

1 Cultural transmission in humpback whales: insights from song hybridization events  
2 during revolutionary song change

3

4 Ellen C. Garland<sup>a,1</sup>, Luke Rendell<sup>a</sup>, Luca Lamoni<sup>a</sup>, M. Michael Poole<sup>b</sup>, and Michael J.  
5 Noad<sup>c</sup>

6

7 <sup>a</sup>Centre for Social Learning and Cognitive Evolution, and Sea Mammal Research Unit,  
8 School of Biology, University of St. Andrews, St. Andrews, Fife KY16 9TH, U.K.

9 <sup>b</sup>Marine Mammal Research Program, BP 698, Maharepa, Moorea, 98728, French  
10 Polynesia

11 <sup>c</sup>Cetacean Ecology and Acoustics Laboratory, School of Veterinary Science, The  
12 University of Queensland, Gatton, QLD 4343, Australia

13

14 Classification: Biological sciences, Ecology

15 Short title: Hybridization in humpback whale songs

16

17 <sup>1</sup>Corresponding Author: Ellen C. Garland, School of Biology, University of St.

18 Andrews, St. Andrews, Fife KY16 9TH, U.K. Phone: +44-7478-649964. Email:

19 [ecg5@st-andrews.ac.uk](mailto:ecg5@st-andrews.ac.uk) and [ellen.garland@gmail.com](mailto:ellen.garland@gmail.com)

20

21 Keywords: vocal learning, cultural transmission, song, cetacean, humpback whale,

22 South Pacific.

23

24

25

26 **Abstract**

27 Cultural processes occur in a wide variety of animal taxa from insects to cetaceans.  
28 The songs of humpback whales are one of the most striking examples of the  
29 transmission of a cultural trait and social learning in any non-human animal. To  
30 understand how songs are learnt, we investigate rare cases of song hybridization,  
31 where parts of an existing song are spliced with a new one, likely prior to an  
32 individual totally adopting the new song. Song unit sequences were extracted from  
33 over 9,300 phrases recorded during two song revolutions across the South Pacific  
34 Ocean, allowing fine-scale analysis of composition and sequencing. In hybrid songs  
35 the current and new songs were spliced together in two specific ways: 1) singers  
36 placed a single hybrid phrase, in which content from both songs were combined,  
37 between the two song types when transitioning from one to the other, and/or 2)  
38 singers spliced complete themes from the revolutionary song into the current song.  
39 Sequence analysis indicated that both processes were governed by structural  
40 similarity rules. Hybrid phrases or theme substitutions occurred at points in the songs  
41 where both songs contained 'similar sounds arranged in a similar pattern'. Songs  
42 appear to be learnt as segments (themes/phrase types), akin to birdsong and human  
43 language acquisition, and these can be combined in predictable ways if the underlying  
44 structural pattern is similar. These snapshots of song change provide new insights into  
45 the mechanisms underlying song learning in humpback whales, and comparative  
46 perspectives on the evolution of human language and culture.

47

48

49

50

## 51 **Significance Statement**

52 Cetaceans show some of the most sophisticated and complex vocal and cultural  
53 behavior outside of humans. Our shared mammalian heritage makes them profoundly  
54 relevant in any comparative framework. Humpback whales sing a culturally evolving  
55 song display; it is also one of the most striking examples of the transmission of a  
56 cultural trait and social learning in any non-human animal. Here, by investigating the  
57 rare cases of song hybridization, where parts of an existing song are spliced with a  
58 novel, revolutionary song, we unearth a number of underlying structural rules  
59 governing song change. These rules likely assist humpback whales in rapidly learning  
60 their complex and ever-changing songs, and provide insights into the evolution of  
61 human language and culture.

62 \body

63

## 64 **Introduction**

65 Cultural transmission has been shown in a wide variety of taxa spanning birds, fish,  
66 insects, cetaceans and non-human primates (1, 2). We define culture in the broad  
67 sense as shared information or behavior acquired through some form of social  
68 learning from conspecifics (3–5). Each of these studies has provided examples  
69 demonstrating a behavioral trait being passed from one individual to another, and on  
70 occasion entire populations, through some form of social learning. Cetaceans show  
71 some of the most sophisticated and complex vocal and cultural behavior outside of  
72 humans (6, 7), including vocal learning, shared traditions and gene-culture  
73 coevolution. For example, southern right whales (*Eubalaena australis*) demonstrate  
74 strong migratory culture (8), while bottlenose dolphins (*Tursiops truncatus* and *T.*  
75 *aduncus*) demonstrate the cultural transmission of tool-use (9, 10). Both sperm whales

76 (*Physeter macrocephalus*) and killer whales (*Orcinus orca*) have culturally  
77 transmitted group vocalizations that are maintained over decades (11, 12), and also  
78 appear to undergo gene-culture coevolution (13–15).

79 Humpback whales (*Megaptera novaeangliae*) possess multiple, independently  
80 evolving cultural traditions including maternally directed site fidelity to breeding and  
81 feeding grounds (16), socially learned feeding tactics (17), and song displays that are  
82 subject to cultural evolution and revolution (18–20). Humpback whale song is one of  
83 the most elaborate acoustic displays in the animal kingdom (21). The song is  
84 produced solely by adult males (22) and is therefore considered a product of sexual  
85 selection, even though the details of how it functions as a signal are still debated (23).

86 Song is organized in a nested hierarchy: single sounds are termed ‘units’, a  
87 sequence of units is grouped into a ‘phrase’, phrases are repeated to form a ‘theme’,  
88 and a number of different themes are usually sung in a set order to form the ‘song’  
89 (24). To move from one theme into another, a single ‘transitional phrase’ is  
90 sometimes sung that contains content from the preceding and following themes (20).  
91 Different versions of the display (containing different themes) are termed ‘song types’  
92 (18). Within each population, there is usually strong conformity to a single song type  
93 at any point in time (25). However, the song is constantly changing (20), and all  
94 males must continuously incorporate these alterations to maintain the observed  
95 conformity. This slow and gradual change is a process of cultural evolution in which  
96 subtle changes occur over time at a population scale (20, 26).

97 Populations within an ocean basin sing similar songs, but the similarity  
98 depends on both geographic (27, 28) and temporal distances, as transmission of song  
99 changes across a region may take several years (18, 29, 30). In the western and central  
100 South Pacific region, song also undergoes dramatic cultural ‘revolutions’, where the

101 song type from a neighbouring population is rapidly adopted by all of the males in an  
102 adjacent population (18, 19). We have previously described the rapid, repeated and  
103 regular horizontal cultural transmission of multiple song types creating multiple song  
104 revolutions across the western and central South Pacific region (18, 29, 30). Among  
105 populations in any non-human animal, this is a very rare, possibly unique, example of  
106 population-wide horizontal cultural transmission where behavioural variants are  
107 transmitted rapidly and repeatedly (18). However, we know little regarding the  
108 underlying vocal and sequence learning mechanisms governing this extraordinary  
109 cultural phenomenon.

110         Mechanisms of vocal learning are far better understood for human language  
111 acquisition and birdsong than for cetacean vocalizations (7). Humpback whales are  
112 ‘vocal production learners’ as they are able to modify the form of their own vocal  
113 signals after experience with signals from other individuals (7). Vocal production  
114 learning is not widespread; thus far only a few mammalian groups including  
115 cetaceans, pinnipeds, bats, elephants and humans have been shown to be capable of it  
116 (7). An important limitation when studying large cetacean species is the inability to  
117 conduct controlled laboratory experiments. While learning and song production can  
118 be mapped to different pathways in the brain for songbirds, mice and humans (to  
119 name a few (31)), this is not yet possible with large, free-roaming cetaceans. However,  
120 we can explore the learning mechanisms involved by examining the structure and  
121 arrangement of the song displays in detail, and comparing any rules we uncover  
122 governing the arrangement and learning of song to those currently known in human  
123 language acquisition and birdsong learning.

124         Statistical learning, where patterns and structure are identified based on the  
125 statistical information present in sensory stimuli, is a common human learning

126 mechanism present in all sensory modalities (32). From a very young age human  
127 infants are able to detect, extract and generalize statistical regularities (*i.e.*, simple  
128 algebraic rules) from their auditory environment (32, 33), and understanding how they  
129 use this statistical information to learn language is a major research focus (32). The  
130 ability to detect transition probabilities, the probability that a given sound or syllable  
131 follows another one (32, 33), is important in understanding word segmentation or  
132 grammar learning tasks. From a comparative perspective, recent work has  
133 demonstrated that zebra finches (*Taeniopygia guttata*) generate phonological  
134 categories that result in the song being easier for others to learn (34). Understanding  
135 how humpback whales learn their extended song sequences is therefore of interest in  
136 the comparative study of mechanisms for learning sequences and patterns in cultural  
137 vocal signals.

138         Segmentation, the chunking of sequences into smaller components (phrases or  
139 words) that can later be recombined, is another important mechanism in human  
140 language acquisition (32, 33, 35, 36). Songbirds have been shown to segment when  
141 learning their song displays (37–40). Segments are typically separated by longer  
142 pauses (silence), and these pauses may provide an emphasis that aids in memorization  
143 of segment chunks (39). In a recent review of human language and non-human animal  
144 communication, Birchenall (33) suggests that the process of segmentation may also  
145 be present in humpback whale song learning. Given the importance of segmentation  
146 to language acquisition and the presence of this mechanism in the learning of  
147 birdsong, this is a logical starting place to study humpback whale song learning.

148         Here, we present evidence that humpback whales use segmentation in song  
149 learning by examining recordings made during the process of learning a new song in  
150 the context of a song revolution event. Recording a whale in the act of changing his

151 song is challenging; they are highly mobile and one cannot simply record all of an  
152 individual's song during a two to three month singing season and >6,000 km  
153 migration. We therefore investigate some rare cases of song hybridization recorded  
154 during song revolution events to understand how individual whales transition between  
155 two different songs. These hybrid songs, which contain themes and elements from  
156 both the previous song and the new, revolutionary song, presumably represent a  
157 transition phase in the process by which singers change their song display to a new,  
158 completely different arrangement. We aim to identify if there are any underlying  
159 structural rules governing song change (*e.g.*, segmentation, transition probabilities)  
160 that can provide insight into how new songs can be learned so rapidly. We  
161 hypothesize that new songs will be learned as segments if segmentation is a taxon-  
162 general mechanism (hypothesis 1). Identifying the level in the song hierarchy (phrase,  
163 theme or song) that comprises a segment will provide important information as to  
164 how the song is memorized. Alternatively, parts of both song types may be spliced  
165 together in a random arrangement of new and old units. This would indicate that the  
166 structural arrangement of an individual's song disintegrates to a babbling/subsong  
167 phase (41) before learning the new song arrangement, and that segmentation is not  
168 occurring. Additionally, we hypothesize that if segmentation occurs, then the  
169 combination of these segments from both song types by an individual will not be  
170 random (hypothesis 2). That is, the insertion of new song segments into the existing  
171 song will be at locations in the existing song where there is some structural similarity  
172 in the sound units, phrases or themes of the old and new songs, rather than at random  
173 positions. This 'similar sounds in similar arrangements' mechanism would be akin to  
174 word substitutions in humans such as malapropisms, where an incorrect word with a  
175 similar sound is used in place of the correct word (42). To test these hypotheses, we

176 first investigated how each singer displaying a hybrid song transitioned between song  
177 types, and secondly we quantified the similarity in arrangement between the themes  
178 from each song using sequence analysis metrics. We analyzed four hybrid songs  
179 recorded during two different song revolutions from two geographic locations  
180 (eastern Australia and French Polynesia). Thus far, these are the only examples of  
181 hybrid songs\* in over 20 years of fieldwork from five populations where song  
182 revolutions are known to occur regularly, and from which approximately 1,500 song  
183 sequences representing at least 100,000 phrases have been analyzed.

184

## 185 **Results**

186 Three separate datasets were included in the analysis as each contained one or more  
187 hybrid songs. These spanned two geographic locations: Peregian Beach, eastern  
188 Australia (1996-97 and 2002-03), and Mo'orea, French Polynesia (2005); and two  
189 song revolutions: from Pink to Black (Australia 1996-97), the 'original' song  
190 revolution (19), and from Blue to Dark Red (which occurred in Australia in 2002-03,  
191 and French Polynesia in 2005 (20)). Over 46 hours of song from 50 singers and four  
192 song types (each given an arbitrary color label – Blue, Dark Red, Pink and Black, to  
193 be consistent with published analyses of these song types) were analyzed from French  
194 Polynesia (2005: 18 singers, 1 hybrid) and eastern Australia (1996-97: 2 singers each  
195 based on the highest quality singer for each song type from 249 singers presented in  
196 (19), 2 hybrids; and 2002-03: 26 singers, 1 hybrid).

---

\*A fifth hybrid song has been identified. This recording is of a very poor quality (low signal-to-noise ratio). Themes can be sporadically identified but the clear transitions between themes required for the current analysis is lacking. We therefore excluded this recording from analysis. The recording was from eastern Australia in 1997 as part of the Pink-Black song revolution presented in (19).



197 To identify if new songs were learned as segments (hypothesis 1), we first  
198 needed to classify each potential segment. As there are multiple levels in the  
199 humpback song hierarchy, each being a potential basis for segmentation, we analyzed  
200 each level. First, individual sounds were classified into categories (*i.e.*, unit types;  
201 Tables S1-S2, SI Methods). Then the stereotyped sequences of units that made  
202 phrases were established and further grouped into themes (see SI Methods and Table  
203 S1). Themes from each song type were labeled 1 through 37 (Table 1; also see SI  
204 Methods and Table S1) following previous classification of these song types (18, 19,  
205 29, 43, 44). The song type of origin (Pink or Black) for theme 11 was uncertain and  
206 thus remained unresolved, as it was not heard in any non-hybrid songs (Fig. 1, Table  
207 1 and Table S1). The sequence of themes for each hybrid singer was established  
208 (Table 1). It is immediately obvious that the hybrid songs examined here comprised  
209 complete themes from the two different song types combined into a single song –  
210 segmentation occurred at the theme level.

211 Given that hybrid songs contained theme segments from each song type, we  
212 investigated if there were any patterns to the arrangement of themes (hypothesis 2).  
213 To do this we a) established the location of hybrid transitions in the song, b)  
214 investigated how each singer transitioned between the song types, and c) quantified  
215 the similarity of theme content using sequence analysis metrics to understand why a  
216 singer might switch at that particular location in the song.

217 To understand the location of theme transitions the full sequence of themes  
218 from all singers was used to construct a first-order Markov model based on the  
219 frequencies of transition between phrases (Fig. 2). Transitions occurred between the  
220 Pink and Black song types at multiple locations in the song (Fig. 2A and Table 1) but,  
221 in contrast, transitions between the Blue and Dark Red song types occurred only at

222 two locations in the song (Fig. 1 and Fig. 2B). At these transition locations, singers  
223 often placed a transitional phrase between the two song types to mediate the transition  
224 (Tables 1-2). This single phrase combined the starting units from the preceding phrase  
225 with units from the following phrase (typically the ending units; Fig. 1, Table 2 and  
226 S1).

227         We characterized the structural similarity, that is the similarity in the sequence  
228 of units that comprised each theme/phrase type (laid out in Table S1), between each  
229 pair of songs (*e.g.*, Blue vs. Dark Red) using the Levenshtein distance, a common  
230 similarity metric in linguistic and humpback song comparisons (29, 43, 45, 46). In  
231 songs from the 2005 French Polynesia Blue-Dark Red revolution, hierarchical  
232 clustering of themes showed a single location on the dendrogram where themes from  
233 both song types grouped together on a branch (Fig. 3A). This was where the singer of  
234 the hybrid song in the French Polynesian dataset switched between song types (Fig. 2  
235 and Tables 1-2). In songs from the eastern Australia 2002 revolution involving the  
236 same song types, this pattern was not as clear since theme transitions did not occur at  
237 the most similar themes (Fig. 3B). Instead, theme transitions were mediated by a  
238 transitional phrase (Tables 1-2). Finally, in songs from the 1996 eastern Australia  
239 Pink-Black revolution, the dendrogram showed a single location where themes from  
240 both song types grouped together on a branch (Fig. 3C and SI Results). This was  
241 where the majority of transitions in hybrid songs occurred between the song types  
242 (Table 2). The hybrid singers replaced the next theme in the song sequence with a  
243 similarly arranged theme from the other song type (Fig. 1 and Table 2). The  
244 remaining theme transitions were either mediated by a transitional phrase or the  
245 mechanism of transition between the song types was unclear (Tables 1-2). Regardless,  
246 in addition to transitional phrases this final analysis strongly indicates that transitions

247 between song types are not random and occur more often at locations where theme  
248 content is most similar.

249

## 250 **Discussion**

251 Hybrid songs are recorded extremely rarely but are of interest because they capture  
252 some part of the process by which singers change their song display from an older  
253 version (type) to a new, completely different arrangement. The hybrid songs  
254 presented here were all captured during song revolution events, when singers using  
255 both the old and new song types were in the same population. It is clear that new  
256 songs are learnt as segments, confirming hypothesis 1 (see also (33)), indicating that  
257 segmentation is a learning mechanism found in the cetacean lineage. The way singers  
258 move between song types during singing bouts suggests that these displays are  
259 unlikely to be learnt as a whole. Instead, songs are split into theme segments, and the  
260 fact that transitions between song types occur at specific points in the theme sequence  
261 suggests that each theme is learnt as a separate entity. Segmentation or chunking of  
262 sequences is an important mechanism in human language acquisition (35), where a  
263 stream of utterances is segmented into smaller components (phrases or words) and  
264 later recombined (36). Songbirds have also been shown to segment their song displays  
265 (37–40) and statistically learn sound categories (34). Juvenile male songbirds may  
266 learn their song from one or more tutors as a sequence of syllable segments, which  
267 they recombine to form their own song (37–40). In humpback whales, our results  
268 suggest that a male learns the new song as theme segments which he combines with  
269 older themes as he progressively learns the new song. The novelty-threshold  
270 hypothesis suggests that novelties in the song are adopted by singers once reaching a  
271 threshold prevalence (47), and therefore an individual male would need to hear a new

272 song from multiple individuals before adopting the change. He therefore has multiple  
273 potential models for each theme and a general overview of the ‘correct’ sequence of  
274 the themes. The highly stereotyped nature of theme and phrase sequences, both of  
275 which we quantified as transition probabilities (*e.g.*, Fig. 2 and also by (48)), strongly  
276 suggests humpback whales, like songbirds, use statistical learning in learning their  
277 song display (34).

278         In songbirds, segments are typically separated by longer pauses (silence), and  
279 these pauses may provide an emphasis that aids in memorization of segment chunks  
280 (39). This feature of pauses between segments of zebra finch song is also a feature of  
281 humpback whale song as a phrase is delineated from the start of another phrase by a  
282 longer pause (24, 49). Given that a single humpback whale song can last anywhere  
283 from five to 30 minutes (24), any aid in memorization of such a long display would be  
284 under strong selection. The repetition of phrases within themes introduces redundancy  
285 in the song, and likely aids memorization through repetition and reduced content.  
286 Further, rhyme-like patterns in humpback song (50) appear similar to rhyme patterns  
287 in human poems or prose, which also aid recall (51). The question of how humpback  
288 whales remember their song display (they rarely sing the wrong thing) is still open.  
289 From playback studies we know humpback whales react more strongly to novel songs  
290 than to the song of the current year (see (52)). They can identify ‘same’ from  
291 ‘different’. It would be interesting to explore how long their song memory lasts, as  
292 bottlenose dolphins have been shown to remember vocalizations (signature whistles  
293 of conspecifics) for over 20 years (53). Such a song memory could drive the  
294 directional change in song revolutions (to stop whales reverting back to the previous  
295 song type), leading to the broad-scale cultural phenomenon we observe (18).

296           Hybrid songs from both song revolutions contained themes from one song  
297 type that were spliced into the middle of the other song type (Table 1). There are  
298 multiple examples of such hybrid song production in songbirds at the boundary of two  
299 song dialect areas or the boundary between two closely related species (41). For  
300 example, orange-tufted sunbirds (*Nectarinia osea*) have sharp dialect boundaries but a  
301 small number of birds along these boundaries sing songs from both dialects (*i.e.*,  
302 hybrids) (54). Similarly, in the village indigobird (*Vidua chalybeata*), a species that  
303 undergoes continuous population-wide song evolution in some ways similar to  
304 humpback whale songs, males along dialect boundaries have been recorded singing  
305 hybrid songs that combined songs from each dialect (55). In yellow-rumped caciques  
306 (*Cacicus cela vitellinus*), another species with continuous population-wide song  
307 evolution, males in a colony may occasionally incorporate a foreign song type as part  
308 of their yearly population dialect if the two colonies are closely situated (56). In  
309 another example, at the range interface of black-capped chickadees (*Poecile*  
310 *atricapillus*) and Carolina chickadees (*P. carolinensis*), birds from both species  
311 displayed bilingual or atypical repertoires (57). Clearly segmentation is an important  
312 general mechanism in vocal learning present in multiple independent lineages.

313           Transitions between humpback whale song types were often mediated by a  
314 transitional phrase containing individual sound units from the previous and following  
315 phrases that were common to both song types (Tables 1-2 and Figs. 1-2). Transitional  
316 phrases are a neglected component of the song in general as they are often excluded  
317 from analyses focused on delineating song types (49). The variable structure of  
318 transitional phrases can make them difficult to categorize, particularly if they are not  
319 routinely used in all transitions between themes. Nevertheless, it is clear this normal

320 component of song organization is important to allow an ordered progression from  
321 one theme into another, regardless of the song types.

322           Transitions between song types were partially governed by structural  
323 similarity, based on the Markov model and sequence analysis (Figs. 2-3), rejecting  
324 random combinations of segments (hypothesis 2). The sequence analysis indicated  
325 that transitions or theme substitutions occurred more often in locations that contained  
326 ‘similar sounds arranged in a similar pattern’ in old and new songs (Fig. 3). Themes  
327 either progressed into a similarly sounding theme of the other song type or replaced  
328 that similarly sounding theme altogether (Table 2). In addition to segmenting, song  
329 learning and change are partially governed by structural similarity rules where  
330 transitions or theme substitutions occur in locations that contain similar sounds  
331 arranged in a similar pattern (*i.e.*, a ‘switch when similar’ rule). Word substitutions in  
332 humans such as malapropisms, the use of an incorrect word in place of a word with a  
333 similar sound (42), is highly suggestive for a general mechanism. These transition  
334 points based on similarity could act as a point of reference or cue allowing the singer  
335 to switch from the old into the new song at this position in the song. Such anchors are  
336 present in human vocal performances (*e.g.*, oral traditions (51)), and single sounds or  
337 words and similar note arrangements are used to transition among songs in human  
338 music performances. Finally, the ability to jump from one song into another is also a  
339 feature of birdsong, for example, counter-singing allows a male to select a matching  
340 song of a rival male and switch to singing that song in an aggressive context (41).  
341 This strongly suggests the presence of an underlying mechanism allowing plasticity in  
342 vocal output shared among vocal learning species.

343           We suggest the ‘switch when similar’ rule may be stronger and thus more  
344 important in one direction (*i.e.*, old to new themes; Table 2) assisting singers in

345 learning new themes sequentially and in the ‘correct’ order. The whale is attempting  
346 to learn the new display; this is very directional. The location in the song where ‘old’  
347 themes encroach back into the song display may be less important and is unlikely to  
348 be governed by this similarity rule (explaining the majority of un-similar transitions  
349 backwards). These new to old song transitions appear to be mediated more often by  
350 transitional phrases (Table 2).

351         The process of vocal production learning (7) of a completely new song type  
352 could occur through a number of structural changes to the song as new themes must  
353 be learnt and old themes removed. Multiple studies indicate that male humpback  
354 whales adhere to the current arrangement of the song (*e.g.*, (20, 25)). Importantly,  
355 once a new song is recorded in a population, all males switch to this new song (18,  
356 19). Clearly, the song is learnt as theme segments to aid in the learning of this  
357 complex display. In male village indigobirds immigrant males add song types from  
358 their new dialect and then drop their ‘old’, foreign song types within a year (55). We  
359 suggest humpback whales may undertake a similar process by adding in new themes  
360 starting at ‘similar locations’ and then progressively deleting the old themes. Intense  
361 cultural conformity is likely influencing these vocal displays, which are in turn also  
362 driven by sexual selection. The presence of an innate template likely governs the  
363 underlying processes and rules of song learning (58), overlaid with a more flexible  
364 cultural component that governs what variant of the song display to sing, regardless of  
365 the species. The details of how songs change when there is a general conformity to a  
366 population song, and how this process interacts with sexual selection which underlies  
367 the humpback song display, are important questions for future research.

368

369 **Conclusions.** Humpback whales provide a unique perspective for understanding of  
370 animal culture. Their mammalian heritage also makes them particularly important to  
371 our understanding of structurally-arranged vocal communication and the potential  
372 origins of human language. Here, by investigating rare cases of song hybridization,  
373 where parts of an existing song are spliced with a novel, revolutionary song, we have  
374 unearthed a number of underlying structural rules governing song change including  
375 segmentation and transition/substitution of themes based on the similarity in sound  
376 sequences. These rules likely assist humpback whales in rapidly learning their  
377 complex and ever-changing songs, and provide insights into the evolution of human  
378 language and culture.

379

## 380 **Methods**

381 **Song recordings.** All recordings covered the frequency range of humpback whale  
382 song (see SI Methods for detailed recording settings). The units in each recording  
383 were transcribed by a human classifier (ECG or LL), and a subset of units measured  
384 for a suite of acoustic parameters to ensure consistent naming (45). As humpback  
385 whale song is highly stereotyped (24), units were grouped into phrases, phrases into  
386 themes, and themes into song types. Previous studies have identified and quantified  
387 these four song types (Pink, Black, Blue and Dark Red), the themes (labeled 1-37)  
388 and unit types within each, and their cultural transmission across the western and  
389 central South Pacific (18, 19, 29, 43, 59).

390

391 **Theme transitions to understand song sequences.** For each recording, the  
392 sequences of themes, including phrase repetitions, transitional phrases and hybrid  
393 phrases, were noted. Transition tables were calculated and a first-order Markov model



394 of phrase transition probabilities was constructed for each song revolution using these  
395 data: Pink to Black, and Blue to Dark Red. The 2002-03 eastern Australian and 2005  
396 French Polynesian data were combined given they represented the same song types  
397 (18, 29), and the aim of this higher-level analysis was to identify position(s) within a  
398 song where a singer may transition between two song types.

399

400 **Structural similarity of themes.** The Levenshtein distance (LD) or string edit  
401 distance is a powerful metric for comparing humpback whale song sequences, which  
402 we and others have used extensively to understand song similarity at all levels within  
403 the song hierarchy (29, 43, 45, 46, 59–61). The LD similarity index produces a  
404 measure of similarity (between 0 and 1) among multiple sequences of varying lengths,  
405 and provides an overall understanding of the similarity of all sequences (see (45)).  
406 Here, we compared the sequence of units (*i.e.*, a phrase) to establish the most  
407 representative phrase for each theme based on the similarity in the sequence of units  
408 (see SI Methods for further information and Table S1 (29, 43, 45, 46)). These  
409 representative phrases for each theme (laid out in Table S1) were then compared  
410 between the two song types (Pink *vs.* Black, or Blue *vs.* Dark Red) to quantify the  
411 structural similarity among themes in an attempt to identify any underlying structural  
412 rules for the transitions highlighted in the Markov models. Similarity scores were  
413 hierarchically clustered and bootstrapped in R using the *hclust*, *pvclust* and *pvrect*  
414 packages to ensure the resulting structure was stable and likely to occur (43, 45, 62).  
415 Branches with high bootstrap values (AU significance  $p > 95\%$  and BP significance  
416  $p > 70\%$ ) are strongly supported by the data while lower values suggest variability in  
417 their division (45). As a further test of how well each dendrogram represented the data,

418 the Cophenetic Correlation Coefficient (CCC) was also calculated. A CCC score of  
419 over 0.8 is considered a good representation of the associations within the data (63).

420

#### 421 **Acknowledgments**

422 This manuscript is based on a presentation at the Sackler Colloquium on “*The*  
423 *Extension of Biology Through Culture*”; we thank the organizers and in particular  
424 Kevin Laland and Andrew Whiten. We thank Emma Carroll, Elena Miu and two  
425 anonymous reviewers for providing helpful comments on previous versions of this  
426 manuscript. ECG and this study was funded by a Newton International Fellowship  
427 from the Royal Society of London, and LL by a Leverhulme Trust Research Project  
428 Grant (RPG-2013-367). LR was supported by the MASTS pooling initiative (The  
429 Marine Alliance for Science and Technology for Scotland) and their support is  
430 gratefully acknowledged. MASTS is funded by the Scottish Funding Council (grant  
431 reference HR09011) and contributing institutions. Song recordings in eastern  
432 Australia were funded by the Scott Foundation, the U.S. Office of Naval Research  
433 and the Australian Defence Science and Technology Organisation. We thank  
434 everyone involved with this project. Some funding and logistical support was  
435 provided to MMP by the National Oceanic Society (USA), Dolphin & Whale  
436 Watching Expeditions (French Polynesia), Vista Press (USA), and the International  
437 Fund for Animal Welfare (via the South Pacific Whale Research Consortium).

438

#### 439 **References**

- 440 1. Laland KN, Janik VM (2006) The animal cultures debate. *Trends Ecol Evol*  
441 21(10):542–547.
- 442 2. Whiten A, Ayala F, Feldman MD, Laland KN (2017) The Extension of

- 443 Biology Through Culture. *Proc Natl Acad Sci USA* this issue.
- 444 3. Rendell L, Whitehead H (2001) Culture in whales and dolphins. *Behav Brain*  
445 *Sci* 24:309–382.
- 446 4. Fragaszy DM, Perry S (2003) Preface. *The Biology of Traditions: Models and*  
447 *Evidence*, eds Fragaszy DM, Perry S (Cambridge University Press, Cambridge),  
448 pp xiii–xvi.
- 449 5. Whiten A (2009) The identification and differentiation of culture in  
450 chimpanzees and other animals: From natural history to diffusion experiments.  
451 *The Question of Animal Culture*, eds Laland KN, Galef BG (Harvard  
452 University Press, Cambridge), pp 99–124.
- 453 6. Whitehead H, Rendell L (2015) *The cultural lives of whales and dolphins* (The  
454 University of Chicago Press, Chicago).
- 455 7. Janik VM (2014) Cetacean vocal learning and communication. *Curr Opin*  
456 *Neurobiol* 28:60–65.
- 457 8. Carroll EL, et al. (2015) Cultural traditions across a migratory network shape  
458 the genetic structure of southern right whales around Australia and New  
459 Zealand. *Sci Rep* 5:16182.
- 460 9. Krützen M, et al. (2005) Cultural transmission of tool use in bottlenose  
461 dolphins. *Proc Natl Acad Sci USA* 102(25):8939–43.
- 462 10. Kopps AM, Krützen M, Allen SJ, Bacher K, Sherwin WB (2014)  
463 Characterizing the socially transmitted foraging tactic “sponging” by  
464 bottlenose dolphins (*Tursiops sp.*) in the western gulf of Shark Bay, Western  
465 Australia. *Mar Mammal Sci* 30(3):847–863.
- 466 11. Rendell LE, Whitehead H (2003) Vocal clans in sperm whales (*Physeter*  
467 *macrocephalus*). *Proc Biol Sci* 270(1512):225–31.

- 468 12. Deecke VB, Ford JKB, Spong P (2000) Dialect change in resident killer  
469 whales: implications for vocal learning and cultural transmission. *Anim Behav*  
470 60(5):629–638.
- 471 13. Foote A, et al. (2016) Genome-culture coevolution promotes rapid divergence  
472 of killer whale ecotypes. *Nat Commun* 7:1–12.
- 473 14. Whitehead H (1998) Cultural selection and genetic diversity in matrilineal  
474 whales. *Science (80- )* 282:1708–1711.
- 475 15. Whitehead H (2017) Gene-culture coevolution in whales and dolphins. *Proc*  
476 *Natl Acad Sci USA* this issue.
- 477 16. Baker CS, et al. (1990) Influence of seasonal migration on geographic  
478 distribution of mitochondrial DNA haplotypes in humpback whales. *Nature*  
479 344(6263):238–240.
- 480 17. Allen J, Weinrich M, Hoppitt W, Rendell L (2013) Network-based diffusion  
481 analysis reveals cultural transmission of lobtail feeding in humpback whales.  
482 *Science* 340(6131):485–488.
- 483 18. Garland EC, et al. (2011) Dynamic horizontal cultural transmission of  
484 humpback whale song at the ocean basin scale. *Curr Biol* 21(8):687–691.
- 485 19. Noad M, Cato DH, Bryden MM, Jenner M, Jenner KCS (2000) Cultural  
486 revolution in whale songs. *Nature* 408:537.
- 487 20. Payne K, Payne RS (1985) Large scale changes over 19 years in songs of  
488 humpback whales in Bermuda. *Z Tierpsychol* 68(2):89–114.
- 489 21. Wilson EO (2000) *Sociobiology: The New Synthesis* 25<sup>th</sup> ed (Harvard  
490 University Press, Cambridge).
- 491 22. Glockner DA (1983) Determining the sex of humpback whales (*Megaptera*  
492 *novaeangliae*) in their natural environment. *Communication and Behavior of*

- 493           *Whales*, ed Payne R (AAAS Selected Symposia Series, Boulder, CO), pp 447–  
494           464.
- 495   23.   Herman LM (2016) The multiple functions of male song within the humpback  
496           whale (*Megaptera novaeangliae*) mating system: review, evaluation, and  
497           synthesis. *Biol Rev*. doi:10.1111/brv.12309.
- 498   24.   Payne RS, McVay S (1971) Songs of humpback whales. *Science*  
499           173(3997):585–597.
- 500   25.   Payne K, Tyack P, Payne R (1983) Progressive changes in the songs of  
501           humpback whales (*Megaptera novaeangliae*): A detailed analysis of two  
502           seasons in Hawaii. *Communication and Behavior of Whales*, ed Payne R  
503           (AAAS Selected Symposia Series, Westview, Boulder, CO), pp 9–579.
- 504   26.   Winn H, Winn L (1978) The song of the humpback whale *Megaptera*  
505           *novaeangliae* in the West Indies. *Mar Biol* 47:97–114.
- 506   27.   Payne R, Guinee LN (1983) Humpback whale (*Megaptera novaeangliae*)  
507           songs as an indicator of “stocks.” *Communication and Behavior of Whales*, ed  
508           Payne R (AAAS Selected Symposia Series, Westview, Boulder, CO), pp 333–  
509           358.
- 510   28.   Darling JD, Acebes JM V, Yamaguchi M (2014) Similarity yet a range of  
511           differences between humpback whale songs recorded in the Philippines, Japan  
512           and Hawaii in 2006. *Aquat Biol* 21(2):93–107.
- 513   29.   Garland EC, et al. (2013) Quantifying humpback whale song sequences to  
514           understand the dynamics of song exchange at the ocean basin scale. *J Acoust*  
515           *Soc Am* 133(1):560–9.
- 516   30.   Garland EC, et al. (2013) Humpback whale song on the Southern Ocean  
517           feeding grounds: Implications for cultural transmission. *PLoS One*

- 518 8(11):e79422.
- 519 31. Arriaga G, Zhou EP, Jarvis ED (2012) Of mice, birds, and men: The mouse  
520 ultrasonic song system has some features similar to humans and song-learning  
521 birds. *PLoS One* 7(10). doi:10.1371/journal.pone.0046610.
- 522 32. Romberg AR, Saffran JR (2011) Statistical learning and language acquisition.  
523 *Wiley Interdiscip Rev Cogn Sci* 1(6):906–914.
- 524 33. Birchenall LB (2016) Animal communication and human language: An  
525 overview. *Int J Comp Psychol* 29:1–27.
- 526 34. Fehér O, Ljubičić I, Suzuki K, Okanoya K, Tchernichovski O (2016) Statistical  
527 learning in songbirds: from self-tutoring to song culture. *Phil Trans R Soc B*.  
528 doi:10.1098/rstb.2016.0053.
- 529 35. Jusczyk PW (1999) How infants begin to extract words from speech. *Trends*  
530 *Cogn Sci* 3(9):323–328.
- 531 36. Doupe AJ, Kuhl PK (1999) Birdsong and human speech: common themes and  
532 mechanisms. *Annu Rev Neurosci* 22:567–631.
- 533 37. Williams H, Staples K (1992) Syllable chunking in zebra finch (*Taeniopygia*  
534 *guttata*) song. *J Comp Psychol* 106(3):278–86.
- 535 38. Takahasi M, Yamada H, Okanoya K (2010) Statistical and prosodic cues for  
536 song segmentation learning by bengalese finches (*Lonchura striata var.*  
537 *domestica*). *Ethology* 116(6):481–489.
- 538 39. Spierings M, de Weger A, ten Cate C (2015) Pauses enhance chunk recognition  
539 in song element strings by zebra finches. *Anim Cogn* 18(4):867–874.
- 540 40. Slabbekoorn H, And AJ, Bell DA (2013) Microgeographic song variation in  
541 island populations of the white-crowned sparrow (*Zonotrichia leucophrys*  
542 *nutalli*): Innovation through recombination. *Behaviour* 140(7):947–963.

- 543 41. Catchpole C, Slater PJB (2008) *Bird Song: Biological Themes and Variations*  
544 2<sup>nd</sup> ed (Cambridge University Press, Cambridge).
- 545 42. Fay D, Cutler A (1977) Malapropisms and the structure of the mental lexicon.  
546 *Linguist Inq* 8(3):505–520.
- 547 43. Garland EC, et al. (2012) Improved versions of the Levenshtein distance  
548 method for comparing sequence information in animals' vocalisations: Tests  
549 using humpback whale song. *Behaviour* 149:1413–1441.
- 550 44. Smith JN, Goldizen AW, Dunlop RA, Noad MJ (2008) Songs of male  
551 humpback whales, *Megaptera novaeangliae*, are involved in intersexual  
552 interactions. *Anim Behav* 76(2):467–477.
- 553 45. Garland EC, Rendell L, Lilley MS, Poole MM, Noad MJ (2017) The devil is in  
554 the detail: quantifying vocal variation in a complex, multi-levelled, and rapidly  
555 evolving display. *Acoustical Soc Am* in press.
- 556 46. Kershenbaum A, Garland EC (2015) Quantifying similarity in animal vocal  
557 sequences: Which metric performs best? *Methods Ecol Evol* 6(12):1452–1461.
- 558 47. Noad MJ (2002) The use of song by humpback whales (*Megaptera*  
559 *novaeangliae*) during migration off the east coast of Australia. Dissertation  
560 (University of Sydney, NSW, Australia).
- 561 48. Helweg DA, Herman LM, Yamamoto S, Forestell PH (1990) Comparison of  
562 songs of humpback whales (*Megaptera novaeangliae*) recorded in Japan,  
563 Hawaii, and Mexico during the winter of 1989. *Sci Reports Cetacean Res* 1:1–  
564 20.
- 565 49. Cholewiak DM, Sousa-Lima RS, Cerchio S (2013) Humpback whale song  
566 hierarchical structure: Historical context and discussion of current  
567 classification issues. *Mar Mammal Sci* 29(3):1–21.

- 568 50. Guinee LN, Payne KB (1986) Rhyme-like repetitions in songs of humpback  
569 whales. *Ethology* 79:295–306.
- 570 51. Rubin DC (1997) *Memory in Oral Traditions: The Cognitive Psychology of*  
571 *Epic, Ballads, and Counting-out Rhymes* (Oxford University Press, Oxford).
- 572 52. Helweg DA, Frankel AS, Mobley Jr JR, Herman LM (1992) Humpback whale  
573 song: our current understanding. *Marine Mammal Sensory Systems*, eds  
574 Thomas JA, Kastelein RA, Supin AY (Plenum Press, New York), pp 459–483.
- 575 53. Bruck JN (2013) Decades-long social memory in bottlenose dolphins. *Proc R*  
576 *Soc B Biol Sci* 280(1768):1–6.
- 577 54. Leader N, Wright J, Yom-Tov Y (2000) Microgeographic song dialects in the  
578 orange-tufted sunbird (*Nectarinia Osea*). *Behaviour* 137(12):1613–1627.
- 579 55. Payne RB (1985) Behavioral continuity and change in local song populations  
580 of village indigobirds *Vidua chalybeata*. *Z Tierpsychol* 70:1–44.
- 581 56. Trainer JM (1989) Cultural evolution in song dialects of Yellow-rumped  
582 Caciques in Panama. *Ethology* 80:190–204.
- 583 57. Sattler GD, Sawaya P, Braun MJ (2017) An assessment of song admixture as  
584 an indicator of hybridization in black-capped chickadees (*Poecile atricapillus*)  
585 and Carolina chickadees (*P. carolinensis*). *Auk* 124(3):926–944.
- 586 58. Cerchio S, Jacobsen JK, Norris TF (2001) Temporal and geographical variation  
587 in songs of humpback whales, *Megaptera novaeangliae*: synchronous change  
588 in Hawaiian and Mexican breeding assemblages. *Anim Behav* 62(2):313–329.
- 589 59. Garland EC, et al. (2015) Population structure of humpback whales in the  
590 western and central South Pacific Ocean as determined by vocal exchange  
591 among populations. *Conserv Biol* 29(4):1198–1207.
- 592 60. Eriksen N, Tougaard J (2006) Analysing differences among animal songs



- 593           quantitatively by means of the Levenshtein distance measure. *Behaviour*  
594           143:239–252.
- 595 61. Helweg DA, Cato DH, Jenkins PF, Garrigue C, McCauley RD (1998)  
596           Geographic variation in South Pacific humpback whale songs. *Behaviour*  
597           135(1):1–27.
- 598 62. Suzuki R, Shimodaira H (2004) An application of multiscale bootstrap  
599           resampling to hierarchical clustering of microarray data: How accurate are  
600           these clusters. *15th Annual International Conference of Genome Informatics,*  
601           *Posters and Software Demonstrations*, pp 1–2.
- 602 63. Sokal RR, Rohlf FJ (1962) The comparison of dendrograms by objective  
603           methods. *Taxon* 11(2):33–40.

604

605

## 606 **Figure Legends**

607 **Fig. 1.** Example spectrograms of hybrid transitional phrases, corresponding parent  
608 themes and substituted themes from the Blue and Dark Red song types (top panels),  
609 and the Pink and Black song types (bottom panels). The top panel shows the theme  
610 progression (from left to right) of the transition from Blue theme 24, through the  
611 hybrid 24/37*a* phrase into Dark Red theme 37*a* and then theme 37*b* (singer HYB1;  
612 Table 1). Panel 2 shows the theme progression from Dark Red theme 31*a* to Blue  
613 theme 27 mediated by hybrid transition phrases 27/31*a* and 31*a*/27 (note the  
614 difference in arrangement depending on the direction of transition (singer HYB2)).  
615 Panel 3 shows the theme progression (left to right) from Pink theme 1, through hybrid  
616 phrase 1/7*a* into Black theme 7*a* (singers HYB3 and HYB4; Table 1), and the  
617 substituted Pink theme 2. The bottom panel shows the theme progression (left to

618 right) from Black theme 9*b*, through hybrid phrase 9*b*/4 into Pink theme 4 (singers  
619 HYB3 and HYB4; Table 1). It also shows Pink theme 3 and the unresolved theme 11.  
620 Spectrograms were 2048 point fast Fourier transform (FFT), Hanning window and  
621 75% overlap, generated in RAVEN PRO 1.4. (Corresponding audio files are provided  
622 online).

623

624 **Fig. 2.** First-order Markov model of theme transitions to understand hybridization  
625 between (A) Pink-Black song types (N=2,222 phrase transitions, N=4 individuals),  
626 and (B) Blue-Dark Red song types (N=8,852 phrase transitions, N=46 individuals).  
627 Each node represents a theme or ‘phrase type’, color-coded by song type. White  
628 nodes represent transitional phrases and dashed lines indicate transitions between  
629 song types. Arrows represent the direction of movement and thicker lines indicate  
630 higher transition probabilities. Transitions between the Pink and Black song types (A)  
631 occurred at multiple locations (theme 1 to 7*b*, 9*b* to 4, 10*a* to 1, 4 to 10*a*, 10*a* to 5*b*, 8*b*  
632 to 4, and 8*a* to 5*b*). In contrast, transitions between the Blue and Dark Red song types  
633 (B) occurred only at two specific locations in the song: Blue theme 27 - Dark Red  
634 theme 31*a* (both directions), and Blue theme 24 - Dark Red theme 37*a* (one-way).  
635 Phrase repetitions are removed from the figure for ease of display.

636

637 **Fig. 3.** Dendrogram of bootstrapped (1000) similarity matrices of average-linkage  
638 hierarchical clustered median unit sequences for each theme for (A) French Polynesia  
639 2005 Blue and Dark Red song types (Cophenetic Correlation Coefficient = 0.93), (B)  
640 eastern Australia 2002-2003 Blue and Dark Red song types (Cophenetic Correlation  
641 Coefficient = 0.88), and (C) eastern Australia 1996-1997 Pink and Black song types  
642 (Cophenetic Correlation Coefficient = 0.95). Multi-scale bootstrap resampling (AU,

643 left, red dot indicates  $p > 95\%$ ) and normal bootstrap probabilities (BP, right, green  
644 dot indicates  $p > 70\%$ ) are displayed. Branches with high AU values are strongly  
645 supported by the data. Dashed boxes indicate where themes from different song types  
646 appear together on a branch.