

1 **What is a gesture? A meaning-based approach to defining gestural**
2 **repertoires**

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14 **Abstract**

15 Current systems of categorizing ape gestures are typically subjective, relying on human
16 intuition. We have systematized the features on which categorization depends
17 (movement; body part; one/both limbs; use of detached object; rhythmic repetition;
18 contact with recipient), showing that a potential repertoire of over 1000 gestures is
19 physically possible, as large as the lexicon of some languages. In contrast, little more than
20 a tenth of these gestures is used in chimpanzee communication. The striking overlaps in
21 repertoire found between populations and even species of great ape are evidently not a
22 result of a restricted set of possible gestures. Using the reactions of signallers to identify
23 which gestures are intended to be different by the apes themselves, we revised the current
24 classification, making some new distinctions and abolishing others previously considered
25 important, giving a final repertoire of 81. A small number of gestures are used deictically,
26 such that the recipient must pay attention to specific locations to satisfy the signaller;
27 raising the possibility of a stepping-stone to the evolution of reference.

28

29 **Keywords**

30 communication; *Pan*; intentional gesture; repertoire; deixis

31 **Highlights**

- 32 • We provide a meaning-based categorization of the chimpanzee gestural repertoire
- 33 • Chimpanzees could employ over 1000 gestures, but only use 12% of these.
- 34 • We use signaller reactions to identify features salient in determining meaning.
- 35 • A sub-set of gesture types is employed deictically to refer to external locations.

36

37 **1. Introduction**

38 All great apes, including humans, employ a rich range of communicative signals that
39 includes facial expressions, body postures, vocalizations, and gestures. Gestures were
40 described among the first field studies of great apes by Goodall (1968), Schaller (1963),
41 Nishida (1980), and Plooij (1978); but it was more recent work (Tomasello et al., 1985,
42 1989, 1994; Leavens et al., 1996; Leavens & Hopkins, 1998) that highlighted that, unlike
43 many animal signals, chimpanzee gestures are used intentionally. That is, they are used
44 towards a specific recipient and with a particular goal in the signaller's mind. From these
45 captive studies of chimpanzees, the field expanded to include all four non-human ape
46 species (bonobo: Pika et al., 2005; gorilla: Tanner & Byrne; 1996, Pika et al. 2003; Genty
47 et al., 2009; and orang-utan: Liebal et al., 2006; Cartmill & Byrne, 2007), as well as the
48 first studies in the wild of gestural catalogues (chimpanzees: Hobaiter & Byrne, 2011a,
49 2011b; gorillas: Genty et al., 2009).

50

51 But what is a gesture? In the 21-years since Tomasello et al.'s 1985 chimpanzee paper the
52 field has exploded: a Google scholar search returns 273 articles on nonhuman primate
53 gesture published between 1985-2016. Some areas of the field remain remarkably

54 consistent: for example, there is broad agreement that a gesture should be a physical
55 movement that is not mechanically effective, and definition should incorporate a measure
56 of the signaller's intention to communicate (Tomasello et al., 1985; Pika et al., 2005;
57 Liebal et al., 2006; Tanner & Byrne, 1993; Genty et al., 2009; Hobaiter & Byrne, 2011a;
58 Roberts et al., 2012, 2014; Frohlich et al., 2016). After that, the consensus starts to
59 crumble. Should gestures be physical movements of the hand and fingers only (Leavens
60 & Hopkins, 1998; Leavens et al., 2010; Pollick & De Waal, 2007; Roberts et al., 2012,
61 2014); could they include movements of the head (e.g. Tanner & Byrne, 1996), body
62 postures (e.g. Genty et al., 2009), or facial movements (Cartmill & Byrne, 2007). Given
63 their use as communicative signals it is particularly worrying that there is little agreement
64 on how we should discriminate one gesture from another. Even within a narrow
65 definition focused on hand and finger movements, is a *reach* with the palm up the same
66 as a *reach* with the palm down? How do we parse out the variation that results from a
67 change in the signaller's body posture (standing or sitting), or from their environment
68 (e.g. arboreal versus terrestrial), from the variation that results from the ape deliberately
69 encoding differences – perhaps subtly – in information? Frequency of observation may
70 impact a researcher's choice of whether to distinguish a gesture as a specific form, which
71 is problematic, since a gesture may be rare because the context in which it is typically
72 used is rare yet have a distinct meaning that is biologically important (e.g. gestures used
73 in consortship see Hobaiter & Byrne, 2012).

74

75 The result of these ambiguities has been a field with a wide range of different gestural
76 repertoires, split to varying levels (c.f. Genty et al., 2009 with Hobaiter & Byrne 2011a).

77 Typically the approach has been to group by the morphological features that we, as
78 human observers, see as salient. For example: in our 2011 catalogue of chimpanzee
79 gestures (Hobaiter & Byrne, 2011a) we distinguished *arm shake* (small repeated back and
80 forth motion of the arm), *hand shake* (repeated back and forth movement of the hand
81 from the wrist), and *feet shake* (repeated back and forth movement of the feet from the
82 ankles), on the basis of the body parts involved; but we lumped shaking with one arm or
83 shaking with both arms as being part of essentially the same gesture, *arm shake*. Perhaps
84 because humans are themselves great apes, this subjective approach has been quite
85 productive. However, the categorisations remain arbitrary, and the level of splitting has at
86 times been inconsistent (for example: we differentiated *arm shake* and *hand shake*, but
87 described the single gesture *arm raise* as including raise either the arm or the hand;
88 Hobaiter & Byrne, 2011a). Indeed, whether the body part that was employed in
89 performing the movement formed part of a gesture's definition at all was not consistent
90 (for example: *arm shake* was distinguished from *hand shake* and *leg shake* by virtue of
91 the body part, but *hand beckon* was not distinguished from *arm beckon* or even, feasibly,
92 *leg beckon*; instead, *beckon* was defined only by the movement performed, irrespective of
93 body part; Hobaiter & Byrne, 2011a). As a result, on paper, there appeared to be little
94 systematic consistency in how to define a gesture, or to distinguish what might represent
95 a new gesture type, rather than a variant of the same gesture.

96

97 Since great ape gestures are meaningful, it might be that a more relevant categorisation of
98 signals could be provided by considering their usage from the signaller's perspective. For
99 example: does any shaking movement, irrespective of the type or number of limbs

100 involved, consistently convey the same intended meaning? We use the term ‘meaning’
101 deliberately. Many systems of animal communication involve the transfer of detailed
102 information: for example, primate alarm calls may encode not only the type of predator,
103 but also the level of risk (Schlenker et al., 2016a) or its location (Cäsar et al., 2013;
104 Schlenker et al., 2016b). Assessing the effect of a signal on a recipient is sufficient to
105 assess information transfer. Whether the signaller intends to achieve this effect on
106 signaller behaviour remains unknown, and thought frequently not to be the case (Seyfarth
107 & Cheney, 2003). Great ape gesture is different, because it is intentional. Signallers select
108 their gestures based on a specific recipient and its state of attention; they pause and wait
109 for a response; and – where unsuccessful – persist in signalling until they have achieved
110 the desired change in recipient behaviour. In doing so great apes meet the criteria for 1st
111 order intentional communication (Dennett, 1987). There is evidence for the 1st order
112 intentional (hereafter intentional) use of one or two signal types in a very few non-ape
113 species (e.g. grouper: Vail et al., 2013; macaque: Gupta & Sinha, 2016), but compare this
114 with the extensive body of evidence for the intentional use of a large repertoire of
115 gestures within all ape species in both captivity (chimpanzee: Tomasello et al., 1985,
116 1989, 1994; Halina et al., 2013; bonobo: Pika et al., 2005; gorilla: Tanner & Byrne; 1996,
117 Pika et al. 2003; Genty et al., 2009; and orang-utan: Liebal et al., 2006; Cartmill &
118 Byrne, 2007) and the wild (chimpanzee: Hobaiter & Byrne, 2011a,b, 2012, 2014; Roberts
119 et al., 2012, 2014; bonobo: Graham et al., 2016). This large data set of intentional non-
120 human signal use provides us with a unique opportunity: we are able to ask what a great
121 ape gesture ‘means’ in a human language-like sense (Grice, 1957; Hobaiter & Byrne,
122 2014; Moore, 2016; although c.f. Scott-Phillips, 2015, 2016).

123

124 To assess a signaller's intended meaning we must move beyond examining recipient
125 response, and consider signaller behaviour. A signaller's intended meaning is an internal
126 mental state, unavailable to external observers. To overcome this problem, we focus on
127 what behavioural response by the recipient appears to satisfy the signaller. This response
128 must both represent a plausible desire on the part of the signaller (thus, we exclude
129 agonistic behavioural responses from the recipient that targeted the signaller; 'attack me'
130 or 'chase me aggressively' are implausible desires), and lead to the cessation of
131 communication (Cartmill & Byrne, 2010; Hobaiter & Byrne, 2014).

132

133 Here we re-examine the gestural repertoire of the wild chimpanzee population of
134 Budongo forest, Uganda, using intended meaning as well as physical form to categorize
135 ape gestural signals. In linguistics 'distinctive features' represent the smallest unit of
136 variation used to describe the structure of phonemes. We adopt a similarly systematic
137 approach, using physical features within dimensions of variation in gesture morphology
138 (for example: the type of movement made, whether it is repeated in a rhythmic fashion,
139 and the body part involved) to define the potential repertoire of gestures (see Forrester,
140 2008 and Roberts et al., 2012 for similar morphological categorisations of gesture,
141 focusing on body posture and limb and hand movements). We compare this with our own
142 research group's existing chimpanzee catalogue, which has been split at both a low level
143 that focused on movements and body areas (St Andrews Catalogue Short List: StAC_SL,
144 based on the level of splitting seen in the 66 gestures identified in Hobaiter & Byrne,
145 2011a) and at a higher level that distinguishes, for example, hand versus arm use, and one

146 limb (hand) versus two limb (hands) forms of the same gesture types (St Andrews
147 Catalogue Long List: StAC_LL shown in the Sonso specific column of Table 1, Hobaiter
148 & Byrne 2011a).

149

150 We then use evidence from the signaller's intended meaning, to explore which of the
151 potential and actual distinctions have any communicative significance *from a*
152 *chimpanzee's perspective*, and thus generate a systematic categorisation of chimpanzee
153 gesture types.

154

155

156 **2. Methods**

157 **2.1 Ethical statement**

158 This was a purely observational study that did not contain any interventions. All research
159 adhered to the ethical ASAB/ABS Guidelines for the Use of Animals in Research and
160 was conducted in compliance with the applicable national laws (UNCST research permit:
161 NS179).

162

163 **2.2 Procedure**

164 All observations analysed here were made on habituated wild chimpanzees (*Pan*
165 *troglodytes schweinfurthii*), during field periods between 2007 and 2013 (see Hobaiter &
166 Byrne, 2011a,b, 2012, 2014). The Sonso chimpanzee community at the Budongo
167 Conservation Field Station, in the Budongo Forest Reserve, Uganda consisted of 81

168 individuals at the start of data collection. We used focal behaviour sampling (Altmann,
169 1974), filming all cases of gestural communication using a Sony Handycam.
170

171 We defined *gestures* as discrete, mechanically ineffective physical movements of the
172 body observed during intentional communication (see: Hobaiter & Byrne, 2011a; 2014).
173 Our criterion of intentionality (at least 1st order intentional use) was applied at the level of
174 the gesture instance, not the gesture type: thus, for every instance of gesture analysed, we
175 had evidence that the signaller gestured with the intention of changing the recipient's
176 behaviour, as indicated by one or more of response waiting, audience checking, and/or
177 persistence in communication (see Hobaiter & Byrne 2011a, 2014 for a detailed
178 description of the methods used to assess intentional communication). The resulting data
179 set included 4535 individual gestures from 72 individuals. Our original catalogue
180 contained 66 gesture types, on the basis of gesture morphology, used to achieve 19
181 distinct meanings (Hobaiter & Byrne, 2011a, 2014). In the present analysis, we included
182 8 additional gesture types. Four were observed during the original field study, but at that
183 time we lacked evidence for intentional use in the Budongo community, which is now
184 available (field observations between 2011 and 2013): *bipedal rocking*; *bipedal stance*;
185 *rocking*, and *thrust*. Four were created by splitting two previously lumped gesture types:
186 *present genitals backwards*, *present genitals forwards* (formerly combined as *present*
187 *sexual*), *reach palm*, *reach wrist* (formerly combined as *reach*).
188

189 We investigated signallers' intended meaning through analysis of Apparently Satisfactory
190 Outcomes (ASOs; see: Cartmill & Byrne 2009; Hobaiter & Byrne, 2014). An ASO is an

191 observable change in the recipient that apparently stops the signaller from signalling; an
192 ASO must conform to some plausible biological function for the signaller. Where we
193 found consistent patterns of use over multiple cases of communication, we used these as
194 an empirical indication of what signaller's intend to mean by giving the gesture.

195 (Typically, we required at least three cases from at least three signallers; where that was
196 not the case the data are clearly indicated; see Hobaiter & Byrne, 2014 for a more
197 detailed description of methods used to analyse meaning.)

198

199 Play represents a prolific context for gestural communication and all data, including play
200 data, were used in morphological categorization of the gestures. However, signals given
201 during play are not necessarily used with their non-play, 'real world' meaning; and
202 outcomes within play may not reliably signal the gesture's meaning in a non-play context
203 (Bateson, 1972/1955; Bekoff & Byres, 1981; Hobaiter & Byrne, 2014). In order to
204 investigate the normal meaning of gestures we excluded gestural communication during
205 play from analyses of intended meaning (as per Hobaiter & Byrne, 2014; gestures with a
206 play-related ASO represented 49.2% individual gesture cases). We specified in each case
207 where an analysis was conducted on data from communication that occurred outside of
208 play. In addition, as our previous research has shown no effect of individual identity on
209 gestural meaning (Hobaiter & Byrne, 2014), in the following analyses we combined
210 individual data. Parametric analyses were carried out in SPSS v11, non-parametric
211 analyses were calculated by hand, $\alpha = 0.05$ was required for significance. Means are
212 given \pm standard deviation, throughout. All statistical tests were two-tailed.

213

214 **Table 1. Classification features for splitting gestures.** The six initial features used to
 215 describe each gesture type within the catalogue; a value must be recorded for each feature
 216 in order to identify the specific gesture form (see Table S1).
 217

Feature (n=values)	Definition	Possible values
Movement (36)	The physical movement of the gesture type.	Bend; bite; clap; cover; dangle; embrace; fling; grab; grab hold; grab pull; hit; jump; locomote; Look; move object; offer; posture; posture bipedal; push; raise; reach; rock; roll over; rub; scratch; shake*; shake object*; spin; splash; stroke; swing; tear off; throw; touch; touch hold; wave
Body part (11)	The area moved while gesturing. Unless specified in the analysis these terms include the use of both single and double-limbs; e.g. body part hand refers to the use of either one hand or two hands.	Arm; body; fingers; foot; genitals; hand; head; knuckles; leg; mouth; rump
Single/Double limb (2)	Where gestures involved movements of the limbs were one or both involved	Single; double
Detached object use (2)	Use of a detached object by the body part gesturing.	Yes; no
Rhythmic repetition (2)	A repetitive movement is produced with a regularly spaced rhythm indicating it is part of a single continuous gesture e.g. <i>tapping</i>	Yes; no
Contact (2)	The movement of the gesture requires physical contact with the recipient	Yes; no

218 * The distinction between a shake movement + detached object use, and a shake object
 219 movement, is that in shake the focus of the movement is to shake the limb; whereas in
 220 shake object the focus of the movement is to shake an object that remains attached (for
 221 example a sapling or branch).
 222

223

224

225

226 **3. Results**

227 **3.1 Initial Classification**

228 Six features have regularly been used, in our own and other studies of great ape
229 communication and behaviour, to describe and categorize gestures in their repertoire (e.g.
230 Cartmill & Byrne, 2007, 2010; Genty et al., 2009; Graham et al., 2016; Goodall, 1968;
231 Halina et al., 2013; Hobaiter & Byrne, 2011a, 2014; Hobaiter et al., 2013; Leavens &
232 Hopkins, 1998; Leavens et al., 2010; Liebal et al., 2006; Pika et al., 2003, 2005; Plooij,
233 1978; Pollick & DeWaal, 2007; Roberts et al., 2012; Schaller, 1963; Tanner & Byrne,
234 1996; Tomasello et al., 1985, 1989, 1994). Together, these six features formed the basis
235 of our initial categorization (Table 1). The features were as follows: (1) movement
236 (n=36). Where chimpanzees made two different movements at the same time with
237 different limbs, we treated them as two separate gestures produced together. Thus, if a
238 chimpanzee were observed to swing with one leg and shake with the other, we would
239 treat that as the movements of two separate gestures produced in tandem, rather than a
240 single gesture with a ‘swing-shake’ movement. (2) Body parts (n=11); (3) single or
241 double limb (n=2); (4) detached object use (n=2); (5) rhythmic repetition of movement
242 (n=2); and (6) contact with recipient (n=2). So, for example, the gesture: *arm shake* was
243 classified as [movement = shake; body part = arm; single/double limb = single; detached
244 object use = no; rhythmic repetition = yes; contact with recipient = no]; the gesture *drum*
245 *other* was classified as [movement = hit; body part = hand; single/double limb = double;
246 detached object use = no; rhythmic repetition = yes; contact with recipient = yes]; and the
247 gesture *object in mouth* was classified as [movement = offer; body part = mouth;

248 single/double limb = single; detached object use = yes; rhythmic repetition = no; contact
249 with recipient = no].

250

251 By multiplying the possible values for each feature we constructed a matrix of the
252 *hypothetical maximum repertoire size*: n= 6336. However, this included a large number
253 of implausible options, for example: a beckon movement with the body part rump. We
254 therefore excluded (a) the option of double limb use where only one existed (e.g. body or
255 head); (b) the option of single limb use where the movement required two (e.g. clap); (c)
256 the option of detached object use where it could not be employed by the body part (e.g.
257 body, genitals); (d) impossible or physically improbable movement + body part
258 combinations (e.g. spin + head; or bite + hand). This process left us with a remaining
259 *possible maximum repertoire size*: n=1005.

260

261 We then examined n=4535 cases of gesture use within our catalogue using the 6 features
262 described in Table 1 to assign each to one of the n=1005 possible gesture types. When
263 categorized using these features, chimpanzees showed an *employed repertoire size*:
264 n=124 (12.3% of the maximum possible). So, for example, the chimpanzees showed the
265 movement shake with the body parts: arm(s), feet, hand(s), head, and leg(s) but not:
266 rump, genitals, or foot.

267

268 **3.2 Did the 6 initial features provided a sufficiently detailed categorization?**

269 At first sight, this new morphological classification resembles our existing chimpanzee
270 StAC long list, with a similar number of gesture types (StAC_LL n= 158). However,

271 even within our short list (StAC_SL, n=72), a number of gesture types were lumped by
272 the new categorization. Lumped gestures could be grouped into three sets; we examined
273 each set individually, comparing the distribution of ASOs achieved for the newly lumped
274 gesture types to determine if it was appropriate to combine them or if, based on the use of
275 these gestures by the chimpanzees, we needed to specify additional features in our
276 classification system. The 6-feature morphological classification no longer distinguished
277 gestures:

278

279 (i) Where a movement of hitting is performed with both limbs and could be performed
280 either simultaneously or with alternating hits. For example: *drum other* (alternating hits),
281 is not distinct from *slap other 2-hands multiple* (simultaneous hits). Four such cases
282 occurred (Table 2): in each case, both alternating and simultaneous gestures were used
283 either exclusively in play or with three or fewer cases of use outside of play. Therefore,
284 we find no justification from the chimpanzee behaviour to distinguish simultaneous from
285 alternating hitting movements (Table 2) and so no requirement for an additional feature to
286 discriminate simultaneous from alternating hitting movements.

287

288 (ii) Where locomotion, posture, or spinning movements are performed with the body as a
289 whole. Three movement + body part combinations contained multiple gestures that were
290 split in our original classification but were lumped by the new classification using the 6
291 features: [locomotion + body] lumps the two gestures: *gallop* & *stiff walk*; [posture +
292 body] lumps the gestures: *bow* & *head stand*; and [spin + body] lumps the gestures:
293 *pirouette*, *side roulade* & *somersault*).

294

295 Only the movement spin with the body part body provided sufficient examples of use
 296 outside of play for comparison, termed: *side roulade* (n=9) and *somersault* (n=15). In our
 297 original classification these gesture forms differed in the position of the body when it was
 298 spinning: extended out in *side roulade*, and curled up in *somersault*. However, the
 299 specific position in which the body was held was not differentiated in our new
 300 classification. As both gestures were used exclusively to achieve the ASO ‘Stop that’, we
 301 found no justification from the chimpanzee behaviour to distinguish gestures that involve
 302 the movement spin with the body (Table 2) and so no requirement for an additional
 303 feature to discriminate the specific position in which the body part was held.

304

305 **Table: 2 Gestures lumped by the 6-feature classification that were previously split in**
 306 **the StAC Long list.**

307

Gestures with simultaneous vs alternating hitting movement	Locomotion and body posture gestures
Kick 2-feet* & stomp 2-feet other**	Gallop** & stiff Walk***
Kick* & stomp other *	Bow*** & head stand*
Drum object** & slap object, 2-handed multiple***	Pirouette** & side roulade & somersault
Drum other** & slap other, 2-handed multiple*	

308

309

310

* ASO = play related in all cases; ** ASO = 3 or fewer cases of gesture use outside of play; *** 3 or fewer cases of gesture use

311

(iii) Where the gestures differed in directing or not directing the recipient’s behaviour.

312

We observed three cases of movement + body part combinations in which the difference

313

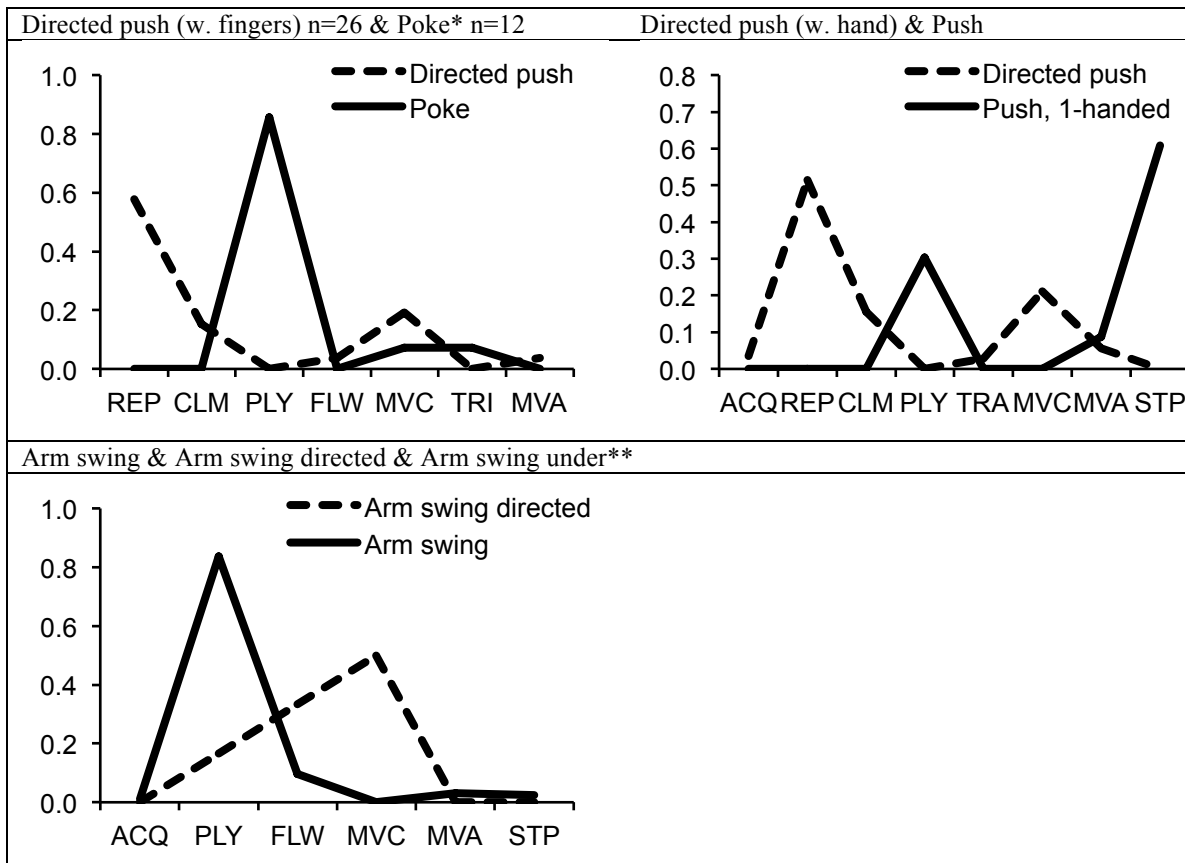
that had previously been used to split them can be described as the signaller intending to

314

direct the recipient’s behaviour: in other words, not just ‘Move away’ but ‘Move yourself

315 *there*'. In each of the three cases we observed distinct differences in the distribution of
316 the ASOs achieved by the gestures that would be combined by the features approach
317 (Table 3). The gesture *directed push* performed with the fingers (n=26) was primarily
318 employed to achieve the ASO 'Reposition' (n=15); whereas the gesture *poke* (n=14) was
319 primarily employed in play (n=12). The gesture *directed push* performed with the hand
320 (n=142) was also primarily employed to achieve the ASO 'Reposition' (n=73); whereas
321 the gesture *push* (n=23) was primarily employed to achieve the ASO 'Stop that' (n=14).
322 The gesture *arm swing* (n=166) was primarily employed in play (n=139) and outside of
323 play (n=27) was used to achieve the ASO of 'Follow' (n=16); whereas the gesture *arm*
324 *swing directed* (n=6) was primarily used to achieve the ASOs of 'Move closer' (n=3) and
325 'Follow' (n=2).

326 **Table: 3 Distribution of ASOs in gestures lumped by the 6-feature classification that**
 327 **were previously split in the StAC Long list.** The proportion of gesture cases used to
 328 achieve an ASO is plotted for movements produced with or without directedness. ASOs
 329 with *potentially similar meanings are plotted adjacent to each other.* ACQ = ‘Acquire
 330 object’; REP = ‘Reposition’; CLM = ‘Climb on me’; PLY = ‘Play’; FLW = ‘Follow’;
 331 TRA = ‘Travel with me (adult)’; MVC = ‘Move closer’; TRI = ‘Travel with me (infant)’;
 332 MVA = ‘Move away’; STP = ‘Stop that’. For clarity only ASOs for which the gesture
 333 was employed are labelled.
 334



335 *3 or fewer cases of gesture use outside of play; **3 or fewer cases of gesture use.

336

337 From these clear distinctions in use we suggest that there exists an additional element of

338 classification ‘deixis’. Given its use across several movement + body part combinations

339 we consider this to be an additional feature that may categorize movements: Place

340 indicated. However, as deictic indication refers to a potentially infinite number of points

341 in the external environment it seems inappropriate to classify it as a specific physical

342 feature of the gesture in the same way as a body part, or use of an object. We therefore

343 suggest deixis be recorded but treated separately from the physical features of a gesture.
344 We continue our current analysis focused on the six physical features previously defined.

345

346 **3.3 Do the 6 physical features used to describe gestures in the repertoire modify the**
347 **meaning of the gestures?**

348 Here we describe the forms of the gestures used, and then employ the ASOs achieved
349 outside of play to investigate whether the chimpanzees distinguish different meanings
350 from the physical features (Table 1) that might modify the feature movement.

351

352 **3.3.1 Does varying the body part with which a movement is performed modify the**
353 **meaning of a gesture?**

354 Of the 11 body parts, the hand was the most commonly employed in gesturing and was
355 used to perform the greatest range of movements (Table 4); together the hand, fingers,
356 and knuckles account for over half of all gestures produced (n=2678).

357

358 **Table 4. Number of gesture instances and movement types per body part.**

Body part	Instances; n	Movements; n
Mouth	114	4
Head	30	4
Arm	316	9
Hand	1991	17
Fingers	533	6
Knuckles	154	4
Body	540	10
Rump	32	1
Genitals	201	2
Leg	26	3
Foot	416	7

359

360

361 The majority of movements (22/36) were performed by only a single body part: for
362 example, clap was performed only with the hands whereas rub was performed only with
363 the rump. Six movements were performed with two body parts, and eight with three or
364 more (body parts per movement: range = 1-6; mean = 1.9 ± 1.5). When we excluded
365 gesture cases that were (a) used during play, (b) with an ASO of unknown, or (c) where
366 there were fewer than three examples of the specific movement + body part combination,
367 the range of body parts per movement decreased (movements performed by a single body
368 part = 18/26; body parts per movement: range = 1-4, mean = 1.5 ± 0.9).

369

370 For the eight movements expressed using more than one body part we examined the
371 pattern of ASOs achieved in non-play situations on a case-by-case basis. A visual
372 inspection of Table 5 shows several cases of clear variation between the distribution of
373 ASOs achieved by different body parts with the same movement, suggesting that
374 chimpanzees attended to both the movement and body part when decoding the signaller's
375 intended meaning.

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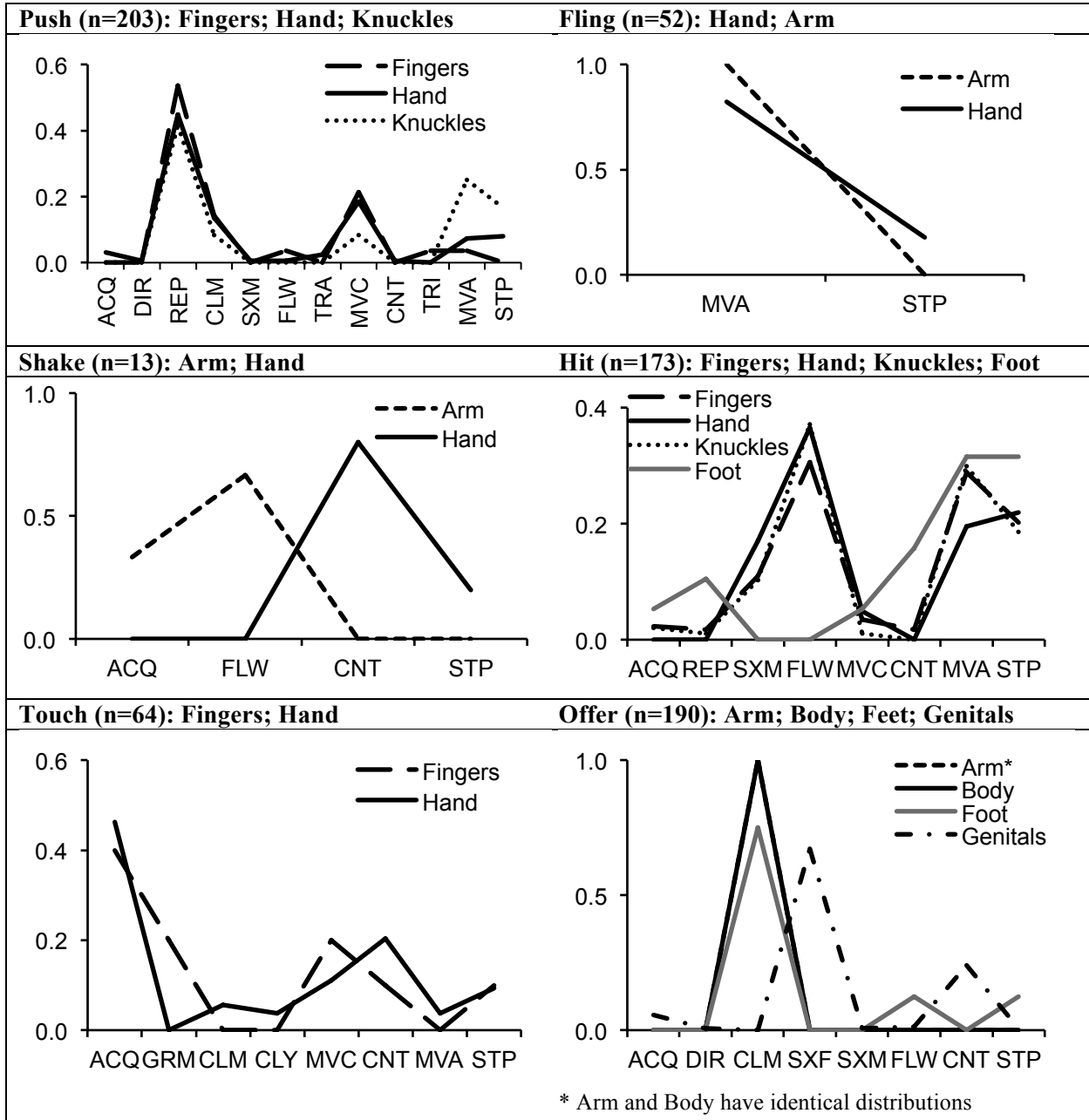
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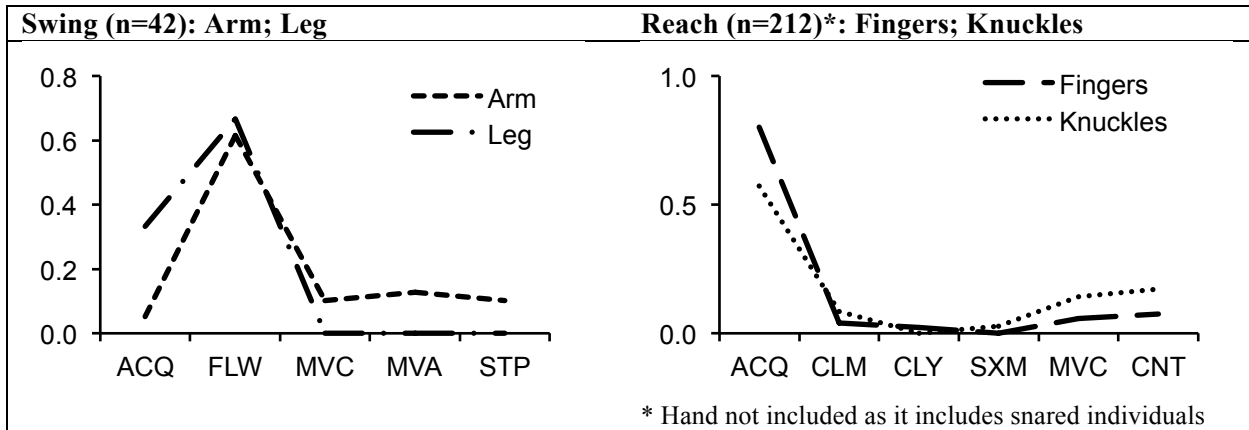
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383 **Table 5. Distribution of ASOs produced by different body parts within a movement.**
 384 The proportion of gesture cases used to achieve an ASO is plotted for the same
 385 movement produced with different body parts. ASOs with *potentially similar meanings*
 386 *are plotted adjacent to each other.* ACQ = ‘Acquire object’; DIR = ‘Direction attention’;
 387 GRM = ‘Groom’; REP = ‘Reposition’; CLM = ‘Climb on me’; CLY = ‘Climb on you’;
 388 SXF = ‘Sexual attention to female’; SXM = ‘Sexual attention to male’; FLW = ‘Follow’;
 389 TRA = ‘Travel with me (adult)’; MVC = ‘Move closer’; CNT = ‘Contact’; TRI = ‘Travel
 390 with me (infant)’; MVA = ‘Move away’; STP = ‘Stop that’. For clarity only ASOs for
 391 which the gesture was employed are labelled.
 392



393



394

395 For three movements, fling, swing, and reach the pattern of distributions between the
 396 body parts was very similar. Regardless of body parts, the movement fling achieved a
 397 primary ASO ‘Move away’ (>80% of use); the movement swing achieved a primary
 398 ASO ‘Follow’ (>60% of use); and the movement reach achieved a primary ASO
 399 ‘Acquire object’ (>50% of use). In the case of reach there was a difference between the
 400 frequency with which the primary ASO was achieved by the fingers (80%) or the
 401 knuckles (57%), with the latter often used to achieve secondary ASOs such as the
 402 affiliative ‘Contact’. Similarly, in the case of the movements push, hit, touch, and offer,
 403 the ASO patterns suggested only minor variation between the different parts of the hand,
 404 in particular the knuckles from the hand and fingers, and more substantial discrimination
 405 between these and other body parts such as the feet or genitals. In push and touch all
 406 body parts achieved the same primary ASO (‘Reposition’ and ‘Acquire object’
 407 respectively) with minor variation in the patterns of secondary ASOs (see for example:
 408 push with knuckles to achieve ‘Move Away’).

409

410 The two movements hit and offer showed more substantial variation, with different body
 411 parts achieving different primary ASOs. In the case of hit, the primary ASO for fingers,

412 hand, and knuckles was ‘Follow’; whereas hit with the feet gave joint primary ASOs of
413 ‘Move away’ and ‘Stop that’. Interestingly, this suggests that in non-play situations
414 chimpanzees make little distinction between a *Slap* gesture (typically hands or fingers)
415 and a *Punch* gesture (typically knuckles); but do discriminate these from foot based
416 *Stomp* gestures.

417

418 With the movement offer, the primary ASO for arm, body, or leg was ‘Climb on me’,
419 whereas for the genitals it was a request for ‘Sexual Attention’. However, a large
420 category of the movement offer was excluded from these analyses: the gesture *present*
421 *groom* (n=181), which is almost exclusively employed for the ASO ‘Direct attention’
422 (n=177). In *present groom* gestures the body part offered was typically not specified in
423 coding leading to these cases being excluded as both movement and body part were
424 required for this analysis. In both *present groom* and *present climb on me* the movement
425 indicates a specific location, in this case on the signaller’s body. The distinction in
426 meaning between these offer movements is seen not in the body part offered (in both
427 *present groom* and *present climb on me* the foot or back could be offered). Instead the
428 distinction in meaning was identified from the recipient’s behaviour (gesturing by the
429 signaller stops when the recipient either (a) starts to groom in the specified location or
430 moves existing grooming activity to that location, or (b) climbs on the signaller). As a
431 result, the gestures *present groom* and *present climb on me* are now lumped as the single
432 gesture *present*.

433

434 Finally, one movement – shake – showed near opposite patterns of distribution for the
435 two body parts: arm, used to achieve the primary ASO ‘Follow’, and hand, used to
436 achieve the primary ASO ‘Contact’. All of the shake movements produced with the hands
437 were of the contact gesture *shake hands* rather than a hand only version of the non-
438 contact gesture *arm shake* (e.g. *hand shake*).

439

440 Thus, of the eight movements produced with one or more body parts, in five cases the
441 same primary ASO was achieved irrespective of body part used. In a further two cases,
442 the majority of body parts (particularly when grouped at the level of the fingers to arm)
443 produced the same primary ASO. In one case the primary ASO varied strikingly; and
444 here variation was seen not only in the body part but also in the gestural modality with
445 physical contact made in one but not the other. As a result the decision on whether or not
446 to lump body parts that were used was taken for each type of movement on a case-by-
447 case basis.

448

449 **3.3.2 Does physical contact with the recipient modify the meaning of a gesture?**

450 Outside of play, only the movements hit and shake were employed with both contact and
451 non-contact. The movement shake, as described above, includes both the non-contact *arm*
452 *shake* and the contact *shake hands* gestures. Shake with contact (n=9) achieved a primary
453 ASO of ‘Contact’ (n=7, 78%); whereas shake without contact (n=3) achieved a primary
454 ASO of ‘Follow’ (n=2, 67%). The movement hit occurred in gestures such as *punch*
455 *other*, *slap object*, *kick*, *stomp object*, etc. Hit movements with contact (n=134) achieved
456 a different primary ASO (‘Follow’, n=53, 40%) when compared to hit movements

457 without contact (n=40; primary ASO ‘Move away’, n=13, 33%). Three body parts
 458 produced hit movements both with and without physical contact to the recipient: hand,
 459 knuckles, and foot. In two cases the primary ASO achieved varied between the contact
 460 and non-contact forms; however, of the 10/14 primary and secondary ASOs achieved
 461 across the different body parts and levels of contact (Table 6) were a request for the
 462 recipient to ‘displace’ themselves (‘Follow’, ‘Move away’, ‘Move closer’, ‘Reposition’),
 463 three were a request for ‘Stop that’, and one was for ‘Sexual attention to a male’. As a
 464 result, we suggest that it is appropriate to maintain the splitting of movements that lead to
 465 contact with the recipient as opposed to an object, for example: *hit other* from *hit object*.
 466

467 **Table 6. Primary and secondary ASO of hit movements produced with and without**
 468 **physical contact with recipient.**
 469

Move ment	Body part	Modality	Primary ASO (% of use)	Secondary ASO (% of use)
Hit	Hand	Contact	‘Follow’ (44%)	‘Move away’ (30%)
		Non contact	‘Stop that’ (65%)	‘Move away’ (29)
	Knuckles	Contact	‘Move away’ (33%)	‘Follow’/‘Move closer’/‘Sexual attention to male’ (22%)
		Non contact	‘Move away’ (57%)	‘Stop that’ (29%)
	Foot	Contact	‘Move away’/‘Reposition’ (50%)	-
		Non contact	‘Stop that’ (40%)	‘Move away’ (27%)

470

471 3.3.3 Does the use of single or double-limb forms modify the meaning of a gesture?

472 Nineteen movements were performed with both single- and double-limb forms of the
 473 same body part. Single-limb forms were more common across gestures types (single:
 474 mean cases per movement = 98.3±109.6; double: mean cases per movement = 28.2±45.9;
 475 paired t-test: t=3.86, df=18, p=0.0011). A greater proportion of the double-limb forms of

476 the movements were used in play (proportion of single-limb forms in play: mean =
477 0.57 ± 0.3 ; proportion of double-limb forms in play: mean = 0.74 ± 0.3 ; Paired t-test:
478 $t=2.63$, $df=18$, $p=0.0169$).

479

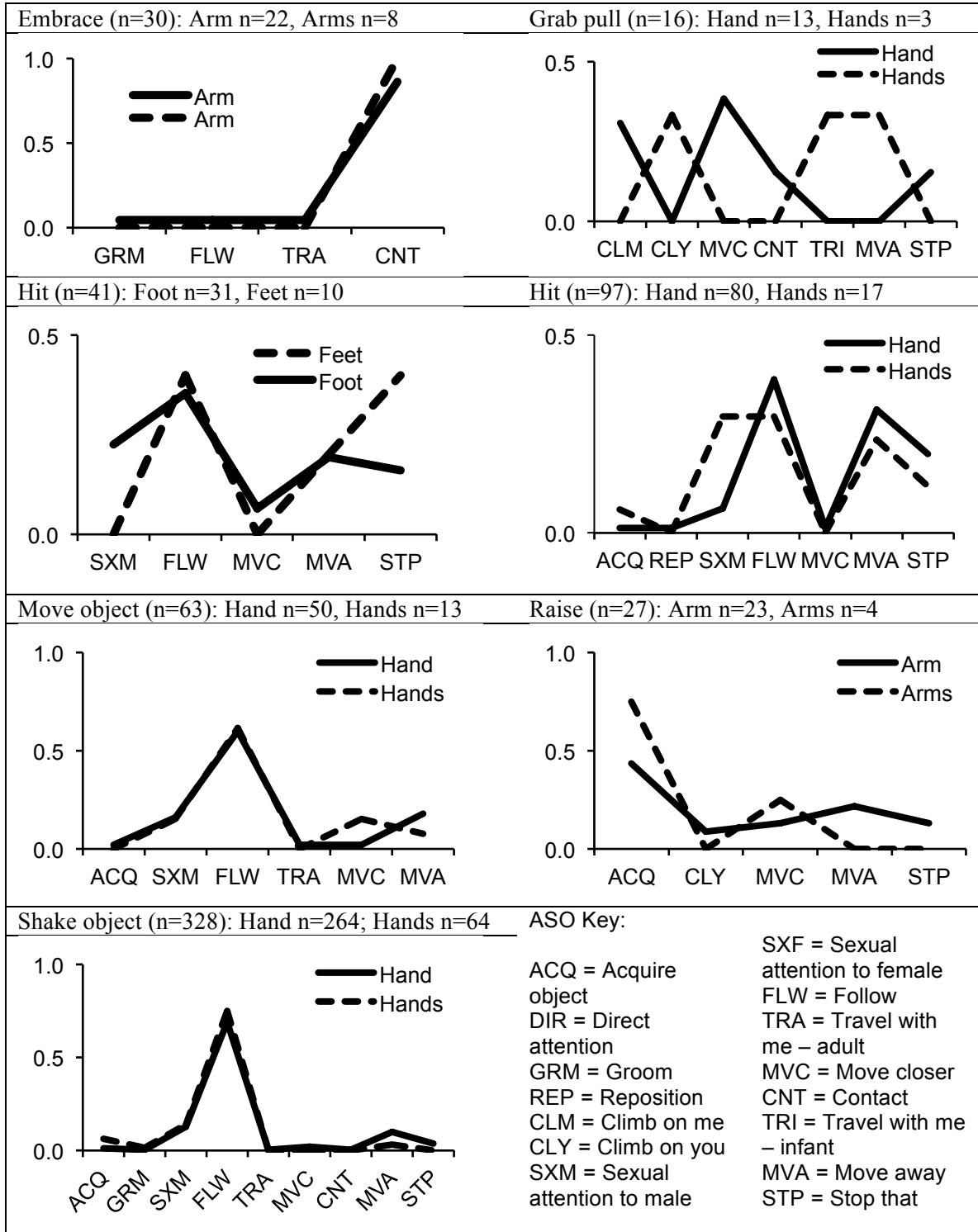
480 Outside of play, seven movements included three or more cases of both the single- and
481 double-limb forms of the same body part employed towards a known ASO (Table 7). The
482 primary ASOs achieved were the same in the single- and double-limb forms of 6 of the 7
483 movements. We further compared the frequency with which this primary ASO was
484 achieved as compared to all other ASOs for the single and double limb forms and again
485 found no differences between them (Embrace + arm/arms, primary ASO = 'Contact',
486 Fisher's exact test $n=30$, $p=0.545$. Hit + foot/feet, primary ASO = 'Follow', Fisher's
487 exact test $n=41$, $p=1.000$. Hit + hand/hands, primary ASO = 'Follow', Fisher's exact test
488 $n=97$, $p=0.585$. Move object + hand/hands, primary ASO = 'Follow', Fisher's exact test
489 $n=63$, $p=1.000$. Raise + arm/arms, primary ASO = 'Acquire object', Fisher's exact test
490 $n=27$, $p=0.326$. Shake object + hand/hands, primary ASO = 'Follow', Fisher's exact test
491 $n=313$, $p=0.443$). The seventh case (grab pull + hand/hands) only contained an $n=16$
492 examples and showed no clear primary ASO in either single- or double-limb form (Table
493 7).

494

495 As a result, we suggest lumping single and double limb forms of the body parts used to
496 produce gesture movements: for example, the gesture *arm raise* will include both use of
497 single arm or double arms.

498
499
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Table 7. Distribution of ASOs produced by single- and double-limb forms of movements. The proportion of gesture cases used to achieve an ASO is plotted for the same movement produced with a single-limb or double-limbs. ASOs with *potentially similar meanings are plotted adjacent to each other*. For clarity only ASOs for which the gesture was employed are labelled.



504

505 3.3.4 Does the inclusion of an object modify the meaning of a gesture?

506 Six movements were performed with the use of a detached object (hit, move object, shake
507 object, swing, tear off, throw). Only two movements were performed both with and
508 without object use: hit with the body part hand (with object n=15; without object n=83)
509 and swing with the body part arm (with object n=4; without object n=35).

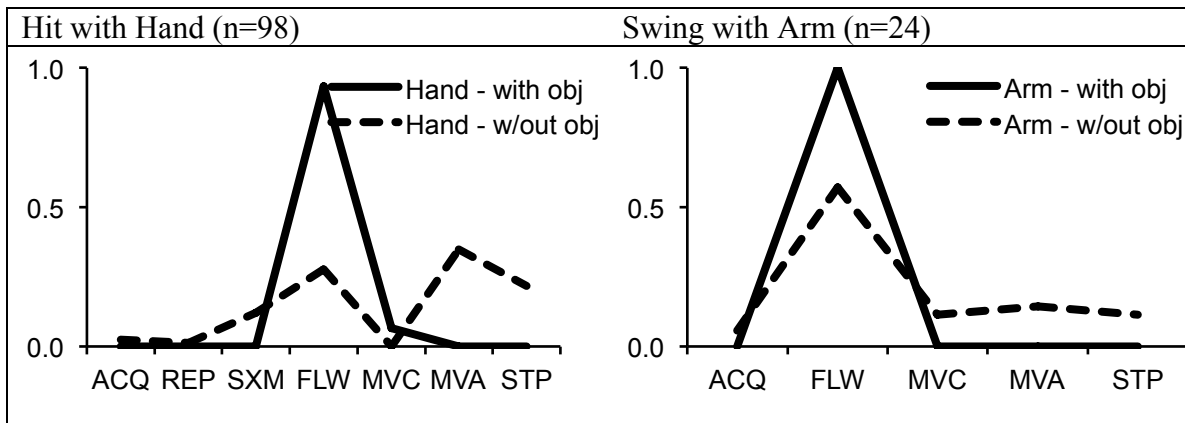
510

511 Outside of play, the primary ASO for hit with the hand without an object was ‘Move
512 away’ (n=29, 35%), and with an object was ‘Follow’ (n=14, 93%). The primary ASO for
513 swing with the arm both with (n=4, 100%) without an object (n=20, 57%) was ‘Follow’
514 (Table 8). As a result, we suggest that it is appropriate to maintain splitting of movements
515 produced with and without detached objects, for example: *hit other* from *hit with object*.

516

517 **Table 8. Distribution of ASOs in movements produced with and without a detached**
518 **object.** The proportion of gesture cases used to achieve an ASO is plotted for the same
519 movement with and without a detached object. ASOs with *potentially similar meanings*
520 *are plotted adjacent to each other.* ACQ = ‘Acquire object’; REP = ‘Reposition’; SXM =
521 ‘Sexual attention to male’; FLW = ‘Follow’; MVC = ‘Move closer’; MVA = ‘Move
522 away’; STP = ‘Stop that’. For clarity only ASOs for which the gesture was employed are
523 labelled.

524



525

526 **3.3.5 Does the use of rhythmic repetition modify the meaning of a gesture?**

527 Twelve movements (n=1227 cases) were performed with rhythmic repetition; however,
528 the majority of rhythmic repetition cases (n=908, 74%) were recorded in movements that
529 were only performed with repetition (rock, rub, scratch, shake, shake object, spin, stroke,
530 tear off, wave).

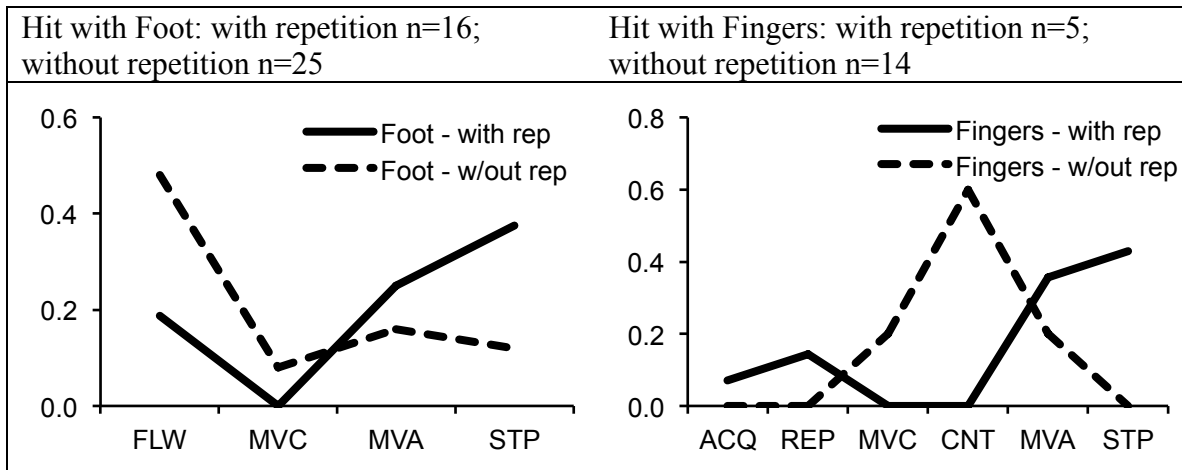
531

532 Outside of play, only two movements were employed with and without rhythmic
533 repetition. The movement dangle was used both with and without repetition; however,
534 only two cases were employed outside of play, one with and one without repetition. The
535 movement hit was used with the body parts foot and fingers with both repetition and non-
536 repetition of the movement (Table 9). We found differences in the primary ASOs for hit
537 with either the foot (with repetition = ‘Stop that’; without repetition = ‘Follow’) or with
538 the fingers (with repetition = ‘Stop that’; without repetition = ‘Contact’). As a result, we
539 suggest maintaining the splitting of gestures that incorporate rhythmic repetition of the
540 movement, for example: the gestures *tap* and *tapping*.

541

542

543 **Table 9. Distribution of ASOs in movements produced with and without rhythmic**
 544 **repetition.** The proportion of gesture cases used to achieve an ASO is plotted for the
 545 same movement with and without rhythmic repetition. ASOs with *potentially similar*
 546 *meanings are plotted adjacent to each other.* ACQ = ‘Acquire object’; REP =
 547 ‘Reposition’; MVC = ‘Move closer’; CNT = ‘Contact’; MVA = ‘Move away’; STP =
 548 ‘Stop that’. For clarity only ASOs for which the gesture was employed are labelled.
 549



550

551

552 3.4 Revising the catalogue of meaningful gestures for the chimpanzee

553 To summarize, our analysis of the appropriate categorization of chimpanzee gestures,

554 based on the intended meanings of gestures, has the following consequences:

1 No effect of simultaneous as opposed to alternating hit movements

Outcome Lump gesture types previously split e.g. *drum* with *slap/punch multiple 2hands*

2 No effect of body position on spin movements

Outcome Lump gesture types previously split e.g. *pirouette, side roulade, somersault*

3 Deictic gestures

Outcome Allow for the coding of gesture types as deictic depending on the signaller and recipient’s movements.

4 Body part impacts meaning of some movements but not others

Outcome Lump body parts: fingers and hand

Lump gestures *arm swing* and *leg swing*

Lump gestures *slap, punch* as new gesture *hit*

5 Physical contact with recipient impacts meaning of movement

Outcome Maintain split of movements on other versus on object

6 Use of single- or double-limb forms impacts meaning of some movements

Outcome Lump forms for movements: embrace, move object, raise, shake object
Maintain split form for movements hit and grab-pull

7 Inclusion of object impacts meaning of movements

Outcome Maintain split of with/without object movement forms

8 Repetition of movement impacts meaning of hit movements

Outcome Include splitting of single versus repeated hit movements

555

556 From this we generated a new standard St Andrews Catalogue for chimpanzee gestural
557 communication, containing 81 gesture types (Table S1). In this catalogue, all gestures
558 were distinguished based on the features for which there is evidence that they affect the
559 primary meaning of the gesture. We suggest that gestures that are used to indicate
560 (*directed reach*) that have the same physical form as gestures that are not used to indicate
561 (*reach*) be considered to be the same type of gesture, but one that can be employed with
562 or without an additional, non-physical, feature of deixis.

563

564

565 **4. Discussion**

566 Considerable importance has been attached to the discovery of the large overlap between
567 the lists of gestures described for the different great ape populations, suggesting a
568 predominantly species – and even family – typical origin (Hobaiter & Byrne, 2011a). One
569 weakness of these claims is that commonality in gestures might simply result from a

570 limited possible range of movements. Here we see that any such limitation is far from the
571 case: the potential repertoire of physically possible combinations of the features extends
572 to over a thousand types, of which only 12% are employed by chimpanzees in their
573 gesturing.

574

575 Interestingly, from the perspective of a gestural theory of language evolution, a repertoire
576 of a thousand signals would be (more than) sufficient for productive language. The
577 original dictionary for Esperanto, for example, has around 900 root words (Zamenhof,
578 1905); while ‘mother-in-law’ languages (for example: Dyalɲuy used by Dyirbal speakers
579 to communicate in the presence of relatives with whom there is a speech taboo) contain
580 only a few hundred items (Dixon, 1972). The upper limit on the size of the chimpanzee
581 gestural repertoire is clearly not set by the features used to distinguish among different
582 gestures, and in a species that needed – and was capable of envisioning – an extended
583 repertoire that could serve as a language there would be no need to change from a manual
584 system of gesture in order to achieve it.

585

586 Building on previous morphological classifications of limb and hand movements in ape
587 gesture (e.g. Forrester, 2008 and Roberts et al., 2012) we have taken a systematic
588 approach to the chimpanzee gestural repertoire, employing six core features to
589 discriminate all gesture types at the same level of classification. We then re-examined the
590 catalogue produced taking into account the meanings for which the chimpanzee signallers
591 employ these gestures. In doing so we were able to confirm the importance of
592 categorizing by features such as the use of detached objects, and the use of rhythmic

593 repetition; conversely, we were able to simplify the categorization scheme by discarding
594 features that chimpanzee signallers did not use, for example the use of single- or double-
595 limb forms across the majority of movements, and the use of simultaneous versus
596 alternating hitting movements. Further empirical research is required to investigate
597 gesture use across great ape communities and species, but we suggest that the use of
598 meaning to classify gestures within great ape repertoires provides a powerful new tool for
599 studies of great ape communication.

600

601 We have noted a small number of gestures that are used deictically (*present; push; reach;*
602 *swing*), to indicate specific places, such that only when the recipient takes account of
603 those places in its response is the signaller satisfied. (In contrast, with a gesture like *fling*,
604 while it is necessarily directional in motion and requires a movement on the part of the
605 recipient, the specific direction is not part of its interpretation: ‘Move anywhere that’s
606 away from me’ rather than ‘Move away to there’.) The location in effect functions as an
607 “empty slot” in the specification of the gesture. In previous attempts to describe the
608 chimpanzee repertoire (e.g. Hobaiter & Byrne, 2011a; Hobaiter et al., 2013) we
609 distinguished some gestures in which the location was always critical (treating *directed*
610 *push* and *push* as separate gesture types), whereas in others (*arm swing*) its presence was
611 optional. In the present review, we have distinguished gestures based on their physical
612 features, and since the number of locations that can be indicated is, technically speaking,
613 infinite, we could not use the location as part of the gesture classification system. Instead,
614 we have coded a binary indication of deixis separately within a gesture type (e.g. *push*:
615 *directed* = yes/no; *swing*: *directed* = yes/no).

616

617 Finding only a small number of deictic gestures is not greatly at variance with human
618 communication, in which direction can be indicated by index-finger pointing, head
619 movement, and in some cultures, lip pointing. As with these human gestures, a
620 chimpanzee gesture may be employed with or without deixis. The physical form of a
621 chimpanzee *reach palm* gesture that is used in dyadic communication to beg for the food
622 that the recipient is holding is the same as the physical form of a chimpanzee *reach palm*
623 that is used in triadic communication to indicate another individual or object (Hobaiter et
624 al., 2012). These are distinguished not by physical form but by accompanying behaviour,
625 such as apparently ostensive gaze and head movements. In the same way, in human
626 communication an identical head movement, nod, may be used in dyadic communication
627 as agreement, or in triadic communication to indicate a location to the recipient, for
628 example where the signaller has their hands full. Although deixis functions referentially,
629 indicating external entities by directional pointing, the referent itself is not encoded in the
630 signal, as is the case with the words of language or symbolic gestures. Thus, in the case
631 of a word in a language, for instance, a word can indicate – “point to” – its referent even
632 when that is not physically present (e.g. the cake in the shop), or is abstract in nature (e.g.
633 next Wednesday). Nevertheless, the possibility that deixis may over evolutionary time
634 have been the root from which reference developed makes these few instances of
635 particular interest.

636

637 The approach to defining gesture types that we offer is flexible, and could be employed to
638 describe gesture types in all great ape species. Extending this approach to new species

639 and sub-species may reveal new gesture types. If so, the current catalogue can be easily
640 extended through the description of new movements, new movement + body part
641 combinations, or the addition of single/double limb distinctions, detached objects,
642 contact, rhythmic repetition, or deixis to existing ones. Crucially, using the reactions of
643 signallers, to identify gestures that were intended to be different by the apes themselves,
644 may allow a more appropriate categorization of signals – from an ape’s perspective;
645 offering us new means to investigate the evolutionary origins of linguistic features such
646 as syntactic structure or reference.

647

648

649 **5. Acknowledgments**

650 We thank all the staff of the Budongo Conservation Field Station. For permission to work
651 in Uganda, we thank the Uganda National Council for Science and Technology, the
652 Presidents Office, the Uganda Wildlife Authority and the Uganda Forestry Authority.
653 Fieldwork of CH was generously supported by the Wenner Gren Foundation, the Russel
654 Trust, and the British Academy.

655

656

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