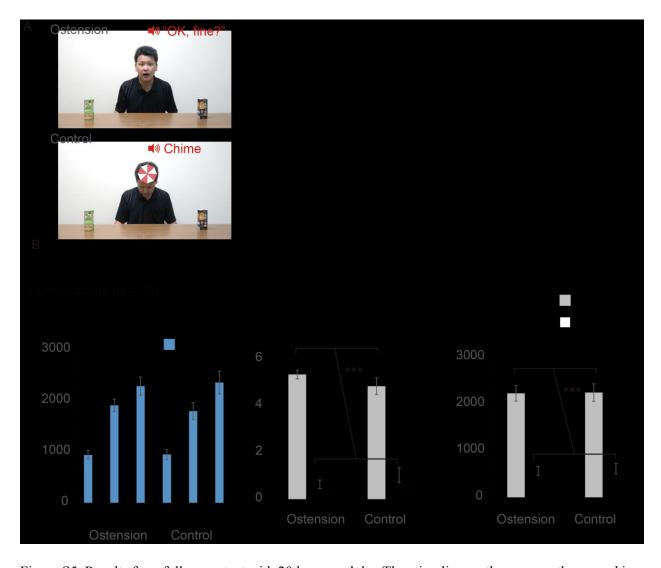


Figure S5. Results for a follow-up test with 20 human adults. The stimuli were the same as those used in Experiment 2 (and therefore similar to those used in the original infant study). The actor made eye contact and said non-directional communicative words ("OK, fine?" in Japanese) in the ostension condition. A visually-salient object and a chime attracted the participants' attention in the control condition. A repeated-measures ANOVA with Phase (Still, Cuing, Look) and Condition (Ostension, Control) on the viewing times for the actor's face revealed a significant main effect of Phase (F(2,38) = 43.81, p < 0.001, $\eta^2 = 0.70$). A repeated-measures ANOVA with AOI (Target, Distractor) and Condition (Ostension, Control) on the first looks to the objects revealed a significant main effect of AOI (F(1,19) = 81.96, p < 0.001, $\eta^2 = 0.70$) and a marginally significant interaction effect of Condition and AOI (F(1,19) = 3.08, p = 0.095, $\eta^2 = 0.14$). A repeated-measures ANOVA with AOI (Target, Distractor) and Condition (Ostension, Control) on the viewing times for the objects revealed a significant main effect of AOI (F(1,19) = 3.08, p = 0.095, $\eta^2 = 0.14$). A repeated-measures ANOVA with AOI (Target, Distractor) and Condition (Ostension, Control) on the viewing times for the objects revealed a significant main effect of AOI (F(1,19) = 7.32, p < 0.001, $\eta^2 = 0.80$). The other main/interaction effects were not significant. We also

analyzed the same data with the difference-score analysis (used in the original study with infants) and found similar results [first look: ostention (mean \pm SE), 0.76 \pm 0.06, control, 0.66 \pm 009, ostension vs. control, t(19) = 1.91, p = 0.07, total looks: ostension, 0.75 \pm 0.08, control, 0.56 \pm 0.13, ostension vs. control, t(19) = 1.27, p = 0.22].