

ORIGINAL ARTICLE**Reticulate evolution within a spruce (*Picea*) species complex revealed by population genomic analysis**

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AUTHOR CONTRIBUTIONS

J.L. and Y.S. designed the study. Y.S., K.M., L.Z. and Z.L. collected materials and performed the experiments. Y.S. proposed the modified statistics and wrote the scripts. Y.S., X.W. and D.R. performed the analyses. J.L., R.A. and Y.S. wrote the manuscript.

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The role of reticulation in the rapid diversification of organisms is attracting greater attention in evolutionary biology. Evidence of genetic exchange between diverging taxa is reported frequently, although most studies fail to show how hybridization and introgression contribute to the adaptation and differentiation of introgressed taxa. Here, we report a population genomics approach to test the role of hybridization and introgression in the evolution of the *Picea likiangensis* species complex, which comprises four taxa occurring in the biodiversity hotspot of the Hengduan-Himalayan mountains. Based on 84,793 SNPs detected in transcriptomes of 82 trees collected from 35 localities, we identified 18 hybrids (including backcrosses) distributed within the range boundaries of the four taxa. Coalescent simulations, for each pair of taxa and for all taxa taken together, rejected several tree-like divergence models and supported instead a reticulate evolution model with secondary contacts occurring during Pleistocene glacial cycles after initial divergence in the late Pliocene. Significant gene flow occurred among some taxa after secondary contact according to an analysis based on modified ABBA-BABA statistics that accommodated a rapid diversification scenario. A novel finding was that introgression between certain taxa can contribute to increasing divergence (and possibly reproductive isolation) between those taxa and other taxa within a complex at some loci. These results illuminate the reticulate nature of evolution within the *P. likiangensis* complex and highlight the value of population genomic data in detecting the effects of introgression in the rapid diversification of related taxa.

KEY WORDS: Coalescent analyses, genetic divergence, introgression, *Picea*, population genomics, reticulate evolution

Clarifying evolutionary processes that initiate and maintain species diversity is a central aim of natural biodiversity studies (Givnish & Sytsma 2000; Andrew et al. 2013; Grant & Grant 2017). Because hybridization can generate genetic variation acted on by selection at higher rates than mutation, it has been proposed that it may often play an important role in evolutionary divergence, adaptation and speciation (Seehausen 2004; Mallet 2007;

Rieseberg & Willis 2007; Abbott et al. 2013; Arnold & Kunte 2017). In the last twenty years or so, considerable evidence of gene exchange between diverging taxa has accumulated (Harrison & Larson 2014; Abbott 2017; Suarez-Gonzalez et al. 2018a), leading to the belief that a reticulate pattern of evolution (particularly apparent for closely related taxa) is a more reasonable evolutionary paradigm than a tree-like pattern within many groups of organisms (Linder & Rieseberg 2004; Arnold 2015). However, to date, the contribution of hybridization and introgression to the diversification of extant taxa has not been verified in detail, largely because appropriate methods of analysis and genome-scale datasets were unavailable until recently (Abbott et al. 2016; Lexer et al. 2016; Payseur & Rieseberg 2016; Pease et al. 2016; Leroy et al. 2017; Suarez-Gonzalez et al. 2018b). Thus, no empirical study has yet indicated how introgression across the genome may have altered the genetic composition of recipient taxa and increased their divergence within a species complex during the course of its evolution.

In the present study, we used the *Picea likiangensis* species complex of spruce taxa as a system for testing if evolutionary divergence within this complex followed a reticulate or tree-like pattern, and secondly to clarify the role of introgression in promoting differentiation between diverging taxa. Traditionally, the *Picea likiangensis* species complex has been thought to comprise three varieties of the species (*rubescens*, *likiangensis* and *linzhiensis*) that exhibit reduced density of stomatal lines on the abaxial surfaces of leaves relative to most other *Picea* species (Fu et al. 1999). Recent studies, however, indicate that *P. brachytyla* var. *complanata*, which has no stomatal lines on its abaxial leaf surfaces, should also be included in this complex (Ru et al. 2016). These four taxa occupy sites that differ in environmental conditions and have distinct geographical ranges (Fig. 1), spanning the Hengduan-Himalayan biodiversity hotspot in Asia (Fu et al. 1999; Li et al. 2010; Li et al.

2013; Lockwood et al. 2013; Wang et al. 2017). Analyses of molecular genetic variation have shown that the taxa are genetically differentiated (Li et al. 2013; Ru et al. 2016), however their evolutionary relationships remain incompletely resolved. Nuclear phylogenies have short branch lengths and vary in topology according to the nuclear genes analysed (Li et al. 2013; Ru et al. 2016), indicating that the four taxa may have diversified rapidly and that hybridization and gene flow occurred during diversification (Li et al. 2013; Lockwood et al. 2013; Ran et al. 2015; Ru et al. 2016).

Here, we examine these possibilities further through population genomic analysis. First, we test whether the *P. likiangensis* species complex originated by means of rapid diversification involving hybridization among the four taxa or alternatively in a tree-like scenario of evolutionary divergence in which hybridization played no substantial role during diversification. Second, having shown that reticulation was important in the evolution of the *P. likiangensis* species complex, we examine how introgression contributed to the divergence of taxa within the complex.

Methods

PLANT MATERIAL AND RNA SEQUENCING

We collected young leaves from 62 trees throughout the range of the *P. likiangensis* species complex (Table 1). Trees from each population were spaced at least 100 m apart. We also sampled leaves from one *P. breweriana* tree used as an outgroup. We extracted RNA from fresh mature leaf needles collected from first year branches of each selected tree and stored it in liquid nitrogen in the field. Libraries were constructed individually using a

NEBNext[®] Ultra™ RNA Library Prep Kit for Illumina[®] (NEB, USA). Subsequently, standard RNA-seq procedures (Wang et al. 2009; Jiang et al. 2011) and an Illumina HiSeq 2500 platform were used to generate paired-end raw reads. We deposited the 62 novel transcriptomes in BioSample. For analysis, we added to these 20 transcriptomes of *P. brachytyla* var. *complanata*, and *P. likiangensis* vars. *rubescens*, *likiangensis* and *linzhiensis* available from a previous study (Ru et al. 2016). Thus a total of 83 transcriptomes were used in analyses with average number of raw bases obtained per individual >6 Gb (Table S1, Supplementary material).

READ MAPPING AND VARIANT CALLING

By controlling the Phred score, sequence length and percentage of ambiguous bases, raw reads were filtered using `fastq_quality_trimmer` (parameters `-v -t 20 -l 30`), `fastq_quality_filter` (`-v -q 20 -p 90`) and `fastq_masker` (`-q 20 -v`) elements of the FASTX Toolkit (from http://hannonlab.cshl.edu/fastx_toolkit/). Raw reads for each sample were mapped to the reference transcriptome of *P. abies* (Nystedt et al. 2013) using BWA-MEM ver. 0.7.10 (Li & Durbin 2009). Possible fungal transcripts in the *P. abies* transcriptome were deleted (Delhomme et al. 2015), and only the longest transcript of each gene was retained. Reads were sorted in BAM format using SAMTOOLS ver. 0.1.19 (Li et al. 2009) with duplicate reads marked by Picard tools (version 1.106) and excluded from further analysis. Genotypes and single-nucleotide polymorphisms (SNPs) were called using READS2SNPS v2.0 (Tsagkogeorga et al. 2012; Gayral et al. 2013). The minimum read depth was set to 10, minimum mapping quality to 20, minimum base quality to 20 and the number of threads to 10. When the posterior probability of the best-supported homozygote or heterozygote was

below 0.95, the base was treated as missing. After deleting sites with missing bases in all 83 individuals, 4743 loci without missing bases remained (Table 2). Tests of Hardy-Weinberg equilibrium implemented in vcftools0.1.12b (Danecek et al. 2011) were used on these loci to filter out polymorphic sites that deviated significantly ($P < 0.05$). A total of 3646 loci with length > 200 bps and at least one polymorphic site was retained for further analysis (Table 2).

ANALYSES OF NUCLEOTIDE DIVERSITY AND CLUSTERING

To examine nucleotide diversity and skew of the site frequency spectrum, we computed the number of segregating sites (S), π per site (Nei 1987), Tajima's D (Tajima 1989), Fay and Wu's H (Fay & Wu 2000) for each taxon in turn. We used the maximum frequency of derived mutation (MFDM) method to test the neutrality of the frequency spectrum for each locus (Li 2011) with homozygous bases in the transcriptome of *P. breweriana* used to determine ancestral states.

Individual-based clustering analysis was conducted using the maximum-likelihood approach implemented in ADMIXTURE ver. 1.23 (Alexander & Lange 2011). The data file was converted using VCFTOOLS ver. 0.1.12b and PLINK ver. 1.07 (Purcell et al. 2007; Danecek et al. 2011) and cross-validation was used to explore convergence and determine the optimum number of clusters (K , from 1 to 10, with the optimum K value indicated by lowest cross-validation error). One hundred datasets were generated using random sampling with replacement to calculate the confidence bounds of Q -values for each individual tree.

TESTING RETICULATE EVOLUTION AND TREE-LIKE DIVERGENCE MODELS

To determine the evolutionary relationships among all four taxa, we used FASTSIMCOAL2 (Excoffier et al. 2013) to compare different evolutionary models based on the site frequency spectrum (SFS) and coalescent simulations. We performed 200,000 simulations to calculate the expected SFS using the infinite site model (-I) and 200 ECM cycles to estimate parameters with the highest likelihood for each possible divergence model and the reticulation model that allowed gene flow among taxa after secondary contact (Fig. 2 and Text S1). The reticulate evolutionary model included 19 parameters (Text S1): the effective population size for each taxon and their ancestral population, 12 migration rate parameters for each pair of taxa, time of initial division (T_{DIV}), and time of secondary contact (T_{SC}). We also computed the relative T_{SC} as T_{SC} / T_{DIV} . The stopping criterion in parameter optimization was set to 10^{-5} . We collapsed all SFS entries less than 5 into a single category (-C5 of fastsimcoal2) with number of monomorphic sites considered. The mutation rate was set to 4×10^{-8} substitutions per site per generation (De La Torre et al. 2017), and 50 years per generation was assumed (Li et al. 2010). AIC values for all models were computed and used to determine the best model. We estimated parameters in this best model and the standard deviations by setting variable “C” parameters from 1 to 20.

TESTING INTROGRESSION PATTERNS BETWEEN EACH PAIR OF TAXA

Although gene exchange had been reported among the four taxa of the *P. likiangensis* species complex, the frequency and timing of such introgression remain unknown. We carried out a statistical comparison of four models of introgression during speciation (Fig. 3) for each of the six possible pairs of taxa. The four models included, (i) complete isolation

(CI), (ii) continuous migration (CM), (iii) introgression during primary contact (PC), and (iv) introgression following secondary contact (SC).

Each of the four models included the division of an ancestral population with population size N_A into two daughter populations with population sizes N_1 and N_2 at time T . In the CI model, hybridization between the two daughter populations was prohibited. In the CM and PC models gene flow occurred after division, continuing to the present time in the CM model, but stopping at time T_{pc} in the PC model. In the SC model, secondary gene flow occurred from T_{sc} to the present time following an initial allopatric phase.

For each pair of taxa, we computed the likelihoods of the observed SFS underlying the four models using FASTSIMCOAL2 (Excoffier et al. 2013). For each of the four models, we performed 200,000 simulations to calculate the expected SFS using the infinite site model, and estimated parameters with the highest likelihood in 300 ECM cycles. The stopping criterion in parameter optimization was set to 10^{-5} . The number of monomorphic sites was included. Mutation rate was again set to 4×10^{-8} substitutions per site per generation (De La Torre et al. 2017), and 50 years per generation was assumed (Li et al. 2010). The “C” parameter in FASTSIMCOAL2 (the minimum size of entry of the observed and simulated SFS) was set from 1 to 20. The four models were ranked according to the Akaike Information Criterion (AIC) values for each pair of taxa and each “C” value, and documented estimated values of parameters of the best-fit model. Standard deviations were determined from estimates of different C parameter settings.

TESTING GENE FLOW USING A MODIFIED ABBA-BABA TEST

The ABBA-BABA test provides a powerful means for detecting inter-taxon gene flow by examining excess allele sharing between two taxa (L_1 and L_2), utilizing a sister taxon (L_0) that is more closely related to one of them. A high level of gene flow between L_1 and L_2 relative

to between L_0 and L_2 would lead to a positive ABBA-BABA statistic, given that derived alleles in L_2 matched derived alleles in L_0 and L_1 with equal probability (Durand et al. 2011; Martin et al. 2015). However, the original formulae of the test assume a phylogeny with dichotomous topology, which was rejected here. Thus, we extended the test to accommodate a radiation divergence scenario with four taxa and an outgroup-species (here *P. breweriana*) used to determine the ancestral nucleotide state. We assumed that SNPs were genotyped in *P. brachytyla* var. *complanata* (L_1), and *P. likiangensis* vars. *likiangensis* (L_2), *linzhiensis* (L_3) and *rubescens* (L_4) and denote the observed frequency of SNP i in taxon L_j as p_{ij} . Because we used only homozygous bases in *P. breweriana*'s transcriptome, the observed frequency of SNP i in *P. breweriana* would be zero and was reduced in the following expressions.

$$D12 = \frac{\sum_{i=1}^n \{ (1-p_{i1})(1-p_{i2})p_{i3}p_{i4} - [(1-p_{i1})p_{i2}(1-p_{i3})p_{i4} + (1-p_{i1})p_{i2}p_{i3}(1-p_{i4}) + p_{i1}(1-p_{i2})(1-p_{i3})p_{i4} + p_{i1}(1-p_{i2})p_{i3}(1-p_{i4}) + p_{i1}p_{i2}(1-p_{i3})(1-p_{i4})]/5 \}}{\sum_{i=1}^n \{ (1-p_{i1})(1-p_{i2})p_{i3}p_{i4} + [(1-p_{i1})p_{i2}(1-p_{i3})p_{i4} + (1-p_{i1})p_{i2}p_{i3}(1-p_{i4}) + p_{i1}(1-p_{i2})(1-p_{i3})p_{i4} + p_{i1}(1-p_{i2})p_{i3}(1-p_{i4}) + p_{i1}p_{i2}(1-p_{i3})(1-p_{i4})]/5 \}}$$

$$D13 = \frac{\sum_{i=1}^n \{ (1-p_{i1})p_{i2}(1-p_{i3})p_{i4} - [(1-p_{i1})(1-p_{i2})p_{i3}p_{i4} + (1-p_{i1})p_{i2}p_{i3}(1-p_{i4}) + p_{i1}(1-p_{i2})(1-p_{i3})p_{i4} + p_{i1}(1-p_{i2})p_{i3}(1-p_{i4}) + p_{i1}p_{i2}(1-p_{i3})(1-p_{i4})]/5 \}}{\sum_{i=1}^n \{ (1-p_{i1})p_{i2}(1-p_{i3})p_{i4} + [(1-p_{i1})(1-p_{i2})p_{i3}p_{i4} + (1-p_{i1})p_{i2}p_{i3}(1-p_{i4}) + p_{i1}(1-p_{i2})(1-p_{i3})p_{i4} + p_{i1}(1-p_{i2})p_{i3}(1-p_{i4}) + p_{i1}p_{i2}(1-p_{i3})(1-p_{i4})]/5 \}}$$

$$D14 = \frac{\sum_{i=1}^n \{ (1-p_{i1})p_{i2}p_{i3}(1-p_{i4}) - [(1-p_{i1})p_{i2}(1-p_{i3})p_{i4} + (1-p_{i1})(1-p_{i2})p_{i3}p_{i4} + p_{i1}(1-p_{i2})(1-p_{i3})p_{i4} + p_{i1}(1-p_{i2})p_{i3}(1-p_{i4}) + p_{i1}p_{i2}(1-p_{i3})(1-p_{i4})]/5 \}}{\sum_{i=1}^n \{ (1-p_{i1})p_{i2}p_{i3}(1-p_{i4}) + [(1-p_{i1})p_{i2}(1-p_{i3})p_{i4} + (1-p_{i1})(1-p_{i2})p_{i3}p_{i4} + p_{i1}(1-p_{i2})(1-p_{i3})p_{i4} + p_{i1}(1-p_{i2})p_{i3}(1-p_{i4}) + p_{i1}p_{i2}(1-p_{i3})(1-p_{i4})]/5 \}}$$

$$D23 = \frac{\sum_{i=1}^n \{ p_{i1}(1-p_{i2})(1-p_{i3})p_{i4} - [(1-p_{i1})p_{i2}(1-p_{i3})p_{i4} + (1-p_{i1})p_{i2}p_{i3}(1-p_{i4}) + (1-p_{i1})(1-p_{i2})p_{i3}p_{i4} + p_{i1}(1-p_{i2})p_{i3}(1-p_{i4}) + p_{i1}p_{i2}(1-p_{i3})(1-p_{i4})]/5 \}}{\sum_{i=1}^n \{ p_{i1}(1-p_{i2})(1-p_{i3})p_{i4} + [(1-p_{i1})p_{i2}(1-p_{i3})p_{i4} + (1-p_{i1})p_{i2}p_{i3}(1-p_{i4}) + (1-p_{i1})(1-p_{i2})p_{i3}p_{i4} + p_{i1}(1-p_{i2})p_{i3}(1-p_{i4}) + p_{i1}p_{i2}(1-p_{i3})(1-p_{i4})]/5 \}}$$

$$D24 = \frac{\sum_{i=1}^n \{ p_{i1}(1-p_{i2})p_{i3}(1-p_{i4}) - [(1-p_{i1})p_{i2}(1-p_{i3})p_{i4} + (1-p_{i1})p_{i2}p_{i3}(1-p_{i4}) + p_{i1}(1-p_{i2})(1-p_{i3})p_{i4} + (1-p_{i1})(1-p_{i2})p_{i3}p_{i4} + p_{i1}p_{i2}(1-p_{i3})(1-p_{i4})]/5 \}}{\sum_{i=1}^n \{ p_{i1}(1-p_{i2})p_{i3}(1-p_{i4}) + [(1-p_{i1})p_{i2}(1-p_{i3})p_{i4} + (1-p_{i1})p_{i2}p_{i3}(1-p_{i4}) + p_{i1}(1-p_{i2})(1-p_{i3})p_{i4} + (1-p_{i1})(1-p_{i2})p_{i3}p_{i4} + p_{i1}p_{i2}(1-p_{i3})(1-p_{i4})]/5 \}}$$

$$D34 = \frac{\sum_{i=1}^n \{ p_{i1}p_{i2}(1-p_{i3})(1-p_{i4}) - [(1-p_{i1})p_{i2}(1-p_{i3})p_{i4} + (1-p_{i1})p_{i2}p_{i3}(1-p_{i4}) + p_{i1}(1-p_{i2})(1-p_{i3})p_{i4} + p_{i1}(1-p_{i2})p_{i3}(1-p_{i4}) + (1-p_{i1})(1-p_{i2})p_{i3}p_{i4}]/5 \}}{\sum_{i=1}^n \{ p_{i1}p_{i2}(1-p_{i3})(1-p_{i4}) + [(1-p_{i1})p_{i2}(1-p_{i3})p_{i4} + (1-p_{i1})p_{i2}p_{i3}(1-p_{i4}) + p_{i1}(1-p_{i2})(1-p_{i3})p_{i4} + p_{i1}(1-p_{i2})p_{i3}(1-p_{i4}) + (1-p_{i1})(1-p_{i2})p_{i3}p_{i4}]/5 \}}$$

The positive statistic DIJ represents excess allele sharing between taxa L_i and L_j , indicating inter-taxon gene flow. We tested the significance of DIJ statistics for each pair of taxa employing a jackknife bootstrap test using density distributions. In this test, 1000 pseudo-replicates from the original dataset were generated by the jackknife algorithm, and DIJ values on the basis of each pseudo-replicate were computed using custom R scripts. The R scripts used for this are available online (Script S1 and S2 in Supplementary material). According to the calculated DIJ values and density distributions, loci with positive DIJ values higher than the 97.5% quantiles were identified as introgressed between taxa L_i and L_j . Having detected loci showing signals of introgression in this way, we computed population differentiation (Φ_{ST}) between each pair of taxa using PopGenome (Excoffier et al. 1992; Pfeifer et al. 2014), at each of these loci, and then compared values of Φ_{ST} between different taxon pairs. Such comparisons were used to examine whether introgression between two taxa at a particular locus resulted in higher divergence of the introgressed taxon from a third taxon of the complex at this locus than at other loci. BLAST2GO (Conesa et al. 2005) was used to annotate the possible functions of putatively introgressed genes, while FLOR-ID (Bouché et al. 2016) was employed to examine the orthology of some of these genes to flowering-time genes in *Arabidopsis thaliana*.

Results

VARIANT CALLING, GENETIC DIVERSITY AND CLUSTERING

RNA-seq produced an average of 53.49 million (M) raw reads with an average of 4.73 gillion (G) clean bases for each sampled individual of the *P. likiangensis* species complex, and 90.68 M raw reads with 6.48 G clean bases for the one sample of *P. breweriana* (Table S1). Variant

calling analysis identified 480,258 SNPs with a total length of 16.30 Mb in all samples of the *P. likiangensis* species complex. After excluding loci indicative of paralogous signals or unequal expression bias, and sites not present in at least one of the 82 individuals of the species complex, 4743 loci with a total length of 11.9 M bases were left (Table 2). After filtering out polymorphic sites that deviated from Hardy-Weinberg equilibrium and loci <200 bps in length, 3646 loci containing 84,793 SNPs remained for analysis. Final numbers of SNPs identified for each variety are listed in Table 2.

Estimates of nucleotide diversity were similar in all four taxa (Table 2). Tajima's *D* values were negative in all taxa, while Fay and Wu's *H* was negative in var. *complanata*, var. *likiangensis* and var. *linzhiensis*, but positive in var. *rubescens*. However, MFDM tests showed no signal of positive selection in any taxon, in accordance with the large SDs calculated for both *D* and *H* (Table 2).

Genetic clustering of the 82 individuals using ADMIXTURE showed that the cross-validation error was lowest when the number of genetic clusters (*K*) was 4. With *K* = 4, most individuals of a taxon were assigned to a particular genetic cluster representing that taxon (Fig. 1), thus showing consistency with the morphologically-based classification of taxa (Fu et al. 1999). However, 18 individuals (22% of the total) showed significant signals of admixture (*Q*-values < 0.99; Bootstrap test, *P* < 0.05; Fig. 1) and therefore were taken to be hybrids. Five of these were hybrids between var. *complanata* and var. *likiangensis*, three were hybrids between var. *complanata* and var. *linzhiensis*, six were hybrids between var. *likiangensis* and var. *rubescens*, and four were hybrids between var. *linzhiensis* and var. *rubescens*. No hybrid was detected between var. *complanata* and var. *rubescens* or between var. *likiangensis* and var. *linzhiensis* among the individuals surveyed.

TESTING RETICULATE EVOLUTION AND TREE-LIKE DIVERGENCE MODELS

For all four taxa, a comparison between reticulate and tree-like models of divergence showed that the AIC value of the reticulate model (Fig. 2) was the lowest (Table 3), suggesting that secondary contacts occurred after the four taxa diverged from the common ancestor of the *P. likiangensis* species complex. This initial divergence time (T_{DIV}) was dated to 2.75 (± 0.03) million years ago (Mya), during the late Pliocene period, while the time of initial secondary contact between taxa (T_{SC}) was dated to 0.77 Mya, i.e., during the mid-Pleistocene, with relative T_{SC} (the relative timing of secondary contacts to divergence time, T_{SC} / T_{DIV}) estimated to be 0.28 (± 0.3) (Table 4). The maximum effective population size (N_e) of var. *rubescens* ($45,939 \pm 15,840$) and minimum effective population size in var. *linzhiensis* ($10,685 \pm 104$) were consistent with previous estimations for these taxa (Li et al. 2013). The N_e of the ancestral population was estimated to be 50,163 (± 6106) (Table 4).

In the reticulate model, the estimated immigration rate into any taxon from the three other taxa combined was higher than one individual per generation during the period of secondary contact (Table 4b). In addition, our results indicate highly asymmetric gene flow between some taxon pairs. For example, the mean migration rate from var. *likiangensis* to var. *complanata* was higher than in the reverse direction. Furthermore, gene flow from vars. *likiangensis* and *linzhiensis* into other taxa was greater than that from var. *complanata* and var. *rubescens* into other taxa, although corresponding standard deviations were very large (Table 4a).

INTROGRESSION PATTERNS BETWEEN EACH PAIR OF TAXA

For each of the six pairs of taxa, we tested for patterns of introgression using coalescent simulations. The Secondary Contact (SC) model (Fig. 3) had lower AICs than the Complete

Isolation (CI), Continuous Migration (CM) and Primary Contact (PC) models for each taxon-pair and each “C” parameter value (Table S2), indicating again that a period of secondary contact occurred between all four taxa during the history of the *P. likiangensis* species complex. Although, in the SC model the estimated divergence time between var. *linzhiensis* and var. *likiangensis* was smaller than those between var. *linzhiensis* and either var. *complanata* or var. *rubescens*, more recent divergence times were evident between var. *likiangensis* and both var. *complanata* and var. *rubescens*, indicating that times of initial divergence between each pair of taxa were similar and unordered (Table S3).

INTER-TAXON GENE FLOW AND TAXON DIFFERENTIATION

Analysis by means of modified ABBA-BABA statistics and jackknife tests of significance showed that gene flow between vars. *complanata* and *likiangensis* ($D_{12} = 0.288$) and between vars. *linzhiensis* and *rubescens* ($D_{34} = 0.098$) was significant (Fig. 4). By comparing the density distribution and DIJ values of all 3646 loci, we identified a total of 3314 genes that showed signals of introgression between each of 6 taxon pairs. Following this, we examined the frequency-dynamics of such introgressed alleles by comparing taxon differentiation (Φ_{ST}) per locus between each pair of taxa in turn (Fig. 4). When Φ_{ST} between vars. *linzhiensis* and *complanata* was compared with Φ_{ST} between vars. *linzhiensis* and *likiangensis*, it was evident that 32 genes, showing signals of introgression between vars. *complanata* and *likiangensis*, were located in the highest 5% tail of taxon differentiation between vars. *complanata* and *linzhiensis* and also in the highest 5% tail of taxon differentiation between vars. *likiangensis* and *linzhiensis*. This indicates that these 32 alleles occur at high frequencies in both vars. *complanata* and *likiangensis* as a result of introgression between these two taxa. Moreover, because of this, both taxa are highly

differentiated from var. *linzhiensis* which may contain the same alleles at either low frequency or not at all. Thus, it is apparent that in this case introgression most likely resulted in an increase in divergence of the introgressed pair (var. *complanata* and var. *likiangensis*) from var. *linzhiensis*. Similarly, significant gene flow between var. *linzhiensis* and var. *rubescens* likely resulted in this taxon pair becoming highly differentiated from var. *complanata* at 24 putatively introgressed loci between var. *linzhiensis* and var. *rubescens*. We annotated the functions of 53 of these putatively introgressed genes (Table S4) and found that three were highly orthologous to certain genes involved in flowering time in *Arabidopsis* (identified by FLOR-ID with E-value >5), suggesting that they might, in turn, be involved in controlling flowering time differences and therefore prezygotic reproductive isolation among the four taxa comprising the *P. likiangensis* complex (Table S4).

Discussion

Our population genomic analysis combined with tests of different evolutionary scenarios using coalescent simulations indicated that the *Picea likiangensis* complex, comprising three varieties of *P. likiangensis* (vars. *likiangensis*, *linzhiensis*, and *rubescens*) and one variety of *P. brachytyla* (var. *complanata*), originated rapidly at the end of the Pliocene and beginning of the Pleistocene. Following an initial allopatric phase, our analyses indicate that the four taxa came into secondary contact during the mid-Pleistocene and that their divergence, thereafter, has been influenced by hybridization and gene exchange (see Leroy et al. 2017, for a similar example of allopatric divergence followed by secondary contact among oaks). Signatures of high levels of gene flow were evident between vars. *rubescens* and *linzhiensis* and between vars. *complanata* and *likiangensis* that would explain the incongruent

phylogenetic relationships resolved among the four taxa in previous studies (Li et al. 2013; Ru et al. 2016). Importantly, our analyses indicated that some introgressed alleles reached high frequencies in certain taxon pairs (vars. *complanata* and *likiangensis*, and vars. *rubescens* and *linzhiensis*, respectively) causing both taxa of such pairs to show increased divergence when compared with other taxa of the complex in which the same alleles were at low frequency or absent. To our knowledge, this is the first study to show that hybridization during secondary contacts can promote differentiation among multiple related taxa as a result of introgression occurring between particular taxon pairs within a complex.

RAPID DIVERSIFICATION AND RETICULATION

Rapid diversification giving rise to an evolutionary radiation has traditionally been inferred from a phylogenetic pattern where the number of DNA substitutions accumulated among taxon sequences is small causing branching to be compressed and nodes often poorly supported (Richardson et al. 2001). However, such poorly resolved or unresolved phylogenies can also arise from hybridization and introgression (Szöllősi et al. 2015). Indeed, evidence for hybridization among taxa has been detected in some groups where evolutionary radiations have occurred (Comes & Abbott 2001; Seehausen 2004; Wan et al. 2014; Lamichhaney et al. 2015). Therefore, it is necessary to consider the possible effects of hybridization and gene flow when investigating the diversification of groups of closely related taxa.

Using the *P. likiangensis* species complex as a case study, we tested which evolutionary model best explained its origin and evolution. We concluded that following an initial phase of rapid divergence in allopatry, the evolution of the four taxa within this complex was influenced by hybridization and gene flow based on the following evidence. First,

approximately 22% of the 82 trees examined in the complex were identified as hybrids (or backcross descendents) according to ADMIXTURE analysis. These putative hybrids occurred in regions where the distributions of different taxa were adjacent or overlapped, indicating that different pairs of taxa hybridize when in contact. Second, a comparison of possible evolutionary relationships among all four taxa showed that a reticulate model involving initial divergent radiation followed by secondary contact and gene exchange among taxa was best supported (Fig. 2, Table 3). Third, coalescent simulations for each pair of taxa consistently suggested that models allowing gene flow between taxa were better supported than a model assuming complete isolation (CI, Fig. 3). Furthermore, a model assuming secondary contact (SC, Fig. 3) following an initial period of allopatric divergence was better supported (Table S2), than those assuming continual migration (CM, Fig. 3) or initial divergence with gene flow followed by complete isolation (Primary Contact, PC, in Fig. 3).

Analyses using modified ABBA-BABA statistics showed significant signatures of gene flow had occurred between vars. *rubescens* and *linzhiensis* and between vars. *complanata* and *likiangensis*, respectively (Fig. 4). Generally, it is assumed that gene flow will lead to a reduction of population differentiation between related taxa in areas of overlap and gene exchange (Abbott et al. 2013; Arnold 2015). However, it is feasible that such gene flow could increase the divergence of a hybridizing taxon pair from other taxa in a complex at loci where introgression has occurred. Our results showed this was the case for 32 genes introgressed between vars. *complanata* and *likiangensis*. For these genes this pair of taxa showed significantly increased divergence from var. *linzhiensis*. Similarly, for 24 genes introgressed between vars. *rubescens* and *linzhiensis*, these taxa showed significantly increased divergence from var. *likiangensis* (Fig. 4). Because our coalescent simulations indicated that the major direction of introgression was from var. *likiangensis* to var.

complanata in this pair of taxa, and from var. *linzhiensis* into var. *rubescens* in this taxon pair (Table 4), it seems that introgression from var. *likiangensis* will have increased the divergence of var. *complanata* from var. *linzhiensis*, while introgression from var. *linzhiensis* has elevated divergence of var. *rubescens* from var. *likiangensis*. Although the functions of these introgressed genes within the complex require detailed analysis, we found that three of them were orthologous to those involved in the control of flowering time in *A. thaliana* (Table S4). It is possible, therefore, they are similarly involved in controlling differences in flowering time within the *P. likiangensis* complex and consequently might play a partial role in the evolution of prezygotic reproductive isolation between taxa. In summary, our results are consistent with the hypothesis that gene flow occurring among taxa comprising the *P. likiangensis* complex will have greatly influenced their pattern of divergence.

EVOLUTION OF THE *P. LIKIANGENSIS* SPECIES COMPLEX

We dated the origin and initial divergence of taxa within the *P. likiangensis* complex to the late Pliocene, 2.75 (\pm 0.03) Mya, which is broadly consistent with a previous estimate of 2.05-5.2 Mya based on population variation for 13 nuclear genes (Li et al. 2013). According to palynological records, *Picea* pollen was widespread in the Hengduan and Himalayan mountain regions from the Pliocene into the Pleistocene (Lü et al. 2001; Jiang et al. 2010; Xu et al. 2010). Therefore, the ancestor of the *P. likiangensis* complex might have been widely distributed in this region before undergoing rapid divergence and speciation over a relatively short timescale triggered by climatic shifts and possible geographical changes occurring during the Pliocene-Pleistocene period (Spicer et al. 2003; Clark et al. 2005; Wang et al. 2012; Wang et al. 2014a; Wang et al. 2014b). According to our analysis, this divergence most likely occurred initially under conditions of spatial population isolation and therefore

in the absence of gene flow as assumed in the SC model (Figs. 2, 3). Under such conditions of allopatry, isolated populations may have diverged rapidly in response to selection for adaptation to different ecological niches and the effects of genetic drift (Li et al. 2013; Wang et al. 2017).

Initial secondary contact among the four taxa was dated to approximately 0.77 (\pm 0.10) Mya (Table 4), coinciding with the start of the major glacial cycles during the Pleistocene (Elderfield et al. 2012; Martínez-Botí et al. 2015). The relative distributions of the different taxa are likely to have been markedly affected by Pleistocene climatic oscillations causing taxa to come into secondary contact at different times allowing hybridization and gene exchange to occur (Arnold 2016). Previous studies of the *P. likiangensis* complex indicated that changes in the distributions of taxa most likely occurred in response to climate change during recent glacial cycles (Li et al. 2013; Sun et al. 2015). Such cycles, beginning in the mid-Pleistocene, are likely therefore to have played a critical role in shaping the reticulate evolution of the *P. likiangensis* species complex.

Our coalescent estimations suggest that gene exchange among the four taxa continued over a lengthy period, approximately 0.77 million years, representing 28% of the period after the taxa first diverged from each other (Table 4). Theory predicts that some alleles would be fixed or increase to high frequencies within different taxa over such a timescale by random drift and/or other evolutionary drivers (Durrett 2008). Pairwise comparisons between taxa showed divergence among taxa was not elevated by the introgression of many alleles, with introgression restricted to areas of parapatry (Fig. 1 and 4). Nonetheless, some introgressed alleles showed high levels of divergence among certain taxon pairs (Fig. 4), indicating that introgression of these alleles had contributed to divergence within the complex (see above). Although the MFDM test showed no obvious signal of selection for

each introgressed gene, a recent investigation proposed that uncertainty in the inference of derived states from one outgroup may lead to low accuracy in detecting such signals (Keightley et al. 2016). Consequently, detailed investigations of selection are required to determine whether or not introgressed alleles that contributed to divergence have been subject to selection.

Our refined understanding of reticulate relationships among the four taxa comprising the *P. likiangensis* complex helps shed new light on the origin of variation in density of leaf stomatal lines within the complex, which might be critical for adaptation to past and future changes in environmental moisture level (Beerling & Kelly 1997; Chen et al. 2017). Whereas vars. *rubescens*, *likiangensis* and *linzhiensis* of *P. likiangensis* show a reduced density of stomatal lines on the abaxial surfaces of leaves, they are completely absent from leaves of *P. brachytyla* var. *complanata*. Ru et al. (2016) previously suggested that adaptive introgression may have caused the trait to be absent in var. *complanata* (Ru et al. 2016), however the secondary contact model supported by the present population genomic analysis suggests that variation in density of stomatal lines on the abaxial surfaces of leaves could have been present among ancestral populations that gave rise to the four taxa. Thus, the loss of stomatal lines from leaves in extant populations of var. *complanata* could have arisen at an early stage in the formation of the four taxa and before they came into secondary contact approximately 0.77 Mya.

In summary, our study has shown that a population genomic analysis combined with coalescent simulations provides a powerful means of statistically detecting signals of reticulate evolution and distinguishing between this type of evolution and a tree-like pattern within closely related groups of taxa. In particular, our analysis has provided a deeper understanding of the reticulate relationships among the four taxa comprising the *P.*

likiangensis complex, and how reticulate evolution within the complex may have been affected by climatic oscillations and geological changes that occurred in the Hengduan and Himalayan regions during the Pleistocene.

DATA ARCHIVING

All new RNA-seq data sets used in this study have been submitted to the NCBI SRA under BioProject Accession no. PRJNA392950 and PRJNA378930.

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Table 1. Locations of the 35 populations sampled within the *Picea likiangensis* species complex plus the collection site of one *P. breweriana* tree sampled. Numbers in brackets indicate samples previously examined by Ru et al. 2016.

Population	Collection site	Latitude	Longitude	Altitude (m)	n
<i>P. breweriana</i> (Bre)	Common garden, Gansu	N35°55'59"	E104°9'0.3	1774	1
<i>P. likiangensis</i> var. <i>rubescens</i> (RUB)					22 (5)
1 MSZ-01	Airport, Kangding, Sichuan	N30°7'14.1"	E101°45'22"	4221	1
2 MSZ-02	Tacheng, Kangding, Sichuan	N30°17'41.9"	E101°36'34"	3978.51	3 (1)
3 MSZ-03	Tagongsi, Sichuan	N30°16'27.7"	E101°31'19.2"	3589.66	1 (1)
4 MSZ-04	Jianziwan mountain, Sichuan	N29°59'58.5"	E100°52'17"	4178.94	2 (1)
5 MSZ-05	Heni, Sichuan	N30°17'13"	E99°31'9.2"	4289.50	4 (1)
6 MSZ-06	Zongla mountain, Sichuan	N29°43'47.4"	E98°37'47.1"	4026.58	3 (1)
7 MSZ-07	Rumei, Tibet	N29°36'40.6"	E98°9'25"	4104.20	2
8 MSZ-08	Zuogong, Tibet	N29°40'59.6"	E97°55'54.9"	4122.95	2
9 MSZ-09	Ranwu, Tibet	N29°33'20.8"	E96°46'38.4"	4186.15	2
10 MSZ-35	Ranwu lake, Tibet	N29°29'24.6"	E96°40'20.2"	3920.11	2
var. <i>linzhiensis</i> (LIN)					21 (5)
11 MSZ-15	Milin, Linzhi, Tibet	N29°11'5.1"	E93°58'42.8"	2988.12	3 (1)
12 MSZ-25	Milin, Linzhi, Tibet	N29°27'48.2"	E94°37'3.9"	2913.14	2 (1)
13 MSZ-26	Qiangna, Linzhi, Tibet	N29°27'52.2"	E94°35'36.6"	2919.63	1 (1)
14 MSZ-29	Nixi, Linzhi, Tibet	N29°45'20.8"	E94°15'36.1"	3042.92	2

15	MSZ-30	Sejila mountain, Linzhi, Tibet	N29°34'10.6"	E94°33'28.7"	3421.91	3 (1)
16	MSZ-31	Sejila mountain, Linzhi, Tibet	N29°40'26.1"	E94°43'12.1"	3663.20	5 (1)
17	MSZ-32	Lulang, Tibet	N29°49'32.2"	E94°44'23.2"	3125.35	2
18	MSZ-33	Bomi, Tibet	N29°53'25.8"	E95°31'23.8"	2698.53	1
19	MSZ-34	Bomi, Tibet	N29°49'25.8"	E95°42'41.5"	3262.82	2
	<i>var. likiangensis</i> (LIK)					24 (5)
20	MSZ-41	Pudacuo, Yunnan	N27°41'35.1"	E100°0'54.6"	3208.26	3 (2)
21	MSZ-42	Pudacuo, Yunnan	N27°34'8.4"	E100°1'25.8"	3025.85	1
22	MSZ-44	Daju mountain, Yunnan	N27°13'12.5"	E100°15'58.1"	2993.41	2 (1)
23	MSZ-45	Daju mountain, Yunnan	N27°12'13.5"	E100°16'33.9"	3132.56	2
24	MSZ-46	Daju mountain, Yunnan	N27°11'54.1"	E100°16'43.9"	3260.41	2
25	MSZ-47	Yulongxue mountain, Yunnan	N27°7'53.8"	E100°13'58.9"	2947.51	4 (1)
26	MSZ-48	Yulongxue mountain, Yunnan	N27°8'31.7"	E100°14'0.6"	3197.45	3
27	MSZ-50	Yuhu village, Yunnan	N27°1'30.3"	E100°12'32.3"	2845.13	4 (1)
28	MYs	Mianya south, Sichuan	N27°31'9"	E101°21'34"	3300	3
	<i>P. brachytyla var. complanata</i> (COM)					15 (5)
29	MSZ-38	Deqin, Yunnan	N28°24'25.4"	E98°59'16.6"	3882.86	1
30	MSZ-40	Napahai, Yunnan	N27°55'51"	E99°36'59.3"	3511.80	2
31	MY	Mianya, Sichuan	N27°33'49"	E101°21'34"	3511	1 (1)
32	NPH	Napahai, Yunnan	N27°55'40"	E99°36'51.2"	3506	2 (1)
33	XGLL	Xianggelila, Yunnan	N27°48'	E99°39'	3329	2 (1)
34	XSQ	Xinshengqiao, Yunnan	N26°27.43'	E99°18.83'	2925	4 (1)
35	Zhong	Xiaozhongdian, Yunnan	N27°27.399'	E99°53.588'	3128	3 (1)

Table 2. Summary statistics obtained from an analysis of sampled transcriptomes

	All samples	var. <i>complanata</i>	var. <i>likiangensis</i>	var. <i>linzhiensis</i>	var. <i>rubescens</i>
Full data set^a					
Number of (No.) loci	20743	16178	16558	17086	16775
No. alignment positions	16297461	13873969	14040232	14375147	13990046
No. SNPs	480258	207857	217561	192168	284698
Reduced data set^b					
No. loci	4743	5640	5574	6307	6092
No. alignment positions	3438344	4217541	4819161	6017771	5782898
No. SNPs	93245	59158	65631	60640	111561
Reduced data set^c					
No. loci	3646	3646	3646	3646	3646
No. alignment positions	3325106	3325106	3325106	3325106	3325106
No. SNPs	84793	37549	38258	27722	55893
$\pi \pm SD (\times 100)$	0.256 ± 0.196	0.237 ± 0.193	0.219 ± 0.187	0.185 ± 0.176	0.264 ± 0.197
Tajima's $D \pm SD$	-1.211 ± 0.712	-0.598 ± 0.910	-0.514 ± 0.948	-0.204 ± 1.033	-1.054 ± 0.759
Fay&Wu's $H \pm SD$	-0.091 ± 0.780	-0.030 ± 0.978	-0.137 ± 1.070	-0.211 ± 1.192	0.012 ± 0.790

^a All loci after deleting contigs in the reference transcriptome without mapped reads.

^b Only sites without missing data.

^c 84793 SNPs retained across 3646 loci that met the following criteria: Hardy-Weinberg Equilibrium test ($P > 0.05$), length of locus > 200 bps, at least one segregating site per locus, no missing sites among four varieties.

Table 3. AIC values for the reticulate model and all possible tree-like models for the evolution of the four taxa. Bold type indicates the lowest AIC value. Abbreviations are: COM = var. *complanata*, LIK = var. *likiangensis*, LIN = var. *linzhiensis*, RUB = var. *rubescens*.

Model	Topology	AIC
Reticulate	see figure 2	3403620
Polytomous	(COM,LIK,LIN,RUB)	3485790
AB_C_D	((COM,LIK),LIN),RUB)	3590390
AB_CD	((COM,LIK),(LIN,RUB))	3723828
AB_D_C	((COM,LIK),RUB),LIN)	3546428
AC_B_D	((COM,LIN),LIK),RUB)	3580112
AC_BD	((COM,LIN),(LIK,RUB))	3773072
AC_D_B	((COM,LIN),RUB),LIK)	3602820
AD_B_C	((COM,RUB),LIK),LIN)	3560660
AD_BC	((COM,RUB),(LIK,LIN))	3763128
AD_C_B	((COM,RUB),LIN),LIK)	3675782
BC_A_D	((LIK,LIN),COM),RUB)	3588372
BC_AD	((LIK,LIN),(COM,RUB))	3614766
BC_D_A	((LIK,LIN),RUB),COM)	3581342
BD_A_C	((LIK,RUB),COM),LIN)	3585060
BD_AC	((LIK,RUB),(COM,LIN))	3617176
BD_C_A	((LIK,RUB),LIN),COM)	3600046
CD_A_B	((LIN,RUB),COM),LIK)	3611890
CD_AB	((LIN,RUB),(COM,LIK))	3592800
CD_B_A	((LIN,RUB),LIK),COM)	3587710

Note: model AB_CD indicates that the divergence time between varieties A and B was more recent than divergence between C and D; model AB_C_D indicates that the first divergence event in coalescent time occurred between A and B, as shown in the topology.

Table 4a. Estimated parameters of the reticulate evolutionary model illustrated in Figure 2. A generation time of 50 years (y) per generation (g) was assumed.

Parameter	N_{COM}	N_{LIK}	N_{LIN}	N_{RUB}	N_{anc}	Relative T_{sc}	T_{sc} (g)	T_{DIV} (g)	T_{sc} (y)	T_{DIV} (y)
Mean	28,036	17,881	10,685	45,939	50,163	0.28	15,364.50	55,091.05	768,225	2,754,553
S.D.	3,564	645	104	15,840	6,106	0.03	1,902.30	647.28	95,115	32,364

Table 4b. Mean migration rates per million generations and corresponding standard deviations (S.D.) for each pair of varieties in Figure 2.

Migration rate matrix	Mean				S.D.			
	From COM	From LIK	From RUB	From LIN	From COM	From LIK	From RUB	From LIN
M_{COM}	–	225.42	0.05	105.04	–	201.88	0.04	135.18
M_{LIK}	0.74	–	0.33	84.66	0.71	–	0.43	132.75
M_{RUB}	0.07	130.32	–	64.70	0.13	88.65	–	101.33
M_{LIN}	33.41	159.57	0.51	–	102.57	129.41	0.73	–

FIGURES

Figure 1. (A) The distribution of the sampled 82 trees within the *Picea likiangensis* species complex.

Each tree is represented by a bar indicating its inferred ancestry. The dotted lines indicate boundaries

of the Himalayan mountains, Hengduan mountains and Qinghai-Tibet Plateau (QTP). (B) Assignment

of individuals to different genetic groups (K) when $K = 4$ as inferred by ADMIXTURE. Each

individual is represented by a vertical bar displaying the individual's probability (Q -value) belonging

to one or another cluster (genetic group). Individuals with Q -values < 0.99 (bootstrap test, $P < 0.05$)

are indicated by solid circles and considered to be hybrids.

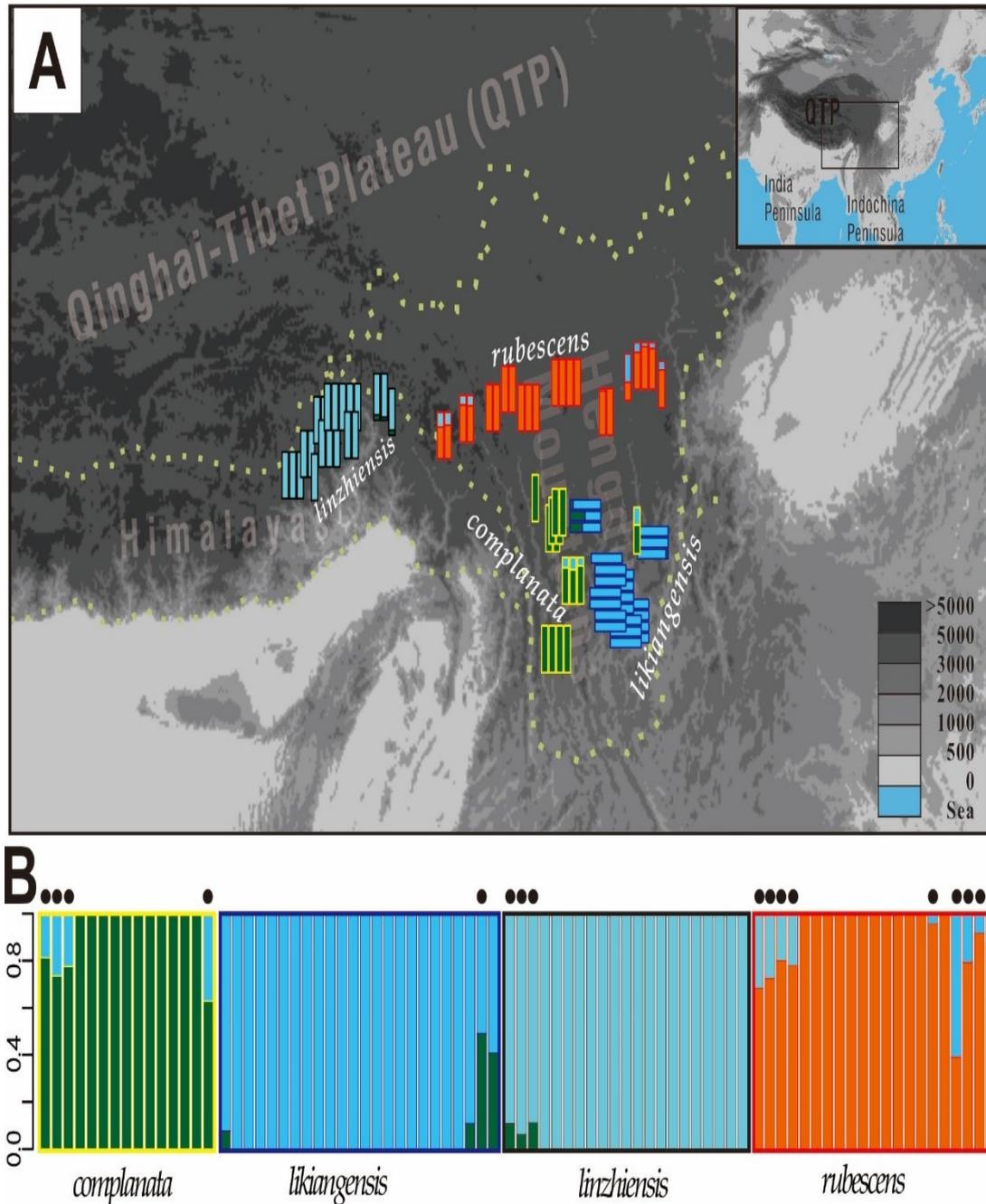


Figure 2. The most likely reticulate evolutionary model involving a period of secondary contact among the four taxa comprising the *Picea likiangensis* species complex. The top layer (parallelogram)

indicates the divergence time of the four taxa at T_{DIV} , when the four taxa diverged from an ancestral population with size N_{anc} . The middle layer indicates the time (T_{SC}) that secondary contact was initiated among taxa. Arrows indicate genetic exchange among taxa from initial secondary contact (T_{SC}) to the present. For each pair of taxa, two free migration parameters in different directions are assumed, indicating 12 parameters in the migration matrix (Text S1). Current population sizes of the four taxa are represented by N_{COM} , N_{LIK} , N_{LIN} and N_{RUB} .

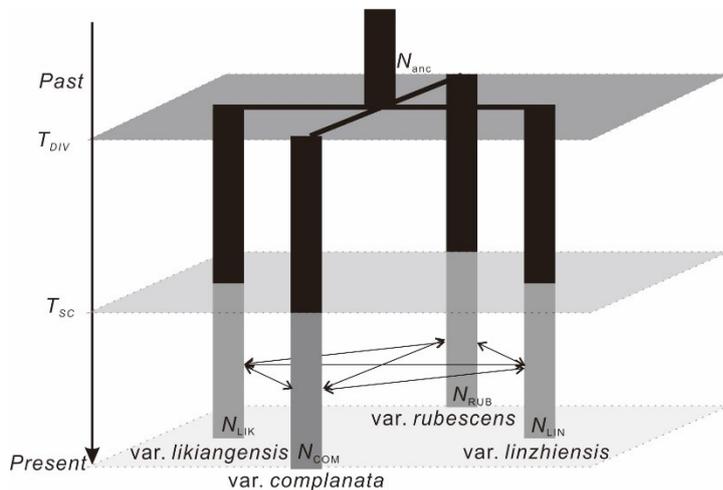


Figure 3. Four models (evolutionary scenarios) used to test temporal patterns of divergence and migration: Complete isolation (CI), Continuous migration (CM), Primary contact (PC), and Secondary contact (SC). T is the divergence time in all models. T_{PC} is the time when the two populations stopped exchanging migrants. T_{SC} is the time when the two populations began exchanging migrants. N_A , N_1 and N_2 are the effective population sizes (for haploid genome) of the ancestral population and two daughter populations, respectively. The migration rates per generation per individual are denoted by m_1 and m_2 .

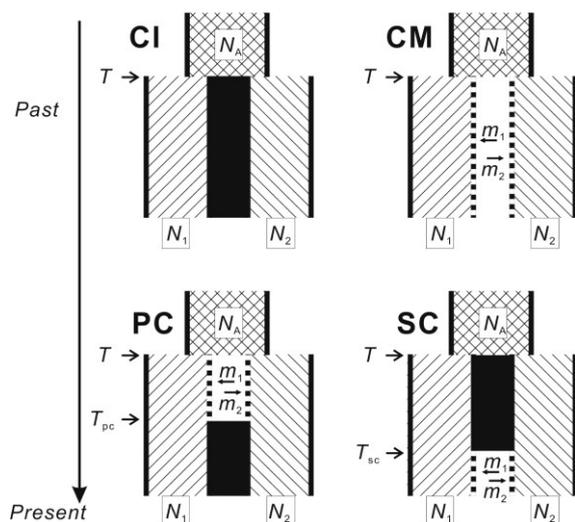


Figure 4. Tests of gene flow among each pair of taxa and population differentiation at putatively introgressed loci. Abbreviations for the four taxa: 1 = var. *complanata*, 2 = var. *likiangensis*, 3 = var. *linzhiensis*, 4 = var. *rubescens*. Positive DIJ represents gene flow between a pair of taxa I and J (I = 1, 2, 3; J = 2, 3, 4). For each pair of taxa, 1000 jackknife replicates were used to generate each density distribution, where the broken lines indicate the 95% confidence intervals and solid triangles denote observed value for each pair (shown on left side of each panel, A-F). For each pair of taxa, we also computed the Φ_{ST} per locus and then plotted values for a particular taxon pair against another pair where one taxon was common to both pairs while the other two taxa involved were different (shown on right side of each panel, A-F). This was done for both putative introgressed loci (blue squares) and non-introgressed loci (black crosses). Thus, in panel A the plot is shown of values of Φ_{ST} per locus for var. *likiangensis* versus var. *linzhiensis* against Φ_{ST} values for var. *complanata* versus var. *linzhiensis*. Broken lines within each plot represent the 95% Φ_{ST} -quantiles for all loci. Blue integers represent the number of putative introgressed loci for which Φ_{ST} is higher than 95% Φ_{ST} -quantiles in two dimensions.

