

1 **Methods & Techniques: Journal of Experimental Biology**

2

3 **Title: Using accelerometers to determine the calling behavior of tagged baleen whales**

4

5 J. A. Goldbogen^{1*}, A. K. Stimpert^{2,3*}, S. L. DeRuiter^{4*}, J. Calambokidis⁵, A. S. Friedlaender⁶, G.
6 S. Schorr⁵, D. J. Moretti⁷, P. L. Tyack⁸, B. L. Southall^{9,10}

7 *These authors contributed equally to the manuscript. Authors for correspondence:

8 jergold@stanford.edu, astimpert@mlml.calstate.edu, sldr@st-andrews.ac.uk

9

10 ¹Department of Biology, Hopkins Marine Station, Stanford University, Pacific Grove, CA
11 93950, USA

12 ²Moss Landing Marine Laboratories, 8272 Moss Landing Road, Moss Landing, CA 95039, USA

13 ³Department of Oceanography, Naval Postgraduate School, Monterey, CA, 93943, USA

14 ⁴CREEM, University of St Andrews, Fife KY16 9LZ, UK

15 ⁵Cascadia Research Collective, 218 1/2 W. 4th Avenue, Olympia, WA 98501, USA

16 ⁶Marine Mammal Institute, Hatfield Marine Science Center, Oregon State University, Newport,
17 OR, 97365, USA

18 ⁷Division Newport, Naval Undersea Warfare Center, Newport, RI, USA

19 ⁸Sea Mammal Research Unit, Scottish Oceans Institute, University of St Andrews, Fife KY16
20 8LB, UK

21 ⁹Southall Environmental Associates Inc., 9099 Soquel Drive, Suite 8, Aptos, CA 95003, USA

22 ¹⁰Long Marine Laboratory, University of California, Santa Cruz, Institute of Marine
23 Sciences, 100 Shaffer Road, Santa Cruz, CA 95060, USA

24 **ABSTRACT**

25 Low-frequency acoustic signals generated by baleen whales can propagate over vast distances,
26 making the assignment of calls to specific individuals problematic. Here we report the novel use
27 of acoustic recording tags equipped with high-resolution accelerometers to detect vibrations on
28 the surface of two tagged fin whales that directly match the timing of recorded acoustic signals.
29 A tag deployed on a buoy in the vicinity of calling fin whales, and a recording from a tag that
30 had just fallen off of a whale, were able to detect calls acoustically but did not record
31 corresponding accelerometer signals that were measured on calling individuals. Across the
32 hundreds of calls measured on two tagged fin whales, the accelerometer response was generally
33 anisotropic across all three axes, appeared to depend on tag placement, and increased with the
34 level of received sound. These data demonstrate that high-sample-rate accelerometry can provide
35 important insights into the acoustic behavior of baleen whales that communicate at low
36 frequencies. This method helps identify vocalizing whales, which in turn enables the
37 quantification of call rates, a fundamental component of models used to estimate baleen whale
38 abundance and distribution from passive acoustic monitoring.

40 **INTRODUCTION**

41 A major challenge in studying acoustic behavior and its ecological context is determining the
42 source of an acoustic signal and assigning the emitted sound to an individual. These data are
43 critically needed to relate movements and physiology to call production, and also to quantify
44 individual call rates for acoustic monitoring. Discerning sender and potential receivers is also
45 important for a wide range of communication and behavioral ecology studies, including the
46 effects of anthropogenic sounds. Identifying call-producers is particularly challenging for

47 whales because they are rarely in view and often vocalize without any visual cue, such as
48 opening the mouth, or releasing bubbles. Passive acoustic monitoring using hydrophone or
49 seismometer arrays can localize the location of sound-producing whales over relatively large
50 spatial scales (Soule and Wilcock, 2013; Stanistreet et al., 2013; Weirathmueller et al., 2013;
51 Wilcock, 2012). At finer scales, animal-borne tags equipped with hydrophones provide acoustic
52 information with simultaneous information on orientation, depth, and acceleration (Johnson et
53 al., 2009; Johnson and Tyack, 2003). Sounds recorded by these multi-sensor tags have been
54 assigned to either the tagged whale itself or nearby conspecifics based on the angle of arrival
55 (Johnson et al., 2009; Johnson et al., 2006; Madsen et al., 2013; Oliveira et al., 2013) or a
56 combination of consistent received level, high signal-to-noise ratio, and apparent isolation of the
57 tagged animal (Janik, 2000; Jensen et al., 2012; Oleson et al., 2007; Parks et al., 2011). Most of
58 these methods are problematic for analyzing baleen whale sound production when conspecifics
59 are present because tagged whale sounds cannot be easily distinguished from those of nearby
60 animals given the typical long-range propagation of low frequency calls. Another potentially
61 complicating factor is that individuals may vary the source level of generated sounds (Au et al.,
62 2006; Parks et al., 2011), making received level an unreliable indicator of range to the caller.
63 However, recent increases in the sampling capacity of digital recording tags provide new
64 opportunities to assess the calling behavior of individual whales. In particular, the low frequency
65 signals of large baleen whales could be detected using high-resolution accelerometry from tags
66 attached to vocalizing individuals. Here we tested this hypothesis in fin whales because they
67 generate some of the lowest frequency calls (~30-20 Hz downsweeps) among aquatic animals
68 (Watkins et al., 1987), making them an ideal model system to study calling behavior with high-
69 resolution, multi-sensor acoustic tags.

70 RESULTS AND DISCUSSION

71 For two tagged fin whales, calls as low as 20 Hz were simultaneously recorded on both
72 accelerometers and hydrophones (Fig 1, 2). The acoustic signals exhibited durations of
73 1.00 ± 0.27 s, and the corresponding accelerometer signals had similar features with respect to
74 duration (0.99 ± 0.03 s). The accelerometer responses that coincided with acoustic signals were
75 largely anisotropic (Fig. 3), exhibiting differences in magnitude among the three-accelerator
76 axes within each deployment. This variation could be related to differences in tag location on
77 each whale, given the inconsistent directionality of the anisotropic accelerometer responses
78 between deployments, but we were unable to resolve this relationship conclusively due to our
79 limited sample size. Nevertheless, the magnitude of accelerometer signals increased with the
80 received sound pressure level of calls recorded on the tag during both tag deployments acoustic
81 received levels for bp12_294a acoustic calls (mean \pm 1 standard deviation): 184 ± 6 dB re $1 \mu\text{Pa}$
82 pkpk, 170 ± 7 dB re $1 \mu\text{Pa}$ rms, and for bp13_258b acoustic calls: 177 ± 5 dB re $1 \mu\text{Pa}$ pkpk, 162 ± 5
83 dB re $1 \mu\text{Pa}$ rms; Fig. 4). We also note that we recorded acoustic signals that had no
84 corresponding accelerometer signals for both tag deployments. This may be due to masking of
85 accelerometer signals by greater body movements during these times. RMS noise levels on the
86 accelerometer data in a 1-second window preceding each detected acoustic call supported this
87 hypothesis, with levels higher near calls that were not detected on the accelerometers than near
88 those detected (grand means of 0.21 ± 0.18 and 0.13 ± 0.10 m s^{-2} respectively).

89

90 To test the hypothesis that coincident pressure and accelerometer signals represent the calls of
91 the tagged whale, we attached a DTAG to a drifting buoy deployed at 30m depth, within 1000m
92 of calling fin whales. We recorded fin whale calls on the DTAG hydrophone, but no evidence of

93 calls on the accelerometers were resolvable on that associated data stream (Figure 2D). An
94 opportunistic test also occurred with deployment bp12_294a, when the tag fell off the whale and
95 recorded a call 3 seconds after detachment. At an estimated distance of less than 10 m from the
96 whale, assuming fin whale steady swimming speed of less than 3 m s^{-1} (Goldbogen et al., 2006),
97 there were no concomitant accelerometer signals when the call was recorded acoustically on the
98 tag (Figure 2B). Our measurements of clear accelerometer signals for tags attached to calling
99 animals and the absence of such signals on tags close to calling whales suggest that the body
100 vibrations associated with calling played a substantial role in generating the coincident
101 accelerometer signals.

102
103 However, most acoustic signals do consist of particle acceleration as well as pressure. In the far-
104 field of a sound source, sound pressure and the associated particle acceleration are related by
105 known physics, expressed by the linearized conservation of the momentum equation. We tested
106 the null hypothesis that the tag accelerometer signals could represent the particle accelerations
107 associated with incoming calls of fin whales in the far field of the tagged whale by applying
108 these models to each data stream (see supplement). The magnitude and phase of pressure and
109 accelerometer data did not conform to these predicted far-field relationships, suggesting that
110 calls were recorded in the near-field. In addition, acceleration and pressure magnitudes in the far
111 field are proportional to each other with the constant equal to $2\pi f/\rho c$, with f = frequency (Hz), ρ
112 = seawater density (g cm^{-3}), and c = speed of sound in water (m s^{-1}). Given the sound pressure
113 levels of the calls on the tag (Figure 4), accelerometer magnitudes on our tag recordings were
114 much higher than expected. For example, the $\sim 1000 \text{ Pa}$ peak-to-peak pressure signal recorded in
115 Figure 1A should produce an acceleration magnitude of approximately 0.08 m s^{-2} . The levels we

116 recorded on tags coupled to calling animals were close to an order of magnitude higher than this
117 prediction. This evidence further supports the hypothesis that tagged animal body vibrations
118 were contributing to these surprisingly high accelerometer values. It is important to note that
119 because the details of the fin whale sound production mechanism are unknown, the boundary
120 that defines the transition from near-field to far-field is also unknown, and could be anywhere
121 from 15 m to 150 m, or less than a whale length to approximately eight whale lengths away (see
122 supplement). Thus, although the modeling described above suggests that calls were recorded in
123 the near-field, there remains a small chance they were produced by a whale closely and
124 consistently associated with the tagged whale. However, considering the clear results of our
125 opportunistic experiments, the most likely explanation for our observations is that the acoustic
126 and accelerometry signals originate from each call produced by the tagged whale.

127
128 Using high resolution accelerometry to detect low frequency call production will significantly
129 increase our ability to study baleen whale communication systems, including the contexts in
130 which a particular sender signals, and how individuals acoustically respond to other animals or
131 anthropogenic sound. The method we propose here offers a breakthrough in identifying when a
132 tagged whale produces a sound. Although acoustic tags equipped with high-resolution
133 accelerometry may make it possible to confirm caller identity in other species, the applicability
134 of this method will be limited by sensor capacity and resolution. For these reasons, our approach
135 may be limited to large baleen whales that generate low frequency signals, or toothed whales that
136 exhibit lower frequency body movements associated with emission of sounds (Johnson et al.,
137 2009). This method also enables the quantification of individual calling rates, a fundamental
138 input parameter for models that use passive acoustic monitoring to estimate the abundance and

139 distribution of animals (Marques et al., 2013). Lastly, characteristics of these accelerometer
140 signals may prove useful in future investigations of baleen sound production (Adam et al., 2013).

141

142

143 **MATERIALS AND METHODS**

144 We attached multi-sensor acoustic recording tags, or DTAGs (Johnson et al., 2009; Johnson and
145 Tyack, 2003), to fin whales off the coast of southern California in the summer months of 2012
146 and 2013. These tagging operations took place in the context of a behavioral response study,
147 where tagged whales were exposed to controlled sounds (DeRuiter et al., 2013; Goldbogen et al.,
148 2013; Southall et al., 2012). The tags contained a pressure transducer, stereo hydrophones
149 sampling at 240 kHz, and tri-axial accelerometers and magnetometers sampling at 200 Hz for
150 bp12_294a and at 500 Hz for bp13_258b. DTAGs were equipped with flotation, four small
151 suction cups for attachment, and a VHF transmitter for tag retrieval.

152

153 The tag acoustic record was manually audited by visual inspection of a spectrogram (Hamming
154 window, FFT size 512, 75% overlap). The auxiliary sensor data (accelerometers,
155 magnetometers, pressure) were separately visually inspected for corresponding signals and the
156 time, duration, and peak-to-peak magnitude of those signals was recorded over a manually
157 determined window. Acoustic call start-times were marked by an analyst, and received levels
158 were automatically calculated in Matlab using these user-defined time cues as a starting point.
159 Calls were low pass filtered (6th order Butterworth filter at 100 Hz) before level measurement,
160 and both the waveforms and reported levels have been adjusted for measured tag sensitivity
161 (based on laboratory calibration at 10 Hz - 20 kHz) to account for reduced hydrophone response

162 at low frequency and the effects of the tag's analog high-pass filter). Reported peak-to-peak and
163 RMS received levels for acoustic calls were calculated over the full reported signal duration
164 based on a 97% energy criterion for signal duration (Madsen et al., 2004). These levels are not
165 source levels, and cannot be compared directly to fin whale call levels measured using other
166 methods.

167

168 **ACKNOWLEDGMENTS**

169 We thank the captain and crew of the R/V Truth and all members of the SOCAL-BRS research
170 team for their field efforts. This project was conducted under the terms of US National Marine
171 Fisheries Service (NMFS) research permit number 14534 (as well as Channel Islands National
172 Marine Sanctuary (CINMS) permit number 2010-004 for operations within the boundaries of the
173 CINMS). We thank Tom Hurst for DTAG3 calibration and Bruce Abraham, Ching-Sang Chiu,
174 Frants Jensen, Peter Madsen, and one anonymous reviewer for comments on the manuscript.

175

176 **AUTHOR CONTRIBUTIONS**

177 JAG, AKS, and SLD analyzed data and wrote the paper. All authors contributed with fieldwork,
178 experimental design, manuscript editing, and/or theory.

179

180 **FUNDING**

181 U.S. Navy Living Marine Resources Program and Office of Naval Research Marine Mammal
182 Program. PLT acknowledges the support of the MASTS pooling initiative (The Marine Alliance
183 for Science and Technology for Scotland) in the completion of this study. MASTS is funded by
184 the Scottish Funding Council (grant reference HR09011) and contributing institutions.

185

186 **FIGURE LEGENDS**

187 Figure 1. Detection of fin whale calls from tag data. (A) Acoustic detection of 20 Hz signals
188 were simultaneous with all three orthogonal axes (x,y,z) of the accelerometer. Signal has been
189 adjusted for the tag's analog high pass filter, filtered (2nd order Butterworth bandpass filter
190 between 10 and 60 Hz), and downsampled (1200 Hz sampling rate). Spectrogram FFT size 512,
191 98% overlap. Accelerometer data were mean-subtracted and the linear trend removed, but data
192 were not filtered. (B) Time series of acoustic and accelerometer signal detections (bp12_294a).
193 The cessation and resumption of calling in bp12_294a demonstrated the reliability of this method
194 to assess calling behavior in the context of a controlled exposure experiment (see methods).

195

196 Figure 2. Different tag deployment scenarios and their effect on accelerometer signal detection
197 (spectrogram parameters, acoustic signal processing, and accelerometer processing as in Figure
198 1). (A) Tag attached to whale bp12_294a. (B) Tag just moments after detachment from whale
199 bp12_294a. (C) Tag attached to whale bp13_258b. (D) Tag attached to floating buoy in vicinity
200 of calling fin whales. Impulsive spikes in the acoustic record are interference from the tag's VHF
201 radio transmissions.

202

203 Figure 3. Accelerometer response during fin whale calls. The acceleration measurements along
204 each axis represent peak-to-peak magnitudes for each tag deployment (bp12_294a, left panels;
205 bp13_258b, right panels). Ordinary least-squares linear regressions (solid thick lines) and 95%
206 confidence intervals (solid thin lines) for each pairwise comparison were used to illustrate a

207 general departure from isometry (dashed lines) for bp12_294a (x-y, $r^2=0.26$; x-z, $r^2=0.15$; y-z,
208 $r^2=0.64$) and bp13_258 (x-y, $r^2=0.32$; x-z, $r^2=0.56$; y-z, $r^2=0.42$).

209

210 Figure 4. Relationship between accelerometer magnitude and the received level of sound.

211 Received levels of sound (peak-to-peak sound pressure levels) were correlated with peak-to-peak
212 accelerations for both bp12_294a ($r_s=0.614$; $p<0.005$) and bp13_258a ($r_s=0.654$; $p<0.005$). Right
213 panels show distributions for bp12_294a (C) and bp13_258a (D) of received sound levels with
214 (light bars) and without (shaded bars) concomitant acceleration signals.

215

216 REFERENCES

217 Uncategorized References

218 **Adam, O., Cazau, D., Gandilhon, N., Fabre, B., Laitman, J. T. and Reidenberg, J. S.** (2013). New
219 acoustic model for humpback whale sound production. *Applied Acoustics* **74**, 1182-1190.

220 **Au, W. W. L., Pack, A. A., Lammers, M. O., Herman, L. M., Deakos, M. H. and Andrews, K.**
221 (2006). Acoustic properties of humpback whale songs. *Journal of the Acoustical Society of America* **120**,
222 1103-1110.

223 **DeRuiter, S. L., Southall, B. L., Calambokidis, J., Zimmer, W. M. X., Sadykova, D., Falcone, E. A.,**
224 **Friedlaender, A. S., Joseph, J. E., Moretti, D., Schorr, G. S. et al.** (2013). First direct measurements of
225 behavioural responses by Cuvier's beaked whales to mid-frequency active sonar. *Biology Letters* **9**.

226 **Goldbogen, J. A., Calambokidis, J., Shadwick, R. E., Oleson, E. M., McDonald, M. A. and**
227 **Hildebrand, J. A.** (2006). Kinematics of foraging dives and lunge-feeding in fin whales. *Journal of*
228 *Experimental Biology* **209**, 1231-1244.

229 **Goldbogen, J. A., Southall, B. L., DeRuiter, S. L., Calambokidis, J., Friedlaender, A. S., Hazen, E.**
230 **L., Falcone, E. A., Schorr, G. S., Douglas, A., Moretti, D. J. et al.** (2013). Blue whales respond to
231 simulated mid-frequency military sonar. *Proceedings of the Royal Society B-Biological Sciences* **280**.

232 **Janik, V. M.** (2000). Source levels and the estimated active space of bottlenose dolphin (*Tursiops*
233 *truncatus*) whistles in the Moray Firth, Scotland. *Journal of Comparative Physiology A* **186**, 673-680.

234 **Jensen, F. H., Beedholm, K., Wahlberg, M., Bejder, L. and Madsen, P. T.** (2012). Estimated
235 communication range and energetic cost of bottlenose dolphin whistles in a tropical habitat. *The Journal*
236 *of the Acoustical Society of America* **131**, 582-592.

237 **Johnson, M., Aguilar de Soto, N. and Madsen, P. T.** (2009). Studying the behaviour and sensory
238 ecology of marine mammals using acoustic recording tags: a review. *Marine Ecology-Progress Series* **395**,
239 55-73.

240 **Johnson, M., Madsen, P. T., Zimmer, W. M. X., de Soto, N. A. and Tyack, P. L.** (2006). Foraging
241 Blainville's beaked whales (*Mesoplodon densirostris*) produce distinct click types matched to different
242 phases of echolocation. *Journal of Experimental Biology* **209**, 5038-5050.

- 243 **Johnson, M. and Tyack, P. L.** (2003). A digital acoustic recording tag for measuring the response
244 of wild marine mammals to sound. *IEEE Journal of Oceanic Engineering* **28**, 3-12.
- 245 **Madsen, P. T., de Soto, N. A., Arranz, P. and Johnson, M.** (2013). Echolocation in Blainville's
246 beaked whales (*Mesoplodon densirostris*). *Journal of Comparative Physiology a-Neuroethology Sensory*
247 *Neural and Behavioral Physiology* **199**, 451-469.
- 248 **Madsen, P. T., Kerr, I. and Payne, R.** (2004). Echolocation clicks of two free-ranging, oceanic
249 delphinids with different food preferences: false killer whales *Pseudorca crassidens* and Risso's dolphins
250 *Grampus griseus*. *Journal of Experimental Biology* **207**, 1811-1823.
- 251 **Marques, T. A., Thomas, L., Martin, S. W., Mellinger, D. K., Ward, J. A., Moretti, D. J., Harris, D.**
252 **and Tyack, P. L.** (2013). Estimating animal population density using passive acoustics. *Biological Reviews*
253 **88**, 287-309.
- 254 **Oleson, E. M., Calambokidis, J., Burgess, W. C., McDonald, M. A., LeDuc, C. A. and Hildebrand,**
255 **J. A.** (2007). Behavioral context of call production by eastern North Pacific blue whales. *Marine Ecology-*
256 *Progress Series* **330**, 269-284.
- 257 **Oliveira, C., Wahlberg, M., Johnson, M., Miller, P. J. O. and Madsen, P. T.** (2013). The function
258 of male sperm whale slow clicks in a high latitude habitat: Communication, echolocation, or prey
259 debilitation? *The Journal of the Acoustical Society of America* **133**, 3135-3144.
- 260 **Parks, S. E., Johnson, M., Nowacek, D. and Tyack, P. L.** (2011). Individual right whales call louder
261 in increased environmental noise. *Biology Letters* **7**, 33-35.
- 262 **Soule, D. C. and Wilcock, W. S. D.** (2013). Fin whale tracks recorded by a seismic network on the
263 Juan de Fuca Ridge, Northeast Pacific Ocean. *Journal of the Acoustical Society of America* **133**, 1751-
264 1761.
- 265 **Southall, B. L., Moretti, D., Abraham, B., Calambokidis, J. and Tyack, P.** (2012). Marine mammal
266 behavioral response studies in Southern California: advances in technology and experimental methods. .
267 *Marine Technology Society Journal* **46**, 46-59.
- 268 **Stanistreet, J. E., Risch, D. and Van Parijs, S. M.** (2013). Passive acoustic tracking of singing
269 humpback whales (*Megaptera novaeangliae*) on a Northwest Atlantic feeding ground. *Plos One* **8**,
270 e61263.
- 271 **Watkins, W. A., Tyack, P., Moore, K. E. and Bird, J. E.** (1987). The 20-Hz signals of finback
272 whales (*Balaenoptera physalus*). *The Journal of the Acoustical Society of America* **82**, 1901-1912.
- 273 **Weirathmueller, M. J., Wilcock, W. S. D. and Soule, D. C.** (2013). Source levels of fin whale 20
274 Hz pulses measured in the Northeast Pacific Ocean. *Journal of the Acoustical Society of America* **133**,
275 741-749.
- 276 **Wilcock, W. S. D.** (2012). Tracking fin whales in the northeast Pacific Ocean with a seafloor
277 seismic network. *Journal of the Acoustical Society of America* **132**, 2408-2419.

278

Figure 1A

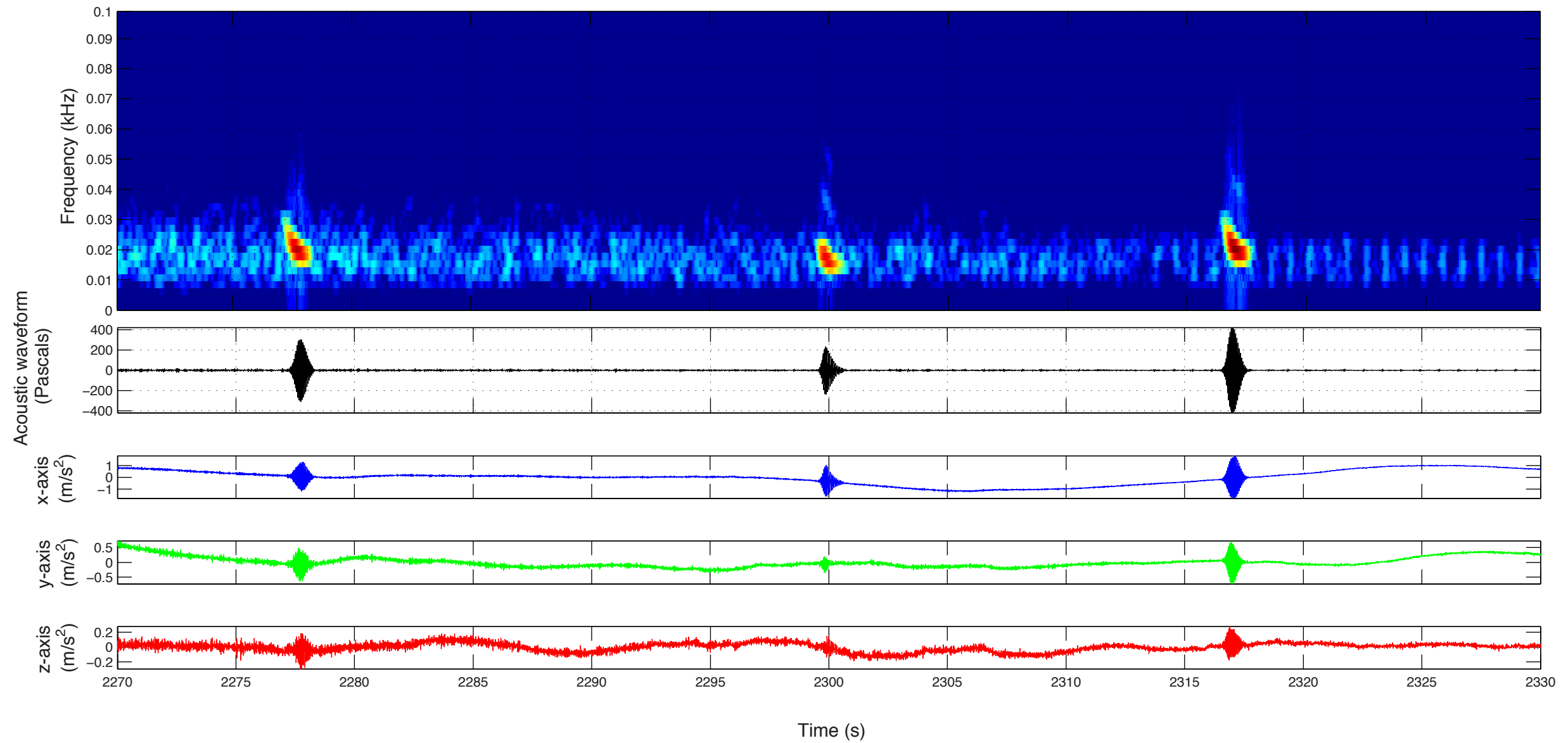


Figure 1B

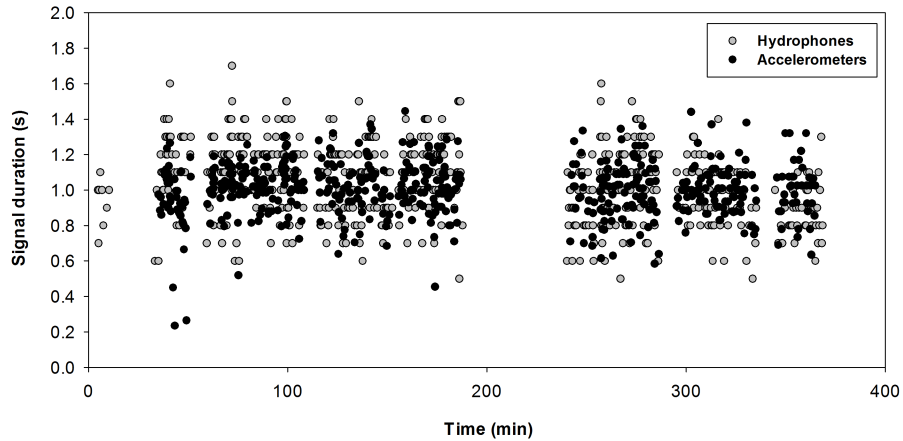
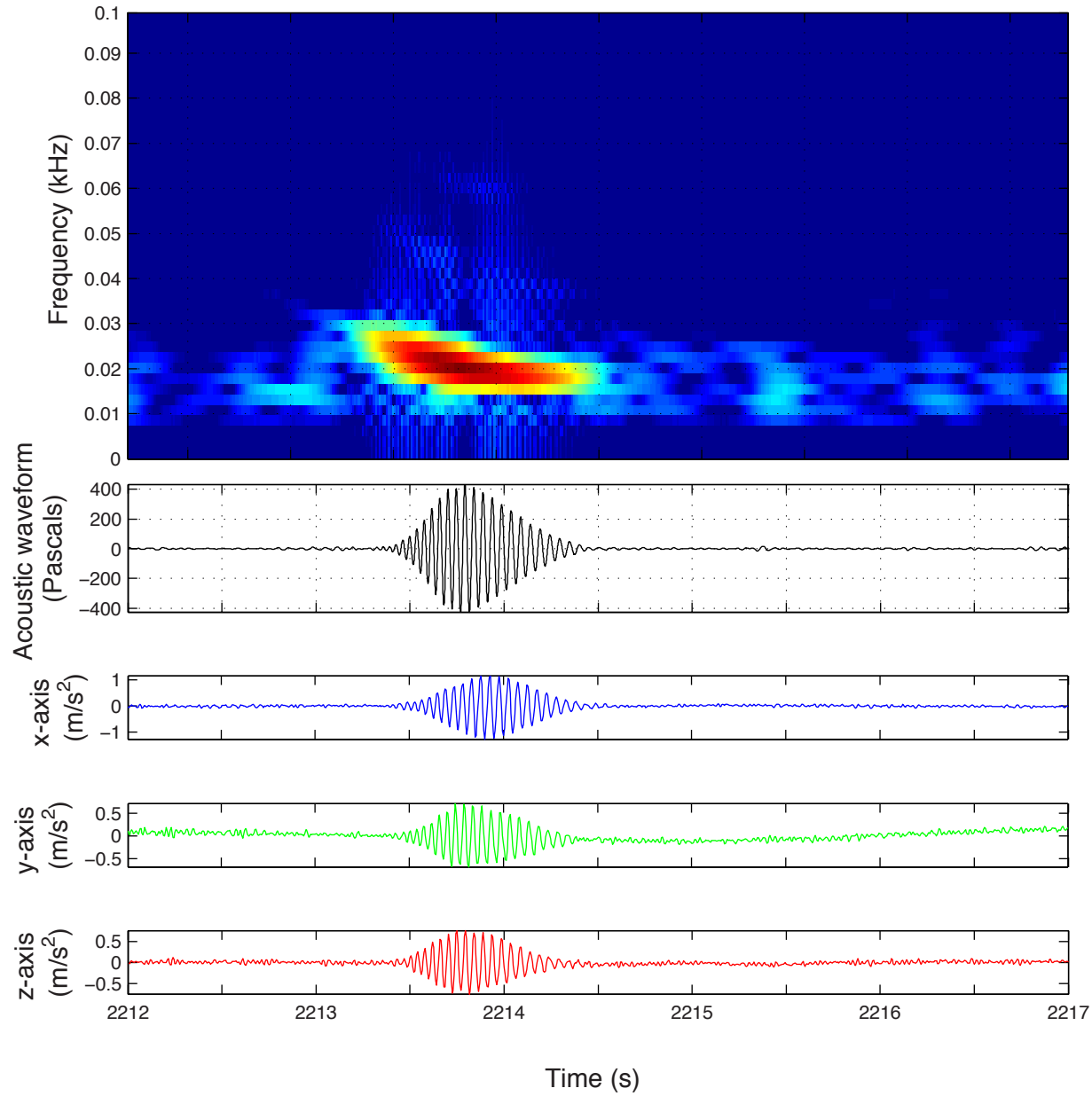


Figure 2

A



B

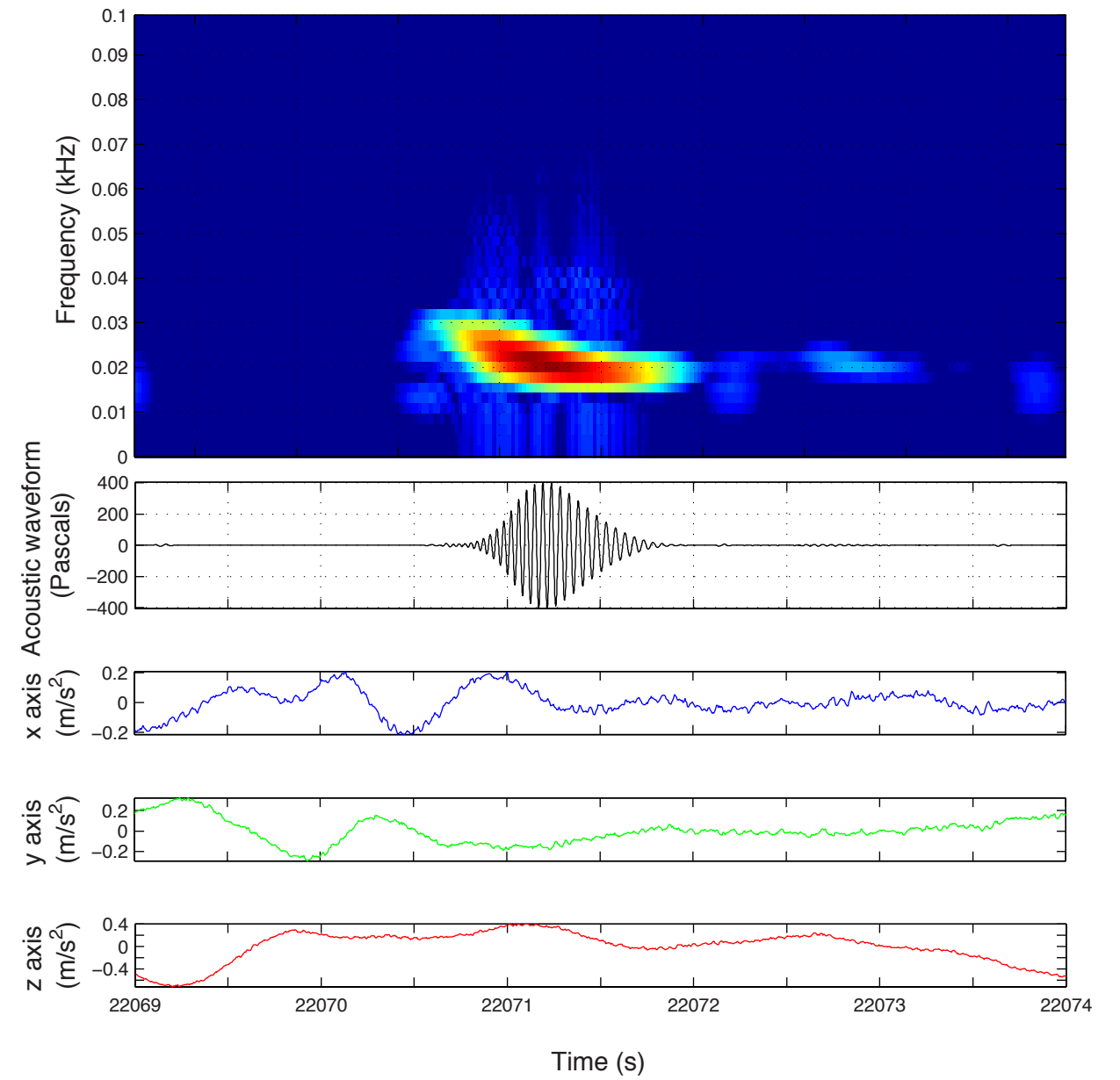
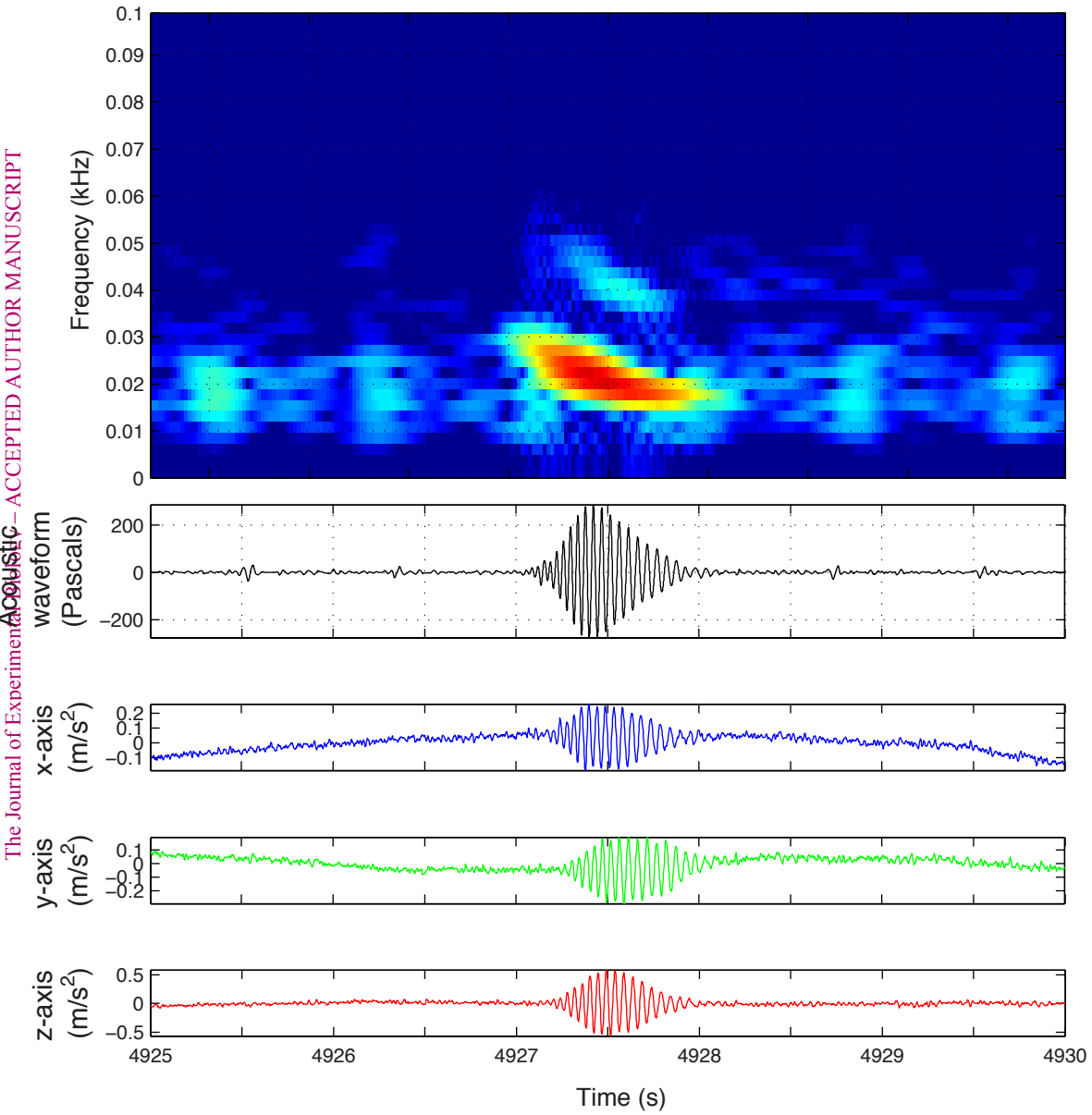


Figure 2

C



D

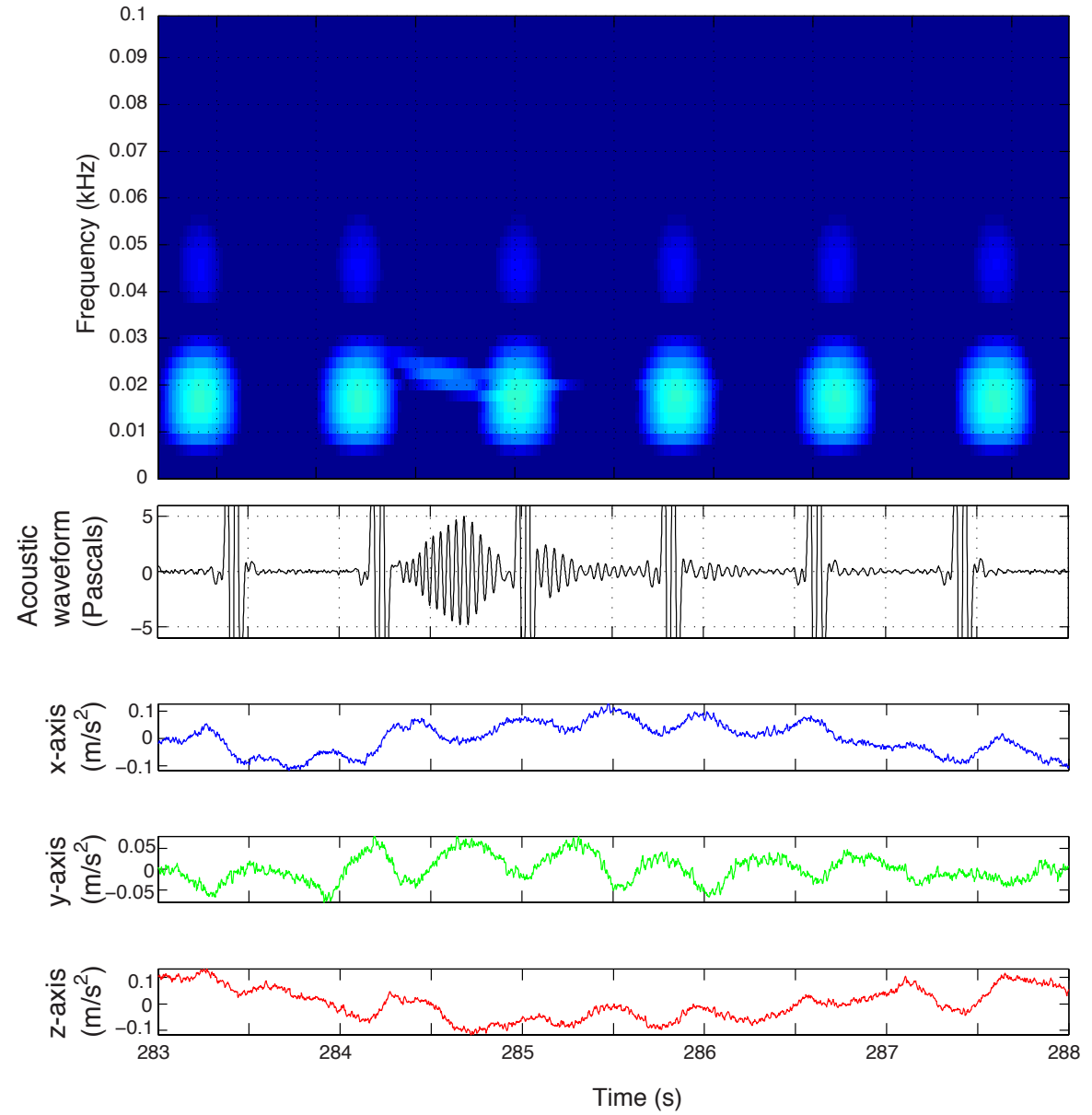


Figure 3

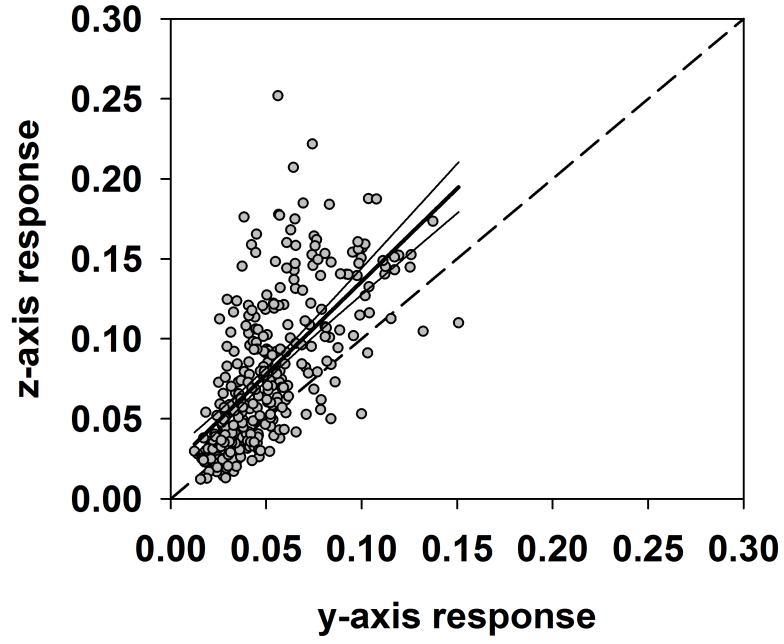
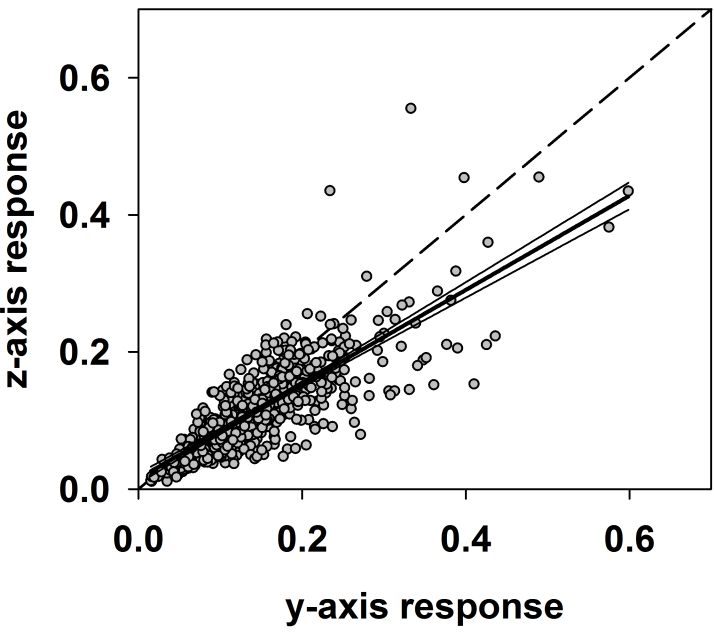
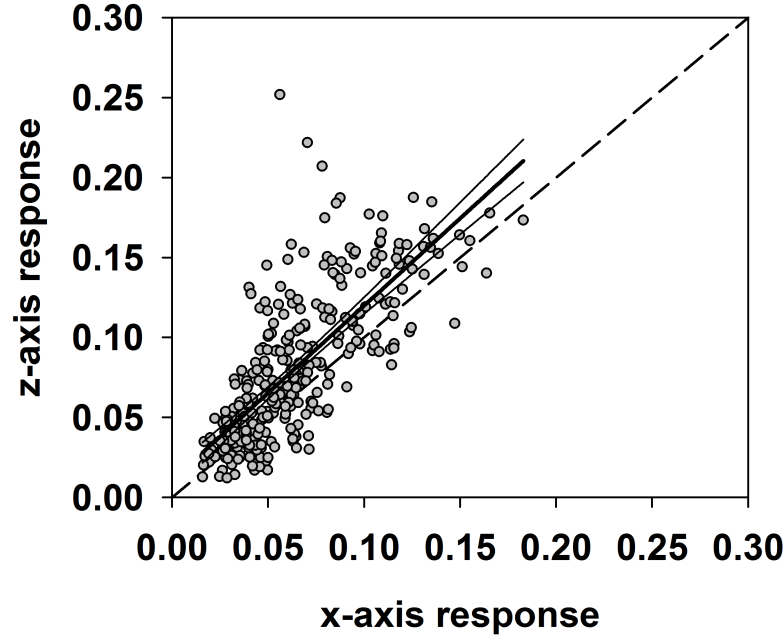
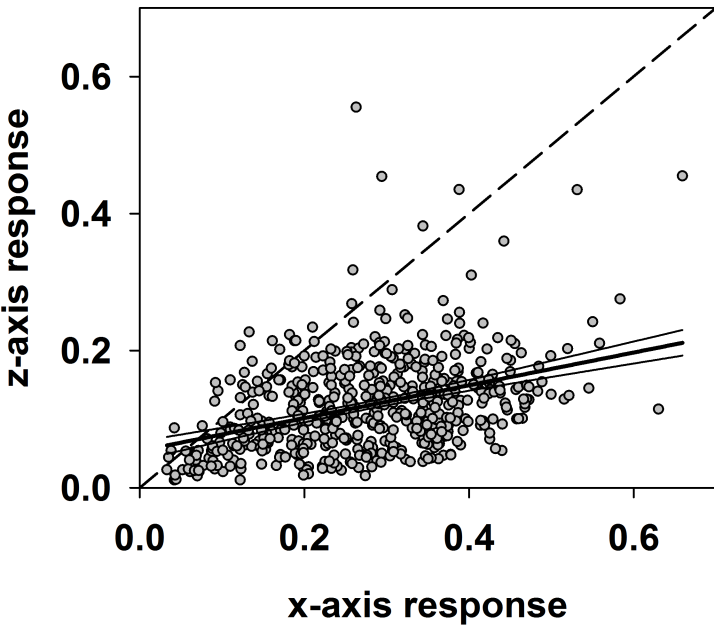
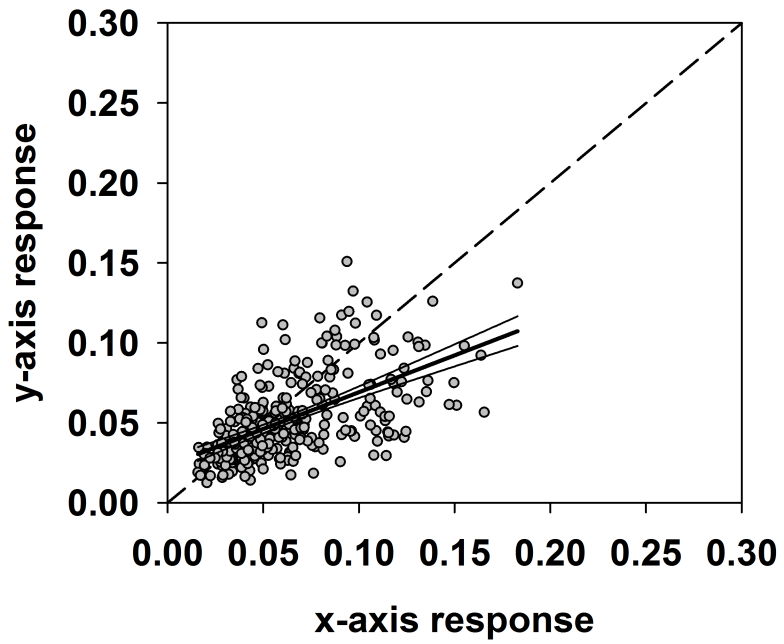
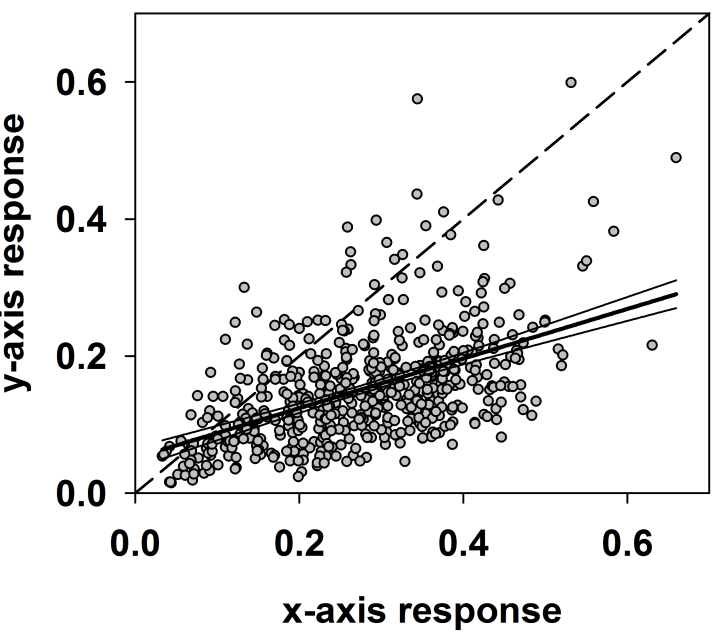


Figure 4

