

## Subsemigroups of virtually free groups: finite Malcev presentations and testing for freeness

BY ALAN J. CAIN,<sup>†</sup> EDMUND F. ROBERTSON AND NIK RUŠKUC<sup>‡</sup>

*School of Mathematics and Statistics, University of St Andrews,  
North Haugh, St Andrews, Fife KY16 9SS.  
e-mail: alanc, edmund, nik@mcs.st-andrews.ac.uk*

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### *Abstract*

This paper shows that, given a finite subset  $X$  of a finitely generated virtually free group  $F$ , the freeness of the subsemigroup of  $F$  generated by  $X$  can be tested algorithmically. (A group is virtually free if it contains a free subgroup of finite index.) It is then shown that every finitely generated subsemigroup of  $F$  has a finite Malcev presentation (a type of semigroup presentation which can be used to define any semigroup that embeds in a group), and that such a presentation can be effectively found from any finite generating set.



### 1. Introduction

The purpose of this paper is to study certain combinatorial and computational properties of finitely generated subsemigroups of finitely generated virtually free groups.

Let  $F$  be a finitely generated virtually free group. Let  $X \subseteq F$  be finite. Let  $Z$  be a new alphabet in one-to-one correspondence  $\phi: Z \rightarrow X$  with  $X$ . Let  $Z^{-1}$  be a set in bijection with  $Z$  under the involution  $z \mapsto z^{-1}$ ; this involution is extended in the natural way to an anti-isomorphism from  $Z^*$  to  $(Z^{-1})^*$ . Extend  $\phi$  to a homomorphism  $\phi: Z^* \rightarrow \langle X \rangle$ . Let

$$L(X) = \{uv^{-1} : u \in Z^+, v \in Z^*, u\phi = v\phi, u, v \text{ have no common suffix}\}.$$

The following theorem, proved in Section 2, is the principal technical result of the paper:

**THEOREM 1.** *For any finite subset  $X$  of a virtually free group  $F$ , the language  $L(X)$  is context-free, and a pushdown automaton recognizing  $L(X)$  can be effectively constructed.*

In the remainder of the paper, two consequences of Theorem 1 are proved.

There are several well-known algorithms to determine whether a finitely generated subsemigroup of a given free monoid is free; two examples are due to Spehner [14] and Sardinas and Patterson [13]. Of course, a subsemigroup of a free group may be considerably more complex than those of a free monoid. Section 3 considers the

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freeness of subsemigroups of free groups and more generally of virtually free groups, and contains the following result:

**THEOREM 2.** *There is an algorithm that, given a finite subset  $X$  of a virtually free group  $F$ , determines whether the subsemigroup of  $F$  generated by  $X$  is free.*

Although the above result generalizes those of [13, 14], the proof uses a new methodology based on the properties of context-free languages.

A Malcev presentation is a particular species of semigroup presentation which can be used to define any semigroup that can be embedded in a group. Spehner [16] shows that every finitely generated subsemigroup of a free monoid has a finite Malcev presentation. Section 4 again uses the theory of context-free languages to strengthen this result to subsemigroups of free and virtually free groups:

**THEOREM 3.** *Every finitely generated subsemigroup of a virtually free group has a finite Malcev presentation. Moreover, such a presentation can be effectively found from any given finite generating set.*

Finitely generated subsemigroups of free groups need not be finitely presented. Markov [11, section III] gives an algorithm that takes a finite subset of a free semigroup and determines whether the subsemigroup it generates is finitely presented. Spehner [15, proposition 2·7 and theorem 2·14] gives an analogous condition for finitely generated submonoids of a free monoid. A natural question is whether there is an analogous algorithm for finitely generated subsemigroups of a free or virtually free group. At present, no such algorithm is known.

## 2. $L(X)$ is context-free

Facts from formal language theory will be stated as they are required; the reader is referred to [7] for proofs.

The word problem of a group  $G$  with respect to a generating set  $X$  is the set of words over  $X \cup X^{-1}$  that represent the identity element of  $G$ . Muller and Schupp [12, lemma 3] proved that the word problem of a finitely generated virtually free group is a context-free language. Combining lemmas 2 and 3 of [12] and observing that all constructions used in their proofs are effective yields the following result:

**PROPOSITION 2·1.** *Any finitely generated subgroup of a finitely generated virtually free group has context-free word problem, and a pushdown automaton recognizing the word problem of the subgroup can be effectively found from a finite generating set.*

Recall the definition of  $\phi$  as a bijection from the new alphabet  $Z$  to  $X$ . Extend  $\phi$  to a homomorphism from  $(Z \cup Z^{-1})^*$  to the subgroup of  $F$  generated by  $X$  by letting  $(z^{-1})\phi = (z\phi)^{-1}$  for  $z \in Z$ .

**THEOREM 1.** *For any finite subset  $X$  of a virtually free group  $F$ , the language*

$$L(X) = \{uw^{-1} : u \in Z^+, v \in Z^*, u\phi = v\phi, u, v \text{ have no common suffix}\}$$

*is context-free, and a pushdown automaton recognizing  $L(X)$  can be effectively constructed.*

*Proof.* The language

$$R = Z^+(Z^{-1})^* - \left[ \bigcup_{z \in Z} Z^* z z^{-1} (Z^{-1})^* \right]$$

is clearly regular [7, chapter 2 and theorem 3.2]. The language

$$W(X) = \{w \in (Z \cup Z^{-1})^* : w\phi = 1_F\}$$

– which is the word problem for the subgroup of  $F$  generated by  $X$  – is context-free by Proposition 2.1. The intersection of a context-free language and a regular language is again context-free [7, theorem 6.5]. Hence  $W(X) \cap R$  is context-free. Furthermore,  $L(X) = W(X) \cap R$ , because

$$\begin{aligned} uw^{-1} \in W(X) \cap R & \\ \iff u \in Z^+, v \in Z^*, (uw^{-1})\phi = 1_F, uw^{-1} \text{ does not} & \\ & \text{include any } zz^{-1} \text{ as a subword} \\ \iff u \in Z^+, v \in Z^*, u\phi = v\phi, u \text{ and } v \text{ have no common suffix} & \\ \iff uw^{-1} \in L(X). & \end{aligned}$$

So  $L(X)$  is a context-free language. Observing that all the constructions are effective gives the result.

### 3. An algorithm to determine freeness

**PROPOSITION 3.1.** *There is an algorithm that, given a finite subset  $X$  of a virtually free group  $F$ , determines whether the subsemigroup of  $F$  generated by  $X$  is free on  $X$ .*

*Proof.* Let  $S$  be the subsemigroup generated by  $X$ . Suppose that  $S$  were not free on  $X$ . Then some non-trivial relation would hold: there would be some  $u, v \in Z^+$  with  $u \neq v$  such that  $u\phi = v\phi$ . Without loss of generality, assume  $|u| \geq |v|$ . Suppose  $u = u's, v = v's$ , where  $s \in Z^*$  is a common suffix of  $u$  and  $v$  of maximum length, and  $u' \in Z^+, v' \in Z^*$ . (Since this is a non-trivial relation, at most one of  $u'$  and  $v'$  can be the empty word  $\varepsilon$  and the length assumption shows that  $u' \neq \varepsilon$ .) Then  $u'\phi = v'\phi$ , since  $S$  is a subsemigroup of a group and therefore cancellative, and  $u'(v')^{-1}$  cannot contain a subword  $zz^{-1}$ . Therefore, the language  $L(X)$  would be non-empty. Conversely, if  $S$  is free on  $X$  there could be no such  $u, v$  and therefore  $L(X)$  must be empty.

The ability to test the emptiness of  $L(X)$  is therefore equivalent to testing the freeness of  $S$  on  $X$ . There is a known algorithm that takes a context-free grammar and tests whether the language it defines is empty [7, theorem 6.6]. Since a pushdown automaton can be converted to a context-free grammar [7, section 5.3], the result follows from Theorem 1.

In a free semigroup  $A^+$ , the generating set  $A$  is contained in every generating set. Hence  $A^+$  is only free on  $A$ . Thus, if the subsemigroup  $S$  of  $F$  generated by  $X$  is free on some generating set  $Y$ , then it must be true that  $Y \subseteq X$ . There are only a finite number of subsets  $Y$  of  $X$ . If it is possible to determine which of these subsets generate  $S$ , then the algorithm of Proposition 3.1 can be applied to each one. The subsemigroup  $S$  will then be free if and only if some subset  $Y$  of  $X$  generates  $S$  and the algorithm finds that the subsemigroup  $S$  is free on  $Y$ .

Let  $Y \subseteq X$  and let  $T$  be the subsemigroup generated by  $Y$ . Clearly,  $T \subseteq S$  since  $Y \subseteq X$ . Answering the question of whether  $S = T$  reduces to determining whether  $X \subseteq T$ .

For  $x \in X$  and  $Y \subseteq X$ , let  $Z_Y$  be the subset of  $Z$  mapped onto  $Y$  by  $\phi$  and let  $z_x \in Z$  be such that  $z_x\phi = x$ . Let  $M(x, Y)$  be the regular language  $z_x((Z_Y)^{-1})^*$ .

**LEMMA 3.2.** *An element  $x \in X$  is in  $T$  if and only if  $L(X) \cap M(x, Y)$  is non-empty.*

*Proof.* Suppose  $x \in T$ . Then  $x$  can be expressed as a product  $y_1 \cdots y_l$  of elements of  $Y$ . So there exists  $w \in Z_Y^*$  such that  $z_x\phi = w\phi$ . Therefore  $(z_xw^{-1})\phi = \mathbf{1}_F$  and so  $z_xw^{-1} \in L(X) \cap M(x, Y)$ .

Conversely, if  $L(X) \cap M(x, Y) \neq \emptyset$ , then there exists  $w \in Z_Y^*$  such that  $z_x\phi = w\phi$ , so  $x \in T$ .

The language  $L(X) \cap M(x, Y)$  is context-free, and a pushdown automaton recognizing it can be effectively constructed. The emptiness of  $L(X) \cap M(x, Y)$ , and so the question of whether  $S = T$ , can therefore be decided. The discussion following Proposition 3.1 gives:

**THEOREM 2.** *There is an algorithm that, given a finite subset  $X$  of a virtually free group  $F$ , determines whether the subsemigroup of  $F$  generated by  $X$  is free.*

#### 4. Finite Malcev presentations

Malcev presentations were introduced by Spehner [15], though they are based on Malcev's necessary and sufficient condition for the embeddability of a semigroup in a group [9, 10]. (Details of the embeddability condition can also be found in [4, chapter 12].) The necessary definitions regarding Malcev presentations are given below.

*Definition 4.1.* Let  $T$  be any semigroup. A congruence  $\sigma$  on  $T$  is a *Malcev congruence* if  $T/\sigma$  is embeddable in a group.

If  $\{\sigma_i : i \in I\}$  is a set of Malcev congruences on  $T$ , then so is  $\sigma = \bigcap_{i \in I} \sigma_i$ . This is true because  $T/\sigma_i$  embeds in a group  $G_i$  for each  $i \in I$ , so  $T/\sigma$  embeds in  $\prod_{i \in I} T/\sigma_i$ , which in turn embeds in  $\prod_{i \in I} G_i$ . The following definition therefore makes sense.

*Definition 4.2.* Let  $Z^+$  be a free semigroup; let  $\rho \subset Z^+ \times Z^+$  be any binary relation on  $Z^+$ . Let  $\sigma$  be the smallest Malcev congruence containing  $\rho$  – namely,

$$\sigma = \bigcap \{ \tau : \tau \supseteq \rho, \tau \text{ is a Malcev congruence on } Z^+ \}.$$

Then  $\langle Z \mid \rho \rangle$  is a *Malcev presentation* for [any semigroup isomorphic to]  $Z^+/\sigma$ . If both  $A$  and  $\rho$  are finite, the the Malcev presentation  $\langle A \mid \rho \rangle$  is said to be finite.

Fix  $Z^+$ ,  $\rho$  and  $\sigma$  as in the last definition and let  $T = Z^+/\sigma$ . Were  $\langle Z \mid \rho \rangle$  an 'ordinary' semigroup presentation, two words  $u, v \in Z^+$  would represent the same element of  $T$  if and only if there were a sequence

$$u = u_0 \rightarrow u_1 \rightarrow \dots \rightarrow u_n = v \tag{4.1}$$

with  $n \geq 0$ , where, for each  $i \in \{0, \dots, n-1\}$ , there exist  $p_i, q_i, q'_i, r_i \in Z^*$  such that  $u_i = p_i q_i r_i$ ,  $u_{i+1} = p_i q'_i r_i$ , and  $(q_i, q'_i) \in \rho$  or  $(q'_i, q_i) \in \rho$ . However, in dealing with a Malcev presentation, the fact that the semigroup in question must be embeddable in a group lends greater flexibility.

Let  $Z^L, Z^R$  be two sets in bijection with  $Z$  under the mappings  $z \mapsto z^L, z \mapsto z^R$ , respectively, with  $Z, Z^L, Z^R$  being pairwise disjoint. Two words in the Malcev presentation  $\langle Z \mid \rho \rangle$  represent the same element of  $T$  if and only if there is a sequence (4.1) where, for each  $i \in \{0, \dots, n-1\}$ , there exist  $p_i \in (Z \cup Z^L)^*$ ,  $r_i \in (Z \cup Z^R)^*$ ,  $q_i, q'_i \in Z^*$ , and  $z \in Z$  such that either:

- (i)  $u_i = p_i q_i r_i, u_{i+1} = p_i q'_i r_i$ , and  $(q_i, q'_i) \in \rho$  or  $(q'_i, q_i) \in \rho$ ;
- (ii)  $u_i = p_i r_i, u_{i+1} = p_i z^L z r_i$ ;
- (iii)  $u_i = p_i r_i, u_{i+1} = p_i z z^R r_i$ ;
- (iv)  $u_i = p_i z^L z r_i, u_{i+1} = p_i r_i$ ; or
- (v)  $u_i = p_i z z^R r_i, u_{i+1} = p_i r_i$ .

The restriction on the letters that can appear in  $p_i$  and  $r_i$  simply means that no changes can be made to the left of a  $z^L$  or the right of a  $z^R$ . Such a sequence is called a *proper Malcev chain* from  $u$  to  $v$ , and it is said that  $(u, v)$  is a *Malcev consequence* of  $\rho$ . The insertion or deletion of  $z^L z$  or  $z z^R$  – transformations (ii)–(v) above – corresponds to the insertion or deletion of a generator of  $T$  and its inverse in a group in which  $T$  embeds.

(The definition of a ‘Malcev chain’ – which also links words representing the same element of  $T$  – is actually more complex than that of a ‘proper Malcev chain’ given above. A Malcev chain does not have the restriction on letters that can appear in the words  $p_i$  and  $r_i$ : instead insertions and deletions of  $z^L z$  or  $z z^R$  must obey certain rules [16, definition 2.3]. However, these rules follow as consequences of the restrictions on  $p_i$  and  $r_i$ . Every proper Malcev chain is thus a Malcev chain. Therefore, for brevity, the word ‘proper’ is omitted henceforth.)

It is clear that any set of relations  $\mathcal{P} \subseteq Z^+ \times Z^+$  that are Malcev consequences of  $\rho$  (and thus known to hold in  $T$ ) may be used instead of relations from  $\rho$  in a Malcev chain from  $u$  to  $v$ ;  $(u, v)$  is then said to be a Malcev consequence of the relations in  $\mathcal{P}$ .

Spehner [16] shows that the insertion and deletion of  $z^L z$  and  $z z^R$  can be extended to words. Let  $w = z_1 \cdots z_k \in Z^*$ , with  $z_i \in Z$ , and define  $w^L = z_k^L \cdots z_1^L$  and  $w^R = z_k^R \cdots z_1^R$ .

LEMMA 4.3 ([16, proof of lemma 2.5]). *Let  $u \in (Z \cup Z^L)^+, v \in (Z \cup Z^R)^+$ . Then there is a valid chain of insertions that lead from  $uv$  to  $uw^L wv$  and from  $uv$  to  $uww^R v$  and a valid chain of deletions that lead from  $uw^L wv$  to  $uv$  and from  $uww^R v$  to  $uv$ .*

*Proof.* The chain

$$uv \rightarrow uz_k^L z_k v \rightarrow uz_k^L z_{k-1}^L z_{k-1} z_k v \rightarrow \dots \rightarrow uz_k^L \cdots z_1^L z_1 \cdots z_k v = uw^L wv.$$

shows how to insert  $w^L w$ ; the reverse chain shows deletion. Insertion and deletion of  $ww^R$  can be proved similarly.

THEOREM 3. *Every finitely generated subsemigroup of a virtually free group has a finite Malcev presentation. Moreover, such a presentation can be effectively found from any given finite generating set.*

*Proof.* Let  $F$  be a virtually free group; let  $X$  be a finite subset of  $F$ ; let  $S$  be the subsemigroup of  $F$  generated by  $X$ . Observe that  $S$  has an ordinary presentation  $\langle Z \mid \mathcal{R} \rangle$  in terms of the generating set  $X$ , where

$$\mathcal{R} = \{(u, v) : u \in Z^+, v \in Z^*, uv^{-1} \in L(X)\}.$$

This presentation is finite if and only if  $S$  is free on  $X$ . Assume therefore that  $S$  is not free on  $X$ , so that  $\mathcal{R}$  is infinite and, in particular, non-empty.

The strategy is to define an ordering on  $\mathcal{R}$  and show that all except a finite number of elements of  $\mathcal{R}$  are Malcev consequences of preceding elements in that order.

Let  $\Gamma = (N, Z \cup Z^{-1}, P, O)$  be a context-free grammar that generates  $L(X)$ . The relevant definitions regarding context-free grammars are summarized: for further details, the reader is referred to [7, chapter 4].

In the grammar  $\Gamma$ ,  $N$  is a finite alphabet of *non-terminals* or *variables*;  $Z \cup Z^{-1}$  is the set of *terminals* – the alphabet of the language generated;  $P \subseteq N \times (N \cup Z \cup Z^{-1})^*$  is a finite set of *productions*, denoted by  $M \rightarrow \alpha$  for  $M \in N$  and  $\alpha \in (N \cup Z \cup Z^{-1})^*$ ;  $O \in N$  is the distinguished *start symbol*.

If  $M \rightarrow \alpha$  is a production,  $\beta, \gamma \in (N \cup Z \cup Z^{-1})^*$ , then it is said that  $\beta M \gamma$  *directly derives*  $\beta \alpha \gamma$  and this relation is denoted  $\beta M \gamma \Rightarrow \beta \alpha \gamma$ . The transitive closure of the relation  $\Rightarrow$  is denoted by  $\overset{*}{\Rightarrow}$ . If  $\beta \overset{*}{\Rightarrow} \gamma$  then it is said that  $\beta$  *derives*  $\gamma$ . A word  $w \in (Z \cup Z^{-1})^*$  is in the language generated by  $\Gamma$  – namely  $L(X)$  – if  $O \overset{*}{\Rightarrow} w$  [7, section 4.2].

A *derivation tree* (or *parse tree*) is a tree whose internal vertices are labelled by non-terminals and whose leaves are labelled by terminals. In particular, the root is labelled by  $O$ . If an internal vertex is labelled by  $M \in N$ , then its children are labelled from left to right by the letters of  $\alpha$ , where  $M \rightarrow \alpha$  is a production. Reading the leaves from left to right gives a word in  $L(X)$ , and each word in this language possesses at least one derivation tree [7, section 4.3]. For the purposes of this paper, a path in a derivation tree from  $O$  to a terminal is referred to as a *derivation path*.

Let  $T$  be a derivation tree of a word in  $L(X)$ . Define

$$n(T) = \text{number internal vertices of } T.$$

For  $w \in L(X)$ , define

$$n(w) = \min\{n(T) : T \text{ is a derivation tree for } w\}.$$

It is now possible to define an order on  $\mathcal{R}$  directly, but for convenience later in the proof, the ordering will be defined on  $L(X)$  first of all, then switched to  $\mathcal{R}$  in a natural manner.

Let  $w_1, w_2 \in L(X)$ . Define

$$w_1 \prec w_2 \iff n(w_1) < n(w_2).$$

For  $(u_1, v_1), (u_2, v_2) \in \mathcal{R}$ , let

$$(u_1, v_1) \prec (u_2, v_2) \iff u_1 v_1^{-1} \prec u_2 v_2^{-1}.$$

Let  $K$  be the subset of  $L(X)$  consisting of all words that have a derivation tree in which no derivation path contains the same non-terminal more than twice. The set of such derivation trees is finite, since the lengths of their derivation paths are bounded by  $2|N|$ . Therefore  $K$  is finite. Let

$$\mathcal{Q} = \{(u, v) \in \mathcal{R} : uv^{-1} \in K\}.$$

It is clear that  $\mathcal{Q}$  is finite. This set will form the finite set mentioned above: all relations in  $\mathcal{R} - \mathcal{Q}$  will be shown to be a Malcev consequences of  $\prec$ -preceding elements of  $\mathcal{R}$ .

Let  $(u, v) \in \mathcal{R} - \mathcal{Q}$ . Consider the derivation of  $uv^{-1}$  in  $\Gamma$ . Let  $T$  be a derivation tree for  $uv^{-1}$  with  $n(T) = n(uv^{-1})$ . At least one derivation path in  $T$  must have

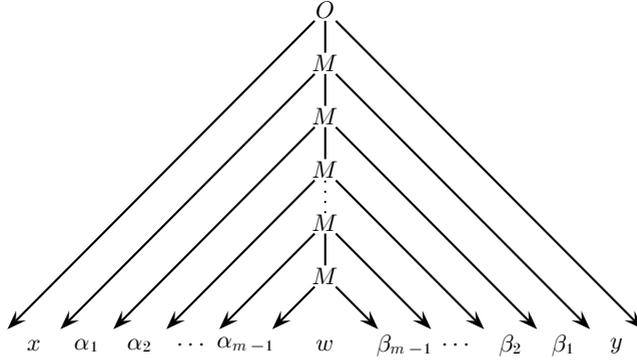


Fig. 1. Schematic of a derivation tree for  $x\alpha_1 \cdots \alpha_{m-1}w\beta_{m-1} \cdots \beta_1y$ .

a non-terminal  $M$  appearing three times. Distinguish such a derivation path with  $m \geq 3$  appearances of the non-terminal  $M$ . Suppose

$$uv^{-1} = x\alpha_1 \cdots \alpha_{m-1}w\beta_{m-1} \cdots \beta_1y,$$

where  $x, y, w$  and the  $\alpha_i$  and  $\beta_i$  (for  $i \in \{1, \dots, m-1\}$ ) are all words in  $(Z \cup Z^{-1})^*$  such that

$$O \xrightarrow{*} xMy, M \xrightarrow{*} \alpha_iM\beta_i \text{ for each } i \in \{1, \dots, m-1\}, M \xrightarrow{*} w.$$

(See Figure 1 for a schematic illustration.)

*Definition 4.4.* The point in the word  $uv^{-1}$  where the subword  $u \in Z^*$  ends and the subword  $v^{-1} \in (Z^{-1})^*$  begins is called the  $u$ - $v^{-1}$  boundary.

*LEMMA 4.5.* The  $u$ - $v^{-1}$  boundary is in either  $x, w, \text{ or } y$  (possibly at the end of  $x$  or  $w$  or the start of  $w$  or  $y$ ).

*Proof.* Suppose the  $u$ - $v^{-1}$  boundary is in  $\alpha_i$  for some  $i \in \{1, \dots, m-1\}$  (not at the start of  $\alpha_1$  or the end of  $\alpha_{m-1}$ ). Then there exist  $s, t \in Z^+$  such that  $\alpha_1 \cdots \alpha_{m-1} = st^{-1}$ . By pumping derivation from  $M$ , it can be seen that

$$L(X) \ni x(\alpha_1 \cdots \alpha_{m-1})^2w(\beta_{m-1} \cdots \beta_1)^2y = xst^{-1}st^{-1}w\beta_{m-1} \cdots \beta_1\beta_{m-1} \cdots \beta_1y,$$

which is a contradiction, because this word is not in  $Z^+(Z^{-1})^*$ . A similar contradiction arises should the  $u$ - $v^{-1}$  boundary be in some  $\beta_i$ , thus proving the lemma.

The relation  $(u, v)$  therefore takes one of the following three forms:

- (i)  $(x\alpha_1 \cdots \alpha_{m-1}w', y^{-1}\beta_1^{-1} \cdots \beta_{m-1}^{-1}w'')$ , where  $w = w'(w'')^{-1}$ , if the  $u$ - $v^{-1}$  boundary is in  $w$ ;
- (ii)  $(x\alpha_1 \cdots \alpha_{m-1}w\beta_{m-1} \cdots \beta_1y', y'')$ , where  $y = y'(y'')^{-1}$ , if the  $u$ - $v^{-1}$  boundary is in  $y$ ;
- (iii)  $(x', y^{-1}\beta_1^{-1} \cdots \beta_{m-1}^{-1}w^{-1}\alpha_{m-1}^{-1} \cdots \alpha_1^{-1}x'')$ , where  $x = x'(x'')^{-1}$ , if the  $u$ - $v^{-1}$  boundary is in  $x$ .

The second and third cases are almost symmetrical – only the possibility that  $v$  (and so also  $y''$ ) could be the empty word makes the second case more general than the third. It shall therefore suffice to prove that, in cases (i) and (ii),  $(u, v)$  is a Malcev consequence of  $\prec$ -preceding elements.

Observe that in  $\Gamma$ , since  $m > 2$ ,  $O \xrightarrow{*} xMy$ ,  $M \xrightarrow{*} \alpha_1 \cdots \alpha_{m-2} M \beta_{m-2} \cdots \beta_1$ ,  $M \xrightarrow{*} \alpha_{m-1} M \beta_{m-1}$  and  $M \xrightarrow{*} w$ , and therefore

$$\begin{aligned} x\alpha_1 \cdots \alpha_{m-2} w \beta_{m-2} \cdots \beta_1 y, \\ x\alpha_{m-1} w \beta_{m-1} y, xwy \in L(X). \end{aligned} \quad (4.2)$$

Furthermore, derivation trees with fewer than  $n(uv^{-1}) = n(T)$  internal vertices exist for each of these words, as the following three derivations show:

$$\begin{aligned} O &\xrightarrow{*} xMy \xrightarrow{*} x\alpha_1 \cdots \alpha_{m-2} M \beta_{m-2} \cdots \beta_1 y \xrightarrow{*} x\alpha_1 \cdots \alpha_{m-2} w \beta_{m-2} \cdots \beta_1 y, \\ O &\xrightarrow{*} xMy \xrightarrow{*} x\alpha_{m-1} M \beta_{m-1} y \xrightarrow{*} x\alpha_{m-1} w \beta_{m-1} y, \\ O &\xrightarrow{*} xMy \xrightarrow{*} xwy. \end{aligned}$$

The words (4.2) therefore precede  $uv^{-1}$  in the  $\prec$ -ordering on  $L(X)$ .

(i) Firstly, observe that (4.2) implies that

$$\begin{aligned} (x\alpha_1 \cdots \alpha_{m-2} w', y^{-1} \beta_1^{-1} \cdots \beta_{m-2}^{-1} w''), \\ (x\alpha_{m-1} w', y^{-1} \beta_{m-1}^{-1} w''), (xw', y^{-1} w'') \in \mathcal{R}, \end{aligned}$$

and that they each precede  $(u, v)$  in the  $\prec$ -ordering on  $\mathcal{R}$ . The following chain shows that  $(u, v)$  is a Malcev consequence of the given three elements:

$$\begin{aligned} u &= x\alpha_1 \cdots \alpha_{m-1} w' \\ &\rightarrow x\alpha_1 \cdots \alpha_{m-2} w' (w')^R \alpha_{m-1} w' \\ &\rightarrow y^{-1} \beta_1^{-1} \cdots \beta_{m-2}^{-1} w'' (w')^R \alpha_{m-1} w' \\ &\rightarrow y^{-1} \beta_1^{-1} \cdots \beta_{m-2}^{-1} (y^{-1})^L y^{-1} w'' (w')^R \alpha_{m-1} w' \\ &\rightarrow y^{-1} \beta_1^{-1} \cdots \beta_{m-2}^{-1} (y^{-1})^L xw' (w')^R \alpha_{m-1} w' \\ &\rightarrow y^{-1} \beta_1^{-1} \cdots \beta_{m-2}^{-1} (y^{-1})^L x\alpha_{m-1} w' \\ &\rightarrow y^{-1} \beta_1^{-1} \cdots \beta_{m-2}^{-1} (y^{-1})^L y^{-1} \beta_{m-1}^{-1} w'' \\ &\rightarrow y^{-1} \beta_1^{-1} \cdots \beta_{m-2}^{-1} \beta_{m-1}^{-1} w'' \\ &= v. \end{aligned}$$

(ii) In this case, (4.2) means that

$$\begin{aligned} (x\alpha_1 \cdots \alpha_{m-2} w \beta_{m-2} \cdots \beta_1 y', y''), \\ (x\alpha_{m-1} w \beta_{m-1} y', y''), (xwy', y'') \in \mathcal{R} \end{aligned}$$

and these precede  $(u, v)$  in the  $\prec$ -ordering on  $\mathcal{R}$ . Once again, the following chain shows that  $(u, v)$  is a Malcev consequence of these elements:

$$\begin{aligned} u &= x\alpha_1 \cdots \alpha_{m-1} w \beta_{m-1} \cdots \beta_1 y' \\ &\rightarrow x\alpha_1 \cdots \alpha_{m-2} x^L x\alpha_{m-1} w \beta_{m-1} \cdots \beta_1 y' \\ &\rightarrow x\alpha_1 \cdots \alpha_{m-2} x^L x\alpha_{m-1} w \beta_{m-1} y' (y')^R \beta_{m-2} \cdots \beta_1 y' \\ &\rightarrow x\alpha_1 \cdots \alpha_{m-2} x^L y'' (y')^R \beta_{m-2} \cdots \beta_1 y' \\ &\rightarrow x\alpha_1 \cdots \alpha_{m-2} x^L xwy' (y')^R \beta_{m-2} \cdots \beta_1 y' \\ &\rightarrow x\alpha_1 \cdots \alpha_{m-2} x^L xw \beta_{m-2} \cdots \beta_1 y' \\ &\rightarrow x\alpha_1 \cdots \alpha_{m-2} w \beta_{m-2} \cdots \beta_1 y' \\ &\rightarrow y'' \\ &= v. \end{aligned}$$

Therefore,  $(u, v)$  is a Malcev consequence of  $\prec$ -preceding elements, and this applies to all elements of  $\mathcal{R} - \mathcal{Q}$ . Hence the Malcev congruence generated by  $\mathcal{Q}$  contains  $\mathcal{R}$ , and so  $\langle Z \mid \mathcal{Q} \rangle$  is a finite Malcev presentation for  $S$  in terms of the generators  $X$ .

Furthermore, since a context-free grammar  $\Gamma$  can be constructed from a push-down automaton recognizing  $L(X)$ , and every derivation tree in  $\Gamma$  with at most two repetitions of any non-terminal in each derivation path can be found, the set  $\mathcal{Q}$  can be constructed effectively if such a pushdown automaton is known. Therefore, a finite Malcev presentation for  $S$  can be found effectively if a finite generating set for  $S$  is known.

### 5. Further observations

Spehner [16] draws a parallel between his proof that every finitely generated subsemigroup of a free semigroup has a finite Malcev presentation and the proof of the Ehrenfeucht conjecture for regular languages given by Culik and Salomaa [5]. The proof of Theorem 3 bears a resemblance to the proof of Albert, Culik, and Karhumäki [1] of the Ehrenfeucht conjecture in the case of context-free languages. In particular, the idea of examining repeated non-terminals in a derivation tree is drawn from that source. (The Ehrenfeucht conjecture has since been proved independently by Albert and Lawrence [2] and by Guba [6].)

Given that free groups are coherent, it is natural to ask whether every finitely generated subsemigroup of a coherent group has a finite Malcev presentation. (Recall that a group is coherent if all its finitely generated subgroups are finitely presented.) The authors have shown [3] that this is not the case: the free product of a free group and an abelian group – which is coherent by the Kurosh subgroup theorem (see [8, section IV.1], for example) – can contain finitely generated subsemigroups that do not admit finite Malcev presentations.

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