

1 **Title Page**

2 **Title:**

3 **Prognostic Significance of Mesothelin Expression in Colorectal Cancer Disclosed by**

4 **Area-Specific Four-Point Tissue Microarrays**

5

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35 Abstract

36 Mesothelin (MSLN) is a cell-surface glycoprotein present in many cancer types. Its
37 expression is generally associated with an unfavorable prognosis. This study examined the
38 prognostic significance of MSLN expression in different areas of individual colorectal cancers
39 (CRCs) using tissue microarrays (TMAs) by enrolling 314 patients with stage II (T3-T4, N0, M0)
40 CRCs. Using formalin-fixed paraffin-embedded tissue blocks from patients, TMA blocks were
41 constructed. Tissue core specimens were obtained from submucosal invasive front [*Fr-sm*],
42 subserosal invasive front [*Fr-ss*], central area [*Ce*], and rolled edge [*Ro*] of each tumor. Using these
43 four-point TMA sets, MSLN expression was immunohistochemically surveyed. The area-specific
44 prognostic significance of MSLN expression was evaluated. A deep-learning convolutional neural
45 network algorithm was used for imaging analysis and evaluating our judgment's objectivity. MSLN
46 staining ratio was positively correlated between the manual and machine-learning analyses ($r =$
47 0.71). The correlation coefficient between *Ro* and *Ce*, *Ro* and *Fr-sm*, and *Ro* and *Fr-ss* was $r = 0.63$,
48 $r = 0.54$, and $r = 0.61$, respectively. Disease-specific survival curves for the MSLN-positive and
49 MSLN-negative groups in *Fr-sm*, *Fr-ss*, and *Ro* were significantly different [five-year survival rates:
50 88.1% and 95.5% ($P = 0.024$), 85.0 and 96.2% ($P = 0.0087$), 87.8 and 95.5% ($P = 0.051$), and 77.9
51 and 95.8% ($P = 0.046$) for *Fr-sm*, *Fr-ss*, *Ce*, and *Ro*, respectively]. The analysis performed using
52 area-specific four-point TMAs clearly demonstrated that MSLN expression in stage II CRC was
53 relatively homogeneous within tumors. Additionally, high MSLN expression showed or tended to

54 show unfavorable prognostic significance regardless of the tumor area.

55

56 **Keywords:** mesothelin, colorectal cancer, tissue microarray, immunohistochemistry, artificial

57 intelligence, deep learning

58

59 **Abbreviation list**

60 CI, Confidence interval; DSS, Disease-specific survival; HR, Hazard ratio; ROC, Receiver operating

61 characteristic; TMA, Tissue microarray; EMT, Epithelial mesenchymal transition

62 ***Introduction***

63 Colorectal cancer (CRC) cells emerge from the epithelial layer, and they proliferate and
64 form a tumor mass, sequentially infiltrating into the submucosa, muscularis propria, and subserosa
65 (Figure 1). The biological attitude of these cells gradually worsens with cancer invasion, and
66 findings at the cancer's invasive front are strongly correlated with its malignancy potential [1-4].
67 However, superficial cancer cells are believed to retain their original characteristics at the beginning
68 of tumor formation. Degeneration, apoptosis, and ulceration reduce this type of cancer cells. Of note,
69 the characteristics of cancer development's early phase are likely to remain at the tumor's rolled
70 edge. While this area is suitable for preoperative pathological examinations, its morphology is
71 ineffectual at evaluating the biological attitude, due to a relatively monotonous histology, which may
72 be diverted from the features at the invasive front closely reflecting cancer aggressiveness [5].

73 Mesothelin (MSLN) is a glycoprotein that is highly expressed in malignant tumors, such as
74 malignant mesothelioma, pancreatic cancer, ovarian cancer, and lung adenocarcinoma [6, 7]. To date,
75 the biological functions and molecular mechanisms of MSLN have not been clarified [8]. However,
76 it has been shown in several reports that its expression is a promising parameter associated with poor
77 prognosis or resistance to chemotherapy [9]. We previously examined immunohistochemical staining
78 for the significance of MSLN expression in 530 cases of stage II/III CRC. Our study showed that its
79 expression could be an independent prognostic factor [10]. During the evaluation of the
80 immunostained sections, we observed a uniform immunoexpression of the MSLN-positive tumors

81 from the surface to the cancer's invasive front. Therefore, we concluded that overexpression of
82 MSLN might represent an early phase change in cancer development. Such observation might be of
83 high significance since MSLN could be preoperatively evaluated from biopsy specimens obtained
84 from the tumor surface, therefore clarifying the patient's prognosis.

85 Tissue microarray (TMA) is a technique for high-throughput evaluation of protein expression. It
86 uses a large number of archival tissue blocks collected for routine histopathological diagnosis [11].
87 We previously created a TMA by hollowing out the core from the submucosal invasive front,
88 subserosal invasive front, central area, and the rolled edge of the tumor in primary T3 CRC tumors.
89 Additionally, the area-specific significance of LN-5 γ 2 expression was evaluated by
90 immunohistochemistry [12].

91 In recent years, applying machine learning on histopathological images has shown great
92 potential for the objective and standardized analysis of prognostic features in various types of cancer
93 [13-18]. We have previously shown that, using HALO[®] image analysis, we could quantitatively
94 assess various prognostic features of the tumor microenvironment of stage II CRC [15].

95 In the present study, we investigated the clinical significance of MSLN expression in four
96 different specific areas of 314 patients with stage II CRC who had undergone radical resection. We
97 firstly assessed this by manual evaluation of the TMA slides. Subsequently, we applied a
98 machine-learning approach using a HALO-AI[™] deep-learning classifier to automatically analyze the
99 images and evaluate the objectivity of our judgment.

100

101 ***Materials and Methods***102 **Patient characteristics**

103 First, the medical records of 314 patients with pathological stage II CRC were reexamined.

104 Curatively resected and histologically proven stage II (T3-T4, N0, M0) CRCs were eligible [19].

105 Specifically, patients enrolled in the study underwent curative resection for CRC between January

106 1997 and December 2005 at our institution. These 314 patients were selected almost consecutively.

107 The exclusion criteria were as follows: insufficient data regarding the outcome and histopathology or

108 an insufficient volume of archival paraffin-embedded tissue blocks for TMA construction. None of

109 the patients received preoperative chemotherapy or radiotherapy. Venous invasion and lymphatic

110 invasion were recorded as negative or positive. Additionally, tumor budding was evaluated as per the

111 Japanese Society for Cancer of the Colon and Rectum guidelines (2014) for the treatment of CRC

112 [20]. During the follow-up, we observed that 22 (7.0%) patients died of CRC, with a median interval

113 of 42.2 months (range: 6.0–88.4 months) from surgery to death. Additionally, 18 (5.7%) patients

114 died of other diseases, with a median interval of 50.4 months (range: 4.9–121.6 months) after

115 surgical treatment. The median follow-up period of the 274 survivors was 66.4 months (range: 35.7–

116 133.3 months). With regard to adjuvant chemotherapies, 29 (9.2%) patients received adjuvant

117 chemotherapy with 5-FU regimens. Table 1 shows the patient characteristics and CRCs'

118 clinicopathological features. This study was performed following approval by the Ethics Committee

119 of the National Defense Medical College Hospital, Tokorozawa, Japan. Written informed consent for
120 the experimental use of tissue samples was provided by each patient based on institutional
121 regulations.

122

123 **TMA construction and immunohistochemical staining**

124 TMA was constructed as previously described [12, 21]. In brief, two regions of the
125 invasive front [submucosa (*Fr-sm*) and subserosa (*Fr-ss*)] and two regions of noninvasive frontal
126 lesions [central area (*Ce*) or superficial tumor area (rolled edge, *Ro*)] with viable cancer cells were
127 identified microscopically by referring to a whole section stained by hematoxylin and eosin (H&E).
128 In order to construct a TMA block, a single tissue core (diameter: 2.0 mm) was taken from each
129 region of formalin-fixed paraffin-embedded CRC tissue blocks (“donor” blocks) using a Tissue
130 Microarrayer (Beecher Instruments, Silver Spring, MD, USA). Cores were then transferred to the
131 “recipient” blocks (TMA blocks). Subsequently, the latter were cut to a 4 μm thickness. The obtained
132 sections were mounted on silane-coated glass slides, deparaffinized, and rehydrated in a graded
133 ethanol series. Antibody retrieval was heated for 15 min at 121°C in an autoclave at pH 9.0 using a
134 commercially available reagent kit (415211; Nichirei Bioscience, Tokyo, Japan). Sections were
135 incubated with a mouse monoclonal antibody against MSLN (clone 5B2 diluted 1 : 30; Novocastra,
136 Newcastle upon Tyne, UK) at a 1 : 30 dilution and reacted with a dextran polymer reagent combined
137 with secondary antibodies and peroxidase (EnVision+ System HRP; Dako, Glostrup, Denmark). The

138 anti-MSLN antibody used was raised against recombinant protein corresponding to the
139 membrane-bound form of the MSLN molecule. One TMA block contained a maximum of 55 tissue
140 cores and 24 TMA sets. A total of 1,256 core specimens were prepared for the present study. In our
141 hospital, surgical resected specimens were fixed with 10% formalin neutral buffer solution for 1 to 4
142 days.

143

144 **Manual pathological evaluation of MSLN expression**

145 The TMA slides were independently evaluated by two observers (T. Shiraishi and E.
146 Shinto). It is worth noting that the clinical outcomes were unknown to the observers. Of all cancer
147 cells included in each tissue core, a percentage of immunopositive cancer cells were evaluated. The
148 average of the two observers' scores was used as the final staining rate (Figure 2A, 2B, and 2C). In
149 addition, a pathologist (H. Tsuda) evaluated the TMA slides and confirmed the reproducibility of the
150 staining rate. Area-specific cutoffs were determined on the basis of receiver operating characteristic
151 (ROC) curve analysis of death from CRC recurrence within five postoperative years. Upon the
152 creation of the ROC curve, the area under the curve (AUC) of the portion below the curve of the
153 graph was also calculated. The AUC had values ranging from 0 to 1. The closer the value was to 1,
154 the higher the discriminability. With random discriminability, $AUC = 0.5$. The degree of
155 interobserver agreement for the two observers was measured using a correlation analysis and
156 generalized κ -test. In line with the criteria of Landis and Koch [22], κ -values were assigned a

157 strength-of-agreement score of poor (<0.00), slight (0.00 – 0.20), fair (0.21 – 0.40), moderate (0.41 –
158 0.60), substantial (0.61 – 0.80), and near perfect (0.81 – 1.00). The correlation coefficient (r) for the
159 strength-of-agreement was assigned as follows: no correlation ($|r| < 0.3$), weak correlation ($0.3 \leq$
160 $|r| < 0.5$), moderate correlation ($0.5 \leq |r| < 0.7$), and strong correlation ($0.7 \leq |r|$).

161

162 **Pathological evaluation of MSLN expression using machine learning**

163 A total of 24 whole-slide TMA slides were captured with a $20\times$ objective using a Leica
164 Aperio AT2 (Leica Microsystems, Wetzlar, Germany). For analysis, images in .svs file format were
165 uploaded into HALO[®] Next-Generation Image Analysis software (version 2.3.2089.34; Indica Labs,
166 Inc., Albuquerque, NM, USA). We utilized the software's TMA add-on for the segmentation of the
167 TMA cores. The HALO-AI[™] deep-learning classifier add-on was used to train a classifier to segment
168 tumors from nontumor regions. Specifically, the classifier was trained on the basis of the manual
169 annotation of tumor and nontumor regions on 10 cores. The resolution was set to $0.75 \mu\text{m}/\text{px}$, the
170 minimum object size was set to $20 \mu\text{m}^2$ and the probability threshold was set to 70%. Then, the
171 trained deep-learning algorithm was applied across all TMA cores. Subsequently, the Cytonuclear
172 IHC (v.1.6) module was applied to classify MSLN-positive cells within the detected tumor regions.
173 Cell segmentation was performed based on the nuclear contrast threshold (0.515), minimum nuclear
174 OD (0.095), nuclear size ($9.8, 571.7$), nuclear segmentation aggressiveness (1) and minimum
175 cytoplasm radius (5). Cells were classified as MSLN-positive based on the cytoplasmic MSLN

176 positivity (stain minimum OD = 0.090, 0.287, 0.445; Figure 2D, 2E, and 2F).

177

178 **Detection of mismatch repair deficiency**

179 In the present study, we retrospectively verified the mismatch repair (MMR) protein status using

180 immunohistochemical staining of MLH1 (Clone G168-15; BD Biosciences, San Jose, CA, USA) and

181 MSH2 (FE11; Invitrogen, Carlsbad, CA, USA). Immunohistochemistry was performed as previously

182 described [12]. Cancer cells were considered negative for protein expression only if they lacked

183 staining in a sample in which healthy colonocytes and stroma cells were stained. The normal colonic

184 crypt epithelium adjoining the tumor served as the internal control. When expressed, both MLH1

185 and MSH2 proteins positively stained the nuclei [23]. In particular, MMR protein status should be

186 assessed via genetic testing based on the World Health Organization criteria. However, a previous

187 study demonstrated that immunohistochemistry using MLH1 and MSH2 could accurately

188 discriminate between MMR-deficient and MMR-proficient tumors. Marcus et al. reported that over

189 90% of MMR-deficient cases were predicted to have a mismatch repair gene defect using MLH1 and

190 MSH2, and that all MSS cancers had intact staining with both antibodies [24]. Cancers negative for

191 MLH1 or MSH2 were considered to have a DNA mismatch repair deficiency.

192

193

194

195 **Statistical analysis**

196 Correlations of MSLN expression scores and clinicopathological variables were calculated
197 and tested for significance with χ^2 tests. Comparisons of continuous variables' differences with a
198 normal distribution were performed using unpaired *t*-tests. Disease-specific survival (DSS) was
199 defined as the interval between surgery and death from CRC recurrence. The word "recurrence" was
200 used in this report to denote metachronous metastasis at the same site or in a different location. The
201 Kaplan–Meier product limit method was used to calculate the survival probabilities. Additionally,
202 comparisons were made using the log-rank test and the Akaike Information Criterion (AIC) was
203 calculated [25]. Covariates with trend-significant effects ($P < 0.1$) on univariate analysis were
204 selected for multivariate analysis of the survival factors. The significance of the association of
205 clinical and pathological variables and postoperative survival was tested by Cox's proportional
206 hazards regression. Specifically, this was used to determine both the hazard ratio (HR) and the 95%
207 confidence interval. All statistical analyses were performed using JMP Pro 13.1.0 software (SAS
208 Institute, Cary, NC, USA). We considered *P*-values of <0.05 as statistically significant.

209

210 **Results**

211 **Correlations of immunohistochemical evaluation**

212 Cancer cells' extent of MSLN immunostaining was independently evaluated both by two
213 observers and by means of machine learning. An evaluation was performed for a total of 24 TMA

214 sets, comprising 1,256 core specimens. Strong positive correlations were found for the staining ratio
215 of MSLN both between the two observers and between the manual and the machine-learning
216 evaluation, with a correlation coefficient of $r = 0.88$ and $r = 0.71$, respectively (Figure 3A and
217 3B). Furthermore, it was confirmed that there was strong positive correlation between the average of
218 the two observer's scores and that of the pathologist (H. Tsuda) ($r = 0.78$). The average value of the
219 two observers was used to compare the staining ratio of MSLN in *Ro* and in three other different
220 sites. The correlation coefficient between *Ro* and *Ce* was $r = 0.63$, between *Ro* and *Fr-sm* was $r =$
221 0.54 , and between *Ro* and *Fr-ss* was $r = 0.61$, all of which were correlated (Figure 3C, 3D, and 3E).

222

223 **Determination of the area-specific cutoff value for manual assessment results**

224 The cutoff score was set at the respective site on the basis of a ROC curve analysis of
225 death from CRC recurrence within five postoperative years. The cutoff values were 30% (AUC =
226 0.55) in *Fr-sm*, 25% (AUC = 0.58) in *Fr-ss*, 30% (AUC = 0.55) in *Ce*, and 45% (AUC = 0.59) in *Ro*.

227

228 **Prognostic implications of MSLN status**

229 **< *Fr-sm* >**

230 The interobserver agreement for the evaluation of MSLN immunostaining using a 30%
231 cutoff was substantial (concordance rate: 94.4%, $\kappa = 0.73$). Cancers in the tissue cores were
232 considered as MSLN-positive in 35 out of the 314 patients (11.1%) and MSLN-negative in 279

233 patients (88.9%). The 5-year DSS rates in patients with stage II CRC with MSLN-positive (88.1%)
234 and MSLN-negative (95.5%) tumors were found to be significantly different ($P = 0.024$, AIC =
235 231) (Figure 4A). Table 2 shows the correlations of MSLN immunoreactivity and
236 clinicopathological characteristics. Univariate analyses revealed a significant correlation between
237 cancer death risk and depth of tumor ($P = 0.011$), tumor budding ($P = 0.012$), and MSLN positivity
238 ($P = 0.048$). However, the Cox multivariate proportional hazards model analysis, which included
239 variables with $P < 0.1$, showed an absence of an independent association between poor DSS and
240 MSLN positivity ($P = 0.22$, HR = 0.53; Table 2).

241

242 < *Fr-ss* >

243 Using a 25% cutoff, the interobserver agreement for the evaluation of MSLN
244 immunostaining was substantial (concordance rate: 90.8%, $\kappa = 0.64$). Among 314 patients, cancer
245 in 41 (13.1%) was considered MSLN-positive and that in 273 patients (86.9%) was MSLN-negative.
246 The 5-year DSS rates in patients with stage II CRC with MSLN-positive (85.0%) and
247 MSLN-negative (96.2%) tumors were found to be significantly different ($P = 0.0087$, AIC = 229)
248 (Figure 4B). There was a significant correlation between cancer death risk and MSLN positivity (P
249 = 0.022) as seen by univariate analyses. Conversely, the Cox multivariate proportional hazards
250 model analysis showed an absence of an independent association between poor DSS and MSLN
251 positivity ($P = 0.12$, HR = 0.46; Table 2).

252

253 < *Ce* >

254 The interobserver agreement for the evaluation of MSLN immunostaining using a 30%
255 cutoff was near perfect (concordance rate: 97.4%, $\kappa = 0.87$). MSLN-positive cancer cases were 22
256 out of the 314 (7.0%) and MSLN-negative cases were 292 (93.0%). The 5-year DSS rates in patients
257 with stage II CRC with MSLN-positive (87.8%) and MSLN-negative (95.5%) tumors were different
258 ($P = 0.051$, AIC = 232) (Figure 4C). A marginally significant correlation was obtained between
259 cancer death risk and MSLN positivity ($P = 0.087$) in univariate analyses. However, an absence of
260 an independent association between poor DSS and MSLN positivity ($P = 0.20$, HR = 0.50; Table 2)
261 was observed in the Cox multivariate proportional hazards model analysis.

262

263 < *Ro* >

264 The interobserver agreement for the evaluation of MSLN immunostaining using a 45%
265 cutoff was substantial (concordance rate: 96.5%, $\kappa = 0.74$). Cancers were considered as
266 MSLN-positive and MSLN-negative in 33 (10.5%) and 281 patients (89.5%), respectively, out of
267 314 patients. In patients with stage II CRC with MSLN-positive (77.9%) and MSLN-negative
268 (95.8%) tumors, the 5-year DSS rates were significantly different ($P = 0.046$, AIC = 231) (Figure
269 4D). A marginally significant correlation between cancer death risk and MSLN positivity was
270 revealed in univariate analyses ($P = 0.089$), but the Cox multivariate proportional hazards model

271 analysis showed that MSLN positivity remained as the only variable independently associated with
272 poor DSS ($P = 0.049$, HR = 0.28; Table 2).

273

274 ***Discussion***

275 It has been suggested in recent reports that high MSLN expression in pancreatic, ovarian, and
276 gastric cancers may be a poor prognostic factor [9, 26-29]. In these studies, examination of MSLN
277 expression was performed for various carcinomas using whole tissue sections. Of note, in the present
278 study, the degree of MSLN expression was evaluated by immunohistochemistry using TMA of stage
279 II CRC. In particular, we focused on MSLN expression's clinical significance at different sites of the
280 cancer tissue. As a result, our study demonstrates that cases with high MSLN expression at four sites
281 (i.e., *Fr-sm*, *Fr-ss*, *Ce*, and *Ro*) had poor prognosis. Importantly, MSLN expression can reflect
282 prognosis not only in the invasive front of the tumor (i.e., *Fr-sm* and *Fr-ss*), but also in the tumor
283 surface area (i.e., *Ro*). Additionally, MSLN expression in each portion is highly correlated. These
284 results suggest that the grade of cancer aggressiveness can be evaluated in preoperative endoscopic
285 biopsy tissues. Indeed, T classification and tumor budding are strong prognostic factors; however,
286 these indicators cannot be accurately assessed before surgical treatment. This finding is worth noting
287 when considering its clinical application.

288 Currently, molecular targeted therapy is indicated for the treatment of diverse cancers. Recently
289 approved therapies include antibodies against epidermal growth factor receptors (EGFRs), such as

290 cetuximab and panitumumab, and against vascular endothelial growth factors for CRC [30-32].
291 MSLN, a cell-membrane-binding protein similar to EGFR, may become a candidate target for
292 antibody therapy. Currently, MSLN is being tested as a target of antibody-mediated pancreatic
293 cancer therapy [33]. The overexpression ratio of MSLN in CRC is lower compared with pancreatic
294 cancer; however, it is possible that, in the future, MSLN expression in CRC will be indicated for
295 antibody therapy, considering the marked impact of MSLN expression. This study suggests that the
296 expression of MSLN in CRC can be evaluated in endoscopic biopsy tissues. This finding is a key
297 implication of our study, showing that it may be possible to obtain accurate information associated
298 with the treatment strategy prior to radical resection or even when a tumor is surgically unresectable.
299 Although, the present study was conducted on patients with stage II CRC, future studies are
300 necessary to examine the similarity in MSLN expression among biopsy specimens, primary lesions,
301 and metastatic sites in stage IV CRC. Among the 33 recurrent cases in our cohort, homogeneous
302 MSLN expression was also observed ($r = 0.64$ (*Ro* vs. *Ce*), $r = 0.69$ (*Ro* vs. *Fr-sm*), $r = 0.69$ (*Ro*
303 vs. *Fr-ss*)), which may support our treatment strategy.

304 Epithelial mesenchymal transition (EMT) is known to be strongly associated with tumor
305 infiltration [34]. Previous reports suggested that MSLN may be a constituent molecule of EMT and
306 is involved in tumor progression and metastasis [35]. Indeed, MSLN has a strong correlation with
307 tumor budding, a plausible morphological phenotype of EMT [36, 37]. However, MSLN expression
308 was correlated with budding grades both in the invasive front of a tumor and in the tumor surface

309 region (data not shown). Additionally, the MSLN expression ratios were almost the same in all four
310 areas. Thus, we consider that MSLN is not a molecule that appears along with EMT promotion,
311 while it may be associated with induction into EMT. If antibody therapy to MSLN becomes a
312 treatment choice, EMT could be blocked at the upstream of the cascade, potentially leading to broad
313 suppression of invasive activities.

314 We have previously reported that MSLN expression was selected as a strong independent
315 prognostic factor in stage II/III CRC using standard sections [10]. In the present study, high MSLN
316 expression could not be estimated to be an independent poor prognostic factor at three sites, namely,
317 *Fr-sm*, *Fr-ss*, and *Ce*. The exclusive advantage of TMA is that data from many cases can be
318 efficiently obtained. Of note, a recent report showed that no difference was obtained between TMA
319 cores and whole sections in immunoexpression grades of prognostic markers [38]. However, it is
320 necessary to recognize that a narrow observation range may represent a limitation, particularly when
321 examining CRC.

322 The application of deep-learning and automated image analysis in the field of pathology is
323 continuously increasing, and has previously been characterized as the third revolution in pathology
324 [39]. By evaluating H&E-stained whole-slide sections, HALO-AI™ has been shown to be able to
325 detect different types of cells and tissues [40, 41]. In the present study, we generated a single
326 algorithm via training on the basis of the manual annotation of tumor and nontumor regions on 10
327 cores that were immunohistochemically stained for MSLN. This was subsequently applied, with

328 constant thresholds, across the entire cohort to classify tumors from nontumor regions and to
329 evaluate the MSLN positivity of the tumor cells without any human subjectivity. The correlation
330 between manual and machine-learning evaluation of the MSLN staining ratio was found to be strong.
331 Such observations suggest that the fair objectivity of human judgment was verified and that this
332 machine-learning approach is promising for the evaluation of protein expression on
333 immunohistochemically stained slides.

334 There were some limitations in the present study. First, there were potential changes in tissue
335 MSLN antigenicity associated with tissue processing (e.g., fixation, section preparation, especially
336 through TMA block construction). These changes could have resulted in insufficient detection
337 sensitivity. Second, factors such as the retrospective study design, postoperative adjuvant
338 chemotherapy, surgical procedures, and/or treatments for recurrent cases that were influenced by the
339 age or performance status may have acted as sources of bias.

340 In conclusion, in the present study, TMA analyses demonstrated that the expression of MSLN in
341 stage II CRC was relatively homogeneous within a tumor, and high MSLN expression showed or
342 tended to show unfavorable prognostic significance regardless of the tumor area. Such findings
343 suggest that this molecule could be fit for the evaluation of endoscopic biopsy tissues.
344 Chemotherapy has opened new avenues for drastically downsizing and downstaging advanced
345 cancers. However, how to predict these effects is currently under study. Our novel findings may
346 contribute to advances toward a truly customized selection of preoperative antibody treatments based

347 on MSLN expression.

348

349 **Compliance with ethical standards**

350 The experiments reported here were performed in agreement with the Declaration of Helsinki
351 principles and with the Ethics Committee of the National Defense Medical College Hospital,
352 Tokorozawa, Japan. ES is the guarantor of this work and, as such, had full access to all of the data in
353 the study and takes responsibility for the integrity of the data and the accuracy of the data analysis.

354

355 **Conflict of interest statement**

356 The authors have no conflicts of interest to declare.

357

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361

362 **Authors' contributions**

363 TS and ES conceived and designed the experiments. TS and IPN performed the experiments. IPN
364 performed the digital image analysis. TS, ES, and HT analyzed the histopathological data. TS, ES,
365 and IPN drafted the manuscript. YK, TE, PDC, YK, and HU revised the manuscript. TS finalized the
366 manuscript. All authors reviewed and approved the manuscript.

367

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503 **Figure Legends**

504

505 **Figure 1:** Illustration of time-course changes of CRC formation and sampling sites used for TMA
506 construction in the present study.

507

508 The four areas comprise the submucosal invasive front (*Fr-sm*), subserosal invasive front (*Fr-ss*),
509 central area (*Ce*), and rolled edge (*Ro*).

510

511 **Figure 2:** Characteristic microscopic appearance of MSLN expression and machine learning
512 workflow for the evaluation of MSLN in CRC tissues.

513

514 (A) MSLN-negative tissue with no stained cells. (B) Positive staining localized at the apical regions
515 of the cells at the endoluminal surface. (C) Positive staining in cytoplasmic deposits or granules. (D)
516 Raw image, (E) Tumor-to-stroma segmentation, (F) MSLN-positive cell quantification within the
517 tumor areas (tumor cells are shown in blue; tumor cells positive for MSLN are shown in yellow).
518 (Magnification: A, B, C, 200×; D, E, F, 20×). MSLN; mesothelin.

519

520 **Figure 3:** Correlations of immunohistochemical evaluation.

521

522 (A) A very strong positive correlation was found for the staining ratio of MSLN between the two
 523 observers, with a correlation coefficient of $r = 0.88$. (B) A very strong positive correlation was
 524 found for the staining ratio of MSLN between the manual and the machine-learning, with a
 525 correlation coefficient of $r = 0.71$. (C, D, E) The correlation coefficient of the staining ratio of
 526 MSLN evaluated by the manual between *Ro* and *Ce* was $r = 0.63$, between *Ro* and *Fr-sm* was $r =$
 527 0.54 , and between *Ro* and *Fr-ss* was $r = 0.61$, showing a correlation. MSLN: mesothelin.

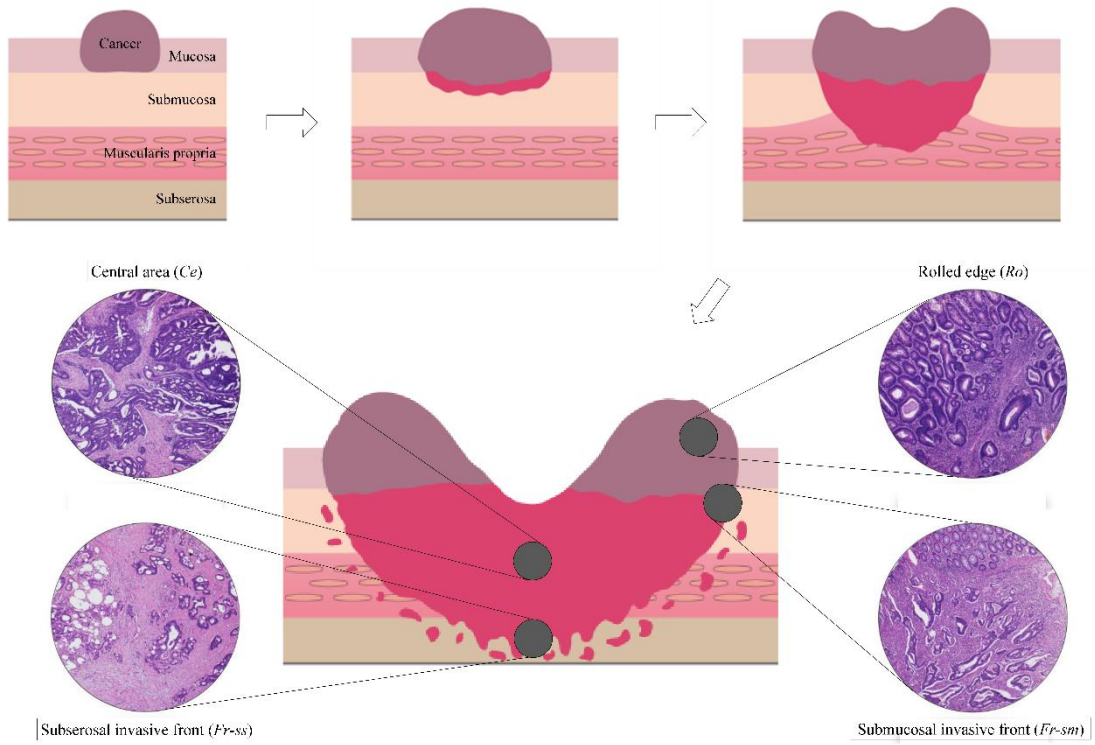
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529 **Figure 4:** MSLN status's Kaplan–Meier survival estimates according to cancer areas.

530

531 (A) MSLN-negative versus MSLN-positive tissue in the submucosal invasive front
 532 (*Fr-sm*). The difference in the 5-year DSS (88.1 versus 95.5%) was significant ($P =$
 533 0.024 , AIC = 231). (B) MSLN-negative versus MSLN-positive tissue in the
 534 subserosal invasive front (*Fr-ss*). The difference in the 5-year DSS (85.0 versus
 535 96.2%) was significant ($P = 0.0087$, AIC = 229). (C) MSLN-negative versus
 536 MSLN-positive tissue in the central area (*Ce*). The difference in the 5-year DSS
 537 (87.8 versus 95.5%) tends to be significant ($P = 0.051$, AIC = 232). (D)
 538 MSLN-negative versus MSLN-positive tissue in the rolled edge (*Ro*). The
 539 difference in the 5-year DSS (77.9 versus 95.8%) was significant ($P = 0.046$, AIC =
 540 231). MSLN: mesothelin.

Figure 1



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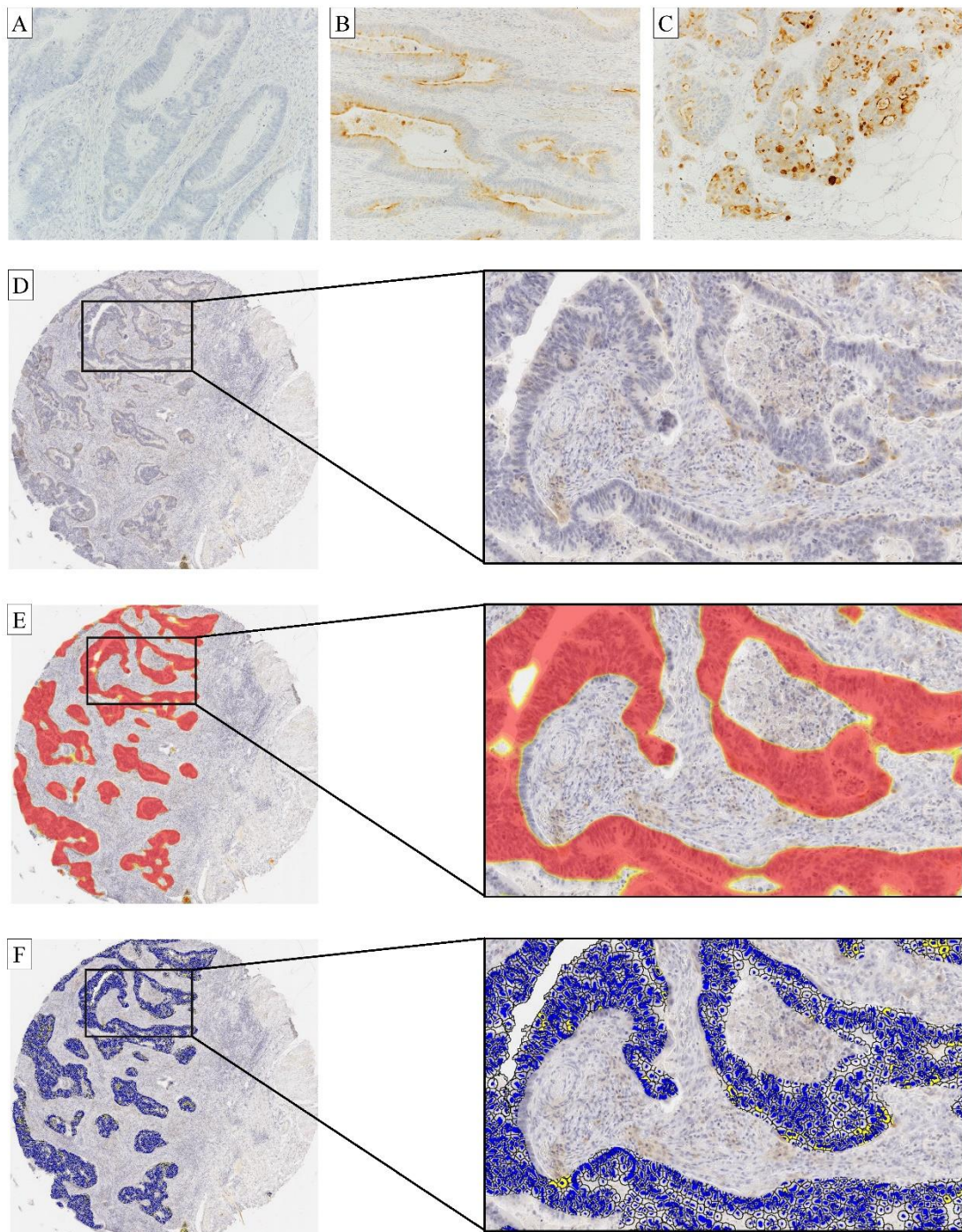
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Figure 2

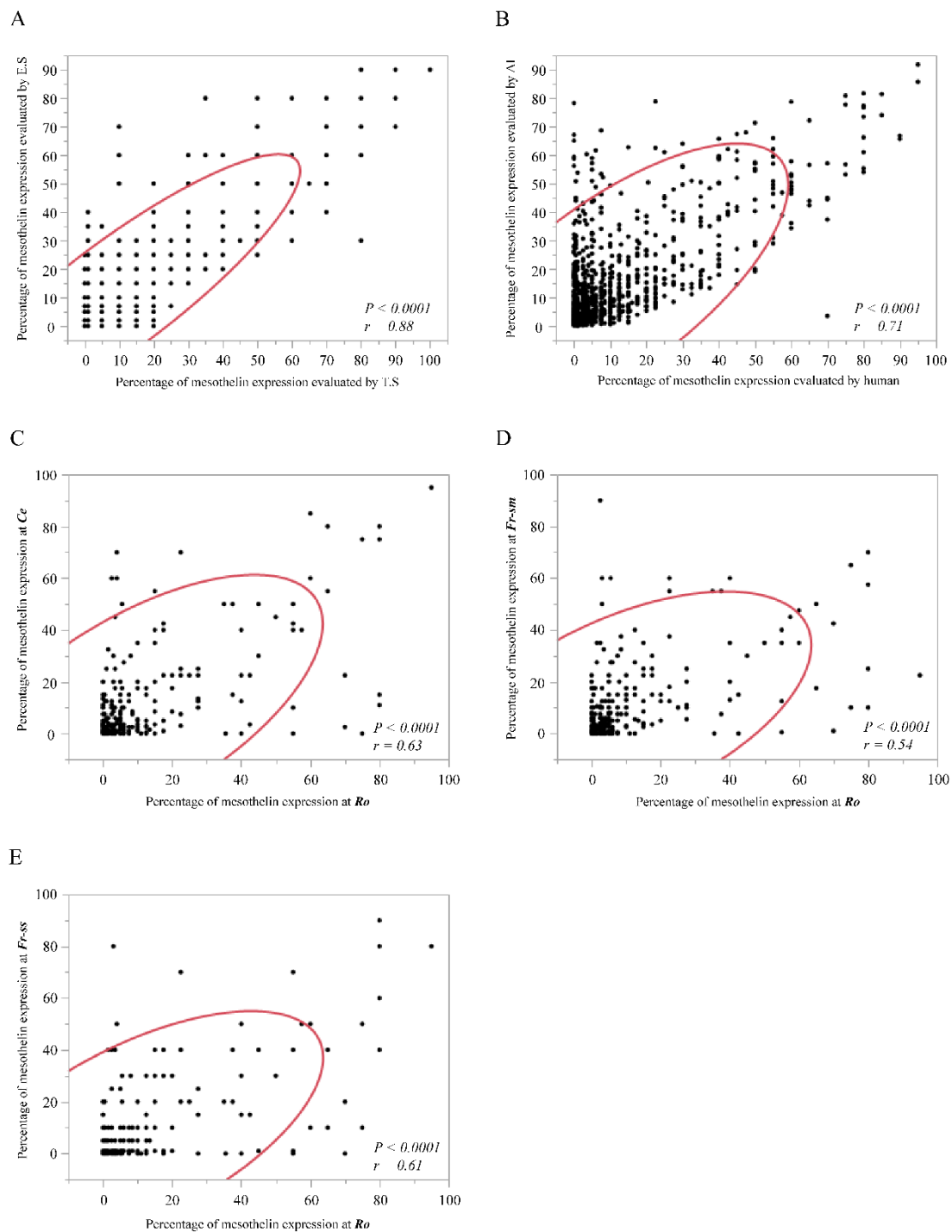


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Figure 3

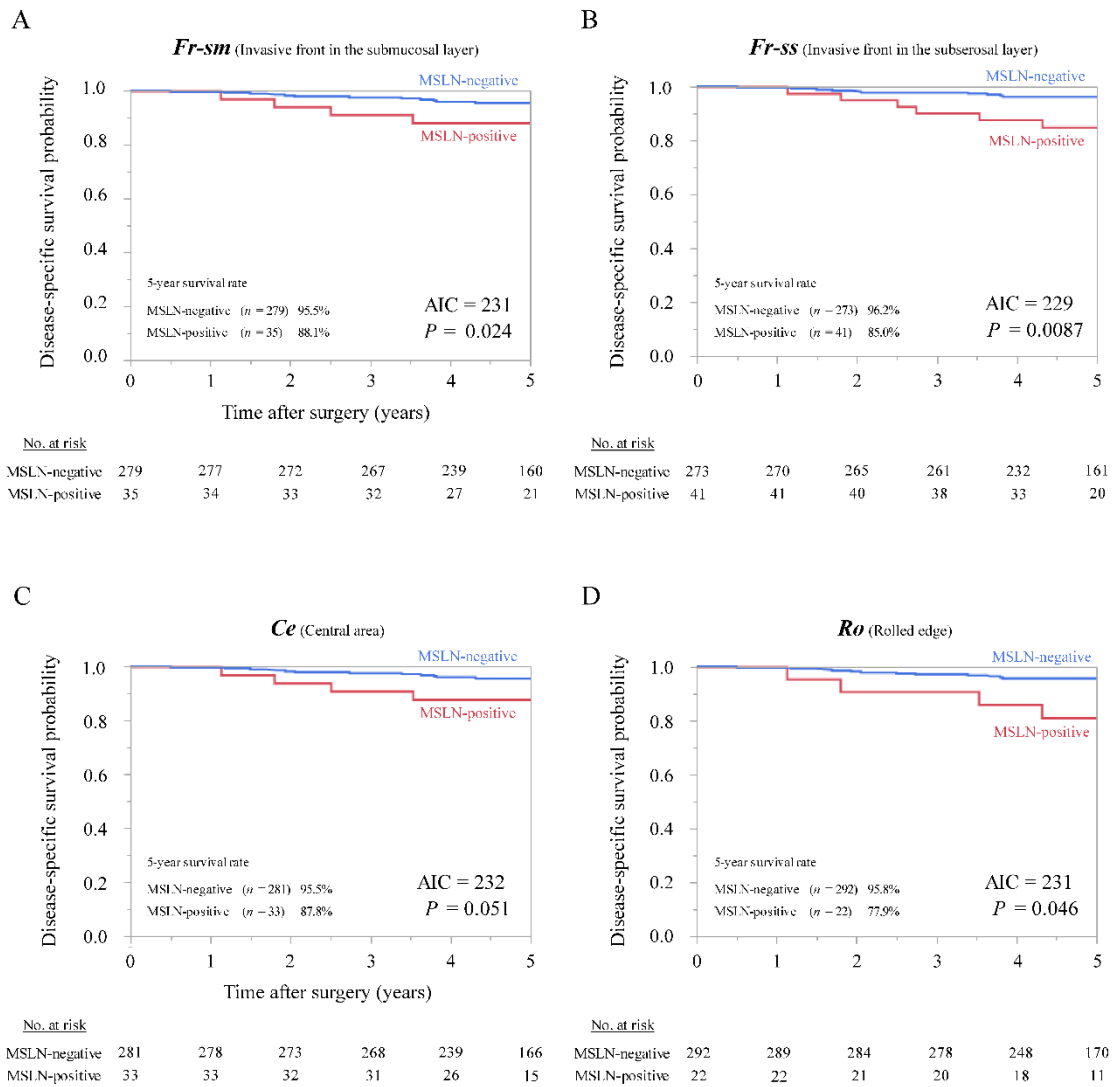


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Figure 4



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Table 1. Patients distribution and univariate analysis based on Cox's Proportional Hazards Model for disease-specific survival in Stage II colorectal cancer patients.

Variables	No. of cases	Univariate Analysis		
		Hazard Ratio	95% Confidence Interval	<i>P</i> value
Sex				
Male / Female	183 / 131	0.86	0.37 - 2.05	0.74
Age				
< 65 / ≥ 65	133 / 181	0.75	0.30 - 1.74	0.50
Location				
Right side / Left side	106 / 208	0.54	0.18 - 1.37	0.20
Histological grading *1				
G1, 2 / G3, 4	296 / 18	1.08	0.22 - 19.34	0.94
Depth of tumor *1				
T3 / T4	255 / 59	0.31	0.13 - 0.75	0.011
Venous invasion				
Negative / Positive	53 / 261	1.18	0.34 - 3.16	0.77
Lymphatic invasion				
Negative / Positive	27 / 287		Not Available *4	
Tumor budding *2				
Grade 1,2 / Grade 3	242 / 72	0.33	0.14 - 0.78	0.012
Microsatellite instability *3				
Low / High	300 / 14		Not Available *4	
Adjuvant chemotherapy				
Surgery alone / Chemotherapy	285 / 29	0.83	0.24 - 5.26	0.81

*1 TNM Classification (8th Edition, 2017)

*2 Japanese Classification of Colorectal Carcinoma (8th Edition, 2013)

*3 Microsatellite instability status was verified using immunohistochemical staining of MLH1 and MSH2

*4 All cases showing cancer-specific death were categorized as lymphatic invasion positive group and microsatellite instability low group

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TABLE 2. Univariate and multivariate analyses based on Cox's Proportional Hazards Model for cancer-specific survival according to the clinicopathological features in different areas of Stage II colorectal cancers

Variables	No. of cases	Hazard Ratio	95% Confidence Interval	P value
Univariate Analysis				
Depth of tumor				
T3 / T4	255 / 59	0.31	0.13 - 0.75	0.011
Tumor budding				
Grade 1,2 / Grade 3	242 / 72	0.33	0.14 - 0.78	0.012
Mesothelin expression in <i>Fr-sm</i>				
Negative / Positive	279 / 35	0.35	0.15 - 0.99	0.048
Mesothelin expression in <i>Fr-ss</i>				
Negative / Positive	273 / 41	0.32	0.13 - 0.84	0.022
Mesothelin expression in <i>Ce</i>				
Negative / Positive	292 / 22	0.38	0.15 - 1.17	0.087
Mesothelin expression in <i>Ro</i>				
Negative / Positive	281 / 33	0.35	0.13 - 1.21	0.089
Multivariate Analysis				
Depth of tumor (T3 / T4)		0.38	0.14 - 0.82	0.035
Tumor budding (Grade 1,2 / Grade 3)		0.41	0.17 - 1.01	0.052
Mesothelin expression in <i>Fr-sm</i> (Negative / Positive)		0.53	0.21 - 1.52	0.22
Depth of tumor		0.36	0.16 - 0.90	0.027
Tumor budding		0.43	0.18 - 1.06	0.065
Mesothelin expression in <i>Fr-ss</i>		0.46	0.19 - 1.23	0.12
Depth of tumor		0.36	0.16 - 0.89	0.027
Tumor budding		0.39	0.17 - 0.94	0.036
Mesothelin expression in <i>Ce</i>		0.5	0.19 - 1.52	0.20
Depth of tumor		0.32	0.14 - 0.80	0.016
Tumor budding		0.35	0.15 - 0.85	0.021
Mesothelin expression in <i>Ro</i>		0.28	0.10 - 0.99	0.049