Electric field gradients of transition metal complexes from density functional theory: assessment of functionals, geometries and basis sets. †,‡

Ragnar Bjornsson^a and Michael Bühl*^a

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Electric field gradients (EFGs) were computed for the first-row transition metal nuclei in $Cr(C_6H_6)(CO)_3$, MnO_3F , $Mn(CO)_5H$, $MnCp(CO)_3$, $Co(CO)_4H$, $Co(CO)_3(NO)$ and $VCp(CO)_4$, for which experimental gas-phase data (in form of nuclear quadrupole coupling constants) are available from microwave spectroscopy. A variety of exchange-correlation functionals were assessed, among which range-separated hybrids (such as CAM-B3LYP or LC-ωPBE) perform best, followed by global hybrids (such as B3LYP) and gradient-corrected functionals (such as BP86). While large basis sets are required on the metal atom for converged EFGs, smaller basis sets can be employed on the ligands. In most cases, EFGs show little sensitivity toward the geometrical parameters.

1 Introduction

In chemistry, gas-phase experiments are indispensible for a number of reasons: Firstly, they furnish fundamental insights into the intrinsic chemistry of molecules unperturbed by a surrounding medium or solvent. Secondly, they provide key reference data for assessment of computational methods aspiring to understand and predict this chemistry. Such validation is particularly dearly needed in the blooming field of density functional theory (DFT), where the success or failure of a particular exchange-correlation functional is hard to predict beforehand. Systematic DFT performance studies have fertilised computational transition-metal chemistry tremendously, and will continue to do so as new functionals are constantly being developed.

Apart from geometries and energies, spectroscopic properties of transition-metal complexes are important targets for DFT. A key quantity that can affect such spectroscopic observation (or, in fact, enable it in the first place) is the electric field gradient (EFG) at a quadrupolar nucleus. Any nucleus having spin $I > \frac{1}{2}$ has a non-spherical nuclear charge distribution and thus possesses an electric quadrupole moment. The latter can interact with the charge distribution generated by the surrounding nuclei and electrons, an interaction that is governed by the EFG at the nucleus in question. Over 40 % of the stable nuclides of the periodic table are quadrupoles.

The quadrupole interaction is observable through a variety of techniques. The most direct way is through nuclear quadru⁴⁵ pole resonance spectroscopy (NQR), but it can also surface in microwave (MW), Mössbauer, electron-nuclear double resonance (ENDOR) and, probably most importantly, NMR spectroscopy. The quadrupole interaction affects the lineshape of NMR signals by providing a relaxation mechanism for the nuclear spin states, resulting in quadrupolar line broadening.

This effect is described by two independent parameters, the nuclear quadrupole coupling constant (NQCC) and the asymmetry parameter, which can both be refined from high-resolution anisotropic NMR spectroscopy, usually in the solid state. The NQCC or $\mathbf{C}_{\mathcal{Q}}$ is proportional to the product of the nuclear quadrupole moment, \mathcal{Q} , and the largest component of the EFG, \mathbf{V}_{zz} , as shown in equation 1.

$$C_Q = \frac{e^2 Q V_{zz}}{h} \tag{1}$$

Defined as the second derivative of the electric potential, the 60 EFG is a symmetric nine-component traceless tensor, evaluated at the position of each nucleus. Diagonalising the tensor by reorienting in the principal axis system affords the three principal components V_{xx} , V_{yy} and V_{zz} (the diagonal) where $|V_{xx}| \ge |V_{yy}| \ge |V_{xx}|$. The EFG is zero when the charge 65 distribution around the nucleus has cubic symmetry. In all other cases, the EFG is a sensitive probe into the electronic structure as it depends directly on the electronic and nuclear distribution around the quadrupole. The EFG can thus be utilized to probe structural and chemical features of a 70 molecule or solid whenever the quadrupole interaction parameters can be obtained. Calculation of NQCCs have for example been successfully used to elucidate the structure and enzyme mechanism of transition metal-containing enzyme cofactors where X-ray structures gave an incomplete picture.⁴

Even though the EFG is a first-order property and can be calculated directly as an expectation value, accurate quantum-chemical calculations can be quite involved because large basis sets and highly correlated wavefunctions may be needed.⁵ For larger transition metal complexes, DFT is often the only practicable quantum chemical method, MP2 generally performing poorly and higher correlated methods, like coupled cluster, often being out of reach. Quantum chemical calculations of EFG tensors of transition metal so complexes and solids are starting to become common,⁶ but

few studies have systematically explored the accuracy of the methods that are in use, and these studies have mostly focused on small diatomics. Schwerdtfeger et al. showed that for simple diatomic first-row transition metal (especially copper) compounds, EFG tensors calculated using several common DFT functionals are greatly in error (even yielding the wrong sign of V_{zz}), when compared to both experimental and CCSD(T) data. In contrast, calculated EFG tensors of maingroup compounds compare generally well with experiment.

As previous studies had mostly focused on diatomic metal compounds (and on the troublesome group 11 of the periodic table, where relativistic effects are particularly pronounced), we felt a study on the performance of DFT methods for calculating EFG tensors of larger metal complexes with saturated ligand shell and less exotic electronic structures was needed. Such a study would allow one to make a better estimate of errors to anticipate from EFG calculations of transition metal complexes with DFT, help in deciding what functional to choose and hopefully shed some light on why transition metal EFG tensors seem to be harder to calculate accurately than main group EFG tensors. In contrast to EFG tensors of group 11 compounds, relativistic effects have been shown to be negligible for ⁵⁷Fe EFG tensors⁸ and were not ²⁵ considered in our study.

Many experimental studies of the quadrupole interaction in transition metal complexes involve solid-state NMR spectroscopy. While this technique can often accurately determine the EFG parameters, the latter also encode long-range intermolecular effects. As we want to assess the intrinsic accuracy of DFT methods for single molecules, gas-phase experimental data is therefore preferable as reference. For this purpose we have now tested the performance of DFT methods for calculating EFG tensors of first-row transition-metal complexes, for which accurate NQCCs are available from gas-phase MW spectroscopy. Special attention is called to the basis-set dependence of calculated EFGs, the importance of the molecular geometry and the accuracy of *ab initio* methods in comparison with the DFT and experimental results.

2 Computational details

Geometries of the metal complexes were optimized at the BP86/AE1 level, i.e. employing the exchange and correlation functionals of Becke and Perdew, together with a fine integration grid (75 radial shells with 302 angular points per shell), and an all-electron basis, AE1, consisting of the augmented 8s7p4d Wachters' basis on the metals and 6-31G* basis on all other elements (6-31G** was used for the hydride complexes 6 and 7). This level has been shown to reproduce gas-phase electron-diffraction (GED) and MW geometries resonably well. Geometries optimised with the TPSS functional (the best performer in that study) and several different basis sets, as well as experimental gas-phase structures were also tested in order to assess the sensitivity of the EFG tensor toward geometrical parameters.

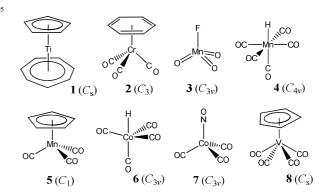
Electric field gradients were calculated using a range of available density functionals available in the Gaussian 03, ¹⁴ Gaussian 09, ¹⁵ NWChem¹⁶ and ORCA¹⁷ packages. Functionals comprise LDA, ¹⁸ BP86, ⁹ PBE, ¹⁹ TPSS, ¹³ B3LYP, ²⁰ PBE0 (hybrid), ²¹ TPSSh, ¹³ M06, ²² LC-ωPBE, ²³ CAM-B3LYP, ²⁴ and B2-PLYP. ²⁵ The basis-set dependence of EFG tensors was also assessed using several basis sets (and mixed combinations of them), namely cc-pVTZ²⁶, 6-311+G(2d,p)²⁷, 6-31G*¹¹, AE1, def2-SVP²⁸, def2-TZVPP²⁸ and def2-QZVPP²⁸ with and without additional diffuse functions from the aug-cc-pVQZ basis set²⁹. EFG calculations were also performed with *ab initio* methods, using the HF, MP2 and CCSD approximations. ⁷⁰ B2-PLYP and M06 computations were done using ORCA and NWChem, respectively, all others employed the Gaussian suite of programs.

The experimental NQCC data was converted into EFG V_{zz} values using equation 1 and the latest quadrupole moments from Pyykkö. The V_{zz} values in atomic units (au), while not very intuitive, make the experimental data directly comparable towards each other (NQCC data depends on the magnitude of the quadrupole moment of each nuclide as well). Calculated V_{zz} values were compared to experimental V_{zz} values and mean absolute (MAE), mean (ME) and maximum errors (MaxE) and slopes of linear regression lines were determined.

ss It is important to recall³¹ that an opposite sign convention is used in the Gaussian programs for the principal component values of the EFG. We report Gaussian-style V_{zz} values, noting that the sign must be reversed when using equation 1 to compare calculated NQCCs with MW data.

3 Results and discussion

The set of first-row transition metal complexes, for which experimental gas-phase NQCC data are available, is displayed in Scheme 1.



Scheme 1: Test set for EFGs of first-row transition-metal complexes

peometries with the large def2-QZVPP basis set) are collected in Table 1. When comparing these data (and all subsequently calculated ones) to experiment, an unusually large dis

Table 1. EFG calculations with different functionals: Vzz values in au, a compared to gas-phase MW data.

									LC-	CAM-	RI-B2-	
Comp.	LDA	BP86	PBE	TPSS	B3LYP	PBE0	TPSSh	M06	ωΡΒΕ	B3LYP	PLYP	Exp.
1	-0.790	-0.726	-0.714	-0.719	-0.775	-0.765	-0.737	-0.558	-0.866	-0.842	-0.781	-0.115^{32}
2	-0.348	-0.326	-0.316	-0.322	-0.339	-0.329	-0.328	-0.388	-0.433	-0.380	-0.600	-0.344^{33}
3	-0.569	-0.567	-0.554	-0.514	-0.451	-0.395	-0.465	-0.511	-0.280	-0.359	-0.115	-0.217^{34}
4	0.500	0.502	0.495	0.499	0.533	0.544	0.514	0.565	0.566	0.553	0.555	0.570^{35}
5	-0.782	-0.758	-0.730	-0.742	-0.812	-0.817	-0.773	-0.874	-0.910	-0.869	-0.839	-0.877^{36}
6	-0.811	-0.880	-0.869	-0.926	-1.123	-1.165	-1.082	-1.258	-1.164	-1.212	-0.407	-1.182^{37}
7	-0.423	-0.401	-0.400	-0.398	-0.478	-0.520	-0.442	-0.538	-0.581	-0.541	-0.466	-0.356^{38}
8	-0.378	-0.340	-0.337	-0.331	-0.294	-0.281	-0.326	-0.331	-0.289	-0.285	-0.211	-0.390^{39}
MAE^b	0.139	0.136	0.142	0.126	0.088	0.081	0.096	0.095	0.076	0.075	0.211	
ME^b	-0.002	0.004	0.012	0.009	-0.024	-0.024	-0.015	-0.077	-0.042	-0.043	0.102	
$MaxE^b$	-0.371	0.351	0.337	0.297	0.234	0.178	0.248	0.295	0.225	0.185	-0.775	
Slopeb	0.741	0.767	0.753	0.787	0.912	0.942	0.874	0.994	0.985	0.982	0.649	

^aGaussian-style, QZVPP basis and BP86/AE1 geometries.

crepancy became apparent for the Ti complex 1. Irrespective of the level of theory, the computed V_{zz} values are larger than the experimental ones by roughly a whole order of magnitude. A reinvestigation of the original measurements is underway. Trusting that this discord will be sorted out eventually, we have omitted the data for 1 from the statistical analysis. They are, nevertheless, included at selected levels in the tables for future reference.

The EFG results with the local density approximation (LDA) are interestingly in reasonable agreement with experiment, much better than the Hartree-Fock data (see later). Curiously, the generalized-gradient approximation (GGA) does not improve upon LDA. The BP86 functional is marginally better while the PBE results are even slightly worse (compare, for instance, the mean absolute errors, MAE, in Table 1). The meta-GGA approximation exemplified by the TPSS functional performs slightly better than LDA.

The (global) hybrid functionals B3LYP, PBE0 and TPSSh, on the other hand, give considerably better results than their parent GGA variants. We also considered the newer rangeseparated hybrid functionals. The long-range corrected LC-30 wPBE, that has the correct asymptotic behaviour of the exchange interaction, and the parameterized range-separated CAM-B3LYP hybrid functional give similar results and are in fact the best performers of all the DFT methods. For LCωPBE and CAM-B3LYP, the observed V_{zz} values are 35 reproduced with a MAE of 0.075-0.076 au, or ca. 4% of the total range covered (1.75 au). Several other DFT functionals were also considered, and the data can be found as electronic supplementary material (ESI). The results for the functionals in Table 1 can be considered to be representative for their 40 respective families: i.e. LDA, GGA, meta-GGA, global and range-separated hybrids. The relative performance of selected functionals is illustrated in Figure 1.

Errors in EFG tensors for both the Cu and Cl atoms in CuCl were found to correlate with errors in the dipole moment using the tested *ab initio* and DFT methods. It was argued that several DFT methods may incorrectly describe the charge distribution leading to the EFG errors and that this may mostly be due to shortcomings of the exchange functional. As the total charge has to be conserved, even the long-range exchange interaction may be of importance for an accurate description of the EFG tensor. This argument may serve as rationalisation for the good performance of the non-local hybrid functionals. On the other hand, the double-hybrid functional B2-PLYP performs rather poorly (last DFT entry in Table 1), despite the inclusion of non-local correlation.

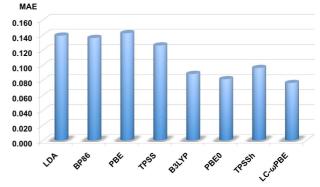


Figure 1: Histogram of the mean absolute errors in DFT- 60 computed EFGs (V_{zz} values in a.u.) for selected functionals from Table 1.

The QZVPP basis on all atoms is quite large and should be close to the DFT basis set limit Such extended basis sets are, 65 however, not feasible in practice for larger systems. Searching

^bMean absolute error (MAE), mean error (ME), maximum error (MaxE) and slope from a linear regression of DFT vs. ⁵ experimental data (excluding complex 1, see text).

Table 2. B3LYP-computed EFGs $(V_{zz}$ values in au) using different basis sets, a together with the mean absolute error $(MAE)^b$ with respect to aug-QZVPP (in parentheses: total number of basis functions for complex 5).

Comp.	aug-QZVPP (1268)	QZVPP (877)	QZVPP/ TZVPP (511)	-QZVPP/ SVP (279)	QZVPP/ 6-31G* (264)	Wachters/ 6-31G* (213)	TZVPP (475)	TZVPP/ 6-31G* (228)	6-311+ G(2d,p) (392)	cc-pVTZ (468)
1	-0.781	-0.775	-0.776	-0.798	-0.809	-1.056	-0.887	-0.904	-1.031	-0.774
2	-0.344	-0.339	-0.340	-0.330	-0.338	-0.402	-0.409	-0.409	-0.404	-0.304
3	-0.411	-0.451	-0.441	-0.338	-0.333	-0.392	-0.328	-0.342	-0.375	-0.335
4	0.523	0.533	0.522	0.522	0.529	0.621	0.550	0.554	0.649	0.577
5	-0.814	-0.812	-0.809	-0.802	-0.795	-0.960	-0.903	-0.909	-0.965	-0.809
6	-1.183	-1.123	-1.136	-1.134	-1.180	-1.384	-1.175	-1.170	-1.379	-1.163
7	-0.457	-0.478	-0.469	-0.477	-0.483	-0.649	-0.554	-0.548	-0.599	-0.521
8	-0.291	-0.294	-0.285	-0.269	-0.244	-0.323	-0.318	-0.325	-0.304	-0.233
MAE		0.020	0.015	0.027	0.026	0.106	0.056	0.057	0.103	0.045

^aNotation "basis on metal/basis on ligands". ^bExcluding 1.

for more economical basis-set combinations, we carried out additional EFG calculations with the B3LYP functional. The results obtained with a variety of basis sets are summarised in Table 2. With additional diffuse functions on all atoms, 10 B3LYP/aug-QZVPP Vzz values were used as reference. Judging from the MAE values, a quadruple-zeta basis set on the metal atom is important for results close to the B3LYP/aug-QZVPP reference values, but a small double-zeta basis set like 6-31G* is mostly sufficient for the ligand atoms. 15 The mixed QZVPP/6-31G* basis set is not much larger than the AE1 basis (Wachters/6-31G*), containing just an additional 51 basis functions on the metal, but gives results much closer to the reference values. Despite being highly unbalanced, this mixed basis set thus emerges as a cost-20 effective compromise for EFG computations for metal centers in transition-metal complexes. This result is reminiscent of NMR chemical shift computations, where "locally dense" basis sets are well established, which consist of extended basis sets on the NMR nuclei of interest, and more compact 25 ones on all other atoms. 41 A triple-zeta basis set on all atoms, like 6-311+G(2d,p), can have many more basis functions than the mixed QZVPP/6-31G* basis set, but gives unsatisfactory results. As a rough guide for the size of a given basis-set combination, the number of basis functions for a tyical 30 medium-sized complex, 5 in this case, is included in Table 2 (see numbers in parentheses in the header). As expected, substituting the inner core electrons of the metal with an effective core potential gives unacceptable results for the EFG tensor for many of the metal complexes (results not shown).

The chemical shift tensor is known to be sensitive to the geometry employed in a calculation. In order to probe for the geometry dependence of EFGs, we have evaluated them at the B3LYP/QZVPP level using geometries from different sources (Table 3). Changing the geometry from BP86/AE1 to TPSS/AE1 has negligible effects on the MAE, while slightly improving the slope. Further enlarging the basis set used in the TPSS optimisations to TZVPP and QZVPP on all atoms has also very small effects on the EFG. Using the experiment-

45 Table 3. B3LYP/QZVPP V_{zz} values (in au), computed for different geometries and compared to gas-phase MW data.^a

\geon	n. BP86/	TPSS/ TPSS/		TPSS/		
Comp.	AE1	AE1	TZVPP	QZVPP	Expt.b	Ref.
2	-0.339	-0.324	-0.320	-0.322	-0.288	42
3	-0.451	-0.451	-0.451	-0.461	-0.489	34
4	0.533	0.560	0.534	0.538	0.117	35
5	-0.812	-0.802	-0.804	-0.805	-0.793	43
6	-1.123	-1.129	-1.154 ^c	-1.154	-1.253	37
7	-0.478	-0.465	-0.466^{d}	-0.452	-0.399	44
8	-0.294	-0.273	-0.257	-0.256	-0.252	45
MAE^{c}	0.088	0.088	0.091	0.090	0.159	
ME^c	-0.024	-0.013	-0.017	-0.016	-0.080	
$MaxE^c$	0.234	0.235	0.234	0.244	0.453	
Slope ^c	0.912	0.927	0.925	0.926	0.741	

^aSee Table 1. ^bUsing experimental gas-phase geometries from the given references. ^cSee footnote b in Table 1.

al geometries from the MW or GED studies, rather than DFToptimised ones,# affords only minor changes in most cases (compare, e.g. TPSS/QZVPP and Expt entries in Table 3). For the Mn hydride 4, however, the result is significantly worse, 55 producing an error of 0.45 au (cf. the corresponding MaxE value), which causes the overall statistics to deteriorate notably (see ME and MAE values). In the case of 4 the optimised geometries, e.g. r(Mn-H), r(Mn-Cax) and r(Mn-Ceq) of 1.58 Å, 1.85 Å and 1.85 Å, respectively (TPSS/QZVPP 60 level), are probably more accurate than the MW-derived one, which is also associated with larger uncertainties, cf. r(Mn-H), $r(Mn-C^{ax})$ and $r(Mn-C^{eq})$ of 1.65(2) Å, 1.82(2) Å and 1.86 Å, respectively.35 In general, however, EFG tensors of metal centres seem to be quite insensitive to such geometrical 65 details, at least for the complexes of this study. Thus it seems unlikely that, for instance, ro-vibrational corrections, which lead from equilibrium to thermally averaged geometries, would affect computed EFGs significantly.

In order to assess conventional *ab initio* methods for our test set, we also evaluated EFG tensors at the Hartree-Fock 5 (HF)/QZVPP, MP2/QZVPP and coupled-cluster singles and doubles (CCSD)/QZVPP/6-31G** levels. These results are included in the ESI. Not unexpectedly, the HF method performs poorly for these first-row transition-metal complexes, with a MAE of 0.32 au, i.e. considerably worse than LDA 10 (0.14 au, Table 1). Even though inclusion of some HF exchange is beneficial for the DFT calculations, exchange alone (HF) clearly clearly cannot give a sufficient description of the EFG. MP2 correlation does not improve the agreement with experiment, in fact the MP2 results can be even worse 15 than HF.

CCSD calculations scale very unfavorably with basis-set size, and the largest basis set that could be used for all molecules was a mixed basis set with QZVPP on the metal and 6-31G** 20 on the ligand atoms. Selected test calculations with larger basis sets on the ligands show that this smaller basis is not properly converged. Moreover, the so-called T1 diagnostic⁴⁶ at the CCSD/QZVPP/6-31G** level affords values in excess of 0.027 throughout, suggesting considerable multireference 25 character for all of the metal complexes. This is particularly pronounced for MnO_3F (4, T1 = 0.10), the multireference character of which has been investigated before.⁴⁷ In view of these limitations it is not surprising that the CCSD/QZVPP/6-31G** results are in worse agreement with experiment (MAE 30 0.12 au) than those from the hybrid DFT variants. Thus, the latter are clearly the methods of choice for the computation of EFGs in transition-metal complexes.

Before the final assessment, a brief discussion of experimental 35 errors is in order. While the NQCCs extracted from high-resolution MW spectra are usually very precise, the EFG values derived via eq (1) are associated with larger uncertainties stemming from the nuclear quadrupole moments Q. For 53 Cr in particular, these uncertainties can amount to ca. 30% of the actual value of Q. Thus, perfect agreement between experimentally derived and theoretical V_{zz} values is not to be expected.

4 Conclusions

⁴⁵ We have validated DFT methods for the first-principles computation of electric field gradients (EFGs) of the metal centres in complexes from the first transition row. For this purpose we selected a compact test set of seven molecules, for which experimental gas-phase data (in form of nuclear quadrupole coupling constants) are available from microwave spectroscopy. A variety of exchange-correlation functionals and basis sets were assessed against these experimental EFGs.

A clear trend was observed for the different classes of functionals, with little distinction between individual members of these classes. Overall, range-separated hybrids (such as CAM-B3LYP or LC-ωPBE) perform best, followed

by global hybrids (such as B3LYP) and gradient-corrected functionals (such as BP86) or meta-GGAs (such as TPSS) and 60 LDA. While large basis sets are required on the metal centre for converged EFGs, smaller basis sets can be employed on the ligands. Computed EFGs show little sensitivity toward the geometrical parameters. Because of substantial multireference character of the wavefunctions, conventional (single-65 reference) *ab initio* methods (HF, MP2, CCSD) should not be used.

In summary, our recommended protocol for EFG computations of transition metal complexes involves geometry optimisation at an appropriate level (such as BP86 or TPSS/AE1), followed by the property evaluation using LCωPBE or CAM-B3LYP (or B3LYP) and a mixed, "locally dense" basis such as QZVPP/6-31G*.

75 Unfortunately, a similar validation for second- or third-row transition metal complexes is hampered by a dearth of experimental NQCC values for such complexes in the gas phase. More, accurate gas-phase data is highly desirable for this class of compounds.

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Notes and references

- 85 a School of Chemistry, North Haugh, University of St. Andrews, St.
 Andrews, Fife, UK, KY16 9ST. E-mail: buehl@st-andrews.ac.uk

 † Dedicated to Prof. Dr. D. W. H. Rankin on the occasion of his re-
 - ‡ Dedicated to Prof. Dr. D. W. H. Rankin on the occasion of his retirement.
- † Electronic Supplementary Information (ESI) available: Additional tabular material and full citations for references 14,15,16. See DOI: 10.1039/b000000x/
 - # We used optimised rather than experimental gas-phase geometries for most of our assessments, because in general applications the former, rather than the latter will be more readily accessible.
- 95 \$ A T1 value of 0.02 is the commonly accepted threshold, above which the wavefunction is indicated to lose its single-reference character, so that regular coupled-cluster theory may not be applicable any more (cf. reference 46).
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