

1 **APES IN THE ANTHROPOCENE: FLEXIBILITY & SURVIVAL**

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30

31 **Abstract**

32 We are in a new epoch, the Anthropocene, and research into our closest living relatives, the
33 great apes, must keep pace with the rate that our species is driving change. While a goal of
34 many studies is to understand how great apes behave in natural contexts, the impact of human
35 activities must increasingly be taken into account. This is both a challenge and an
36 opportunity, which can importantly inform research in three diverse fields: cognition, human
37 evolution, and conservation. No long-term great ape research site is wholly unaffected by
38 human influence, but research at those that are especially affected by human activity is
39 particularly important for ensuring that our great ape kin survive the Anthropocene.

40

41 **Main text**

42

43 A primary goal of many field studies of animal behaviour is to obtain data on behaviour in
44 the ecological contexts in which that behaviour is presumed to have evolved. Hence, for
45 many research questions scientists rightly seek to study populations in places remote from
46 dense human settlements and minimally disturbed by human activities. While many
47 researchers have thereby focused little attention on human impacts, the scale of impacts at
48 many sites is now substantial enough that they should be explicitly taken into account.
49 Because great apes (here also referred to as apes) reproduce slowly and require natural forest
50 for food and shelter, impacts such as hunting and deforestation can be devastating, causing

51 local extinctions. Where apes are not directly persecuted, however, and some natural forest
52 remains, apes can prove highly flexible. Here we provide examples of how such behavioural
53 flexibility (see Glossary) can inform research in cognition, human evolution, and
54 conservation. We also explore the reasons why our current knowledge of ape flexibility in
55 response to anthropogenic change is limited. We argue that ape populations most affected by
56 such change provide important opportunities to help ensure the long-term survival of
57 remaining wild ape populations.

58 Most contemporary ecosystems are affected by anthropogenic land use and activities,
59 albeit to different degrees [1]. Many so-called ‘wild’ organisms are exposed to a variety of
60 modern human activities such as agriculture, hunting, mining and other extractive industries,
61 and by are affected by roads and settlements [2]. By 2030, it is predicted that less than 10%
62 of currently existing African great ape habitat and only 1% of Asian great ape habitat will
63 remain relatively undisturbed by human infrastructural development [3]. Anthropogenic
64 exposure varies: At one extreme, in near-pristine areas, human–ape interactions are rare; at
65 the other extreme, apes inhabit environments dominated by anthropogenic activities and their
66 behaviour is greatly influenced by humans [4]. In these circumstances, wildlife adjusts its
67 behaviour quickly in response, migrates, or perishes [5]. Here, we focus mostly on situations
68 where great apes and sedentary human communities overlap spatially, such as in forest–farm
69 mosaic landscapes, or at the edges of protected areas, but where apes are not usually hunted
70 for food (i.e. directly persecuted). Where apes are hunted, they fear and avoid people, making
71 detailed studies of their behavioural responses near impossible [but see 6].

72 How animals respond to human presence and activities are prominent research themes
73 in the behavioural ecology of other charismatic mammals, such as large carnivores and
74 elephants [7-9]. For these taxa there is productive overlap between applied and theoretical
75 research into behavioural flexibility and cognition. In the growing field of ethnoprimateology,

76 research on nonhuman primate behaviour and ecology is combined with anthropological
77 approaches to ensure that humans are considered part of natural ecosystems [10-11]. Such
78 approaches until recently have received relatively little attention from great ape researchers.
79 We suggest there are several reasons for the current limited knowledge.

80 First, for some species, the link between animal behaviour and human well-being is
81 inescapable. For example, scientists must acknowledge local people's interactions with large-
82 bodied and wide-ranging carnivores when such animals are feared and people want them
83 exterminated because of risks to livestock or human safety [12,13]. In many environments
84 people do not commonly perceive wild apes as presenting severe threats to human safety.
85 Hence, apes do not generally provoke the same level of fear and hostility commonly directed
86 towards large carnivores [14]. As a result, scientists working with apes may be less aware of
87 human-wildlife interactions.

88 Second, scientists have only recently appreciated the degree to which great apes can
89 survive in disturbed and degraded ecosystems [15-17], which reflects their natural range of
90 behavioural flexibility [18]. This creates new research opportunities that researchers are
91 increasingly exploiting. There are pragmatic reasons for this shift in emphasis: in West
92 African countries, *c.*45–81% of chimpanzees exist outside designated protected areas [19],
93 often in areas markedly modified by humans [20]. In Southeast Asia, >80% of orangutans
94 now survive in multiple-use forests (protected or not) and in transformed ecosystems
95 exploited by people [21]. Human populations in Africa and Asia are expected to increase
96 rapidly in the coming century, and correspondingly, ape populations will be affected by
97 human activities, whether in islands of protected areas or mosaics of relict forest patches and
98 farms.

99 Third, many great ape researchers are interested in understanding the adaptive
100 significance of behavioural tendencies, which are assumed to have evolved in habitats

101 undisturbed by human activity. Behaviour evinced by great apes in human-influenced
102 habitats can therefore be perceived as being less interesting (for the ‘tainted-nature delusion’
103 see [22]). In reality, few long-term great ape research sites are unaffected by human
104 influences (Figure 1). The environment and behaviour recorded at most sites is always
105 influenced to varying extents by current or former human presence and activities (for
106 chimpanzee crop-feeding see [17], for orangutan terrestriality see [23]; for changes in gorilla
107 demography see [24]; but see [25] for chimpanzee conspecific killing).

108 We offer three examples of how research on apes in the Anthropocene can advance
109 both pure and applied science, specifically in the fields of great ape behaviour, human
110 evolution, and conservation.

111

112 **How apes see their changing world: cognition**

113

114 Great apes are known for their behavioural flexibility, frequent innovation, and high degree
115 of cultural variation [26-28]. Therefore, we expect them to modify their behaviour in
116 response to anthropogenic change. As flexible learning ultimately underlies much of the
117 behaviour of these species, a cognitive analysis [29] offers new ways to improve the efficacy
118 of behaviourally focused conservation efforts [30]. Whenever great apes are exposed to novel
119 and potentially dangerous stimuli (e.g., vehicles, farmers, snares, crop protection techniques,
120 domestic dogs [31,32]), or new food sources (e.g., crops; [15,17,33]), we have opportunities
121 to examine their behavioural flexibility and the role it might play in their survival (Figure 2).
122 We do not suggest that great apes are unique in their abilities to exhibit flexible responses to
123 perceived and/or actual anthropogenic risk; rather that understanding the extent of this
124 flexibility should form part of our tool-kit for unravelling the limits of their adaptability.

125

126 Behavioural flexibility in response to varied anthropogenic risk patterns

127

128 Chimpanzees evaluate and respond flexibly to challenges posed by humans and their
129 activities, for example by taking account of the risks of including agricultural crops in their
130 foraging decision-making. At Bossou, feeding parties are more cohesive during crop feeding
131 than wild foraging, but this does not apply to orchards abandoned by farmers, suggesting
132 increased perception of risk is important (Figure 3a). At Bossou, party sizes are larger on
133 days when crops are consumed than not [34] (Figure 3b); and at Kibale, Uganda, chimpanzee
134 parties foraging in croplands contained more males yet produced fewer pant-hoot
135 vocalisations than parties at the core of the range, likely due to elevated perceived risks of
136 detection by humans [35]. Elsewhere at Kibale, chimpanzees feed on crops at night when
137 maize fields are left unguarded [36], while at Bulindi, Uganda, where farmers frequently
138 harass the apes, chimpanzees show increased willingness to risk costly encounters with
139 people to feed on crops when wild fruit availability is low [37].

140 Chimpanzees at Bossou cross roads daily to access parts of their home range. While
141 no evidence indicates that Bossou chimpanzees have been killed or injured during road-
142 crossings, the positioning of dominant and bolder individuals varies according to the apparent
143 degree of risk posed by human and vehicle traffic [31]; adult males also exhibit guarding
144 behaviour in response to a visible threat: local people (Figure 2a).

145

146 Snare detection and behavioural adaptations to snare injury

147

148 Chimpanzees at Bossou understand the potential danger of wire snares, and some individuals
149 deactivate snares safely [38]. Elsewhere, chimpanzees remove snares from the limbs of
150 conspecifics (Budongo, Uganda [39]; Tai, Cote d'Ivoire [40]), while bonobos at Wamba,

151 Democratic Republic of Congo, attempted with mixed success to do so [41] (Figure 2c).
152 Mountain gorillas at Karisoke, Rwanda, show “snare awareness”, with reactions to snares
153 varying from avoidance, to displaying near the snare, or threatening and/or biting individuals
154 who approach it [42]. Despite this, many individuals still suffer limb injuries from snares
155 (16% of mountain gorillas at Karisoke and 21% of chimpanzees at Budongo [43]).
156 Individuals of both species adapt their feeding techniques to their disabilities, thus enabling
157 them to survive under natural conditions. They retain the same processing techniques (i.e.
158 overall plan, organization) as the able-bodied, but work around each of the constituent actions
159 in compensatory ways. For example, gorilla nettle feeding is a complex six-stage process that
160 normally requires both hands. Injured gorillas show behavioural adaptations that solve the
161 problems posed by the disability such as using the support of tree branches, or foot or mouth
162 instead of hand, modified grips, or the stump of the other hand instead of the thumb of the
163 primary hand [44].

164

165 **2. Contemporary models for paleoanthropological reconstructions: human evolution**

166

167 Understanding how flexible great apes are when challenged (e.g. through habitat degradation
168 and other forces, human-induced or not) can potentially provide insight into hominin
169 evolution. Documenting what major habitat perturbation does to extant ape populations
170 allows researchers to generate hypotheses about the origin of behaviours that are responses to
171 those conditions. For example, Bossou chimpanzees, which spend much of their time in small
172 forest fragments amid agricultural land [45], exploit underground storage organs of cultivated
173 cassava as fallback foods [46]. They also transport stone tools and crops bipedally – both
174 items that are unpredictable in availability [47]. And they share large-sized crops (e.g. papaya
175 fruit) among unrelated individuals more frequently than wild foods, especially under ‘riskier’

176 conditions such as when crops are further from the forest and humans are present [48,49].
177 Bossou chimpanzees thus engage in several behaviour patterns thought to be important for
178 human evolution, but less commonly seen in other chimpanzee populations.

179 Understanding how well, and for how long, a species can withstand a deteriorating
180 environment provides insights into how ancestral and fossil populations might have coped
181 with similarly deteriorating conditions in the past. Although conservation efforts ideally seek
182 to halt and reverse population declines, tracking the extinction of local ape populations can
183 potentially identify the point at which the equilibrium between ecological change and
184 behavioural flexibility breaks down [18]. Moreover, by understanding how populations of
185 extant apes change their behaviours to human-driven environmental pressures, we can
186 develop models for how, in the course of evolution, synchronic and variably sympatric
187 hominins could have responded to changing local conditions [50].

188

189 Coexistence of different hominins

190

191 Apes have coexisted with humans, human ancestors, and other early relatives of humans for
192 millions of years. The fossil evidence makes clear that several hominin species occupied the
193 same region simultaneously (Figure 4). In the Omo-Turkana Basin of southern Ethiopia and
194 northern Kenya, early *Homo* and *Paranthropus* species co-occurred not just regionally but
195 also at some of the same paleontological sites for at least one million years [51]. Similarly,
196 there was coexistence for perhaps a few thousand years between *Homo neanderthalensis* and
197 *Homo sapiens*, with attendant competition over space and resources, including plant and meat
198 foods [52]. The first and last appearances of fossil hominin species likely underestimate the
199 true extent of their temporal overlap. Therefore, understanding how sympatric apes interact
200 (e.g., sympatric gorillas and chimpanzees [53,54]), as well as the ways apes interact with

201 sympatric humans, can help to elucidate the ways in which different hominin species might
202 have coexisted. For example, in Lopé, Gabon, three hominoid genera (*Pan*, *Gorilla*, and
203 *Homo*) have coexisted for at least 60,000 years [55], but likely much longer. There probably
204 has always been dietary overlap among these genera, with competition over certain foods
205 such as fruits and honey.

206

207 **3. Ape survival alongside local people: conservation**

208

209 All great ape species and subspecies are listed as Endangered or Critically Endangered by the
210 International Union for Conservation of Nature, and all but one subspecies (mountain
211 gorillas, with approximately 880 individuals remaining), are declining in numbers [56].
212 Successful conservation of great apes requires both legally protected areas and means of
213 ensuring the survival of populations outside of formally protected areas. Hence, the need to
214 understand short- and long-term responses to human pressures by great apes is urgent [57].
215 Although apes (with species and subspecies differences) show behavioural flexibility to
216 immediate anthropogenic pressures, this does not justify further modification of their
217 habitats. Their ability to cope with human impacts is limited by requirements for intact
218 forests for food and shelter. It is unlikely that extensively farmed landscapes can sustain
219 viable populations of great apes in the long term [58]. With increasing habitat destruction and
220 conversion of forest to other land uses, great apes will be compressed into ever-smaller
221 pockets (potentially at unusually high population densities), hanging-on for a while, but with
222 little chance of surviving long term, especially if climate change affects the distribution of
223 forest such that relict areas are no longer forested [57]. Changes in the demography of ape
224 populations, with their slow life histories, can occur over long periods, with a lag effect
225 between human pressures and demographic change. Some behavioural responses (e.g., crop

226 feeding, livestock depredation, and aggression towards humans) ultimately might be
227 maladaptive if they provoke human retaliation [59], or increase risk of exposure to
228 deleterious human and livestock pathogens [60], leading to increased extinction risk. Where
229 apes are viewed as problematic by their human neighbours, retaliatory killings and lethal crop
230 protection methods take their toll [32,61]. The close phylogenetic relationship between
231 humans and great apes facilitates the risk of disease exchange in closely-shared landscapes
232 [62]. To date, no quantitative assessment of the long-term viability of apes (i.e., analysis of
233 birth, death and migration rates) across sites of varying anthropogenic disturbance has been
234 attempted, but an important factor precipitating rapid population collapse, and thus local
235 extinction, is small population size [57].

236

237 Human-ape interactions and conflict mitigation

238

239 Human-wildlife ‘conflict mitigation’ strategies to reduce crop damage or aggressive
240 interactions (but see Glossary for discussion of the term ‘human-wildlife conflict’) should
241 take into account the complex adaptive responses of large-brained species, because solutions
242 often are not straightforward [2,4]. For great apes, information about which crops are eaten
243 and which are ignored, and their potential to generate conflict, can help stakeholders to
244 develop effective management schemes in anthropogenic habitats [17]. For example,
245 chimpanzees predictably target fruit crops, but their selection diversifies over time to
246 incorporate more non-fruits including underground storage organs and staple human crops
247 [63]. Effective crop-foraging deterrents must address these dynamic feeding changes, as well
248 as attempt to increase an ape’s perceived risk of exploiting croplands. At Budongo, guarding
249 of fields, involving regular patrolling of field perimeters by a male guard armed with a stick,
250 was highly effective (albeit time-consuming) for deterring chimpanzees [64]. At Batan

251 Serangan, Sumatra, the experimental introduction of hand-held firecracker cannons as noise
252 deterrents and tree barrier nets to close off arboreal travel pathways reduced crop feeding by
253 orangutans at randomly selected farms compared to control farms where crop feeding
254 increased [65].

255 Humans kill great apes for various reasons, including for food and medicine, to obtain
256 infants to sell, and in retaliation for crop losses or ape attacks on people. Although the risk of
257 aggressive encounters between humans and wild apes is low, the causes of ape aggression
258 towards humans are complex and varied [4]. Most documented ape attacks on people involve
259 chimpanzees and occur on village paths or in fields bordering forest. As with chimpanzee
260 aggression more generally [25,66], most attackers are males. Most victims are children (of
261 both sexes), and attacks sometimes, but not always, appear driven by predatory tendencies
262 [59,67,68]. Triggers for non-predatory attacks might include provocation by people, sudden
263 unexpected encounters at close range, over-habituation to humans, and adult male
264 chimpanzees asserting their dominance. At Bossou, local people employ simple measures to
265 reduce the likelihood of surprise encounters with chimpanzees, such as cutting down crop
266 trees along forest edges, or regular small-scale cutting back of vegetation in areas frequented
267 by humans and chimpanzees such as fields, paths and trails [67]. Simple, transparent and
268 cost-effective methods for protecting people and reducing crop damage need to be identified
269 and developed to gain the support of local communities and industries alike for great ape
270 conservation. However, problematic great ape behaviour is only one aspect of conflict, with
271 social drivers (such as cultural norms and expectations, social tensions, fear and lack of
272 knowledge) often increasing the intensity of conflict generated. Conservation conflicts are
273 fundamentally driven by humans [69], who have different goals, agendas, and levels of
274 empowerment [70].

275

276 **Conclusions**

277

278 We are in a new epoch, the Anthropocene, and research must keep pace with the speed at
279 which our species is driving global change. To predict the threshold beyond which ape
280 populations are unable to accommodate human presence and activities, and local people can
281 no longer tolerate apes and other wildlife, research is needed on populations at different
282 stages of the anthropogenic continuum. To do this, we should abandon a simplistic
283 ‘anthropogenic-or-not’ approach and instead identify variables, including human activities
284 and customs, which accurately characterize the different types of anthropogenic landscapes,
285 and determine their influence on ape and other wildlife behaviours.

286 Research on apes across the anthropogenic continuum offers new opportunities to
287 develop understanding of great ape flexibility in the face of unprecedentedly rapid
288 environmental changes; doing so will potentially open a window into the evolution of modern
289 human and ape adaptability. Social as well as natural science approaches are crucial and must
290 be tied to conservation and behavioural research [10,70]. Care should be taken when
291 conducting research in human-impacted habitats to ensure ethical practice and support by
292 local people [71,72]. For example, researchers following apes into crop fields might be
293 perceived negatively by local farmers as disregarding their needs, and might also contribute
294 to ape habituation to human presence in croplands, reducing apes’ fear of these areas.
295 Scientists will have to approach the proposed research agenda with open minds, and
296 conventional beliefs might well be challenged [73]. Conservation should “focus on the
297 inevitably novel future rather than the irretrievably lost past” [74, p.38], as the time for
298 delegating pristine ‘natural’ environments to be the sole solution for preserving great apes in
299 the ‘wild’ is, unfortunately, long gone. While parks and other protected areas must remain a

300 key conservation strategy, the survival of large, diverse populations requires finding ways for
301 humans and apes to coexist outside protected areas as well.

302

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473 GLOSSARY

474 **Anthropocene:** current geological epoch of human dominance of geological, biological and
475 chemical processes on earth (term coined by [75]), usually dating from 1945 in ecology and
476 conservation [74].

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478 **Behavioural flexibility:** behavioural responses to changing local conditions, reflecting
479 solutions to ecological or social problems (sometimes referred to as behavioural
480 ‘adaptability’).

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482 **Co-occurring species:** species that occur at the same time, but not in the same location (also
483 known as synchronic species)

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485 **Co-existing species:** species that occur at the same time period *and* in the same place, and
486 thus can potentially interact (also known as sympatric species).

487

488 **Ethnoprimatology:** interdisciplinary study combining primatological and anthropological
489 practice to examine the multifarious interactions and interfaces between humans and other
490 primates living in integrated and shared ecological and social spaces [10,11].

491

492 **Human-wildlife conflict:** negative interactions between people and wildlife. Researchers are
493 increasingly moving away from the term when referring to scenarios in which wildlife impact
494 on people's livelihood, security, or personal safety. Its use obscures the fact that these
495 'conflicts' often stem from 'differential values, needs, priorities and power relations between
496 the human groups concerned'. For further information see [70,76].

497

498 **Social learning:** learning that takes place in a social context and from the behaviour of
499 others.

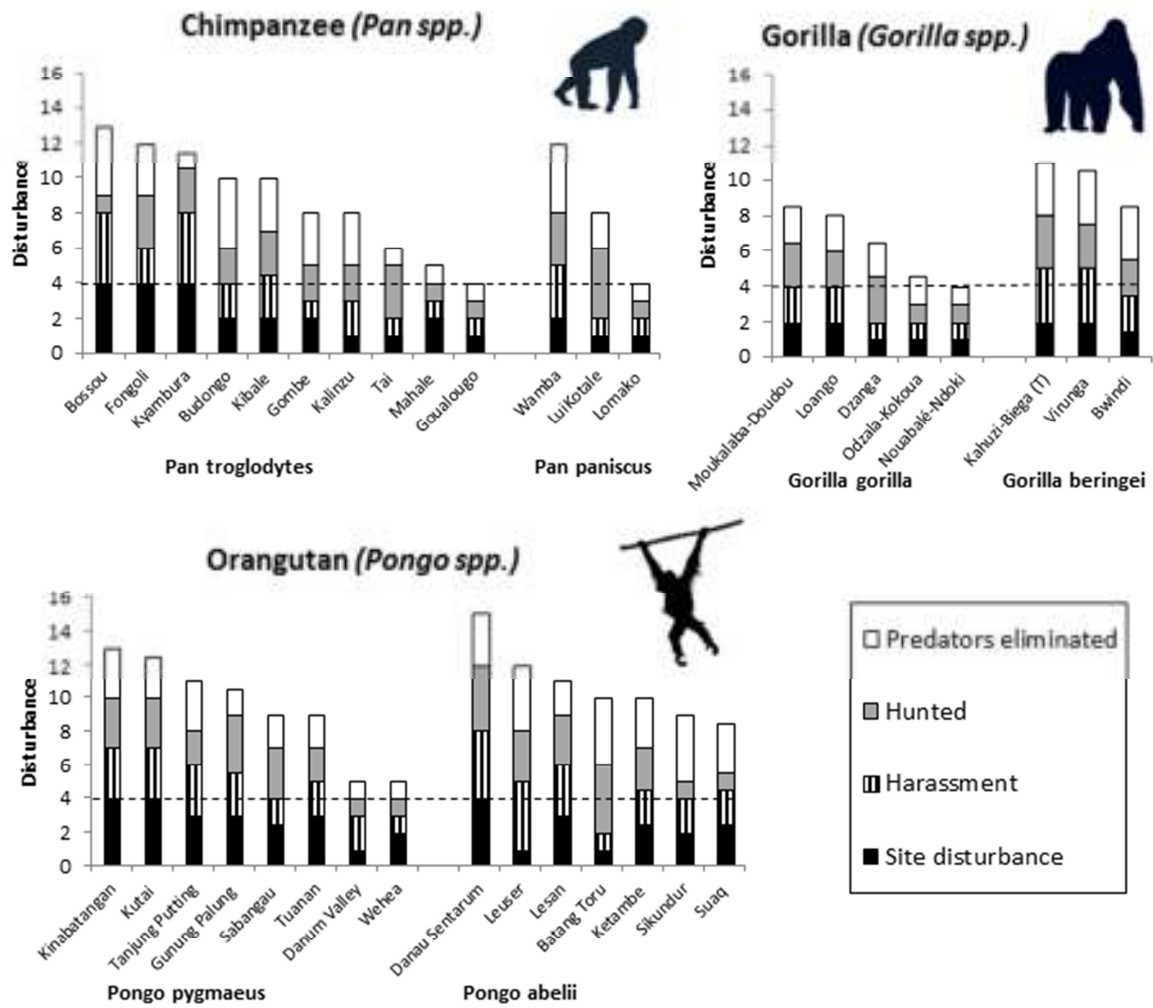
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506 **Figure legends**

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508 Figure 1. Ratings of human-driven disturbance for great ape populations that are habituated to

509 human observers and have been monitored for at least 10 years demonstrate that few long-

510 term ape research sites are unaffected by human influence. (adapted and extended from [25]).

511 Great ape research and/or tourist sites in the same region are clumped and median ratings for

512 disturbance are presented. For eastern gorillas, Kahuzi-Biega is a group habituated for

513 tourism (T). Human disturbance is the sum of four separate ratings, each scored on a 1

514 (minimum) to 4 (maximum) point scale, giving a possible range of 4–16 points. We rated

515 whether major predators have been eliminated (Predators), amount of hunting of study

516 animals (Hunted), harassment of study animals by people (Harassment), and disturbance to
517 habitat (Site Disturbance). Horizontal dashed line indicates the baseline of least disturbance.

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542 Figure 2. Great apes are frequently exposed to humans and their activities: (a) chimpanzees at
543 Bossou, Guinea, crossing a road frequented by vehicles and pedestrians (photo by Kimberley
544 Hockings), (b) an orangutan feeding on oil-palm fruits and pith in a plantation in Borneo
545 (photo by Mohamed Daisah bin Khapar), (c) bonobos at Wamba, DRC, examining a metal
546 snare on the fingers of an adult female (photo by Takeshi Furuichi) (d) mountain gorillas
547 stripping the bark of eucalyptus trees planted at the periphery of Volcanoes National Park,
548 Rwanda (photo by Magdalena Lukasik-Braum/MGVP Inc.).

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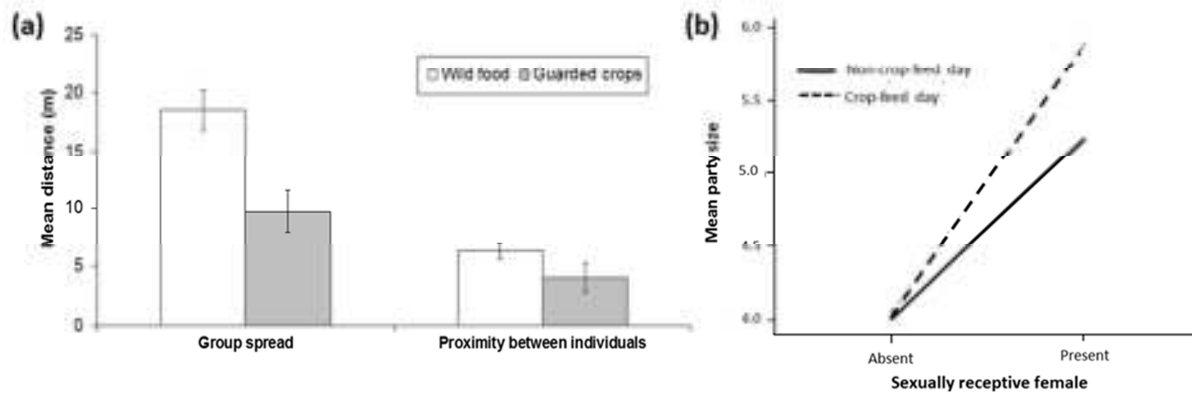
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558 Figure 3. Chimpanzees modify their grouping patterns according to anthropogenic risk: (a)

559 Mean \pm SE chimpanzee party spread and proximity of nearest neighbours when feeding

560 arboreally on wild foods versus guarded crops at Bossou (adapted from [34]). In contrast, no

561 significant differences emerged when party spread and proximity were compared during

562 arboreal wild feeds and abandoned crop feeds (which are similar in size and/or density),

563 suggesting degree of perceived risk associated with feeding on crops guarded by people is the

564 most likely explanation. (b) Effect of guarded crop feeding and female sexual receptivity and

565 their interaction on party size. To show the interaction effect data are presented on line

566 graphs. Chimpanzees entered guarded agricultural areas to feed on crops when party size was

567 larger, but only when a maximally swollen female was present. Other social and ecological

568 factors did not influence daily party size. This interaction might reflect male mate guarding

569 (and a desire for males in general to remain in proximity to the female) during periods of

570 female sexual receptivity, with associated perception of increased security by party members.

571 Males might be more willing to engage in risky raids when other males are present in larger

572 party sizes for support, or to ‘show off’ their boldness to females through crop raiding during

573 these periods.

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