

1 **Translational biology of nematode effectors. Or, to put it another way, functional analysis of**  
2 **effectors – what’s the point?**

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15 **Summary:** There has been a huge amount of work put into identifying and characterising effectors  
16 from plant-parasitic nematodes in recent years. Although this work has provided insights into the  
17 mechanisms by which nematodes can infect plants, the potential translational outputs of much of  
18 this research are not always clear. This short article will summarise how developments in effector  
19 biology have allowed, or will allow, new control strategies to be developed, drawing on examples  
20 from nematology and from other pathosystems.

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23 **Keywords:** effector, plant-parasitic nematode, translational biology

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25 Biotrophic parasitism of plants is a strategy used by a wide taxonomic range of organisms,  
26 including nematodes, insects, fungi, oomycetes and bacteria, and there are considerable parallels  
27 between many aspects of the life cycles of these organisms. Each needs to overcome the physical  
28 and chemical barriers that plants use to protect themselves and many will need to overcome or  
29 suppress induced plant defence responses. All will need to extract the nutrients required for  
30 development from their chosen hosts and many, in doing so, will induce cellular or physiological  
31 modifications that improve the nutritional qualities of the host. For example, cyst nematodes exploit  
32 plant sugars as a food source (Danchin *et al.*, 2016) and sucrose transporters are upregulated during  
33 the early stages of the interaction between cyst nematodes and their hosts, allowing food to be  
34 transported into the developing syncytium (Hoffman *et al.*, 2007). Similarly, several *SWEET* genes  
35 encoding putative sugar transporters are induced in rice in response to the pathogenic bacteria  
36 *Xanthomonas oryzae* pv. *oryzae*, that can support bacterial development (Streubel *et al.*, 2013). A  
37 more direct example of a pathogen modifying the host comes from the fungal pathogen *Ustilago*  
38 *mays*, which introduces a chorismate mutase into the cells of its host during infection that adjusts  
39 the metabolic status of the host through metabolic priming (Djamei *et al.*, 2011).

40 At a molecular level, the interactions of plant pathogens with their hosts are mediated largely  
41 by effectors. There are many different definitions of “effectors” used in different branches of plant  
42 pathology. For the purposes of this article we use the relatively broad definition suggested by Bird *et*  
43 *al.*, (2014): “Any pathogen molecule that suppresses host defences or manipulates the host to allow  
44 provision of food to the pathogen”. Effectors are secreted from the pathogen into their hosts and in  
45 plant-parasitic nematodes they arise mainly from the subventral and dorsal pharyngeal gland cells,  
46 from where they are secreted into the host through the stylet. Effectors may also originate from  
47 other tissues and it has recently been shown that some effectors are secreted from the amphids into  
48 the apoplast surrounding the feeding nematode (Eves van den Akker *et al.*, 2014a). Changes in the  
49 morphology of the pharyngeal gland cells during development of cyst and root-knot nematodes  
50 suggest that the products of the subventral gland cells (which are large and active in the invasive  
51 second stage juvenile) are likely to be important at the early stages of the host-parasite interaction  
52 while those of the dorsal gland cell (which grows and becomes more active during the parasitic  
53 stages) are likely to be more important during the parasitic process (Hussey & Mimms, 1990).

54 Effectors play a central role in the biology of plant-parasitic nematodes and consequently a  
55 great deal of effort has been put into identifying the genes encoding these proteins. Several detailed  
56 reviews on this topic have been published recently (*e.g.* Govere & Smart, 2014; Mitchum *et al.*,  
57 2013; Haegeman *et al.*, 2012); here we provide only the briefest of outlines and recommend these

58 reviews for further details. The most productive strategy for identification of effectors has been  
59 analysis of transcriptome (or Expressed Sequence Tag – EST) sequences, either from whole  
60 nematodes or from aspirated gland cell contents (Noon *et al.*, 2015; Maier *et al.*, 2013; Gao *et al.*,  
61 2003; Huang *et al.*, 2003). This analysis has been used for identification of effectors from sedentary  
62 endoparasites including cyst and root-knot nematodes (*e.g.* Jones *et al.*, 2009; Petitot *et al.*, 2016),  
63 *Nacobbus aberrans* (Eves van den Akker *et al.*, 2014b) and *Rotylenchulus reniformis* (Wubben *et al.*,  
64 2010), as well as for a variety of migratory endoparasites (Kikuchi *et al.*, 2007; Haegeman *et al.*,  
65 2009; Haegeman *et al.*, 2011). More recently, genome sequences of plant parasitic nematodes have  
66 become available and are now being analysed for the presence of effectors (Danchin *et al.*, 2013;  
67 Thorpe *et al.*, 2014, Eves van den Akker *et al.*, 2016). In all cases, effectors are first identified by a  
68 combination of bioinformatic analyses. These candidate genes are validated by functional assays  
69 such as (i) confirmation that the genes are expressed in secretory organs (most often the gland cells)  
70 from which they can be delivered into the host and (ii) evaluation of their contribution to fitness of  
71 the pathogen by silencing in the nematode. High-throughput and relatively low cost sequencing  
72 approaches mean that these types of approaches are now being applied to a far wider range of  
73 nematodes than was previously feasible; this is clearly illustrated by the development of the 959  
74 nematode genomes initiative project (Kumar *et al.*, 2012;  
75 <http://www.nematodes.org/nematodegenomes>). Furthermore, new tools are being developed that  
76 allow more focused sequencing targeted specifically at effectors that will make effector  
77 identification even more accessible. For example, a method for isolation of gland cells and  
78 sequencing of amplified RNA has been described that has been used for identification of effectors in  
79 three plant-parasitic nematodes (Maier *et al.*, 2013). In addition, capture array protocols have been  
80 described that allow specific subclasses of genes to be enriched from a genome prior to sequencing,  
81 allowing sequence depth to be focused on genes of interest while ignoring other parts of the  
82 genome. This approach has allowed, for example, sequencing of the full resistance gene  
83 complement of potato, tomato and wheat (Jupe *et al.*, 2013; Andolfo *et al.*, 2014; Steuernagel *et al.*,  
84 2016) and is currently being applied to capture and sequencing of effectors. This approach is likely to  
85 be of particular value for comparative analysis of relatively closely related populations of a  
86 nematode species for which the effector complement is known, as design of the probes for the  
87 capture array requires prior knowledge of the sequence types that are being sought.

88 The outputs of these projects have led to the identification of a wide range of effectors from a  
89 variety of economically important plant-parasitic nematode species. This has enabled elegant  
90 functional studies that have demonstrated the importance of effectors in several aspects of the  
91 biology of plant-parasitic nematodes. For example, effectors have been identified that suppress host

92 defence responses (Jaouannet *et al.*, 2012; Postma *et al.*, 2012; Lozano-Torres *et al.*, 2014; Niu *et al.*,  
93 2016) while others have been shown to interact with auxin transporter proteins (Lee *et al.*, 2011) or  
94 exhibit transcriptional activation activity in their host (Zhang *et al.*, 2015) and these may therefore  
95 have an important role in development of the feeding site.

96 It is clear that functional studies of effectors provide valuable information about the molecular  
97 mechanisms by which nematodes infect plants. However, the practical outputs that are emerging  
98 from effector biology are not always understood and may not be emphasised by the authors of such  
99 work. However, the underpinning rationale for work on effectors is to provide new methods for  
100 controlling pathogens. Here we describe some of the translational outputs of effector research.

101

## 102 NATURAL RESISTANCE

103 The function and evolution of induced plant defences has been described by the zigzag model  
104 (Jones & Dangl, 2006) and is summarised in Figure 1 in the context of plant-nematode interactions.  
105 Plants are under constant attack from a wide range of potential pathogens. The majority of these  
106 pathogens are successfully repelled following detection of essential, conserved pathogen molecules  
107 (Pathogen-Associated Molecular Patterns – PAMPs) which activate the first layer of host defences –  
108 PAMP-triggered immunity (PTI) - following perception by pattern-recognition receptors (PRRs). PTI  
109 may also be induced upon detection of the breakdown products of the plant cell wall, which are  
110 termed damage-associated molecular patterns (DAMPs). Successful biotrophic pathogens deliver  
111 effectors that suppress PTI and thus induce effector-triggered susceptibility (ETS). In order to  
112 counter this, a second layer of immune receptors is present encoded by resistance (*R*) genes.  
113 Resistance proteins detect the presence of effectors and activate effector-triggered immunity (ETI).  
114 ETI is frequently accompanied by a strong, localised cell death, termed the hypersensitive reaction  
115 (HR). Effectors that are recognised by resistance proteins are termed avirulence (*Avr*) factors.

116 The HR arising from the recognition of an *Avr* gene product by its cognate *R* protein can be  
117 readily visualised as a patch of cell death in some plants (Figure 2). This can be achieved either by  
118 transiently expressing the *Avr* gene alone in a plant carrying the appropriate *R* gene (Figure 2A) or by  
119 transiently expressing both the *Avr* effector and the *R* genes in a plant (Figure 2B & 2E). Knowledge  
120 of an *Avr* effector therefore offers several potential practical benefits. Perhaps most importantly an  
121 *Avr* gene can be used as a tool to assist in the identification of the corresponding *R* gene, by acting as  
122 a probe that reveals the presence of the *R* gene in a given sample. The use of effectors in these  
123 resistance screening approaches has recently been reviewed (Du & Vleeshouwers, 2014), and

124 includes several examples of identification of *R* genes against the late blight pathogen *Phytophthora*  
125 *infestans*. For example, knowledge of the *R3a/Avr3a* matching pair enabled the subsequent  
126 identification of the closely linked, but functionally distinct, *R3b* resistance gene and its cognate *Avr*  
127 gene *Avr3b* (Li *et al.*, 2011). Similarly, effectors can also be used as tools to identify novel resistance  
128 sources. Vleeshouwers *et al.* (2008) screened a range of wild Solanaceous species with 54 predicted  
129 *P. infestans* effectors and were able to identify orthologues of *Rpi-blb-1* resistance gene from  
130 *Solanum stoloniferum* and *S. papita*. Similarly, Van Weymers *et al.* (2016) screened 126 wild diploid  
131 *Solanum* accessions with *P. infestans* isolates and subsequently identified functional *Rpi-vnt1.1*  
132 homologs in *S. okadae* amongst other *R* genes by screening 82 conserved effectors. Knowledge of an  
133 *Avr* gene can also be used for tracking the presence of an *R* gene in a population of plants used in a  
134 breeding programme by transient expression in leaves. The utility of integrating effector screening in  
135 a breeding program and in *R* gene cloning has been illustrated recently by the characterisation of an  
136 *R2* homolog from the Swedish potato breeding clone SW93-1015 that confers efficient broad  
137 spectrum resistance to *P. infestans* under field conditions (Lenman *et al.*, 2016). This approach offers  
138 the prospect of substantial savings of time and resource, compared to screening segregating  
139 populations with nematodes.

140 Knowledge of effectors/*Avr* genes can also be used to predict the durability of an *R* gene in a  
141 field situation. An *Avr* gene that accumulates mutations in response to selection pressure from the  
142 host may no longer be recognised by its cognate *R* protein, although there may also be constraints  
143 on the level of changes that can be accommodated while retaining function. It therefore follows that  
144 an *Avr* gene that is relatively invariant at a population level is likely to be recognised by an *R* gene  
145 product that is durable. Conversely, extensive variation in an effector sequence is likely to be  
146 characteristic of an *Avr* gene that evades recognition by what is probably a less durable *R* gene. This  
147 property can be readily observed in the one *Avr* gene (*AvrGpa2* otherwise known as *Gp-RBP-1*) that  
148 has been identified from plant-parasitic nematodes to date (Sacco *et al.*, 2009). The potato *Gpa2*  
149 resistance has been overcome by populations of *G. pallida* present in Europe and this is reflected by  
150 the presence in *G. pallida* populations of multiple alleles of the *Avr* gene that contain a point  
151 mutation in the site which determines recognition. Indeed, strong selection pressure on this amino  
152 acid residue, and on several others, has been demonstrated (Carpentier *et al.*, 2012). Similar  
153 analyses with *P. infestans* have shown that polymorphisms in a range of *Avr* genes partly explain the  
154 emergence of a virulent and aggressive strain of this pathogen in Europe (Cooke *et al.*, 2012). An  
155 understanding of effector biology can therefore inform resistance deployment and breeding  
156 strategies.

157 In the longer term, and given the appropriate public acceptance, knowledge of the recognition  
158 specificities of an *R/Avr* gene combination can be used to expand recognition in generating a  
159 modified version of the *R* gene that recognises forms of the *Avr* gene that would normally evade  
160 detection. Two forms of the *Avr3a* gene are present in *P. infestans* populations; *Avr3a<sup>KI</sup>* is recognised  
161 by *R3a* whereas *Avr3a<sup>EM</sup>*, which has polymorphisms present at two positions in the *Avr3a* protein,  
162 evades recognition. Two studies have shown that introducing amino acid changes in *R3a* by point  
163 mutation or gene shuffling can generate forms of the protein that recognise both *Avr3a<sup>KI</sup>* and  
164 *Avr3a<sup>EM</sup>* (Chapman *et al.*, 2014; Segretin *et al.*, 2014). Although there may be issues in converting this  
165 recognition into resistance in plants, this technology offers the clear potential to manipulate *R* genes  
166 into a more durable form where knowledge of the corresponding effector is available.

167

#### 168 EFFECTORS AS TARGETS FOR GM APPROACHES

169 Functional studies on effectors that have been identified from plant-parasitic nematodes  
170 frequently include an analysis of the importance of the effector by knocking down expression using  
171 RNA interference (RNAi). Nematode effectors can be targeted using RNAi by inducing uptake of  
172 double stranded RNA (dsRNA) by second stage juveniles (*e.g* Chen *et al.*, 2005; Bakhietia *et al.*, 2008)  
173 or by producing genetically modified plants that express a dsRNA hairpin from an appropriate  
174 construct (*e.g* Eves van den Akker *et al.*, 2014a). The aim of such studies is generally to demonstrate  
175 the importance of an effector in the pathogenic process or, given sufficiently detailed phenotyping,  
176 to understand the function of the effector in the nematode life cycle. Such studies also allow  
177 effectors to be identified that might represent good targets for the development of future control  
178 methods by generating plants that express dsRNA targeting the effector sequence. In line with this  
179 idea, mining the genomes of root-knot nematodes through an evolutionary and comparative  
180 genomics approach identified 15,952 genes, including 993 effector-like proteins, that are conserved  
181 in genomes of plant-parasitic species but absent from non-target genomes of chordates, plants,  
182 annelids, insect pollinators and molluscs (Danchin *et al.*, 2013). Sixteen of these were tested in  
183 infestation assays on tomato, using siRNA-treated *M. incognita*, and 12 showed significant and  
184 reproducible reduction of nematode parasitism. Host-mediated silencing is an appealing strategy for  
185 nematode control, partly due to the exquisite specificity of the RNAi process itself, in which only  
186 genes that are very similar in sequence to the silencing dsRNA will be targeted, but also because  
187 effectors in particular are often highly restricted to specific pathogen groups, as illustrated by the  
188 fact that very little overlap is present between the effector complement of *G. pallida* and that of

189 root-knot nematodes (Cotton *et al.*, 2014). This suggests that an RNAi strategy targeting effector(s)  
190 has the potential to be highly specific and thus may allow off-target effects to be minimised.

191 The most complete example of the use of this strategy to date concerns the 16D10 effector of  
192 the root-knot nematode *M. incognita*. This effector targets a SCARECROW transcription factor in  
193 plants (Huang *et al.*, 2006a) and subsequent work showed that knocking out expression of the  
194 16D10 effector by RNAi *in vitro* leads to a failure of parasitism (Huang *et al.*, 2006b). In addition,  
195 *Arabidopsis* expressing dsRNA hairpins targeting 16D10 were resistant to *M. incognita* and to closely  
196 related *Meloidogyne* species (Huang *et al.*, 2006b). Likewise, host-mediated RNAi silencing targeting  
197 the *M. incognita* 16D10 in transgenic grapevine hairy roots efficiently decreased nematode  
198 reproduction (Yang *et al.*, 2013). A similar approach has shown that targeting the *Meloidogyne*  
199 *chitwoodi* homologue of 16D10 also gives resistance in *Arabidopsis* and in potato (Dinh *et al.*, 2014).  
200 This demonstrated that RNAi of an effector can be used in a crop plant as well as in a model system.  
201 A similar strategy has been used to analyse the function of the *M. incognita* 8D05 effector gene,  
202 which is known to interact with a host protein likely to be involved in water and solute transport.  
203 Generation of transgenic *Arabidopsis* expressing a dsRNA targeting the 8D05 effector gene gave over  
204 90% control *in vitro*, validating this effector as a potential control target (Xue *et al.*, 2013).

205 Although the studies described above provide, at first sight, compelling evidence that RNAi  
206 targeting effectors (or indeed any other important nematode gene) represents a promising control  
207 strategy, the sustainability of such resistance has not yet been demonstrated and a note of caution is  
208 required. The first description of RNAi in nematodes was made almost 20 years ago (Fire *et al.*, 1998)  
209 and the first publication demonstrating the applicability of this technique to plant-parasitic  
210 nematodes appeared more than 10 years ago (Urwin *et al.*, 2002). Various proof-of-concept studies,  
211 such as those described above, have subsequently appeared but there are no cultivars available that  
212 use RNAi to control nematodes. It has recently been shown that constructs generating dsRNA  
213 targeting nematode genes can become silenced in transgenic plants due to methylation of the  
214 promoter region and that this effect may become more pronounced in successive generations  
215 (Kyndt *et al.*, 2013). There may therefore be significant technical hurdles to be overcome before  
216 RNAi can be deployed as a tool against nematodes.

#### 217 LONGER TERM OUTPUTS (1): TARGETING THE HOST TARGETS OF EFFECTORS

218 One of the ways that the function of a novel effector can be probed is to screen a yeast two-  
219 hybrid library with the effector in order to identify host proteins that interact with, and may  
220 therefore be targeted by, the effector. This approach has been used widely and has shown that a



221 variety of host proteins and pathways are targeted by nematode effectors. These include  
222 transcription factors (Huang *et al.*, 2006a), auxin transporters (Lee *et al.*, 2011), proteins involved in  
223 cell wall remodelling (Hewezi *et al.*, 2008) and putative *R* genes (Rehman *et al.*, 2009). This  
224 knowledge offers a further opportunity for new control strategies as it may be feasible to identify, or  
225 to generate, variants of the host proteins (also referred as susceptibility genes) that are still  
226 functional but that are no longer amenable to manipulation by the effector (van Schie & Takken,  
227 2014). Structural information about how the interaction between the effector and its target can help  
228 in this regard and a similar approach has been used to demonstrate how changes in an effector  
229 sequence affect its ability to interact with a host target (King *et al.*, 2014). Once a target has been  
230 identified and the region that is important for interaction with the effector determined, variants of  
231 the target can be sought, or induced, that do not interact with the effector. The development of  
232 TILLING (Targeting Induced Local Lesions In Genomes) based approaches offer the hope that  
233 progress can be made in this area (McCallum *et al.*, 2000). TILLING is a method in which mutagenesis  
234 is coupled with extremely high-throughput screening techniques that identify the sites of the  
235 induced mutations. The use of TILLING as a tool for improvement of crops has recently been  
236 reviewed (Chen *et al.*, 2014). In an alternative approach – EcoTILLING – natural variants of the host  
237 species are sought that show a desired trait and the same high-throughput techniques are  
238 subsequently used to identify variation that is associated with the trait. This tool has been used, for  
239 example, to identify variants in *R* genes against powdery mildew and to seek new resistance sources  
240 against viruses (Ibiza *et al.*, 2010; Mejlhede *et al.*, 2006). Looking further ahead, new genome editing  
241 tools such as CRISPRs and TALENs (reviewed by Mahfouz *et al.*, 2014) may offer an alternative route  
242 to modifying host sequences to the disadvantage of pathogens. These approaches may not just be  
243 limited to modifying the protein targets of pathogen effectors. Some effectors may exert their  
244 activity by binding to DNA in order to modify host gene expression; the bacterial TAL effectors  
245 providing the best studied examples of these (*e.g.* Kay *et al.*, 2007). Modifying the region of the host  
246 genomic DNA that effectors need to bind to may therefore offer an alternative route to control  
247 (Wulff *et al.*, 2011).

248

249 LONGER TERM OUTPUTS (2): NON-HOST RESISTANCE

250 Non-host resistance (NHR) is the term used to describe the phenomenon that most plants are  
251 resistant to most pathogens. The corollary of this is that most pathogens have a very limited host  
252 range, although there are clear exceptions to this rule including some root-knot nematode species.  
253 Understanding the mechanisms underlying NHR offers the prospect of identifying resistance that is,

254 by definition, broad-spectrum and durable. The potential applications of NHR in crop improvement  
255 have recently been reviewed (Lee *et al.*, 2016). NHR is often multi-tiered (Thordal-Christensen, 2003;  
256 Gill *et al.*, 2015) but although structural and chemical barriers play a role in some cases of NHR, it is  
257 thought that effectors are central in the majority of cases. It has been argued (Schulze-Lefert &  
258 Panstruga, 2011) that NHR in plants distantly related to the host of a pathogen occurs as a result of a  
259 failure to suppress PTI, and that the NHR response in such species occurs as a result of PTI responses  
260 mediated by the plasma membrane localized PAMP receptors. This may occur due to co-evolution  
261 between host and pathogen leading to highly adapted effectors that are unable to interact with their  
262 targets in non-host species. NHR may be engineered by transferring PRR, that are otherwise absent,  
263 into host plants to create defence responses that pathogens are unable to circumvent due to a lack  
264 of adapted effector(s). The ground-breaking demonstration that broad-spectrum defence against  
265 bacterial pathogens can be acquired by heterologous expression of a PRR was reported by Lacombe  
266 *et al.* in 2010, where the elongation factor Tu receptor (EFR) from *Arabidopsis* was transferred into  
267 two Solanaceous plants, tomato and *Nicotiana benthamiana*. Further demonstration of the  
268 efficiency of this approach has been provided in two recent studies (Schoonbek *et al.*, 2015;  
269 Schwessinger *et al.*, 2015), showing that transfer of EFR in wheat and rice can also enhance bacterial  
270 disease resistance in cereals. This also suggests that both dicot and monocot plants contain all  
271 necessary components for EFR signalling, further revealing that immune signalling pathways may be  
272 conserved across distant phyla and that transfer of PRR across plant families may also prove to be  
273 less problematic than inter-family *R* gene transfer. In non-host species that are more closely related  
274 to the host species, NHR may occur as a result of ETI, implying the existence of highly durable *R*  
275 genes that recognise the pathogen and whose action cannot be circumvented by the pathogen  
276 (Schulze-Lefert & Panstruga, 2011). Knowledge of pathogen effectors offers the potential to identify  
277 the *R* genes that underlie NHR in non-host species. As a first step in this process one effector  
278 (HopQ1-1) has been identified from the tomato bacterial speck agent *Pseudomonas syringae* pv.  
279 *tomato* as the sole avirulence determinant responsible for the failure of the model strain DC3000 to  
280 cause disease in *N. benthamiana* (Wei *et al.*, 2007; Figure 2C). Conversely, heterologous expression  
281 of *hopQ1-1* in the tobacco wildfire pathogen *P. syringae* pv. *tabaci* 11528 rendered this strain  
282 avirulent in its susceptible host *N. benthamiana*. However, the *hopQ1-1* deletion did not extend the  
283 host range of DC3000 to tobacco *Nicotiana tabacum*, indicating that the mutation does not confer  
284 some general virulence benefit to DC3000. Effector-mediated recognition in non-host plants can also  
285 trigger cell death, as seen in R/Avr-mediated resistance (Figure 2D and 2E). As an example,  
286 *Phytophthora capsici* effector PcAvr3a1 provokes a cell death response upon transient expression in  
287 (non-host) *N. tabacum* and other related non-host species (Vega-Arreguin *et al.*, 2014). Furthermore,

288 host-mediated silencing of *PcAvr3a1* in the pathogen allowed infection of resistant tobacco,  
289 confirming the importance of effector recognition in NHR.

290

## 291 CONCLUDING REMARKS

292 Effector biology is currently a highly topical issue, with developments in genomics and  
293 functional genomics enabling beautifully detailed studies of these proteins. As well as revealing the  
294 tools used by pathogens to manipulate their hosts, each effector acts as a probe for the plant  
295 immune system allowing us to develop a better understanding of both sides of the host-parasite  
296 interaction (Lee *et al.*, 2013). The interactions of effectors with R proteins, and indispensable roles  
297 that they play in pathogen biology, mean that they also offer the prospect of significant practical  
298 gains. Effectors already form an integral part of the breeding process for resistance against the late  
299 blight pathogen *P. infestans* (Du & Vleeshouwers, 2014). We consider it likely that this will soon be  
300 the case for other plant pathogens, including nematodes, as information on the effector  
301 complement of various economically important pathogens is established.

302

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306

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561

562 **Figure legends**

563 **Figure 1:** The zigzag model in context of plant-nematode interactions. In 2006 Jones & Dangl  
564 established the zigzag model to illustrate the quantitative output of the plant immune system in  
565 response to microbes but the concept has proven to be more broadly applicable to pests and  
566 pathogens. Components of the zigzag model that have been identified in plant-nematode  
567 interactions are shown in bold red type. The conceptual arms-race between host and pathogens can  
568 be depicted in four major phases. In phase I, conserved pathogen-associated molecular patterns  
569 (PAMPs; represented by the letter P in the pink forms) are recognised in plants by cell surface  
570 pattern-recognition receptors (PRRs) leading to induction of PAMP-triggered immunity (PTI). The  
571 only PAMP from plant-parasitic nematode identified to date is a pheromone, the ascaroside 18  
572 (Ascr#18; Manosalva *et al.*, 2015), but its cognate PRR is not yet known. In phase II, adapted  
573 pathogens secrete effectors into the host that interfere with PTI, leading to effector triggered  
574 susceptibility (ETS). Several nematode effectors (represented by the letter E in the blue clouds) have  
575 been characterised that can suppress PTI responses (see review by Mantelin *et al.*, 2015). In phase III,  
576 particular effectors (represented in the blue clouds by the letter A for “Avirulence factors”) are  
577 detected by a second layer of plant resistance receptors (products of the *R* genes), activating  
578 effector-triggered immunity (ETI) which in most cases leads to the induction of a hypersensitive  
579 plant cell-death reaction (HR). Very few nematode *R* genes have been cloned (see review by Govere  
580 & Smant, 2014) and only one avirulence effector has been identified so far, the *Globodera pallida*  
581 RBP-1 SPRYSEC effector AvrGpa2 (Sacco *et al.*, 2009). In phase IV, as pathogen and host coevolve  
582 new effectors and *R* genes, susceptibility or resistance predominate in turn. Avirulence factors (A)  
583 maybe lost or modified to avoid recognition by cognate R proteins (as is the case for RBP-1) and  
584 perhaps new effectors are gained (B,C,D) that are able to suppress ETI. Such activity has been  
585 demonstrated for the ubiquitin carboxyl extension protein GrUBCEP12 and many SPRYSEC effectors  
586 (see review by Mantelin *et al.*, 2015).

587

588 **Figure 2:** Effector-mediated cell death in plants. **(A & B)** A typical hypersensitive reaction is elicited  
589 by recognition of *Globodera pallida* effector *Gp*-RBP-1 (*StGpa2*-cognate avirulence factor) in  
590 *Agrobacterium tumefaciens*-based transient expression assay in potato accession Cara containing  
591 the *StGpa2* resistance gene **(A)** or by transient co-expression of both *StGpa2* and *Gp*-RBP-1 in  
592 *Nicotiana benthamiana* leaf **(B)**. Conversely, *eGFP* control in potato and either *StGpa2* or *Gp*-RBP-1  
593 expressed alone in *N. benthamiana* do not induce a response in plants. Transient expressions were  
594 performed with untagged constructs for *StGpa2*, *Gp*-RBP-1 (Sacco *et al.*, 2009) and *eGFP* control as  
595 described in Mei *et al.* 2015, by infiltration of *A. tumefaciens* strains at an OD<sub>600nm</sub> of 0.5. Symptoms

596 observed under white light 7 days post infiltration. Infiltrated areas are indicated by dashed circles.  
597 **(C-D-E)** Effectors also participate in non-host resistance. **(C)** Wild-type *Pseudomonas syringae*  
598 pathovar *tomato* (*Pst*) strain DC3000 can barely infect *Nicotiana benthamiana* while *Pst* mutant  
599 strain CUCPB5460 lacking the type-III effector HopQ1-1 is able to cause disease in the non-host plant  
600 (demonstrated by Wei *et al.*, 2007). Necrotic disease symptoms observed 7 days after bacteria  
601 infiltration at OD<sub>600nm</sub> of 1.10<sup>-4</sup> in 10 mM MgSO<sub>4</sub> solution. Infiltrated areas are circled. **(D & E)** Cell  
602 death is triggered specifically in non-host *Nicotiana sylvestris* **(D)** by a *Phytophthora infestans* RXLR  
603 effector (*Pi-A*) while transient expression of the same effector in the host plant *N. benthamiana* **(E)**  
604 does not induce symptoms in the leaf. Another effector (*Pi-B*) as well as the *Td-Tomato* construct  
605 used as control do not induce symptoms. Conversely, a typical hypersensitive reaction is elicited by  
606 recognition of *P. infestans* effector *Pi-Avr3a* in the presence of the potato resistance protein *StR3a* in  
607 *N. benthamiana* leaf **(E)**. Effectors and controls in binary vector pGRAB were transformed in *A.*  
608 *tumefaciens* GV3101 and agro-infiltrated at an OD<sub>600nm</sub> of 0.1 in *Nicotiana* leaves (Mantelin and Hein,  
609 personal communication). Symptoms observed under white light 7 days post inoculation. Infiltrated  
610 areas are indicated by dashed circles.  
611



