



Fine-scale habitat partitioning of Chilean and Peale’s dolphins and their overlap with aquaculture

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Fine-scale habitat partitioning of Chilean and Peale's dolphins and their overlap with aquaculture

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Running head: *Habitat partitioning in coastal dolphins*

1
2
3 **Abstract:**
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- 5
6 1. Predictive species distribution models (SDMs) have become powerful tools to
7
8 determine habitat use patterns of mobile marine predators and their spatial overlap
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10 with potentially impacting anthropogenic activities.
11
12 2. This study used SDMs to investigate fine-scale habitat use patterns of two poorly
13
14 known and broadly sympatric coastal delphinids, Chilean dolphins (*Cephalorhynchus*
15
16 *eutropia*) and Peale's dolphins (*Lagenorhynchus australis*), and their spatial
17
18 interactions with intense aquaculture farming activities in the Chiloé archipelago,
19
20 southern Chile.
21
22 3. A long-term dataset (2002-2012) of boat-based dolphin sightings and concurrently *in*
23
24 *situ* collected environmental and anthropogenic variables was analysed using binomial
25
26 GAMs to investigate ecological drivers of each species' fine-scale distribution and to
27
28 predict dolphin occurrence spatially.
29
30 4. Chilean dolphins preferred shallow (<30 m deep), turbid waters, close to shore (<500
31
32 m) and river mouths which often placed them in sheltered bays and channels used
33
34 intensively by shellfish farms. Peale's dolphins were also found in shallow waters but
35
36 occurred over a wider range of conditions along more open or exposed coastlines.
37
38 Both species had to navigate extensive salmon and shellfish farming sites to transit
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40 between areas of important habitat.
41
42 5. Sightings and predicted occurrence maps showed a clear pattern of spatial habitat
43
44 partitioning between species, which remained stable across the 11-year study period.
45
46 The identification of important habitat for Chilean dolphins warrants the consideration
47
48 of spatially explicit conservation measures to limit the potential effects of overlapping
49
50 salmon and shellfish farming.
51
52 6. The observed differences in ecological plasticity of the two sympatric species should
53
54 be considered when evaluating and mitigating the effects of environmental change
55
56 and ongoing anthropogenic pressures on their nearshore habitat. The estimated
57
58 species-environment relationships could also be used to predict where dolphin habitat
59
60 and anthropogenic activities are most likely to overlap in other parts of the species' ranges.

1
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3 32 **Keywords:** predictive species distribution models, habitat use pattern, niche partitioning,
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5 33 *Cephalorhynchus eutropia*, *Lagenorhynchus australis*, aquaculture
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10
11 35 **INTRODUCTION**
12

13 36 Where animals occur, what drives their distribution and how they use their habitat are some
14
15 37 of the fundamental questions in ecology. Understanding how these patterns relate to the
16
17 38 spatial distribution and extent of human activities, and how they might be affected by
18
19 39 environmental change, is essential to conservation. Sympatric species may respond differently
20
21 40 to environmental change and anthropogenic pressures, making the identification of species-
22
23 41 specific habitat use patterns a key requirement for effective conservation (Schaefer, Jetz, &
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25 42 Böhning-Gaese, 2008; Silber et al., 2017).
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30 43 Predictive species distribution models (Guisan & Zimmermann, 2000), SDMs, have
31
32 44 emerged as powerful tools to identify important habitats for species and for use in biodiversity
33
34 45 conservation (Gegr, Baumgartner, Laidre, & Palacios, 2013; Guisan et al., 2013; Lecours,
35
36 46 2017). SDMs can provide important ecological insights into species-environment relationships
37
38 47 (Bräger, Harraway, & Manly, 2003; Redfern et al., 2006) enable comparisons among species
39
40 48 (Ingram, Walshe, Johnston, & Rogan, 2007; Mannocci et al., 2014; Redfern et al., 2013),
41
42 49 identify key areas and habitats (Esteban et al., 2014; Pérez-Jorge et al., 2015), and help predict
43
44 50 where species might be most at risk from anthropogenic activities (Ashe, Noren, & Williams,
45
46 51 2010; Redfern et al., 2013). They can also inform conservation and management by guiding
47
48 52 spatially explicit mitigation actions such as the designation and management of marine
49
50 53 protected areas (Bailey & Thompson, 2009; Cañadas, Sagarminaga, De Stephanis, Urquiola, &
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52 54 Hammond, 2005; IUCN-MMPATF, 2016).
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3 55 This study uses SDMs to investigate the habitat use patterns of two poorly known
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5
6 56 coastal delphinids, which occur in sympatry in southern Chile, one of the most intensively used
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8 57 marine aquaculture farming regions in the world (Bostock et al., 2010). The study species,
9
10 58 Chilean dolphin (*Cephalorhynchus eutropia*, Gray 1846) and Peale's dolphin (*Lagenorhynchus*
11
12 59 *australis*, Peale 1848), inhabit the nearshore waters of southern Chile, with Peale's dolphins
13
14 60 also occurring over the continental shelf waters of the southern South Atlantic where they are
15
16 61 sympatric with another *Cephalorhynchus* species (*C. commersonnii*, Lacépède 1804) (Heinrich,
17
18 62 Elwen, & Bräger, 2010).

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21
22 63 *Cephalorhynchus* dolphins and Peale's dolphins are unusual amongst the delphinids in
23
24 64 that their acoustic repertoire seems to consist only of narrow-band high frequency clicks
25
26 65 which may be an adaptation to life in acoustically cluttered inshore waters (Götz, Antunes, &
27
28 66 Heinrich, 2010; Kyhn et al., 2010) and/or facilitates acoustic crypsis from their main potential
29
30 67 predator, killer whales (*Orcinus orca*, Linnaeus 1758) (Morisaka & Connor, 2007). Peale's
31
32 68 dolphins are bigger than Chilean dolphins, and seem to show more ecological plasticity
33
34 69 inhabiting a wider geographic and habitat range (Cipriano, 2018). Detailed information on diet
35
36 70 is lacking for both species, but they are thought to forage on a variety of schooling and
37
38 71 demersal fish and cephalopod species (Goodall, Norris, Galeazzi, Oporto, & Cameron, 1988;
39
40 72 Schiavini, Goodall, Lescrauwaet, & Alonso, 1997). Both Chilean and Peale's dolphins seem to
41
42 73 prefer nearshore shallow waters (Heinrich, 2006; Viddi, Hucke-Gaete, Torres-Florez, & Ribeiro,
43
44 74 2010), but differ substantially in their encounter rates in southern Chile. Peale's dolphins are
45
46 75 usually the most frequently sighted delphinid, whereas Chilean dolphins are rarely seen and
47
48 76 appear to have a more patchy distribution concentrated in sheltered bays and channels
49
50 77 (Aguayo-Lobo, Torres Navarro, & Acevedo Ramírez, 1998; Viddi et al., 2010; Zamorano-
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52 78 Abramson, Gibbons, & Capella, 2010). Both species are regularly sighted in the Chiloé
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3 79 archipelago where Peale's dolphins appear to outnumber small localized populations of
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6 80 Chilean dolphins (Heinrich, 2006).

7
8 81 Since the early 1990s the Chiloé region has become the centre of Chile's lucrative
9
10 82 salmonid and shellfish farming enterprises, leading to substantial increases in human
11
12
13 83 population and infrastructure, and affecting the health of the coastal marine ecosystem
14
15 84 (Buschmann et al., 2009; Buschmann, López, & Medina, 1996; Sepúlveda, Arismendi, Soto,
16
17
18 85 Jara, & Farias, 2013). Potential direct effects of aquaculture farming on cetaceans include
19
20 86 increased mortality due to entanglement in cage netting and ropes (Díaz López & Bernal Shirai,
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22
23 87 2007; Kemper & Gibbs, 2001). However, most effects likely operate via multiple indirect and
24
25 88 possibly synergistic pathways such as exclusion from important habitat, habitat degradation,
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27
28 89 food web alterations, noise pollution, contamination and spread of disease (Buschmann et al.,
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30 90 2009, 2012; Kemper et al., 2003; Markowitz, Harlin, Würsig, & McFadden, 2004; Pearson,
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32
33 91 Vaughn-Hirshorn, Srinivasan, & Würsig, 2012; Ribeiro, Viddi, Cordeiro, & Freitas, 2007;
34
35 92 Watson-Capps & Mann, 2005). Fish farms can also affect dolphin habitat use patterns
36
37 93 (Bonizzoni et al., 2014), because farmed fish or cage-associated wild fish can act as attractive
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39
40 94 food sources (Piroddi, Bearzi, & Christensen, 2011).

41
42 95 This study uses a long-term dataset to model fine-scale habitat use of sympatric
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44 96 Chilean and Peale's dolphins in relation to environmental and anthropogenic variables in the
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46
47 97 Chiloé archipelago. It explores differences in habitat use patterns between the two species,
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49
50 98 identifies their key habitats using spatial predictions, and provides evidence of decadal
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52 99 stability in habitat use, supporting spatially explicit management measures as a suitable tool
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54 100 to protect dolphin key habitats.

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102 MATERIAL AND METHODS

103 *Study Area*

104 This study took place in the Chiloé archipelago in southern Chile (41.8°–43.4°S, 73.2°–73.9°W)
105 and comprised two survey areas (northern and southern, Figure 1) separated by about 70 km
106 and covering a range of coastal habitats including islands, channels, bays and estuaries. This
107 region is characterized by considerable freshwater input and variations in sea surface
108 temperature (SST) and salinity (Dávila, Figueria, & Müller, 2002; Iriarte, González, Liu, Rivas, &
109 Valenzuela, 2007; this study). The northern study area (near Castro) spanned roughly 260 km²,
110 with water depths up to 130 m and average depth of about 50 m. The southern area (near
111 Quellón) spanned roughly 275 km², with water depths rarely exceeding 50 m and average
112 depth of about 25 m.

113 *Sampling Design and Data Collection*

114 Systematic boat-based habitat and sighting surveys for small cetaceans were carried out
115 annually from January to April of 2002–2012, using 4.2 m inflatable boats with outboard
116 engines. Surveys were conducted at 20 km/h in favourable conditions, defined as Beaufort ≤ 3 ,
117 good visibility and little or no precipitation. Surveys were designed to ensure full coverage of
118 the available habitat in the chosen study areas. Transects had variable start and end points
119 and were placed in regularly spaced randomized zigzag patterns crossing bays and channels
120 from shore to shore and extending up to 3,000 m offshore.

121 Two to four observers scanned the sea surface ahead and out to 90° of the transect line
122 for visual cues of dolphins. When dolphins were sighted, the observers recorded the position
123 of the vessel on the transect line using Global Positioning System (GPS), the distance
124 (estimated by eye in m) and angle (estimated in degrees using a small angle board) to the

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2
3 125 sighting before approaching the dolphins to record more detailed information. This included
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5 126 species identification, group size, presence of offspring, dolphin behaviour and a suite of *in*
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7
8 127 *situ* environmental measurements (Table 1) made as close as possible to the original location
9
10 128 where dolphins were first seen prior to approach (position also marked by GPS). This position
11
12
13 129 constituted a presence point. Any further dolphin groups seen while working with the initial
14
15 130 sighting were not included in the analyses presented here.

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18 131 Environmental measurements (Table 1) included biologically relevant physiographic and
19
20 132 oceanographic variables that served to characterize dolphin habitat (depth, distance to shore,
21
22 133 distance to rivers, SST, water clarity, salinity) and anthropogenic variables (distances to
23
24 134 nearest salmon and nearest shellfish farms). Distance variables were measured *in situ* using a
25
26
27 135 Bushnell laser range finder for distances of <1000 m (i.e. maximum range of equipment).
28
29 136 Distances to coastline and rivers of ≥ 1000 m were derived in a GIS (Geographic Information
30
31 137 System; Manifold System vers 8.0, using coordinate system WGS 1984 UTM Zone 18S and
32
33 138 GSHHS high resolution coastline data). It was not possible to use GIS to calculate *post-hoc*
34
35 139 distances to salmon and shellfish farms because the farms regularly changed location, extent
36
37 140 and activity status. Mapping these frequent changes *in situ* was not feasible given the number
38
39 141 of farms involved. However, the maximum distance between any given point in the study area
40
41 142 and the nearest aquaculture farm was 7000 m. Therefore, distances of ≥ 1000 m which could
42
43 143 not be measured *in situ* (or when no farms were in visual range), were assigned random values
44
45 144 from 1000 to 7000 m to facilitate the inclusion of distance to farms as continuous variables in
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47 145 the models. Thus, direct effects of aquaculture sites on dolphin distributions were only
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49 146 interpreted for distances within the measured range of <1000 m, and greater distances were
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55 147 considered uninformative for the modelled relationships.
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3 148 After all measurements had been taken during a sighting, survey effort resumed at the
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6 149 initial point of departure from the transect line and continued along the original trajectory. If
7
8 150 no dolphins were sighted while moving along the transects, the vessel was stopped at regular
9
10 151 15-min intervals to collect the same *in situ* environmental data as recorded during dolphin
11
12
13 152 presence (Table 1). These 15-min stops for sampling without dolphins constituted the absence
14
15 153 points of the analysis, and were considered true absences because sighting conditions and
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17
18 154 survey protocol would have allowed any dolphins present at that location to be detected. The
19
20 155 randomized transect design and regular 15-min sampling at absence points along the
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23 156 transects ensured that the habitat types available to the dolphins in each study area were
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25 157 sampled representatively.
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31 *Modelling*

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34 160 All absence and presence points with their associated *in situ* measurements constituted the
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36 161 sample data for analyses. Dolphin probability of occurrence was modelled using binomial
37
38 162 Generalized Additive Models (GAMs) (Hastie & Tibshirani, 1990) with a logit link function.
39
40
41 163 Analyses were carried out in software R 3.4.2 (R Core Team, 2017), using the *mgcv* library
42
43 164 (Wood, 2006). Pairplots and variance inflation factors (Brauner & Shacham, 1998) were used
44
45
46 165 to inspect potential collinearity among covariates, but none was detected. The choice of
47
48 166 variables (Table 1) was guided by their potential biological relevance as well as availability of
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50
51 167 reliable data measured at appropriate spatial and temporal resolutions, which ruled out using
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53 168 remotely sensed data (e.g. SST, chlorophyll concentration) or tidal predictions for the study
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56 169 areas.
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3 170 Each predictor variable entered the model as a smooth term (except Year, which was
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5
6 171 included as factor, see below), where the degree of smoothness was determined as part of
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8 172 the model fitting process (Wood, 2006). Thin plate regression splines were used, because they
9
10 173 allow smoothing with respect to any number of covariates and do not require 'knot' locations
11
12
13 174 to be specified (Wood, 2006). GAMs have a tendency to over-fit (Wood, 2006), so the degrees
14
15 175 of freedom of each smooth was limited to 4.

16
17
18 176 Two types of models were fitted: the 'environmental (or explanatory) model' and the
19
20 177 'spatial model'. In the environmental model, all variables except latitude and longitude were
21
22
23 178 included. Beaufort scale was included to take account of variation in detection probability as
24
25 179 a function of sea conditions (Evans & Hammond, 2004). Year was added as a factor, to explore
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27
28 180 differences among survey years. In the spatial model, additional spatial information (latitude
29
30 181 and longitude) was included as an isotropic smooth (Wood, 2006), as a proxy for potentially
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32 182 spatially structured habitat characteristics that were not accounted for with the available
33
34
35 183 environmental data. The best models were used to visualize the predictions of dolphin
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37 184 occurrence on the maps.

38
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40 185 Two model selection methods were used. Shrinkage smoothers (Wood, 2006) were used
41
42 186 on the full model (all candidate covariates) as an automated model selection. Shrinkage
43
44
45 187 smoothers introduce an additional penalty that enables smooth terms to be penalized
46
47 188 ('shrunk' away) when the term makes no contribution to the model (Wood, 2006). Forward
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49
50 189 stepwise selection was used as a complementary method to assess the contribution of each
51
52 190 covariate to the explained deviance, and to choose among models with or without factor
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54 191 variables. Here, each covariate was added to the null model (intercept only), one at a time.
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57 192 The covariate that made the greatest model improvement was retained. All remaining
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59 193 covariates were then individually tested again for potential inclusion in this new model. This
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3 194 continued until the inclusion of new covariates no longer improved the model, as judged by
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5
6 195 the UBRE score and the approximate Akaike's Information Criterion (AIC) for GAMs (Wood,
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8 196 2006). Covariates were retained if they reduced the AIC by at least 2 (Burnham & Anderson,
9
10 197 2002).

11
12
13 198 The best models were used to visualize the predictions of dolphin occurrence in the
14
15 199 study areas. The 95% confidence intervals (CI) for predictions were calculated through non-
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17
18 200 parametric bootstrap of the sample data, with replacement, using 500 replications and the
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20 201 percentile method (Borchers, Buckland, & Zucchini, 2002). The mean probability of occurrence
21
22 202 and the lower and upper CI bounds were then plotted on maps to visualize the probability of
23
24
25 203 occurrence as a measure of importance of different areas. All point-based predictions were
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27
28 204 exported into Manifold GIS and kriging was used to generate smooth colour-coded surfaces
29
30 205 for the habitat use maps. Information on the location and extent of registered aquaculture
31
32 206 concessions for the year 2015, obtained from the Chilean Fisheries Service
33
34
35 207 (www.subpesca.cl), was then overlaid on the combined predicted habitat use surfaces for
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37 208 both species to illustrate their recent spatial overlap in both study areas.

41 42 209 **RESULTS**

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45 210 Field surveys covered a distance of 30,736 km during 489 days spread over 11 years. A total
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47 211 of 531 Chilean dolphin sightings (32–80 annually) and 353 Peale's dolphin sightings (22–70
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49 212 annually) were used for modelling, together with 2,461 absence points (178–408 annually,
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51
52 213 Figure 1). Both species were encountered in all years and in both study areas, yet with
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54 214 different encounter rates. Chilean dolphins were uncommon in the northern compared to the
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56
57 215 southern study area (0.3 dolphin compared to 2 dolphins per 10 km surveyed), whereas
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3 216 Peale's dolphins were sighted more frequently in the northern than the southern study areas
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6 217 (1 dolphin compared to 0.5 dolphin per 10 km surveyed).
7
8 218 Detection probabilities were not calculated because distance and angle estimates lacked
9
10 219 precision, were not available for all sightings and were affected by the low position of the
11
12 220 observers (<2m). However, average radial distances of detection were almost identical for
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14 221 both species (Chilean dolphins: 249 m, SD = 188 m, Peale's dolphins: 256 m, SD = 204 m), thus
15
16 222 differences in detection probability are unlikely to affect comparison of model results
17
18 223 between species. For both species, the different model selection methods resulted in the
19
20 224 same covariates retained in the final environmental and spatial models. Table 2 shows the
21
22 225 results of the forward stepwise selection for the environmental models, including the relative
23
24 226 contribution of covariates to the explained deviance.

227 *Chilean dolphins*

228 The final environmental model for Chilean dolphins retained all candidate covariates except
229 Year, and explained 33.2% of the deviance (Table 2). The model fitted the data well (adjusted
230 R^2 for binary data = 0.43). Fitted smooth functions are shown in Figure 2. Distance to shore
231 was the most important predictor, explaining 17.8% of the deviance (Table 2). Probability of
232 occurrence decreased with increasing distance to shore, and 95% of all sightings of Chilean
233 dolphins occurred within 500 m from shore. Depth was also an important predictor, with
234 probability of occurrence decreasing with increasing depth, and 95% of all sightings were
235 made in waters with depths shallower than 30 m. Probability of occurrence decreased with
236 increasing distance to shellfish farms, but increased with increasing distance to salmon farms.
237 It was higher closer to rivers, in more turbid, somewhat less saline and relatively warmer
238 waters. As expected, it decreased with increasing Beaufort scale. Year was not retained in the
239 model, suggesting no significant inter-annual differences.

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3 240 The final spatial model included distance to shore, depth, SST, water clarity, distance to
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5 241 nearest river, distance to nearest shellfish farm, distance to nearest salmon farm and Beaufort
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8 242 scale, plus the interaction of latitude and longitude, explained 42.1% of the deviance (adjusted
9
10 243 $R^2 = 0.52$), and was used to generate prediction maps. Visual comparison of prediction maps
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12
13 244 with the observed sightings indicated that the model correctly predicted areas of high
14
15 245 occurrence probability where sightings were concentrated (Figures 1, 3 and 4).

16
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18 246 Key areas for Chilean dolphins, based on high predicted probability of occurrence, were
19
20 247 situated mostly in the southern study area: in Yaldad Bay, along the western shore of Isla
21
22
23 248 Coldita, and in the channels around Isla San Pedro (Figure 3). These areas were predicted as
24
25 249 important even when uncertainty (lower 95% CI bound) was taken into account (Figure 3c).
26
27
28 250 Areas with few or no sightings were also correctly predicted as areas with low probability of
29
30 251 occurrence, regardless of uncertainty. Mean model predictions appeared to under-predict
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32
33 252 occurrence at Isla Cailín; however, the upper 95% CI did predict this area to be important,
34
35 253 while still predicting low probability of occurrence in adjacent waters (Figure 3b). The model
36
37
38 254 predicted low overall probability of occurrence in the northern study area (Figure 4), with the
39
40 255 exception of a few sheltered bays where the upper 95% CI indicated potentially higher
41
42 256 probability of occurrence (Figure 4b).

43 44 45 257 *Peale's dolphin*

46
47 258 The final environmental model for Peale's dolphins retained depth, distance to nearest
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49
50 259 shellfish farm, distance to shore, distance to nearest river, salinity, SST and Beaufort scale,
51
52 260 explaining 16.1% of the deviance (Table 2) with an adjusted $R^2 = 0.21$. Fitted smooth functions
53
54
55 261 are shown in Figure 5. Depth was the most important predictor, explaining 8.5% of the
56
57 262 deviance. Probability of occurrence decreased with increasing water depth, and dolphins
58
59 263 appeared to prefer waters shallower than 20 m. The second most important predictor was
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3 264 distance to nearest shellfish farm, with probability of occurrence increasing with increasing
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5 265 distance from shellfish farms. Predictions suggested Peale's dolphins were more likely to be
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7
8 266 found within 400 m from shore, in SST 12.5–15°C, further from river mouths, and in somewhat
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10 267 more saline waters.

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12
13 268 The final spatial model retained fewer environmental variables than identified in the
14
15 269 environmental model, and included depth, distance to shore, SST and distance to nearest
16
17
18 270 river, plus the interaction of latitude and longitude. The model explained 32.3% of the
19
20 271 deviance (adjusted $R^2 = 0.40$), double the deviance explained by the environmental model,
21
22 272 and was used to generate prediction maps. The mean predictions highlighted areas of highest
23
24
25 273 occurrence in Canal Dalcahue and the channel of Castro in the northern study area (Figure 4),
26
27 274 and off the southern shores of Islas Laitec and Cailín in the southern study area, but seemed
28
29
30 275 to under-predict occurrence on the eastern side of Isla Coldita (Figure 3). As with Chilean
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32 276 dolphins, Year was not retained in any of the models for Peale's dolphins, indicating no
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34
35 277 significant inter-annual differences.

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37 278

38 39 279 *Habitat predictions and aquaculture overlap*

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41
42 280 Predictions of occurrence for both species were overlaid with officially registered
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44
45 281 concessions for salmon and shellfish farms in 2015 (Figure 6). Aquaculture concessions, in
46
47 282 particular for shellfish farms, were widespread along the coasts and in sheltered bays of both
48
49
50 283 study areas, and overlapped with or occurred close to predicted habitat, particularly for
51
52 284 Chilean dolphins. Salmon farms were generally located a bit further away from predicted
53
54 285 Chilean dolphin habitat, except for the channels around Isla San Pedro in the southern study
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56
57 286 area where farms occurred near or within core habitat of Chilean dolphins. Peale's dolphins
58
59 287 showed less overlap with aquaculture sites than Chilean dolphins in the southern study area,
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3 288 but some of their predicted core habitat in the northern study area (particularly near
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5 289 Dalcahue) abutted or overlapped with both salmon and shellfish concessions.
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10 290 **DISCUSSION**

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13 291 This study provides the first quantitative assessment of how sympatric Chilean and
14
15 292 Peale's dolphins rely on different environmental characteristics of nearshore habitat, which
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17
18 293 appears to lead to fine-scale habitat partitioning in the Chiloé archipelago. Habitat use
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20 294 patterns for both species showed decadal stability with persistent key areas of occurrence.
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22
23 295 Aquaculture farming and associated activities were intense in both study areas and abutted
24
25 296 or overlapped with areas identified as key dolphin habitats. Investigating habitat use patterns
26
27
28 297 across fine spatial but large temporal scales using *in situ* data on environmental variables is a
29
30 298 unique feature of this study, resulting in spatially explicit conservation and management
31
32 299 implications for the species and region of study.
33
34

35 300 *Species-habitat relationships*

36
37 301 Habitat use patterns of Chilean dolphins in the Chiloé archipelago appeared to be
38
39
40 302 strongly influenced by certain environmental conditions. Chilean dolphins occurred almost
41
42 303 exclusively in waters shallower than 30 m, and within 500 m from shore. Probability of
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45 304 occurrence was higher closer to river mouths and in bays with estuarine characteristics (e.g.
46
47 305 lower water visibility, lower salinity). The presence of deep waters within channels and bays
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49
50 306 in the northern area might explain why Chilean dolphin occurrence was lower there compared
51
52 307 to the southern area.
53

54 308 Shallow, nearshore waters influenced by rivers seem to be defining habitat
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56
57 309 characteristics for Chilean dolphins. These findings echo the few other habitat studies off the
58
59 310 open coast north (Pérez-Álvarez, Alvarez, Aguayo-Lobo, & Olavarría, 2007) and in channels

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3 311 south of Chiloé (Viddi, Harcourt, & Huccke-Gaete, 2015), as well as most of the incidental
4
5 312 sighting locations reported for this species (Aguayo-Lobo et al., 1998; Capella, Gibbons, &
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7
8 313 Vilina, 1999; Goodall et al., 1988; Morgenthaler, Fernández, Moraga, & Olavarría, 2014;
9
10 314 Zamorano-Abramson et al., 2010). The four dolphins of the genus *Cephalorhynchus*, to which
11
12
13 315 the Chilean dolphin belongs, are all characterized as coastal shallow-water species (Dawson,
14
15 316 2018), with Commerson's dolphins (*C. commersonii*) and Hector's dolphins (*C. hectori*, Van
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17
18 317 Beneden 1881) also commonly sighted in turbid waters or near river mouths (Bräger et al.,
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20 318 2003; Goodall, 1994).

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23 319 River mouths and estuaries are known areas of enhanced productivity, often
24
25 320 aggregating fish and serving as fish nurseries, in turn attracting piscivorous predators such as
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27
28 321 dolphins (Arso Civil et al., 2019; Parra, Schick & Corkeron, 2006). Intense seasonal
29
30 322 phytoplankton blooms occur in the inshore waters off Chiloé (Iriarte et al., 2007) and in
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32
33 323 estuaries such as Yaldad Bay (Navarro & Jaramillo, 1994) identified as key Chilean dolphin
34
35 324 habitat in this study. Systematic information on Chilean dolphin diet is lacking, but field
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37
38 325 observations (this study, Viddi et al., 2015) suggest that they might feed on schooling sardines
39
40 326 (*Strangomera* spp.), Patagonian blenny (*Eleginops maclovinus*, Cuvier 1830) and silversides
41
42 327 (*Odontesthes* spp.), which are known to spawn in and inhabit estuaries as juveniles (Dyer,
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44
45 328 2000). Chilean dolphins at Chiloé spent a large proportion of their time foraging (Heinrich,
46
47 329 2006; Ribeiro et al., 2007), which is typical for small bodied cetaceans with high energetic
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49
50 330 demands requiring high rates of energy intake (Wisniewska et al., 2016). Thus, Chilean dolphin
51
52 331 habitat use appeared to be driven by environmental features that may serve to aggregate and
53
54 332 maintain sufficiently abundant and reliable prey resources. Mark-recapture studies of
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56
57 333 identifiable individuals at southern Chiloé indicate that the local population of Chilean
58
59 334 dolphins is small (~ 60 adults) with individuals showing high site fidelity and limited along-

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3 335 shore movements (Heinrich, 2006). The availability of suitable habitat and resulting effects on
4
5 336 dolphin carrying capacity could be important factors in determining overall distribution,
6
7
8 337 abundance and population structure.
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10 338 Genetic studies have shown clear population differences between Chilean dolphins
11
12 339 along the open coast north of Chiloé and to the south in the deep fjords (Pérez-Alvarez et al.,
13
14 340 2015). Similar, and even more fine-scale, genetic differences and strong population
15
16 341 structuring have also been found in congeneric Commerson's dolphins (Cipriano, Hevia, &
17
18 342 Iñíguez, 2011) and Hector's dolphins (Hamner, Pichler, Heimeier, Constantine, & Baker, 2012;
19
20 343 Pichler, Dawson, Slooten, & Baker, 1998), and might be a feature of *Cephalorhynchus* dolphins,
21
22 344 generally. Unfortunately, Chilean dolphins in the intermediate Chiloé region have not been
23
24 345 sampled (Pérez-Álvarez et al., 2015), so finer-scale population structure remains unknown,
25
26 346 but obtaining such information should be a priority given the distinct and stable habitat use
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28 347 patterns described here.
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34 348 Sheltered, shallow nearshore waters might also confer a lower risk of predation. Killer
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36 349 whales are rarely seen in the Chiloé archipelago and have not been observed in Chilean
37
38 350 dolphin habitat, but they are known to predate marine mammals in the Chilean fjords
39
40 351 (Häussermann, Acevedo, Försterra, Bailey, & Aguayo-Lobo, 2013). Fear and predator
41
42 352 avoidance are powerful sub-lethal forces that shape behaviour and habitat use patterns of
43
44 353 prey even when predation events appear rare (Wirsing, Heithjaus, Frid, & Dill, 2008).
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48

49 354 Depth, distance to shore and distance to rivers were also important predictor variables
50
51 355 for the occurrence of Peale's dolphins. They also used shallow waters (<20 m) close to shore
52
53 356 (<400 m) but also occurred further offshore (>1,000 m) over shallow sandbanks and shoals. In
54
55 357 contrast to Chilean dolphins, Peale's dolphins were found further from rivers, and along more
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57 358 open or exposed shorelines and along the shores of wider and deeper channels in the northern
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1
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3 359 study area. The diet of Peale's dolphins in the Chiloé archipelago is not known, but they were
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5
6 360 never observed feeding on small schooling fish as Chilean dolphins were (S. Heinrich, pers.
7
8 361 obs.). In other parts of their range, Peale's dolphins seem to have a generalist diet based on
9
10 362 demersal and shallow water prey (e.g. fish, octopus, shrimp; Iñíguez & de Haro, 1994; Schiavini
11
12 363 et al., 1997). Therefore, Peale's dolphin habitat use might reflect different foraging strategies
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14
15 364 involving transit between more patchily distributed or less productive prey patches, and is
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17
18 365 likely also influenced by other ecological needs. The only other distribution modelling studies
19
20 366 of Peale's dolphins, both on spatial scales an order of magnitude greater than this study,
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22 367 seemed to support broader habitat use patterns. Peale's dolphins in the Chilean fjords and
23
24
25 368 southern Argentina were seen 10s of km from shore but always in the neritic zone (usually in
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27
28 369 <100 m water depth) (Dellabianca et al., 2016; Viddi et al., 2010). Compared to Chilean
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30 370 dolphins, greater ecological plasticity in Peale's dolphins is to be expected given their much
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32
33 371 wider distributional range spanning both southern South Pacific and South Atlantic
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35 372 continental shelf waters (Cipriano, 2018).

373

374 *Identifying key habitat and habitat partitioning*

375 For both species, including spatial components improved the predictive ability of the
376
377 models, but particularly so for Peale's dolphins. While spatial covariates do not elucidate
378
379 ecological relationships, they helped to improve predictions by capturing spatially linked
380
381 differences in habitat characteristics that were or could not be measured. The predictive
382
383 habitat use maps closely matched the distribution of the actual sighting locations. Core areas
384
385 for Chilean dolphins remained consistent even when model uncertainty was mapped as lower
386
387 and upper 95% confidence intervals (CIs). Spatial occurrence patterns of Peale's dolphins were

1
2
3 382 more ambiguous across lower and upper CIs, reflecting greater variability in the sighting data
4
5
6 383 and less strong relationships with the available environmental covariates.
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8 384 There was very little fine-scale spatial overlap between the two species, particularly in
9
10 385 southern Chiloé, where Peale's dolphins were never seen in core Chilean dolphin habitat.
11
12
13 386 Short-term associations or direct interactions between the two species were rarely observed,
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15 387 even where their selected habitats seemed to overlap (Heinrich et al., 2010). This differs
16
17
18 388 markedly from the regular co-occurrence and frequent, at least short-term mixed group
19
20 389 associations reported for Peale's dolphins and Commerson's dolphins in Argentina (de Haro &
21
22
23 390 Iñíguez, 1997; Goodall et al., 1997).

24
25 391 Sympatric co-existence of similar species is shaped by resource availability (e.g.
26
27 392 abundance of prey), predation pressure and habitat complexity. Within their physiological and
28
29
30 393 ecological constraints, different strategies enable sympatric species to co-exist, including
31
32
33 394 spatial or temporal differences in habitat use, dietary divergence and specialization, as well as
34
35 395 differences in activity patterns and socially mediated behaviours (Bearzi, 2005; Parra, 2006).
36
37 396 In some sympatric cetaceans, aggressive interactions act to maintain patterns of fine-scale
38
39
40 397 habitat partitioning, with usually the smaller species avoiding the larger, more dominant one
41
42 398 (Parra, 2006; Thompson, White, & Dickson, 2004). Aggressive interactions between Chilean
43
44
45 399 and Peale's dolphins have not been documented, and the observed fine-scale habitat
46
47 400 partitioning at Chiloé likely reflects the more specialist habitat preferences of Chilean
48
49
50 401 dolphins, and the greater ecological plasticity of Peale's dolphins enabling them to exploit a
51
52 402 wider range of habitats and resources.
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57 404 *Dolphins and aquaculture*
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3 405 Aquaculture activities were intense and wide-spread throughout the study areas. For
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5
6 406 both species, distances to farm sites were retained as predictor variables in the environmental
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8 407 habitat models. There was a strong positive relationship between Chilean dolphin occurrence
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10 408 and proximity to shellfish farms, but the opposite pattern for salmon farms. In contrast,
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12
13 409 Peale's dolphin occurrence increased with increasing distance to shellfish farms, with no
14
15 410 apparent relationship with distance to salmon farms. These relationships should not be
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17
18 411 interpreted as Chilean dolphins being attracted to shellfish farms, or Peale's and Chilean
19
20 412 dolphins actively avoiding shellfish and salmon farms, respectively. A more plausible
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23 413 explanation is that the location of the two types of aquaculture overlapped more or less with
24
25 414 the dolphins' preferred habitat, and thus acted as a proxy for a set of habitat characteristics.

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27 415 Shellfish, in particular mussels (*Mytilidae*), are cultivated on vertical lines suspended
28
29 416 from horizontal surface longlines buoyed by floats. These farms require a minimum water
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31
32 417 depth of around 8-10 m and high primary productivity and nutrient flow, conditions often
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34
35 418 encountered in or near estuaries (e.g. Yaldad Bay). These characteristics match those selected
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37 419 by Chilean dolphins, but not so much those of Peale's dolphins. Where shellfish farms and
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39
40 420 Chilean dolphins co-occurred, the dolphins were observed to move in the corridors between
41
42 421 the shore and the outer shoreward longlines of the farms (Heinrich & Fuentes, pers. obs.).
43
44
45 422 Although they occasionally were observed inside the perimeter of shellfish farms, Chilean
46
47 423 dolphins appeared to avoid areas with more than 30% coverage of surface longlines in Yaldad
48
49 424 Bay (Ribeiro et al., 2007). Thus, large shellfish farms might reduce the availability of habitat
50
51
52 425 important to Chilean dolphins. Similar exclusion effects and potentially lost foraging
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54 426 opportunities have been reported for shellfish farms and dusky dolphins (*L. obscurus*, Gray
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56
57 427 1828) in New Zealand (Markowitz et al., 2004; Pearson et al., 2012) and Indo-Pacific
58
59 428 bottlenose dolphins (*Tursiops aduncus*, Ehrenberg 1833) in Australia (Watson-Capps & Mann,
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3 429 2005). In contrast, shellfish farms in Spain that used floating rafts instead of longlines were
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5
6 430 found to attract common bottlenose dolphins (*T. truncatus*, Montagu 1821); these structures
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8 431 might provide predictable and enhanced foraging opportunities for the dolphins by attracting
9
10 432 and harbouring wild fish (Díaz López & Methion, 2017). A recent review found that the
11
12 433 responses of mobile organisms associated with farming structures differed substantially in
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14 434 nature (from attraction to repulsion) and across spatial and temporal scales (Callier et al.,
15
16 435 2017). Thus, scale and species-specific responses should be carefully considered in studies and
17
18 436 management of aquaculture effects on wild fauna.

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21
22 437 Predicted occurrence of Chilean dolphins increased with distance to salmon farms
23
24 438 (within 1000 m), but neither Chilean nor Peale's dolphin habitat selection seemed to be
25
26 439 directly influenced by the distribution of salmon farms. These farms were more abundant in
27
28 440 the northern study area and tended to be located in deeper waters (>20 m) which limited their
29
30 441 spatial proximity to preferred Chilean dolphin habitat. Acoustic harassment devices aimed at
31
32 442 deterring predatory attacks of pinnipeds on caged farm fish can induce area avoidance in small
33
34 443 cetaceans (Olesiuk, Nichol, Sowden, & Ford, 2002), but such devices were not used by fish
35
36 444 farms in the Chiloé archipelago during the study period (Sepúlveda & Oliva, 2005; Heinrich,
37
38 445 pers. obs.).

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41
42 446 Even though the modelling results show limited direct spatial overlap between dolphins
43
44 447 and salmon farms, the expansion and intensification of fish farming in the coastal marine
45
46 448 environment might still affect both species through cascading ecosystem effects. The Chilean
47
48 449 salmon farming industry has faced substantial criticism for their inadequate handling of
49
50 450 disease outbreaks, misuse of antibiotics, and regular accidental (and intentional) releases of
51
52 451 millions of farmed salmon into the coastal waters off Chiloé, all of which threaten the health
53
54 452 and function of the coastal marine ecosystem (Asche, Hansen, Tveteras, & Tveterås, 2009;
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3 453 Buschmann et al., 2012; Gomez-Uchida et al., 2018; Niklitschek, Soto, Lafon, Molinet, &
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6 454 Toledo, 2013; Sepúlveda et al., 2013). Managing and adapting aquaculture practices to reduce
7
8 455 negative effects might be a more promising mitigation strategy than excluding anthropogenic
9
10 456 activities outright. If this approach was adopted, monitoring and enforcement of appropriate
11
12
13 457 aquaculture practices in general, and close to key dolphin habitat in particular, should be a
14
15 458 priority. Supporting measures that should be put in place include a requirement for proper
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18 459 maintenance of existing aquaculture farms and removal of structures no longer in use (e.g.
19
20 460 shellfish long-lines and floats) to reduce potential habitat exclusion effects, particularly for
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22
23 461 Chilean dolphins. Under the precautionary principle restrictions on new farming concessions
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25 462 should be introduced until better information about the risks and magnitude of impacts of the
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27
28 463 farming activities on the coastal marine ecosystem and its dependent species is obtained
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30 464 (Niklitschek et al., 2013).

31
32 465 The identified differences in the responses of the two dolphin species to aquaculture
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34
35 466 farms also highlights important methodological considerations. Species distribution models
36
37
38 467 should include anthropogenic variables in areas of intense human activities, as the latter might
39
40 468 be interacting with or even altering the natural habitat use patterns of the species of interest.
41
42 469 Species interactions with aquaculture should be investigated on a species- and context-
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44
45 470 specific basis.

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48 49 472 *Conservation implications*

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52 473 A distinguishing feature of this study was the use of long-term (11 years),
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54 474 systematically *in situ* collected data to investigate fine-scale habitat use patterns of two
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56
57 475 sympatric cetacean species in a coastal environment under pressure from human activities.
58
59 476 The observed species-environment relationships and resulting habitat use patterns for both
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3 477 species remained stable over a decade. Such long-term stability in habitat use is important
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5
6 478 when considering spatially explicit conservation measures, such as marine protected areas
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8 479 (Hartel, Constantine & Torres, 2015; Wilson, Reid, Grellier, Thompson, & Hammond, 2004).
9
10 480 The channels around Isla San Pedro, Coldita and Yaldad Bay in southern Chiloé constitute key
11
12 481 habitat for a small, resident population of Chilean dolphins (this study; Heinrich, 2006), and
13
14 482 should be considered as the first priority conservation area for Chile's only endemic cetacean
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16
17 483 in regional marine spatial planning and coastal zoning.

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20 484 Our study was limited to austral summers and autumns. Winter field activities in
21
22 485 southern Chile are hampered by challenging logistics, poor light and inclement weather
23
24 486 conditions (Darwin, 1860). Observations from short sighting surveys during winter (2004,
25
26 487 2010) and year-round static passive acoustic monitoring (PAM) (2013-14) indicated that
27
28 488 Chilean dolphins continued to use the same key areas in Yaldad Bay and around Isla San Pedro
29
30 489 throughout the year (Heinrich, unpublished data). Static PAM appears to be a particularly
31
32 490 useful tool to monitor patterns of seasonal habitat use in the identified core habitat or dolphin
33
34 491 occurrence around aquaculture farms given the method's independence of weather and light
35
36 492 conditions (Mellinger, Stafford, Moore, Dziak, & Matsumoto, 2007).

37
38 493 Although our study identified several high-occurrence areas for Peale's dolphins (e.g.
39
40 494 Canal Dalcahue, shoals south of Islas Laitec and Cailín), fine-scale spatial conservation
41
42 495 measures might be less suitable due to this species' wider ranging habits. While their more
43
44 496 generalist nature might make Peale's dolphins less susceptible to localized habitat impacts, it
45
46 497 might also expose them to a wider range of anthropogenic pressures regionally. Therefore,
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48 498 Peale's dolphins and other highly mobile marine predators would likely benefit most from an
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50 499 integrated, region-wide ecosystem approach to managing ongoing and intensifying
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3 500 anthropogenic activities, such as the continued expansion of aquaculture into more remote
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6 501 and less impacted southern fjords (Fernández & Castilla, 2005).
7

8 502 The identified important habitats for Chilean and Peale's dolphins are highly relevant
9
10 503 for coastal and marine spatial planning in the Chiloé archipelago. The results also have
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12
13 504 implications beyond the boundaries of our study areas. The established species-environment
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15 505 relationships could be used to predict potentially important habitats for each species in the
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18 506 southern fjords. This region is the focus for major planned expansions of the Chilean salmon
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20 507 farming industry (Niklitschek et al., 2013), yet conducting systematic marine surveys in this
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22
23 508 vast fjordic region is logistically challenging and prohibitively expensive. Range-wide
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25 509 abundance estimates are lacking for both species, but have been deemed a conservation
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27
28 510 priority for Chilean dolphins given concerns about the species' past exploitation, suspected
29
30 511 low abundance, and potentially declining populations (Heinrich & Reeves, 2017). While
31
32 512 extrapolated habitat predictions should be treated with caution, they could serve to identify
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34
35 513 potentially important areas where dedicated studies of dolphin abundance should be
36
37 514 conducted or where dolphin habitat use and anthropogenic activities are most likely to
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39
40 515 overlap (Elith & Leathwick, 2009; Mannocci, Roberts, Miller, & Halpin, 2017). Spatial
41
42 516 predictions of important dolphin habitat could also be included in region-wide marine spatial
43
44
45 517 planning and conservation zoning initiatives (Guisan et al., 2013; Vila et al., 2016).
46
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48

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For Peer Review

TABLES

Table 1. Environmental, spatial and temporal variables measured during boat surveys and considered as candidate predictors in the modelling.

Variable	Working name	Description	Measurement method	Unit
Depth	Depth	Water depth	Hand-held depth sounder	m
Distance to shore	ShoreDist	Linear distance to nearest shore	Laser range finder (< 1,000 m) & GIS (≥1,000 m)	m
Distance to river	RiverDist	Distance (linear or alongshore) to nearest permanent river mouth	GIS	m
Water clarity	WaterClar	Water clarity	Secchi disk depth	m
Sea surface temperature	SST	Sea surface temperature at 1 m depth	Digital conductivity-temperature meter	° C
Salinity	Salinity	Salinity at 1 m depth	Digital conductivity-temperature meter	‰
Distance to shellfish farm	ShellfishFarm	Linear distance to nearest shellfish farm	Laser range finder (< 1,000 m) & GIS (>1,000 m)	m
Distance to salmon farm	SalmonFarm	Linear distance to nearest salmon farm	Laser range finder (<1,000m), random value from 1000 m to 7000 m	m
Beaufort scale	SeaCond	Sea conditions	Field observation	-
Latitude / Longitude	LatLon	Geographic position expressed in decimal degrees (-N, -E)	Hand-held Garmin GPS receiver	°
Year	Year	Year in which research was carried out		-

Table 2. Environmental models based on forward stepwise selection. Covariates are shown in order of importance and sequential entry into the model. Effective degrees of freedom for each smooth are given in the 'edf' column. The % Dev shows the contribution of each term to the explained deviance. Δ AIC is the reduction of AIC when the covariate was added to the model.

Chilean dolphin			
Smooth	edf	% Dev	Δ AIC
s(ShoreDist)	1.9	17.8	
s(ShellfishFarm)	2.0	+4.8	-89.7
s(Depth)	2.4	+2.6	-49.5
s(WaterClar)	3.5	+2.5	-42.5
s(RiverDist)	3.8	+1.9	-32.1
s(SST)	3.9	+1.3	-18.0
s(SeaCond)	1.7	+1.0	-17.4
s(SalmonFarm)	3.2	+0.9	-10.3
s(Salinity)	1.0	+0.4	-7.7
Total		33.2	

Peale's dolphin			
Smooth	edf	% Dev	Δ AIC
s(Depth)	3.6	8.5	
s(ShellfishFarm)	3.0	+2.2	-29.2
s(ShoreDist)	2.8	+1.4	-16.9
s(RiverDist)	3.7	+1.6	-16.8
s(Salinity)	2.1	+1.1	-14.1
s(SST)	2.1	+0.7	-6.8
s(SeaCond)	2.1	+0.6	-5.4
Total		16.1	

FIGURES (legends)

Figure 1. Distribution of sightings and absence sampling points in the northern and southern study areas in the Chiloé archipelago, southern Chile. Red points: Chilean dolphins; Yellow points: Peale's dolphins. Small blue points: sampling locations without dolphins (absences). Light blue area: extent of the coastal survey areas. Inset: Location of Chiloé archipelago in South America.

Figure 2. Occurrence of Chilean dolphins as a smooth function of covariates: distance to shore, distance to nearest river, depth, water clarity, salinity, SST, distance to nearest shellfish farm and distance to nearest salmon farm. Shaded areas represent 95% CI. Data points are represented as rug plots on the horizontal axes.

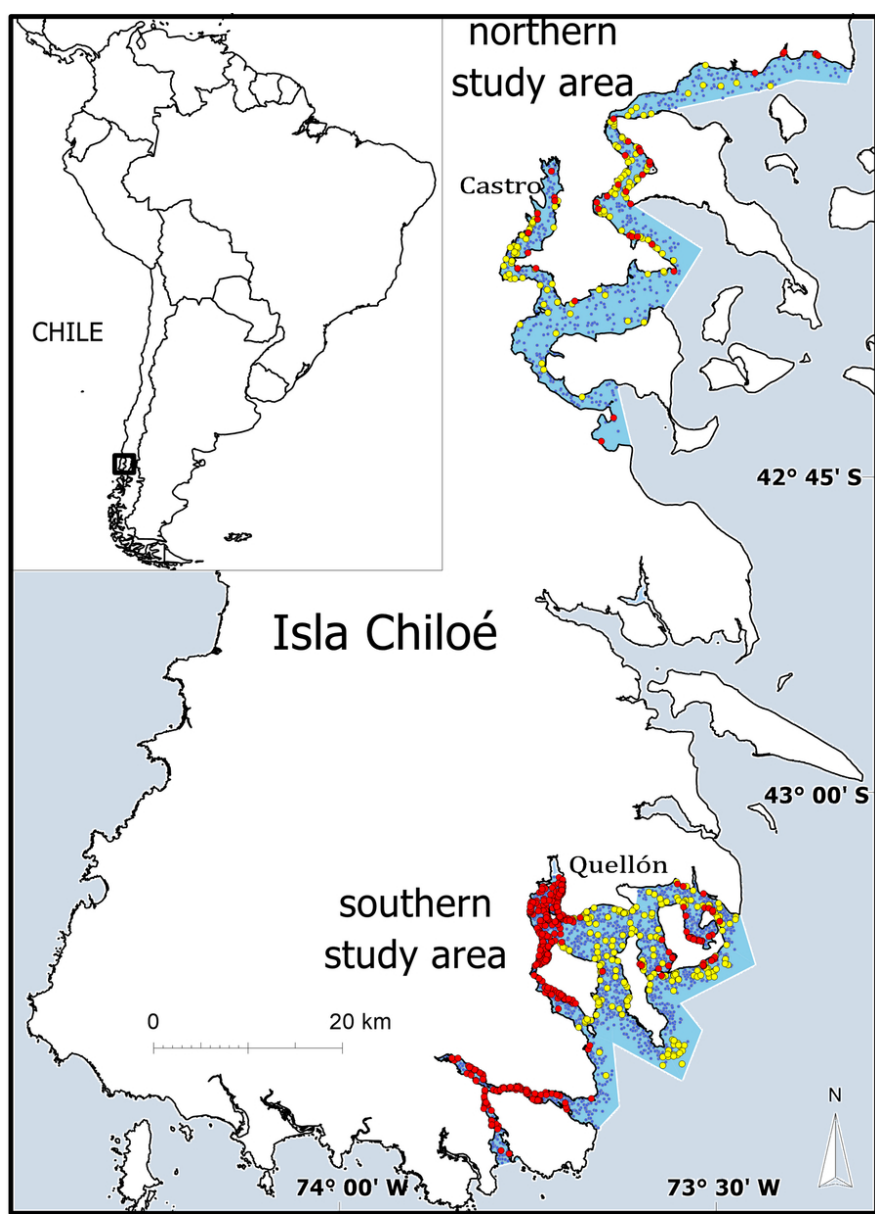
Figure 3. Predicted mean occurrence of Chilean dolphins (a) and Peale's Dolphins (d) in the the southern study area, together with upper (b, e) and lower (c, f) 95% CI.

Figure 4. Predicted mean occurrence of Chilean dolphins (a) and Peale's Dolphins (d) in the northern study area, together with upper (b, e) and lower (c, f) 95% CI.

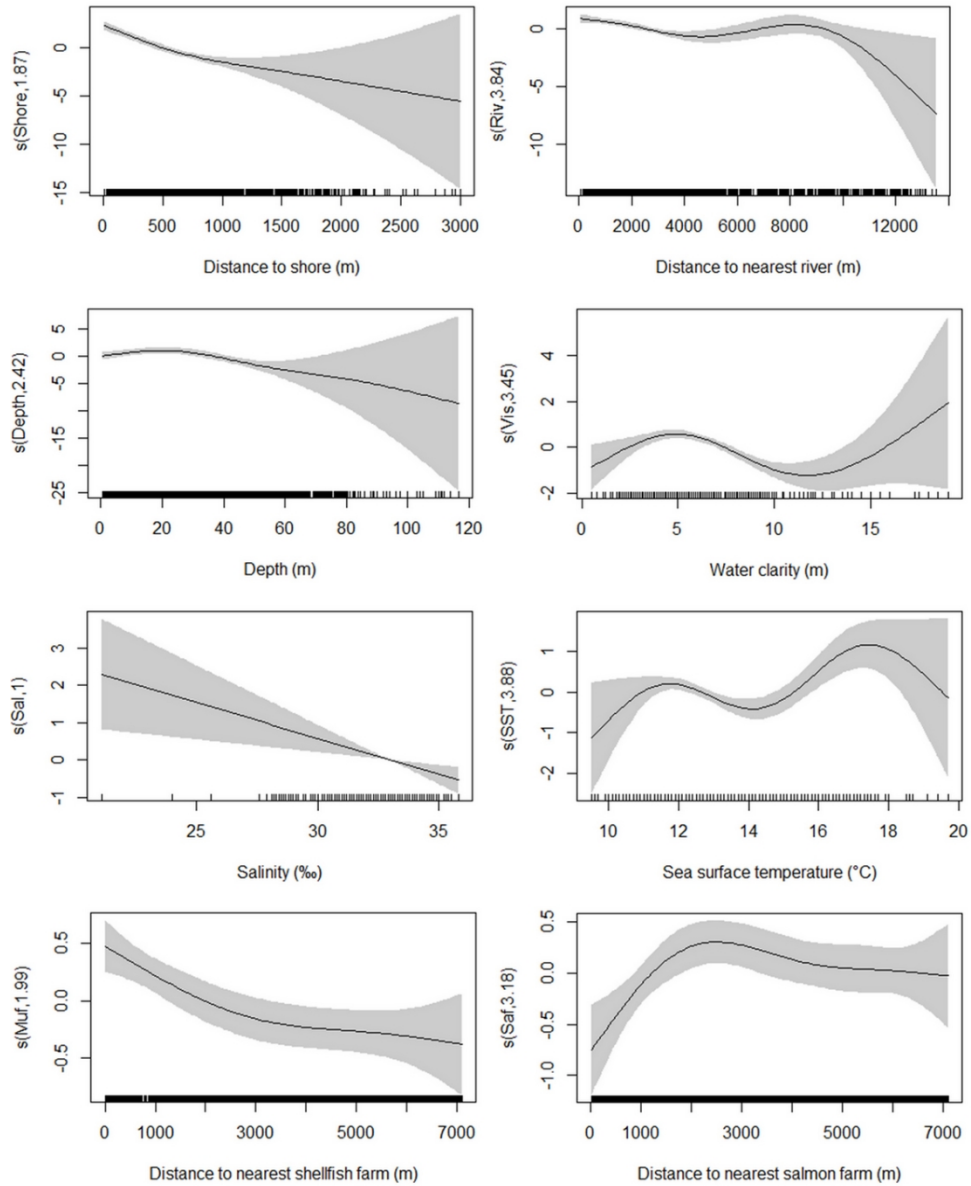
Figure 5. Occurrence of Peale's dolphins as a smooth function of different covariates: depth, distance to shore, salinity, SST, distance to nearest river and distance to nearest shellfish farm. Shaded areas represent 95% CI. Data points are represented as rug plots on the horizontal axes.

Figure 6. Predicted mean dolphin occurrence of Chilean and Peale's dolphins overlaid with concessions for salmon and shellfish farms in 2015 in the southern (a) and northern (b) study areas. For details on the colour scheme for predicted occurrence see Figures 3 and 4.

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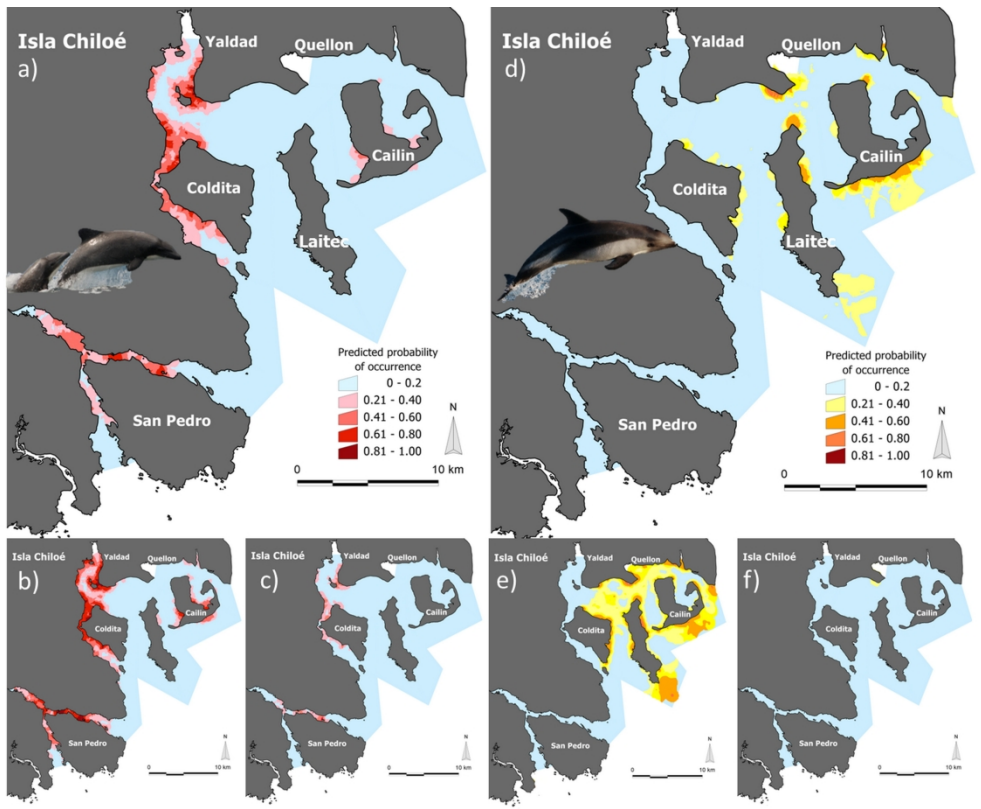


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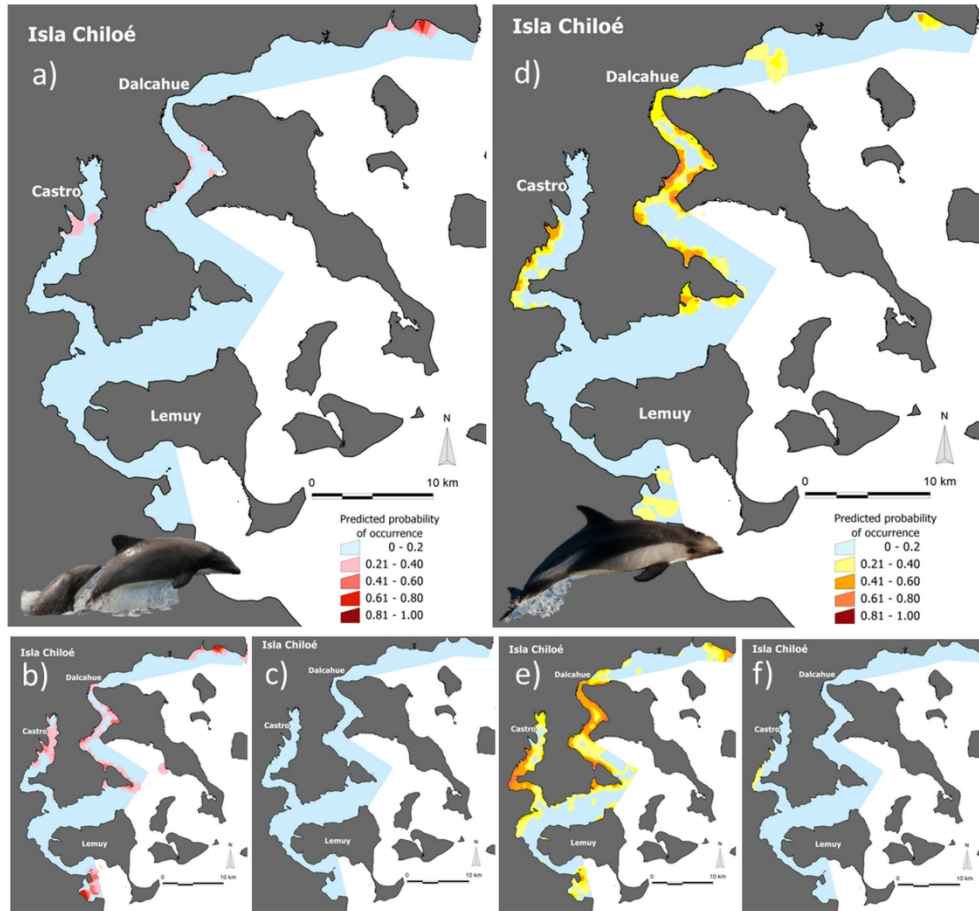


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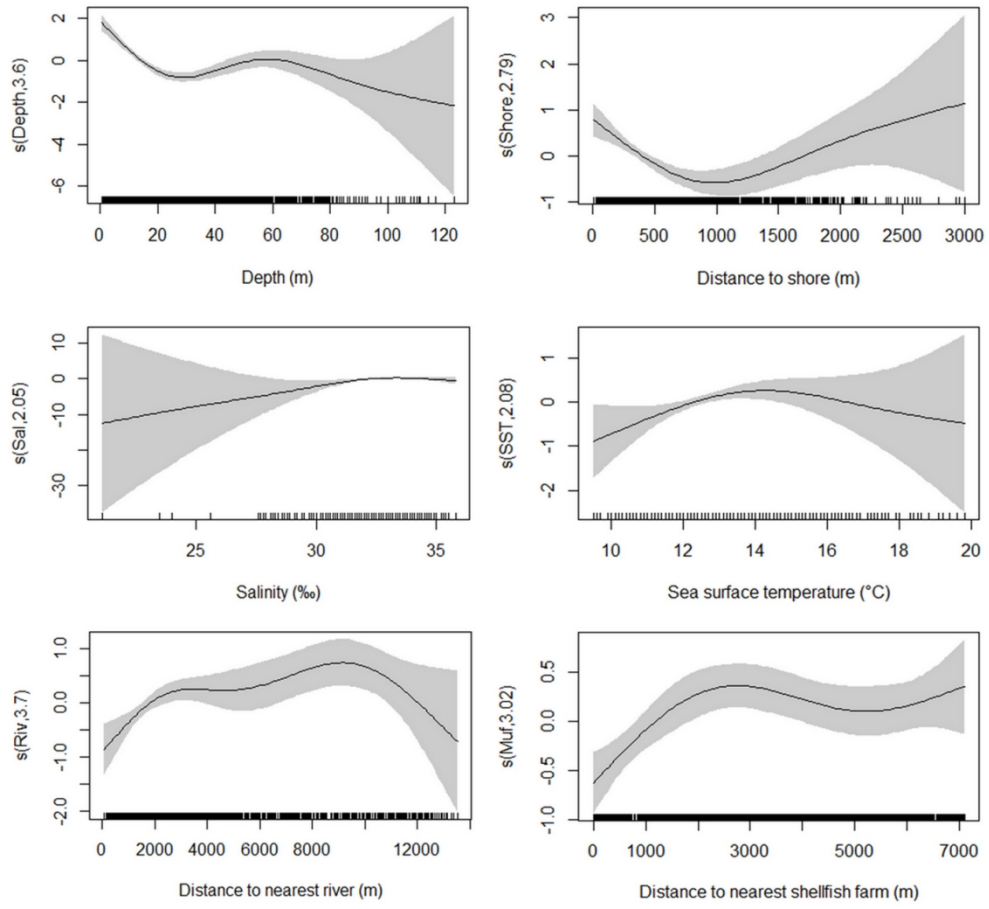


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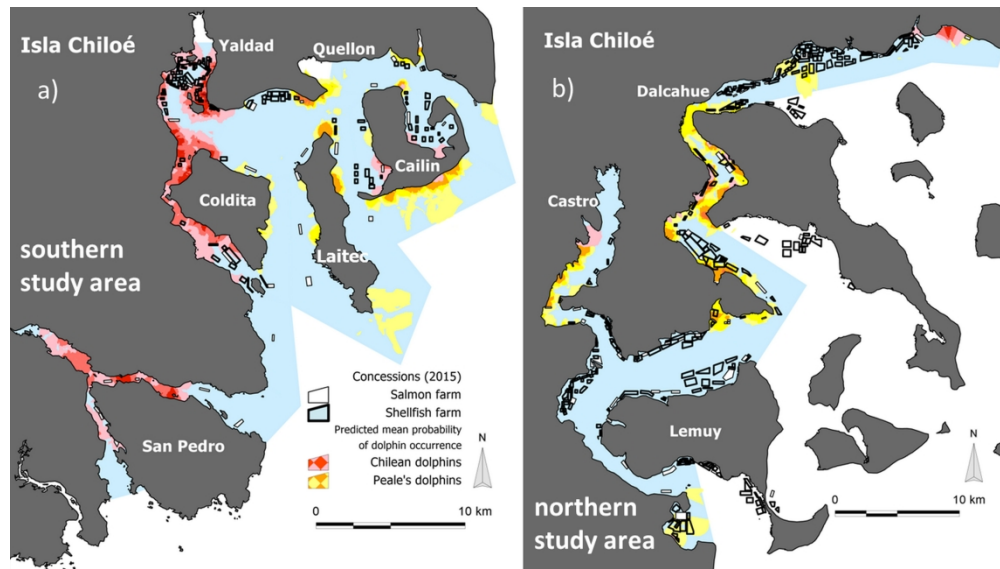


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