

Normal State ^{17}O NMR Studies of Sr_2RuO_4 under Uniaxial Stress

Yongkang Luo,^{1,2,*} A. Pustogow,^{1,‡} P. Guzman,¹ A. P. Dioguardi,³ S. M. Thomas,³ F. Ronning,³
N. Kikugawa,⁴ D. A. Sokolov,⁵ F. Jerzembeck,⁵ A. P. Mackenzie,^{5,6} C. W. Hicks,⁵
E. D. Bauer,³ I. I. Mazin,⁷ and S. E. Brown^{1,†}

¹*Department of Physics & Astronomy, University of California, Los Angeles,
Los Angeles, California 90095, USA*

²*Wuhan National High Magnetic Field Center and School of Physics,
Huazhong University of Science and Technology, Wuhan 430074, China*

³*Los Alamos National Laboratory, Los Alamos, New Mexico 87545, USA*

⁴*National Institute for Materials Science, Tsukuba 305-0003, Japan*

⁵*Max Planck Institute for Chemical Physics of Solids, Dresden 01187, Germany*

⁶*Scottish Universities Physics Alliance, School of Physics and Astronomy,
University of St. Andrews, North Haugh, St. Andrews KY16 9SS, United Kingdom*

⁷*Code 6393, Naval Research Laboratory, Washington, D.C. 20375, USA*

 (Received 23 September 2018; revised manuscript received 1 February 2019; published 31 May 2019)

The effects of uniaxial compressive stress on the normal state ^{17}O nuclear-magnetic-resonance properties of the unconventional superconductor Sr_2RuO_4 are reported. The paramagnetic shifts of both planar and apical oxygen sites show pronounced anomalies near the nominal \mathbf{a} -axis strain $\epsilon_{aa} \equiv \epsilon_v$ that maximizes the superconducting transition temperature T_c . The spin susceptibility weakly increases on lowering the temperature below $T \simeq 10$ K, consistent with an enhanced density of states associated with passing the Fermi energy through a van Hove singularity. Although such a Lifshitz transition occurs in the γ band formed by the Ru d_{xy} states hybridized with in-plane O p_π orbitals, the large Hund's coupling renormalizes the uniform spin susceptibility, which, in turn, affects the hyperfine fields of all nuclei. We estimate this ‘‘Stoner’’ renormalization S by combining the data with first-principles calculations and conclude that this is an important part of the strain effect, with implications for superconductivity.

DOI: 10.1103/PhysRevX.9.021044

Subject Areas: Condensed Matter Physics,
Strongly Correlated Materials,
Superconductivity

I. INTRODUCTION

The physics of the unconventional superconductivity (SC) of Sr_2RuO_4 [1] remains a subject of longstanding importance, with particular focus on order-parameter symmetry [2]. There are numerous experimental results consistent with a chiral p -wave superconducting state [3–6], including evidence for time-reversal symmetry breaking for $T < T_c$ [7,8] and lack of suppression of the in-plane spin susceptibility on cooling through the superconducting critical temperature T_c , as deduced from

nuclear-magnetic-resonance (NMR) spectroscopy [9,10] and neutron scattering [11]. At the same time, there are other experimental results inconsistent with that interpretation [12–16], and the out-of-plane spin susceptibility also remains constant [10], in contradiction with the expectations for the chiral state [5,17].

For several reasons, the normal state physics of Sr_2RuO_4 is equally topical. It was anticipated at a very early stage that electron-electron interactions are controlled by the Hund's rule coupling [18], and it was later shown within the dynamical mean-field theory that the electrons are subject to strong Hund's rule correlations, while the system remains metallic and far from the Mott insulator regime [19,20]. Mean-field density-functional theory (DFT) calculations within the generalized-gradient approximation are unstable against ferromagnetism [17]. Even though strong correlations lead to fluctuations suppressing this instability, there still remains a substantial Stoner renormalization of the uniform spin susceptibility. This led to the analogy with the triplet superfluidity of ^3He [21] anticipated earlier on the grounds that a related compound SrRuO_3

*mpzslyk@gmail.com

†brown@physics.ucla.edu

‡Present address: IFW Dresden, Institute for Solid State Research, P.O. Box 270116, D-01171 Dresden, Germany.

Published by the American Physical Society under the terms of the Creative Commons Attribution 4.0 International license. Further distribution of this work must maintain attribution to the author(s) and the published article's title, journal citation, and DOI.

is ferromagnetic [2]. Although later it was found that the leading magnetic instability is at a nonzero momentum $\mathbf{q}_0 \approx (\pm 0.6, \pm 0.6, 0)\pi/a$ [22,23], the proximity to a ferromagnetic state dominates the debate related to the superconducting order-parameter symmetry [6,24].

An additional feature is the proximity to a 2D Lifshitz point [25] associated with a van Hove singularity (VHS), and the question as to its relationship to both normal state properties and nature of the superconducting state. Recently, striking physical property changes, including a factor of 2.5 increase in superconducting critical temperature T_c from 1.4 to 3.5 K [26] accompanied by a pronounced non-Fermi-liquid behavior of the resistivity [27] were observed under application of in-plane strain ε_{aa} . This was tentatively interpreted as a Fermi-level crossing of the VHS when ε_{aa} reaches a critical value ε_v . Since direct experimental evidence is still lacking, it is important to test this interpretation in complementary studies of the normal state while subject to strain. Also, the VHS is expected to influence quite differently the triplet and singlet superconducting states, and this provides further motivation for physical property studies under strain. For singlet pairing, the order parameter (SC gap) can be large at the VHS (e.g., for the $d_{x^2-y^2}$ symmetry), and thus, the local density-of-states (DOS) enhancement at the VHS is very beneficial. On the contrary, the triplet order parameter at precisely the Lifshitz point is zero by symmetry, and therefore, a triplet state is less suited to take advantage of the VHS unless the pairing interaction itself is enhanced. Since the DOS enhancement brings the system closer to ferromagnetism, the latter case is possible [28].

With these issues in mind, we set out to verify experimentally that the same strain at which T_c peaks indeed corresponds to a maximum in the DOS and to assess as quantitatively as possible the change in the DOS and Stoner enhancements to the susceptibility under strained conditions. To this end, NMR measurements inform us on the details of the normal state through site and orbitally specific hyperfine couplings. Indeed, the enhancement is evident in the results presented, and moreover, the inferred enhancement semi-quantitatively accounts for the transport results in Ref. [27]. Looking ahead, it is worth emphasizing that the method is considered a litmus test for the superconducting state parity [9,29], including any strain-induced order-parameter changes. The results presented in the next sections are normal state ^{17}O NMR spectroscopy for in-plane $\mathbf{B}\parallel\mathbf{b}$ and out-of-plane $\mathbf{B}\parallel\mathbf{c}$ fields, as well as ^{17}O NMR relaxation rates for $\mathbf{B}\parallel\mathbf{b}$ in the presence of \mathbf{a} -axis strain ε_{aa} . These results are interpreted by way of complementary DFT calculations.

II. EXPERIMENTAL DETAILS

Single-crystalline Sr_2RuO_4 used for these measurements is grown by the floating-zone method [1]. Smaller pieces are cut and polished along crystallographic axes

with typical dimensions $3 \times 0.3 \times 0.15 \text{ mm}^3$ and with the longest dimension aligned with the \mathbf{a} axis. ^{17}O isotope ($^{17}I = 5/2$, gyromagnetic ratio $^{17}\gamma = -5.7719 \text{ MHz/T}$ [30]) spin labeling is achieved by annealing in 50% ^{17}O -enriched oxygen atmosphere at 1050°C for two weeks [9,31]. The sample quality is not observably changed following this procedure, with $T_c \approx 1.44 \text{ K}$ identified by specific heat measurements (see the Supplemental Material [32]). For the NMR experiments, the sample is mounted on a piezoelectric strain cell (Razorbill, UK) with an effective (exposed) length $L_0 \sim 1 \text{ mm}$ [see Fig. S1(a) in the Supplemental Material [32]]. Three samples (labeled as S1, S2, and S3) are measured in this work. A nominal compressive stress is applied along the \mathbf{a} axis, with corresponding strains ($\varepsilon_{aa} \equiv \delta L/L_0$) estimated to be up to approximately 0.72% using a precalibrated capacitive dilatometer; The accuracy is limited by the unknown deformations of the epoxy clamp [33]. For reference, the observed maximum $T_c(\varepsilon_{aa})$ occurs at a quantitatively similar displacement as reported in Ref. [26], $T_c^{\text{max}} = T_c(\varepsilon_v)$, with $\varepsilon_v \approx -0.6\%$. Most of the NMR measurements are performed at fixed temperature $T = 4.30(5) \text{ K}$ and carrier frequency $f_0 = 46.8 \text{ MHz}$ ($B \approx 8.1 \text{ T}$) using a standard Hahn echo sequence. Spectra including satellite transitions are collected in field-sweep mode, whereas a close examination of the central transition ($-1/2 \leftrightarrow 1/2$) for both in-plane and apical sites is carried out under fixed-field conditions. Some field and temperature dependence is explored, too. The application of NMR in conjunction with the piezoelectric driven *in situ* strain is particularly challenging because of the severe constraints on sample size. As a result, some modifications to standard resonant tank circuit configurations are adopted.

For insight into the strain-induced changes to the NMR shifts, and particularly those associated with the VHS, density-functional calculations using the linear augmented-plane-wave package WIEN2K [35] are performed, including spin-orbit interaction. The specific objective is to extract at least semiquantitative information about the origin, evolution, and relative importance of the various individual contributions to the net Knight shifts. A local density approximation for the exchange-correlation functional, a k -point mesh of $41 \times 41 \times 41$, and the expansion parameter $RK_{\text{max}} = 7$ are utilized. Further, the optimized structures of Ref. [26] are used and then interpolated to assure that the strain at which the VHS crosses the Fermi level is included. It turns out that the proximity to a (ferromagnetic) quantum critical point forces some adjustments to the standard procedure. One reason lies with the mean-field approach: DFT overestimates the tendency to magnetism, because in reality, the Hund's rule derived interaction I and, correspondingly, the Stoner renormalization S , are reduced by quantum fluctuations that are not accounted for. A second challenge originates with the very narrow calculated DOS singularity at the VHS: In relation to the Knight-shift evaluation, an external magnetic field

is applied followed by the computation of generated hyperfine fields. The singularity full width at half maximum is approximately 3 meV and holds only $0.0015 e^-$ in each spin channel. As a result, an external field producing sufficiently strong hyperfine fields (compared to the computational noise), is too large to properly monitor the VHS peak. Nevertheless, the calculations at the larger fields produce useful information, in part because the origin of the net Knight shifts in terms of individual contributions is obtained.

III. RESULTS AND DISCUSSION

The geometry of our experiment is depicted in Fig. 1(a) [36]. Each Ru ion is coordinated octahedrally by four planar O(1) and two apical O(2) oxygen sites, with a small elongation along the \mathbf{c} axis. While \mathbf{a} -axis strain ϵ_{aa} renders the sites O(1) and O(1') crystallographically inequivalent, their local symmetries are different even for the unstrained case and external field $\mathbf{B} \parallel \mathbf{b}$. The field-sweep spectra in Fig. S2 in the Supplemental Material [32] are described by parameters (shifts, electric field gradient) similar to

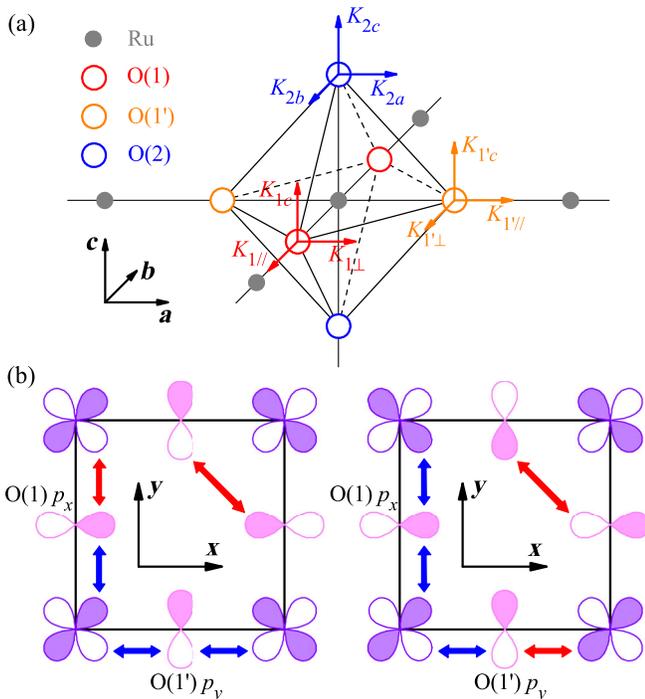


FIG. 1. (a) Configurations of planar O(1) and O(1') in the RuO_2 plane and apical O(2) in the SrO layer around Ru ion in a unit cell of Sr_2RuO_4 . Compressive strain is applied along the \mathbf{a} axis (ϵ_{aa}); magnetic fields are applied orthogonal, $\parallel \mathbf{b}$, $\parallel \mathbf{c}$. Arrows signify the principal axes of Knight-shift tensors. (b) Orbitals forming the γ band at the X (left) and Y (right) points in the Brillouin zone. The blue (red) double arrows show positive (negative) signs of orbital overlaps. Note that at the Y point, only O(1) p_x orbitals participate in the band formation, while O(1') p_y suffers from cancellation of the left and right overlaps. The weak O(1)-O(1') overlaps also cancel out, as shown in the figure.

previous reports for ^{17}O NMR in unstrained Sr_2RuO_4 [29,36], with five NMR transitions for each of three (two) distinct sites for $\mathbf{B} \parallel \mathbf{b}$ ($\mathbf{B} \parallel \mathbf{c}$) [32].

The most relevant orbitals for the ^{17}O couplings are Ru $4d t_{2g}$, which hybridize with O p states to form the quasi-2D γ band, predominantly from the d_{xy} orbital, and similarly, the quasi-1D α and β bands from the $d_{zx,yz}$ orbitals, Fig. 1(b). The spin-orbit coupling (SOC) mixes these bands. While mixing is strongest along the Brillouin zone diagonal [Γ -M in momentum space; see Fig. 2(b)] [37,38], it is more important here that it mixes the d_{xy} and d_{yz} bands at Y. The latter has the effect of pushing down the lower band (d_{xy}) by about 20 meV, which shifts the critical strain ϵ_v where the Lifshitz transition shows up in the calculations from about 1.0% to about -0.85% . Additional mass renormalization not accounted for in the DFT calculations reduces the critical strain still further, consistent with the experimentally observed maximum in T_c between -0.55% and -0.60% [26,32].

^{17}O NMR spectra under varying strain conditions are shown in Fig. 3. The two panels depict the central transition for all three sites O(1), O(1'), and O(2) measured with carrier frequency $f_0 = 46.80$ MHz and magnetic field $B = 8.0970$ T applied parallel to the \mathbf{b} (left panel) and \mathbf{c} axes (right panel), respectively. The peaks for O(1), O(1'), and O(2) appear at the labeled frequencies measured relative to f_0 . The vertical dashed lines correspond to zero shift. O(2), having relatively minor contribution to the

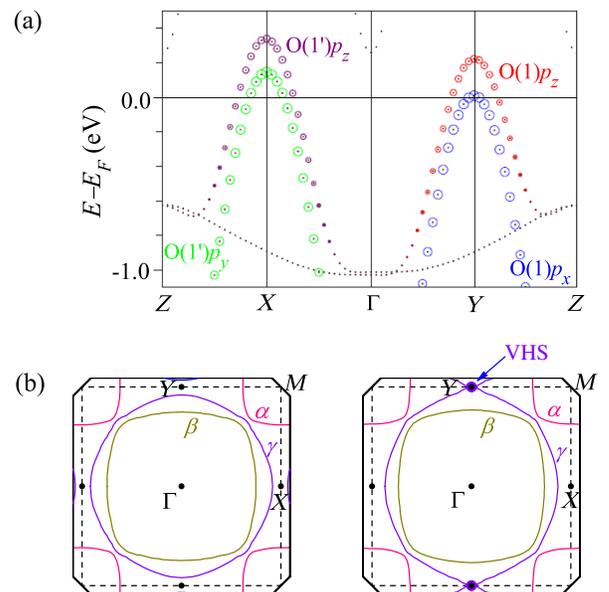


FIG. 2. (a) Bands along the Γ -X and Γ -Y directions. The partial weights of the O(1) p_x , O(1') p_y , O(1) p_z , and O(1') p_z orbitals are shown in green, blue, red, and purple, respectively. Other oxygen orbitals have far lesser weight near the Fermi energy. (b) Depictions of the 2D Fermi surfaces, with quasi-2D γ (d_{xy}) and quasi-1D α , β ($d_{zx,yz}$) bands.

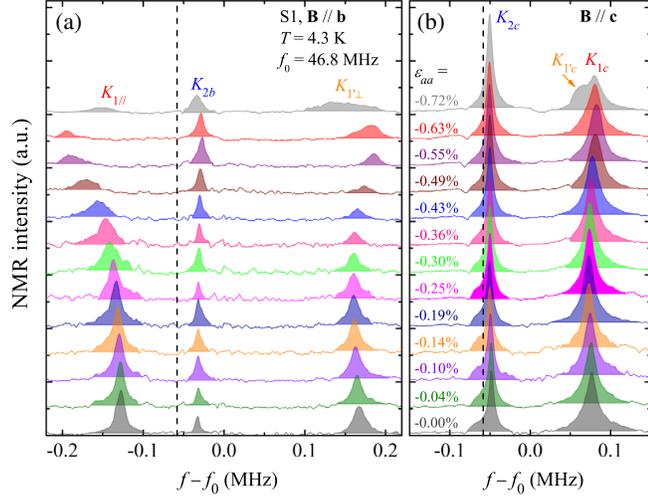


FIG. 3. NMR spectra of the central transitions ($\frac{1}{2} \leftrightarrow -\frac{1}{2}$) of O(1), O(1'), and O(2) at various strains for magnetic field along the **b** (left) and **c** axes (right). The measurements are carried out at fixed temperature ($T = 4.3$ K) and field ($B = 8.0970$ T) and radio frequency $f_0 = 46.80$ MHz. The curves are vertically offset for clarity. The dash vertical line corresponds to $^{17}\gamma = -5.7719$ MHz/T ($D_2^{17}\text{O}$) [30] with zero shift.

Ru bands [there is only a weak coupling of the O(2) $p_{x,y}$ with Ru $d_{zx,yz}$ orbitals, respectively] exhibits a very small Knight shift. In contrast, Knight shifts for O(1) and O(1') vary strongly and show clear extrema at strain $\epsilon_{aa} = \epsilon_v$ corresponding to the putative VHS and defined as where $T_c(\epsilon_{aa})$ is largest. The anomaly is most pronounced for the in-plane field orientation. For larger strains, there is significant broadening, tentatively attributed to a strong strain dependence of the spin susceptibility combined with a distribution of strains within the sample. (Note that asymmetries in mounting geometry lead naturally to crystal bending.) In the right-hand panel, O(1, 1') spectral peaks appear indistinguishable at small strain, with pronounced broadening and splitting appearing for strains exceeding ϵ_v . The NMR shifts K defined as the relative change of resonance frequency referenced to that observed for $D_2^{17}\text{O}$ are shown as a function of ϵ_{aa} in Fig. 4. Similar results reproduced from other samples can be found in Fig. S5(a) of the Supplemental Material [32]. One striking feature is that the Knight-shift anomaly near $\epsilon_{aa} \approx \epsilon_v$ is seen in all the measured ^{17}O sites, not only in O(1) that is most relevant to VHS at Y .

In metals, the NMR shift is governed by three main contributions resulting from spin and orbital responses to the applied field: (i) isotropic coupling from the Fermi contact interaction and core polarization, (ii) anisotropic coupling of the dipolar field generated by the electronic spin away from the nucleus, and (iii) fields generated by orbital currents. For computational purposes, this partitioning of the hyperfine field contributions can be summarized as

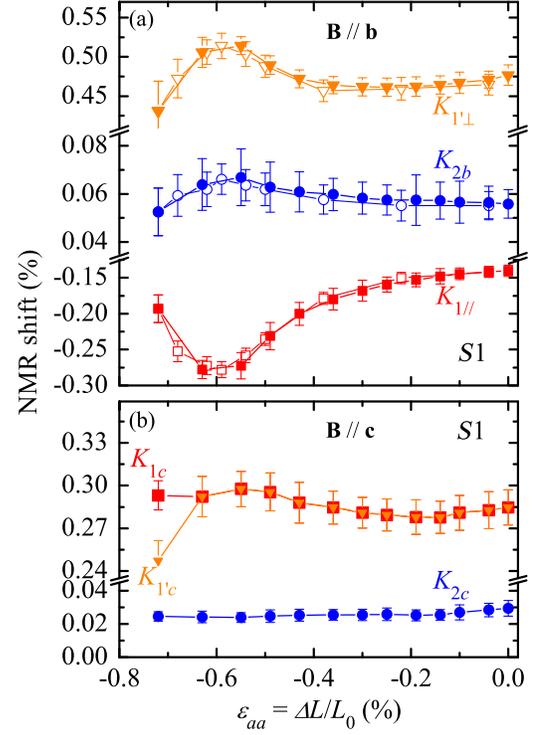


FIG. 4. Measured NMR shifts for **B**||**b** (a) and for **B**||**c** (b) at $T = 4.3$ K. The solid (open) symbols represent increasing (decreasing) $|\epsilon_{aa}|$. The error bars are determined by the half-width of the peaks. Similar results are reproduced from several samples; see Fig. S5(a) in the Supplemental Material [32].

$$\mathbf{h}(\mathbf{r}) = \mathbf{h}_s + \mathbf{h}_d + \mathbf{h}_o = -\beta \left[\frac{8\pi s \delta(\mathbf{r})}{3} + \frac{3\mathbf{r}(\mathbf{r} \cdot \mathbf{s}) - r^2 \mathbf{s}}{r^5} + \frac{\mathbf{L}}{r^3} \right], \quad (1)$$

where \mathbf{s} is the spin moment of an electron, and \mathbf{L} its orbital moment. Real-space integration results in the total local field. Note that \mathbf{h}_s has no anisotropy, while \mathbf{h}_d gives no isotropic contribution (\mathbf{h}_o has both).

The net spin magnetization is written as

$$M_s = \chi_s H, \quad (2)$$

where the full uniform spin susceptibility χ_s can be expressed using the Stoner factor S ,

$$\chi_s = (m^*/m_0) S \chi_{s0}^{\text{DFT}}, \quad (3)$$

where χ_{s0}^{DFT} is the *noninteracting* spin susceptibility proportional to (neglecting spin-orbit effects) the DFT density of states, and the factor of m^*/m_0 arises from mass renormalization beyond the scope of DFT. Writing S in the random-phase approximation (RPA) [39] guides our expectations for its evolution under strained conditions,

$$S_{\text{RPA}} = \frac{1}{1 - IN(E_F)}, \quad (4)$$

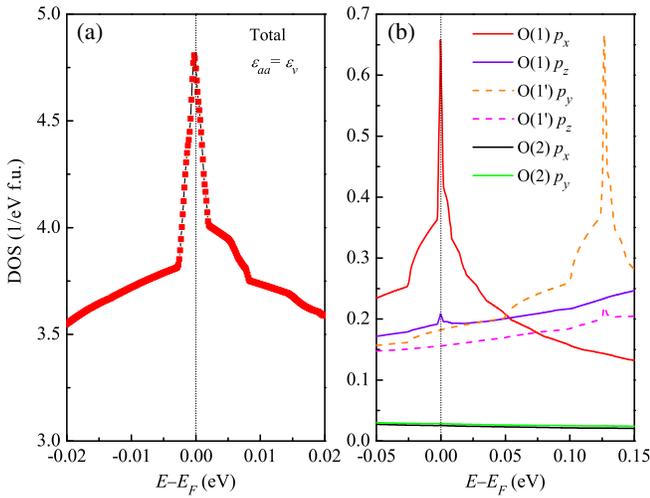


FIG. 5. (a) Calculated DOS at the critical strain, at which the calculated van Hove singularity is located exactly at the Fermi level. Note the very small width (3 meV) and weight (0.0015 e^- per spin channel) of the peak in the DOS. (b) Partial DOS projected onto different O orbitals. The orbitals that are not shown have negligible weight.

where $N(E_F)$ is the actual DOS. Then, the total uniform magnetic field is the sum of the external field and the induced response, the latter being enhanced compared to the noninteracting case by the factor S . Note that the orbital moment \mathbf{L} in Eq. (1) is assumed to be generated by the spin magnetization through spin-orbit coupling. In addition, there is another orbital term (paramagnetic van Vleck), which is not enhanced in the same way as χ_s . While usually considered small [29], an accurate accounting is not expected in the DFT framework. As indicated by Eqs. (3) and (4), the strain-dependent enhancement of S is important as a mechanism for transferring anomalous responses (linked to the VHS) to orbitals other than Ru d_{xy} and the corresponding hybridizing Op . Notable also is that, in principle, S can be more sensitive to the enhancement of the DOS than χ_s itself. To establish relevance, consider that inelastic neutron-scattering measurements indicate χ_s is enhanced by about a factor of 7 compared to the DFT DOS, viz., $[\chi_s(\varepsilon_{aa} = 0)/\chi_{s0}^{\text{DFT}}(\varepsilon_{aa} = 0)] \sim 7$ [24], with the enhancement originating from a mass renormalization factor ($m^*/m_0 \sim 3.5$ [3]), and an inferred Stoner factor ($S \sim 2$). Using Eq. (4), $IN(E_F) \approx 0.5$ at zero strain, and with $N(E_F)$ increased by 30%, as in Fig. 5(a), leads to an inferred increase of S from 2 to 3. Thus, if m^*/m_0 and I are taken as strain independent, one gets $[\chi_s(\varepsilon_{aa} = \varepsilon_v)/\chi_{s0}^{\text{DFT}}(\varepsilon_{aa} = \varepsilon_v)] \sim 10.5$, $[\chi_s(\varepsilon_{aa} = \varepsilon_v)/\chi_{s0}^{\text{DFT}}(\varepsilon_{aa} = 0)] \sim 14$, and thus $[\chi_s(\varepsilon_{aa} = \varepsilon_v)/\chi_s(\varepsilon_{aa} = 0)] \sim 2$, namely, a factor of 2 enhancement in actual spin susceptibility at the critical strain relative to zero strain.

Symmetry considerations indicate that only O(1) p_x orbitals couple with Ru d_{xy} states at Y , and therefore, only the O(1) p_x orbitals are expected to be directly sensitive to

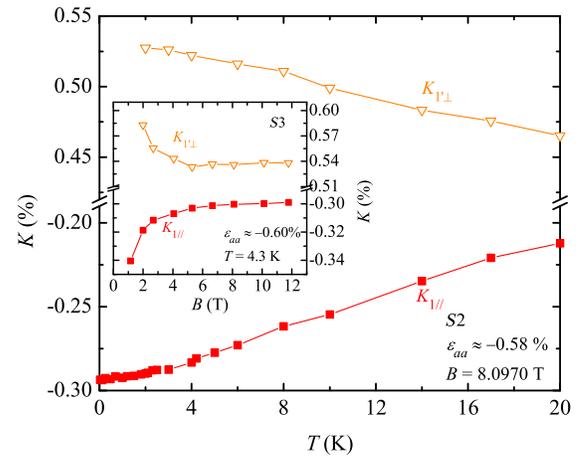


FIG. 6. Main panel: Temperature dependence of $K_{1||}$ and $K_{1'⊥}$ evaluated at the critical strain ε_v , $B = 8.0970$ T, and $\mathbf{B} \parallel \mathbf{b}$. Inset: Field dependence of $K_{1||}$ and $K_{1'⊥}$ measured at ε_v and 4.3 K.

the VHS [see Fig. 5(b)]. Thus, one might infer that only the O(1) Knight shift should be affected by the DOS peak at the VHS. However, on general grounds, all sites are sensitive because of the increased Stoner enhancement factor S . Indeed, all measured Knight shifts are affected by strain (Fig. 4), with $K_{1||}$ more so, presumably because of the direct influence of increased γ band DOS. The strain-induced reduction of the Korrington ratio [40,41] shown in the inset of Fig. S5(a) of the Supplemental Material [32] for the case $\mathbf{B} \parallel \mathbf{b}$, is consistent with an enhanced Stoner factor S .

Experimental evidence for the narrow VHS and its influences on physical properties is shown in Fig. 6, which depicts shifts with strikingly strong field and temperature dependences for $\varepsilon_{aa} = \varepsilon_v$. The observations are qualitatively consistent with comparable energy scales for Zeeman, thermal, and VHS terms, where, for instance, the broadening of the Fermi distribution progressively weakens the sensitivity of thermodynamic properties to the VHS even when it is situated precisely at the chemical potential [42]. Similar observations for the magnetization were previously reported in a doping study in which the effects of substitution of La for Sr in $\text{Sr}_{2-y}\text{La}_y\text{RuO}_4$ were interpreted as evidence for moving γ -band Fermi energy to the X and Y points of the Brillouin zone [43]. These behaviors are even more striking when compared to expectations in a single-particle framework because the Zeeman coupling shifts the VHS singularity away from the chemical potential. The saturating temperature dependence at fixed field strength that follows is at odds with observations and warrants further study in the context of quantum critical behavior which can be boosted by Stoner enhancement (see below).

For a semiquantitative evaluation of the Stoner enhancement and the subsequent impact on the observable quantities, the data are compared to the results of the DFT calculations. As stated, the inherent deficiency of the DFT

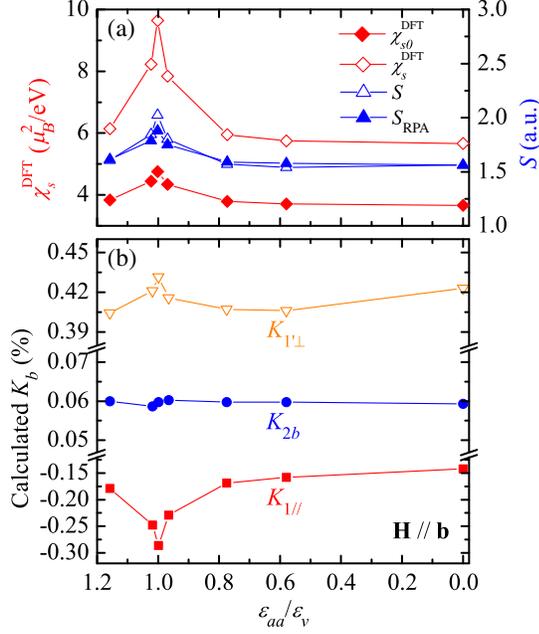


FIG. 7. (a) Calculated magnetic susceptibility in DFT. $\chi_{s0}^{\text{DFT}} \equiv \mu_B^2 N(E_F)$ is the noninteracting susceptibility, χ_s^{DFT} is obtained by dividing the calculated magnetization by the applied field, $M_s/\mu_B H$. The average DFT Stoner factor $S = \chi_s^{\text{DFT}}/\chi_{s0}^{\text{DFT}}$ and $S_{\text{RPA}} = 1/[1 - \text{IN}(E_F)]$. Here, S_{RPA} is normalized to S obtained from the calculated DFT result at zero strain. Its variation with strain is calculated from Eq. (4) and the strain-dependent DFT density of states. (b) Calculated total Knight shifts for $\mathbf{H} \parallel \mathbf{b}$ for the three sites, O(1), O(1'), and O(2), as a function of normalized strain. See the text for details.

calculations for such a strongly correlated material as Sr_2RuO_4 forces deviations from the usual procedure. The standard calculations, such as those presented in Ref. [26], are unstable against spontaneous formation of a ferromagnetic state. The tendency toward this instability is reduced somewhat arbitrarily by scaling the Hund's coupling by half. This ensures numerically stable calculations in external fields up to at least 5 T, even at $\epsilon_{aa} = \epsilon_v$. The impact of the reduced Hund's coupling appears to produce systematic errors in related absolute parameters but less so for the relative changes induced by strain. For example, for the selected scaling, Fig. 7(a) indicates that the calculated $\chi_s^{\text{DFT}}(\epsilon_{aa} = 0)$ renormalization is approximately 1.6, whereas the known correlation-induced mass enhancement is about 3.5 [3]. Therefore, the downscaling is too strong. Given this caveat, at the critical strain, $\chi_s^{\text{DFT}}(\epsilon_{aa} = \epsilon_v)$ is enhanced over $\chi_s^{\text{DFT}}(\epsilon_{aa} = 0)$ by about 70%, while $S(\epsilon_v)$ itself is enhanced by a much smaller factor, about 30% over $S(0)$ [right frame of Fig. 7(a)]. The scaling for the shifts should follow approximately these factors. Namely, the enhancement of $K_{1\parallel}$ is expected to scale with χ_s , and therefore, of order 70%, whereas the enhancement of $K_{1'\perp}$ being sensitive to enhancement of S is expected to be much smaller, of order 30%. The former enhancement matches

the data in Fig. 4 well, as well as the calculations presented in Fig. 7. The latter enhancement of 30% is relatively larger than the experimental results [Fig. 4(a)], as well as the calculations [Fig. 7(b)], which are both $\approx 10\%$. The discrepancy could be associated with unaccounted-for nonsingular contributions, such as in an orbital part (van Vleck or induced through spin-orbit coupling), or nonlinearities, as documented in Fig. 6.

Therefore, the qualitative conclusions from the experiments and in comparing to the DFT calculations are as follows: (1) There are two mechanisms for enhancing the Knight shifts near the critical strain, one applicable to all sites and field directions and the other only to $K_{1\parallel}$. Both are directly related to the DOS enhancement and show unambiguously that the maximum in T_c indeed coincides with that in DOS. (2) Ferromagnetic spin fluctuations intensify substantially at the same strain due to Stoner enhancement. This effect may also play a key role in boosting T_c . (3) The nonlinear magnetic response for $\epsilon_{aa} \approx \epsilon_v$ and at low temperatures and magnetic fields appears to deviate from the expected single-particle response and are offered here as evidence for both the enhancement of the spin fluctuations, as well as the proximity to a ferromagnetic instability.

Expanding further on point (3) above, the strain-dependent enhancement of S provides a natural explanation to the recently reported resistivity measurements on stressed samples [27] in which deviations from standard Fermi-liquid behavior were observed and interpreted in terms of the DOS singularity [44]. In fact, the behavior may also be connected to the enhanced Stoner factor near the critical strain. Reported was the existence of a crossover temperature T^* , at which the electrical resistivity $\rho = \rho_0 + AT^\delta$ changes from the Fermi-liquid behavior $\delta = 2$ to approximately 1.5–1.6. Note that this behavior is close to what is expected for ferromagnetic spin-fluctuation behavior ($\delta \sim 4/3 - 5/3$) [45]. Moreover, $T^* \propto S^{-1}$ varies strongly with strain [see Fig. S1(b) in the Supplemental Material [32]] and is minimized at ϵ_v . Both this observation and the nonlinearities in the shifts (Fig. 6) indicate S peaks at ϵ_v .

Finally, some comments on the data collected for field aligned parallel the \mathbf{c} axis are in order. In principle, one would expect similar behavior to that for the in-plane field; however, it appears that K_c behaves in a way difficult to rationalize in total. For strain $\epsilon_{aa} \leq -0.63\%$, a single absorption peak at approximately 0.29% shift is observed for O(1, 1') with only a small increase in the range of ϵ_v . For larger strain $\epsilon_{aa} = -0.72\%$, the peak broadens considerably and could be construed as exhibiting two components but with drastically reduced first moment. The drop in intensity is likely a T_1 effect, a consequence of a (relatively) rapid pulse repetition rate [see Fig. S5(b) in the Supplemental Material [32]]. The apparent spectral line “splitting” and distorted line shape are consistent with what could result from a strain gradient along with a nonlinear variation of shift with strain. The main challenge, however,

is to explain the observed evolution on approaching ε_v from smaller strain, where the DFT calculations indicate larger shifts for $O(1)$ than for $O(1')$.

It is possible that the orbital contributions play a more important role for this field orientation ($\mathbf{B} \parallel \mathbf{c}$). Interestingly, for the orbital part of K_{1c} , and to some extent, of $K_{1'c}$, the calculations predict a sizeable enhancement, suggesting that the van Vleck contribution is not dominant, or, at least, less prominent here than for the in-plane fields, and, conversely, the SOC induces sizeable orbital Knight shifts. Moreover, the sign of this orbital contribution is the opposite of the spin shifts, so there is a tendency toward cancellation. It is believed that correlation effects enhance the SOC in Sr_2RuO_4 by about a factor of 2 [46]. Empirically, if the $O(1)$ and $O(1')$ shifts are assumed to be entirely generated by SOC, while the $O(2)$ shift is entirely van Vleck, a reasonable agreement with experiment is obtained but with small but not negligible peak splittings for strains near ε_v (Fig. S6 in the Supplemental Material [32]). Clearly, the NMR spectra for the field parallel to \mathbf{c} require further investigations.

IV. CONCLUSION

We demonstrate by means of the NMR spectroscopy under uniaxial stress and corresponding density-functional calculations that there are *two* different effects associated with the strain-induced VHS, which both need to be taken into account, namely, the enhancement of the DOS associated with the γ -band Fermi energy passing through the VHS at the Y point of the Brillouin zone, and a substantial Stoner enhancement S . Associated with the enhanced S is an intensification of ferromagnetic spin fluctuations and strong nonlinearities in the spin susceptibility to the lowest temperatures studied. This finding has immediate ramifications for superconductivity. Namely, first, the DOS is enhanced near the VHS point. In the first approximation, this effect strongly favors some singlet pairings, such as extended s , $d_{zx} \pm id_{yz}$, or $d_{x^2-y^2}$ mildly favors the d_{xy} pairing and less so any triplet pairing. However, this enhancement of the DOS through the Stoner factor boosts ferromagnetic spin fluctuations, which favors triplet states and seems to *disfavor* singlet pairing. Experimentally and theoretically, these two effects are comparable, and therefore, it is unclear which is stronger. More information will be gained by studying NMR in the superconducting state as a function of strain.

ACKNOWLEDGMENTS

We thank S. A. Kivelson, S. Raghu, J. D. Thompson, and F. Zhang for insightful discussions and J. D. Thompson for magnetic properties characterization. This work was supported in part by the Laboratory Directed Research and Development (LDRD) program of Los Alamos National Laboratory under Project No. 20170204ER. Y. L. acknowledges partial support through the LDRD and 1000 Youth

Talents Plan of China. N. K. acknowledges the support from JSPS KAKNHI (Grant No. 18K04715). I. I. M. is supported by ONR through the NRL basic research program. This work is supported in part by the National Science Foundation (Grants No. DMR-1410343 and No. DMR-1709304).

APPENDIX: FURTHER CONSIDERATION REGARDING STONER RENORMALIZATION

The experiments and calculations clearly demonstrate the importance of Stoner renormalization near the critical strain, but this is evaluated only semiquantitatively. For example, the RPA-like Eq. (4) implies a uniform renormalization of the exchange splitting over the entire Fermi surface. In actual calculations, this splitting varies substantially over the Fermi surface (depicted in Fig. 8). Still, it remains a qualitatively reasonable approximation. In Fig. 7, we show the results of direct calculations of spin susceptibility inferred by calculating the induced magnetization $M_{s0}(H)$ resulting from a small applied field. The full DFT susceptibility shown in Fig. 7 is $M_s(H)/H$, and the Stoner factor $S = M_s(H)/M_{s0}(H)$, with $M_{s0}(H) = \mu_B^2 N(E_F)H$ the Pauli result for noninteracting electrons.

Figure 8 indicates that the exchange splitting for the same external field is larger for the α and β bands than for the γ band and that this disparity is about twice larger at the critical strain than for the unstrained structure. Overall, in the α and β bands, the local Stoner factor (the enhancement of the exchange splitting of the electronic states) varies between 3.2 and 4.7, and in the γ band it is between 5.7 and 10.0, about a factor of 2 larger than for the unstrained structure. Consequently, it is entirely possible that this variation will weight differently the dipole and the spin-contact contributions. This is consistent with the fact that the temperature dependence of the in-plane and only in-plane Knight shifts are opposite that of the uniform susceptibility at $T \lesssim 100$ K, and only these shifts are affected by the VHS in our experiment [29].

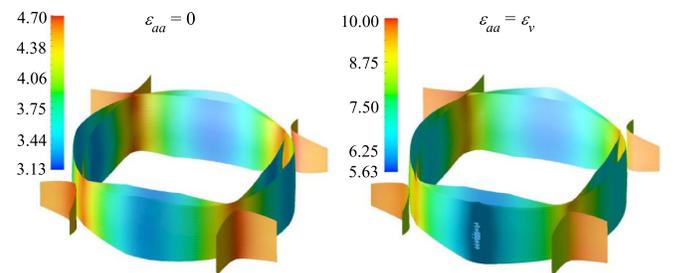


FIG. 8. Calculated Fermi surfaces (nonrelativistic) with no orthorhombic strain (left) and the strain corresponding to the VHS (right). No additional scaling of the Stoner interaction is applied, as opposed to the Knight-shift calculation (Fig. 7 and main text). The surfaces are colored with the calculated exchange splitting in a small uniform external field H normalized to $\mu_B H = 1.6$ meV. Note the different color scales for the two panels.

- [1] Y. Maeno, H. Hashimoto, K. Yoshida, S. Nishizaki, T. Fujita, J. G. Bednorz, and F. Lichtenberg, *Superconductivity in a Layered Perovskite without Copper*, *Nature (London)* **372**, 532 (1994).
- [2] T. Rice and M. Sigrist, *Sr₂RuO₄: An Electronic Analogue of ³He?*, *J. Phys. Condens. Matter* **7**, L643 (1995).
- [3] A. P. Mackenzie and Y. Maeno, *The Superconductivity of Sr₂RuO₄ and the Physics of Spin-Triplet Pairing*, *Rev. Mod. Phys.* **75**, 657 (2003).
- [4] Y. Maeno, S. Kittaka, T. Nomura, S. Yonezawa, and K. Ishida, *Evaluation of Spin-Triplet Superconductivity in Sr₂RuO₄*, *J. Phys. Soc. Jpn.* **81**, 011009 (2012).
- [5] C. Kallin, *Chiral p-Wave Order in Sr₂RuO₄*, *Rep. Prog. Phys.* **75**, 042501 (2012).
- [6] A. P. Mackenzie, T. Scaffidi, C. W. Hicks, and Y. Maeno, *Even Odder after Twenty-Three Years: The Superconducting Order Parameter Puzzle of Sr₂RuO₄*, *npj Quantum Mater.* **2**, 40 (2017).
- [7] G. M. Luke, Y. Fudamoto, K. M. Kojima, M. I. Larkin, J. Merrin, B. Nachumi, Y. J. Uemura, Y. Maeno, Z. Q. Mao, Y. Mori *et al.*, *Time-Reversal Symmetry-Breaking Superconductivity in Sr₂RuO₄*, *Nature (London)* **394**, 558 (1998).
- [8] J. Xia, Y. Maeno, P. T. Beyersdorf, M. M. Fejer, and A. Kapitulnik, *High Resolution Polar Kerr Effect Measurements of Sr₂RuO₄: Evidence for Broken Time-Reversal Symmetry in the Superconducting State*, *Phys. Rev. Lett.* **97**, 167002 (2006).
- [9] K. Ishida, H. Mukuda, Y. Kitaoka, K. Asayama, Z. Q. Mao, Y. Mori, and Y. Maeno, *Spin-Triplet Superconductivity in Sr₂RuO₄ Identified by ¹⁷O Knight Shift*, *Nature (London)* **396**, 658 (1998).
- [10] H. Murakawa, K. Ishida, K. Kitagawa, Z. Q. Mao, and Y. Maeno, *Measurement of the ¹⁰¹Ru-Knight Shift of Superconducting Sr₂RuO₄ in a Parallel Magnetic Field*, *Phys. Rev. Lett.* **93**, 167004 (2004).
- [11] J. A. Duffy, S. M. Hayden, Y. Maeno, Z. Mao, J. Kulda, and G. J. McIntyre, *Polarized-Neutron Scattering Study of the Cooper-Pair Moment in Sr₂RuO₄*, *Phys. Rev. Lett.* **85**, 5412 (2000).
- [12] J. R. Kirtley, C. Kallin, C. W. Hicks, E.-A. Kim, Y. Liu, K. A. Moler, Y. Maeno, and K. D. Nelson, *Upper Limit on Spontaneous Supercurrents in Sr₂RuO₄*, *Phys. Rev. B* **76**, 014526 (2007).
- [13] C. W. Hicks, J. R. Kirtley, T. M. Lippman, N. C. Koshnick, M. E. Huber, Y. Maeno, W. M. Yuhasz, M. B. Maple, and K. A. Moler, *Limits on Superconductivity-Related Magnetization Sr₂RuO₄ and PrOs₄Sb₁₂ from Scanning SQUID Microscopy*, *Phys. Rev. B* **81**, 214501 (2010).
- [14] S. Yonezawa, T. Kajikawa, and Y. Maeno, *First-Order Superconducting Transition of Sr₂RuO₄*, *Phys. Rev. Lett.* **110**, 077003 (2013).
- [15] E. Hassinger, P. Bourgeois-Hope, H. Taniguchi, S. R. de Cotret, G. Grissonnanche, M. S. Anwar, Y. Maeno, N. Doiron-Leyraud, and L. Taillefer, *Vertical Line Nodes in the Superconducting Gap Structure of Sr₂RuO₄*, *Phys. Rev. X* **7**, 011032 (2017).
- [16] S. Kittaka, S. Nakamura, T. Sakakibara, N. Kikugawa, T. Terashima, S. Uji, D. A. Sokolov, A. P. Mackenzie, K. Irie, Y. Tsutsumi *et al.*, *Searching for Gap Zeros in Sr₂RuO₄ via Field-Angle-Dependent Specific-Heat Measurement*, *J. Phys. Soc. Jpn.* **87**, 093703 (2018).
- [17] B. Kim, S. Khmelevskiy, I. I. Mazin, D. F. Agterberg, and C. Franchini, *Anisotropy of Magnetic Interactions and Symmetry of the Order Parameter in Unconventional Superconductor Sr₂RuO₄*, *npj Quantum Mater.* **2**, 37 (2017).
- [18] J. Mravlje, M. Aichhorn, T. Miyake, K. Haule, G. Kotliar, and A. Georges, *Coherence-Incoherence Crossover and the Mass-Renormalization Puzzles in Sr₂RuO₄*, *Phys. Rev. Lett.* **106**, 096401 (2011).
- [19] L. de' Medici, J. Mravlje, and A. Georges, *Janus-Faced Influence of Hund's Rule Coupling in Strongly Correlated Materials*, *Phys. Rev. Lett.* **107**, 256401 (2011).
- [20] A. Georges, L. de' Medici, and J. Mravlje, *Strong Correlations from Hund's Coupling*, *Annu. Rev. Condens. Matter Phys.* **4**, 137 (2013).
- [21] I. I. Mazin and D. J. Singh, *Electronic Structure and Magnetism in Ru-Based Perovskites*, *Phys. Rev. B* **56**, 2556 (1997).
- [22] Y. Sidis, M. Braden, P. Bourges, B. Hennion, S. NishiZaki, Y. Maeno, and Y. Mori, *Evidence for Incommensurate Spin Fluctuations in Sr₂RuO₄*, *Phys. Rev. Lett.* **83**, 3320 (1999).
- [23] I. I. Mazin and D. J. Singh, *Competitions in Layered Ruthenates: Ferromagnetism versus Antiferromagnetism and Triplet versus Singlet Pairing*, *Phys. Rev. Lett.* **82**, 4324 (1999).
- [24] P. Steffens, Y. Sidis, J. Kulda, Z. Q. Mao, Y. Maeno, I. Mazin, and M. Braden, *Spin Fluctuations in Sr₂RuO₄ from Polarized Neutron Scattering: Implications for Superconductivity*, *Phys. Rev. Lett.* **122**, 047004 (2019).
- [25] A. Damascelli, D. H. Lu, K. M. Shen, N. P. Armitage, F. Ronning, D. L. Feng, C. Kim, Z.-X. Shen, T. Kimura, Y. Tokura *et al.*, *Fermi Surface, Surface States, and Surface Reconstruction in Sr₂RuO₄*, *Phys. Rev. Lett.* **85**, 5194 (2000).
- [26] A. Steppke, L. Zhao, M. E. Barber, T. Scaffidi, F. Jerzembeck, H. Rosner, A. S. Gibbs, Y. Maeno, S. H. Simon, A. P. Mackenzie *et al.*, *Strong Peak in T_c of Sr₂RuO₄ under Uniaxial Pressure*, *Science* **355**, eaaf9398 (2017).
- [27] M. E. Barber, A. S. Gibbs, Y. Maeno, A. P. Mackenzie, and C. W. Hicks, *Resistivity in the Vicinity of a van Hove Singularity: Sr₂RuO₄ under Uniaxial Pressure*, *Phys. Rev. Lett.* **120**, 076602 (2018).
- [28] S. Kivelson (private communication).
- [29] T. Imai, A. W. Hunt, K. R. Thurber, and F. C. Chou, *¹⁷O NMR Evidence for Orbital Dependent Ferromagnetic Correlations in Sr₂RuO₄*, *Phys. Rev. Lett.* **81**, 3006 (1998).
- [30] R. K. Harris, E. D. Becker, S. M. C. de Menezes, R. Goodfellow, and P. Granger, *NMR Nomenclature. Nuclear Spin Properties and Conventions for Chemical Shifts (IUPAC Recommendations 2001)*, *Pure Appl. Chem.* **73**, 1795 (2001).
- [31] A postanneal in air at reduced temperatures (approximately 450 °C) leads to smaller-than-expected absorption from the apical O(2) sites, indicating that the ¹⁷O substitution to the O(1) sites likely proceeds through the apical sites.
- [32] See Supplemental Material at <http://link.aps.org/supplemental/10.1103/PhysRevX.9.021044> for experimental setup, sample characterization, field-swept spectra,

- spin-lattice relaxation rate, and electric field gradient (EFG) results of Sr_2RuO_4 under uniaxial stress, as well as additional theoretical details.
- [33] The clamping structure is not perfectly rigid, and as a consequence, the actual sample compression is smaller than what is measured by the dilatometer [34].
- [34] C. W. Hicks, M. E. Barber, S. D. Edkins, D. O. Brodsky, and A. P. Mackenzie, *Piezoelectric-Based Apparatus for Strain Tuning*, *Rev. Sci. Instrum.* **85**, 065003 (2014).
- [35] P. Blaha, K. Schwarz, G. Madsen, D. Kvasnicka, and J. Luitz, *WIEN2k, An augmented Plane Wave+Local Orbitals Program for Calculating Crystal Properties*, Technische Universität Wien, Austria, 2001.
- [36] H. Mukuda, K. Ishida, Y. Kitaoka, K. Asayama, Z. Mao, Y. Mori, and Y. Maeno, *Novel Character of Spin Fluctuations in Spin-Triplet Superconductor Sr_2RuO_4 ^{17}O -NMR Study*, *J. Phys. Soc. Jpn.* **67**, 3945 (1998).
- [37] E. Pavarini and I. I. Mazin, *First-Principles Study of Spin-Orbit Effects and NMR in Sr_2RuO_4* , *Phys. Rev. B* **74**, 035115 (2006).
- [38] M. W. Haverkort, I. S. Elfimov, L. H. Tjeng, G. A. Sawatzky, and A. Damascelli, *Strong Spin-Orbit Coupling Effects on the Fermi Surface of Sr_2RuO_4 and Sr_2RhO_4* , *Phys. Rev. Lett.* **101**, 026406 (2008).
- [39] In principle, the polarization operators and the Stoner factors in this formula are matrices in the band indices and reciprocal lattice vectors. In the discussion here, we neglect these complexities.
- [40] J. Koringa, *Nuclear Magnetic Relaxation and Resonance Line Shift in Metals*, *Physica (Amsterdam)* **16**, 601 (1950).
- [41] M. Hirata, K. Ishikawa, K. Miyagawa, K. Kanoda, and M. Tamura, *^{13}C NMR Study on the Charge-Disproportionated Conducting State in the Quasi-Two-Dimensional Organic Conductor α -(BEDT-TTF) $_2\text{I}_3$* , *Phys. Rev. B* **84**, 125133 (2011).
- [42] R. Nourafkan and S. Acheche, *Temperature Dependence of the NMR Knight Shift in Pnictides: Proximity to a van Hove Singularity*, *Phys. Rev. B* **98**, 161116(R) (2018).
- [43] N. Kikugawa, C. Bergemann, A. P. Mackenzie, and Y. Maeno, *Band-Selective Modification of the Magnetic Fluctuations in Sr_2RuO_4 : A Study of Substitution Effects*, *Phys. Rev. B* **70**, 134520 (2004).
- [44] R. Hlubina, *Effect of Impurities on the Transport Properties in the van Hove Scenario*, *Phys. Rev. B* **53**, 11344 (1996).
- [45] G. R. Stewart, *Non-Fermi-Liquid Behavior in d - and f -Electron Metals*, *Rev. Mod. Phys.* **73**, 797 (2001).
- [46] M. Kim, J. Mravlje, M. Ferrero, O. Parcollet, and A. Georges, *Spin-Orbit Coupling and Electronic Correlations in Sr_2RuO_4* , *Phys. Rev. Lett.* **120**, 126401 (2018).