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3 Naval sonar disrupts foraging in humpback whales
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27 **Abstract**

28 Modern long-range naval sonars are a potential disturbance for marine mammals and can lead
29 to disruption of feeding in cetaceans. This study examined the lunge-feeding behaviour of
30 humpback (*Megaptera novaeangliae*) whales before, during and after controlled exposure
31 experiments with naval sonar by use of acoustic and motion sensor archival tags attached to
32 each animal. Lunge-feeding by humpback whales entails a strong acceleration to increase
33 speed before engulfing a large volume of prey-laden water, that can be identified by their
34 acoustic signature characterized by a few seconds of high-level flow-noise followed by a
35 rapid reduction, coinciding with a peak in animal acceleration. Over two successive seasons,
36 13 humpback whales were tagged. All were subject to a no-sonar control exposure, and 12 to
37 two consecutive sonar exposure sessions, with 1 h of period between. The first sonar session
38 resulted in an average 68% reduction in lunge rate during exposure compared to pre-exposure,
39 and this reduction was significantly greater than any changes observed during the no-sonar
40 control. During the second sonar session, reduction in lunge rate was 66% during sonar
41 exposure compared to pre-exposure level, but was not significant compared to the no-sonar
42 control, likely due to a larger inter-individual variability because some individuals seem to
43 have habituated and others not. Our results indicate that naval sonars operating near
44 humpback whale feeding grounds may lead to reduced foraging and negative impact on
45 energy balance.

50 INTRODUCTION

51 The past decade has led to increasing focus on behavioural responses of marine mammals to
52 anthropogenic sound and their biological significance for individuals and populations
53 (Wartzok et al. 2005). One of the main causes for concern is powerful naval sonar, as it can
54 lead to strong, potentially lethal effects such as strandings (Cox et al. 2006, D'Amico et al.
55 2009), as well as a range of behavioural responses scaling from low to high severity (Miller et
56 al. 2012, Sivle et al. 2015). A behavioural response of major concern is disruption of feeding.
57 Disrupted feeding activity may lead to lower energy reserves to support reproductive
58 activities such as breeding and females' ability to nurse calves (e.g. New et al. 2014, for an
59 example in elephant seals; Meyer-Gutbrod et al., 2015 for right whales). Cessation or
60 reduction of feeding in response to naval sonar has been observed in several species of
61 toothed whales (Miller et al. 2012, Isojunno et al. 2015) as well as for blue whales
62 (Goldbogen et al. 2013).

63 However, very little is known about behavioural responses of other baleen whale
64 species to naval sonar. This is of particular importance, as their annual cycle is generally
65 typified by a distinct, seasonal foraging period in high latitude feeding grounds, alternated by
66 periods of low-feeding rates during long-range migration and breeding/nursing periods in low
67 latitude breeding grounds (Clapham et al. 1999).

68 Humpback whales (*Megaptera novaeangliae*) are among the most numerous of the
69 baleen whales (sub-order: *Mysticete*) in the North Atlantic Ocean (Øien 2007), with the
70 Norwegian and Barents Sea being important feeding grounds for the species in summer
71 (Nøttestand & Olsen 2004, Nøttestad et al. 2014). There, they feed on zooplankton and small
72 schooling fish such as herring and capelin (Christensen et al. 1992, Øien 2007).

73 Humpback whales feed by lunging, a technique that involves engulfing a large volume
74 of prey-rich water using a flexible buccal cavity and filtering out seawater leaving prey inside

75 the baleen plates (Goldbogen et al. 2007). These lunging events can clearly be seen by a
76 visual observer when they occur close to the surface. Using multi-sensor recording tags,
77 sampling the whales' movements at sufficiently high frequencies, lunge events can also be
78 identified when they occur at depth. When a humpback whale lunges, it accelerates forward in
79 a burst of fluke strokes before engulfing a targeted patch of prey-rich water. As the whale
80 opens its jaws, it rapidly decelerates due to the transfer of momentum to the engulfed water
81 (Simon et al. 2012) and increased drag (Goldbogen et al. 2006, 2007). A lunge can therefore
82 be detected by an increase in speed followed by a subsequent abrupt drop in speed, resulting
83 in an acoustic signature comprising a few seconds of high-level flow noise followed by a
84 rapid reduction in noise level (see Goldbogen et al. 2006, Ware et al. 2011, Simon et al. 2009,
85 2012 for studies on lunging in fin, blue, bowhead and humpback whales, respectively).

86 Here, we used controlled experiments at sea to investigate whether exposure to 1.3-2.0
87 kHz naval sonar affected the feeding rates of humpback whales. We attached high resolution
88 acoustic and motion sensor tags on individuals on their feeding ground, and collected
89 behavioural parameters before, during and after being approached by a large ship transmitting
90 sonar pulses.

91

92 **METHODS**

93 **Data collection**

94 Fieldwork was conducted in the Barents Sea between Bear Island and Spitsbergen in June
95 2011 and 2012 aboard the research vessel "H.U. Sverdrup II" (Kvadsheim et al. 2011, 2012).

96 Details of the experiments are described in Kvadsheim et al. (2015) and summarized here:

97 Humpback whales were detected visually from the flying bridge of the research vessel. After a
98 whale was sighted, surface behaviour was recorded for 30-60 minutes before a tag boat was
99 launched to deploy a tag, and surface behaviour sampling continued until the end of the

100 experiment.

101 Humpback whales were tagged with a multi-sensor tag (Dtag, Johnson & Tyack 2003)

102 attached to the whale with suction cups using a hand-held carbon fibre pole, or a pneumatic

103 remote deployment system. The Dtag recorded sound and depth, 3-dimensional acceleration,

104 and 3-dimensional magnetometer data, allowing a fine reconstruction of whale behaviour.

105 Audio data were sampled at 96 kHz, other non-acoustic sensors at 50 Hz later decimated to

106 5Hz sampling rate. Visual observations of the tracked whale were conducted to record group

107 size (number of individuals in the group of the tagged individual) and group composition (i.e.

108 calf presence/absence) (see protocol details in Visser et al. 2014). Pairs of whales were

109 considered potential mother-calf pairs if they were composed of an adult and a smaller-sized

110 individual that remained closely associated throughout the tracking record (Lockyer 1984,

111 Panigada et al. 2005, Curé et al. 2015).

112 A VHF beacon on the tagged whale was tracked by a VHF digital radio direction-

113 finder (DFHorten). Controlled sonar exposure sessions started after 2-8 hours of baseline data

114 collection. The tagged humpback whale was then subject to three 10min duration exposures

115 sessions: one no-sonar control and two sonar exposures. The no-sonar control was always

116 conducted first to test how whales responded to the ship alone, before they heard sonar

117 transmitted from the ship, so that if the sonar might sensitize them, it should not be associated

118 with the ship sound. The two consecutive sonar sessions (sonar 1 and sonar 2) were conducted

119 to investigate potential habituation or sensitization to the sonar. An example of a full

120 experiment data record is shown in figure 1, and other examples can be found in Kvadsheim

121 et al. 2015. The no-sonar control session consisted of the source vessel approaching the

122 tagged whale in the same way as during a sonar exposure, but without any sonar transmission.

123 This control was done to be able to clearly separate any potential reaction to the approaching

124 vessel from responses to the sonar transmission. The sonar source was a multi-purpose towed

125 acoustic source, SOCRATES II (TNO, The Hague, The Netherlands). The sonar signal was a
126 1.3-2.0 kHz upsweep transmitted every 20s with a 0.5s and 1.0s duration for ramp-up and
127 full-power periods, respectively. During each sonar exposure session, transmission was
128 initiated at a planned distance of 1250 m from the tagged whale, as this was the distance
129 covered during 5 min at 8 knots sailing speed. The source ship approached the whale for 5min
130 at 8 knots on a constant course while gradually increasing the transmitted source level (ramp-
131 up procedure) from 152 dB to a maximum source level of 214 dB re 1 $\mu\text{Pa}\cdot\text{m}$ at the expected
132 closest point of approach (CPA, designed to be 0m from the animal based on its pre-exposure
133 movement pattern). Then, the source ship continued to transmit at full power for another 5
134 min while moving away from the animal. This procedure was done to achieve a gradual
135 increase of the received sound level as the ship moved towards the animal, as well as to
136 simulate a "worst case scenario" with the source ship moving directly towards it. The time
137 interval between the two sonar exposures was planned to be minimum one hour, or longer if
138 animals was apparently still responding. Each sonar exposure had a 10-minute duration
139 including 5 min of ramp up followed by 5 min of full power transmission. In two cases, the
140 second sonar exposure lasted only 5 minutes, without the preceding ramp-up. The order of the
141 three exposures was always the same; first the no-sonar control followed by the two sonar
142 exposures.

143

144 **Lunge detection**

145 Lunges were detected following the method of Simon et al. (2012), using the relative drop in
146 flow-noise within a short time window when a lunge event occurs. Sound recordings of the
147 Dtag were first low-pass filtered (6-order Butterworth filter at 500 Hz) and the sound pressure
148 level (root mean square) of 40 ms blocks was calculated and resampled to the same sampling
149 rate as the non-acoustic data (i.e. 5 Hz). Then, potential lunge events were automatically

150 detected using a “lunge detector” programmed in MATLAB (The MathWorks, version
151 2012b). This detector followed two steps: first, the detector extracted all potential lunges
152 identified as noise peaks that 1) exceeded the 90% exceedance level the 90th percentile of the
153 flow-noise samples recorded from the same tag record in periods when the animal was deeper
154 than 5 m, and 2) were followed by at least a 12 dB drop in flow noise within 5 s. This 5 s
155 period was truncated if the whale reached the surface (defined as a depth of 0.5 m) to discard
156 drops in the noise when the tag is in the air as the whale surfaced to breathe. The reason for
157 only using noise data when the descending animal was deeper than 5 m was to avoid loud
158 surfacing splashes being detected as lunges (see fig. 2c). In the second step, each detected
159 lunge was evaluated visually to confirm that it was not a false positive. Since a lunge is
160 accompanied with a peak in the jerk signal (i.e. rate of change of acceleration; Simon et al.
161 2012), a peak in the jerk signal needed to be identified for a detection to be assigned as a
162 lunge. In uncertain cases, the data were further evaluated by inspecting the spectrogram and
163 listening to the sound file to determine whether a detected lunge was real or not. In rare cases
164 when the lunge assignment was still uncertain, the suggested lunge was discarded.

165

166 **Statistical analysis**

167 We were interested in examining whether the lunge-feeding rate of the whales changed across
168 the three types of exposure sessions: the no-sonar control and both sonar sessions. The no-
169 sonar control was used as a negative control to separate a potential effect of the source ship
170 itself to an effect of the sonar. Both sonar sessions, i.e. sonar 1 and sonar 2, were compared to
171 the no-sonar control. For each of the three sessions, the number of lunges was divided into
172 three different phases: during the exposure period (*Dur*), before (*Pre*) the exposure and after
173 (*Post*) the exposure session, the last two phases corresponding respectively to the periods
174 immediately preceding (*Pre*) or following (*Post*) the exposure and being of equal duration as

175 the exposure session (10 or 5 minutes).

176 The data were analysed using a Poisson Generalized Estimation Equation (GEE)
177 model in R software version 3.0.2 (R Development Core Team 2013) using the geepack
178 (Højsgaard et al., 2006). GEEs allow us to estimate population average parameters from
179 correlated and clustered data by appropriately inflating the standard errors (Hardin and Hilbe,
180 2003). This allows us to account for differences between individual whales as well as
181 correlation within individual whales, as most tagged whales were tested with multiple types of
182 exposures. In this analysis, two of the tagged animals were part of the same group and
183 therefore could not be assumed to be independent of each another. Therefore, we set the
184 tagged whale group ID as the blocking unit in the model instead of the tagged whale ID. We
185 assumed an independent correlation structure within the blocks and used a standard robust
186 sandwich variance estimate for all reported results (Hardin and Hilbe, 2003). The response
187 variable was the number of lunges in a given phase (*Pre*, *Dur* or *Post* phases) Due to two
188 animals being subjected to 5-minute exposures instead of 10-minute exposures, a weighting
189 term for exposure duration was included to account for the unequal time over which lunges
190 were counted. Explanatory covariates included phase (*Pre*, *Dur*, *Post*), session_order (no-
191 sonar control, sonar 1, sonar 2) and the two-way interaction term phase: session_order.

192 Hypothesis-based model selection was performed using p-values and backwards
193 selection. The Wald test statistics and p-value for each parameter estimate in a GEE model
194 indicate the significance of the difference between factor levels, not the contribution of the
195 factor covariate to model fit. Therefore for model selection, we used the p-values given by an
196 ANOVA (sequential Wald test) on the fitted model object with a significance threshold of
197 0.05. For inference purposes we used prediction plots generated from the selected model. The
198 95% confidence intervals for the predictions presented were calculated using a parametric
199 bootstrap on the GEE-based covariance matrix from the selected model. Upper and lower

200 quantiles (2.5 and 97.5) were calculated from 5000 bootstrap iterations.

201 In addition, we used the output from the 5000 bootstraps to make comparisons
202 between specific factor level combinations of interest. We calculated the differences between
203 predictions for different factor levels across all bootstraps as well as a 95% confidence
204 interval for these differences. We concluded that there was a significant increase or decrease
205 in the number of lunges in cases where the upper and lower confidence limits for the
206 differences were exclusively positive or negative. Where the confidence interval included
207 zero we concluded that there was no significant difference between factor levels as there was
208 a 95% chance that the true difference between factor levels was zero.

209 In the statistical analysis, all animals (n=13) were used, independent of foraging status
210 prior to exposure (feeding or not feeding). This is assumed to resemble the real world
211 situation where animals encountering a sonar vessel will either be in a feeding or non-feeding
212 state at the start of exposure.

213

214 **RESULTS**

215 We successfully tagged 13 humpback whales, 5 in 2011 and 8 in 2012 (Table 1). One whale
216 (mn11_157a) was subject only to the no-sonar control due to a premature tag release. The
217 remaining 12 whales were all subject to three exposure sessions: first a no-sonar control
218 followed by two sonar sessions.

219 The sonar sessions resulted in escalating dose from SPL of 80-100 dB re 1 μ Pa to maximum
220 of 160-180 dB re 1 μ Pa (Kvadsheim et al. 2015).

221 A total number of 3875 lunge events were identified throughout the entire tag records
222 for all animals, with lunging depth averaging (\pm SD) 25 \pm 39 m and ranging from 0.79 to 169
223 m. Feeding activity could be observed at any time of day. Lunges were typically detected at

224 the start of the ascent of a dive, corresponding to an increase in the jerk signal and the fluke
225 stroke rate as well as a clear increase in flow noise in the spectrogram (Figure 2).

226 There was a large variation between individuals in response to the exposures. In 16 of
227 the 31 exposure sessions (20 sonar sessions and 11 no-sonar control sessions), whales were
228 feeding prior to exposure onset. All 6 whales feeding prior to the first sonar exposure (sonar
229 1) reduced their lunge rate. One animal (mn12_178a), however, initiated lunge feeding during
230 sonar 1 (Table 1). Of the 5 whales feeding prior to the second sonar exposure (sonar 2), 4
231 reduced their lunge rate, while 1 whale (mn12_178a) increased its lunge rate (Table 1). For
232 the no-sonar control, 7 whales were feeding prior to exposure. All 7 reduced their lunge rate
233 during exposure, whereas 1 whale (mn12_164a) initiated lunging during exposure (Table 1).
234 The largest decrease in number of lunges was recorded for humpback whale mn12_180a
235 during the first sonar exposure, with a drop from 15 lunges in the *Pre* phase to 1 lunge in the
236 *Dur* phase.

237 For all three sessions types (no-sonar control, sonar 1, sonar 2) there was an overall
238 reduction in the observed lunge rate in the *Dur* phase compared to the *Pre* phase (Figure 3).
239 The mean reduction in *Dur* relative to *Pre* was 24% for no-sonar control, 68% for sonar 1 and
240 66% for sonar 2. The selected model following the backwards selection procedure was the full
241 model with both main effect terms and the interaction term. The interaction term
242 phase:session_order significantly contributed to model fit according to the ANOVA ($p < 0.001$)
243 and so both main effect terms were also retained. Hence, there was a significant effect of
244 phase (*Pre*, *Dur*, *Post*) on lunge rate but this effect differed across the exposure types (no-
245 sonar control, sonar 1, sonar 2). We used the bootstrap predictions of the number of lunges
246 from this selected model to quantify the differences between phases of particular
247 sessions. This analysis indicated that the reduction in lunges for sonar 1 and sonar 2 from the
248 *Pre* to the *Dur* phase were significant as the upper and lower confidence bounds for the

249 difference were exclusively positive (95% confidence, Table 2, Figure 3). However, this was
250 not the case for the no-sonar control (95% chance that the true difference between *Pre* and
251 *Dur* was zero) (Table 2). Moreover, the reduction from *Pre* to *Dur* for sonar 1 was
252 significantly different from the reduction from *Pre* to *Dur* for no-sonar control (95%
253 confidence), but this was not the case for sonar 2 (Table 2).

254 The number of lunges over a 10 minute period was still reduced in the *Post* period
255 compared with the *Pre* period, with an average reduction from *Pre* to *Post* of 68% and 48%
256 for sonar 1 and sonar 2, respectively (see Table 2 and Fig. 3 for uncertainty around these mean
257 reductions). These differences represent significant reductions between the *Pre* and *Post*
258 phases for the two sonar exposures (95% confidence; Table 2). Again, for the no-sonar
259 control, there was no significant reduction in the lunge rate between the *Pre* and *Post* phases
260 as the 95% confidence interval of the differences included zero.

261

262 **DISCUSSION**

263 **Effect of sonar on feeding**

264 Humpback whales reduced their lunge rate during exposure to an approaching vessel
265 transmitting naval low-frequency sonar signals (1.3-2.0 kHz). Animals were exposed to the
266 same sonar signals during two consecutive sessions, and in both cases the reduction
267 represented a significant change in lunge rates (95% confidence; Table 2). When the whales
268 were exposed to the same vessel approaching in the same way but without the sonar
269 transmitting, the reduction in lunge rate was not significantly different from lunge rate in the
270 baseline period (*Pre* phase) before any exposures, indicating that the response can be
271 attributed to the sonar exposure and not to the vessel approaching. Furthermore, the reduction
272 during sonar 1 differed from no-sonar control, but sonar 2 did not (Table 2), despite the
273 relatively similar average reduction (68 and 66%, respectively). The individual variation

274 during sonar 2 was much greater than for sonar 1, which probably explains the lack of
275 significant effects of sonar 2. The large individual variability may indicate that some animals
276 habituated, while others did not. An alternative explanation is that there was a cumulative
277 effect of two exposures and that animals had not fully recovered from the first exposure at the
278 start of the second (the feeding rate in the *Pre* period of sonar 2 was somewhat lower than in
279 the *Pre* period of sonar 1) but not significantly different (Table 2), indicating this not to be an
280 overall explanation. Our data does not give any indication of sensitisation to the sonar, as
281 weaker, not stronger responses were seen to the second exposure. The whales did not resume
282 their pre-exposure feeding rate immediately after the end of sonar exposure (mean lunge rate
283 for sonar1/sonar 2 of *Pre* = 0.53/0.42 and *Post* = 0.17/0.14 lunges/minute), showing reduced
284 lunge rates post-exposure (Table 2), indicating whales did not immediately resume feeding
285 activity following sonar exposure.

286 Beside the hypothesis that naval sonar signals might directly affect the feeding
287 behaviour of humpbacks, another possibility to explain the decrease in feeding activity is that
288 the prey reacted to the sonar, e.g. by diving, thus becoming less accessible for the whale
289 predator. We do not know what the tagged humpback whales were feeding on, but green and
290 brown feces were seen regularly in vicinity of the tagged whales, indicating both fish and
291 krill. This is supported by reports of humpback whales in the Barents Sea feeding on
292 zooplankton such as krill and amphipods as well as capelin and to some degree herring
293 (Skern-Mauritsen et al. 2011; Nøttestad et al. 2014). Only for herring are the sonar signals
294 within audible range (Enger 1967), but several studies have shown that herring do not show
295 any behavioural response to such sonar signals even at very high received levels (Doksæter et
296 al. 2009, 2012, Sivle et al. 2012). A change in prey distribution is therefore not likely to be the
297 cause of the decreased feeding activity.

298

299 **Reasons for individual variability**

300 Behavioural responses of marine mammals to sound depend on contextual variables,
301 including external factors such as sound source level, signal characteristics, background noise
302 levels, rise time of the signal and time of day, as well as internal factors such as current
303 activity, motivation, past experience with the sound, age, sex and presence of offspring
304 (Wartzok et al. 2003). Although the present dataset overall showed that humpback whales
305 reduced their foraging activity during experimental sonar exposures, there was substantial
306 variability between the different individuals tested. Some whales responded by a total
307 cessation of feeding immediately after exposure started (e.g. mn12_164a, sonar 1), some
308 showed a moderate reduction of feeding (e.g. mn12_170a sonar 1), and one whale actually
309 initiated feeding (mn12_178a sonar 1). In a sonar exposure with two tagged individuals
310 (mn12_170ab), one of the whales stopped feeding (tag b), while the other continued (tag a)
311 (see Kvadsheim et al. 2015 for plots of all experiments).

312 Responses of humpback whales to various stimuli may depend on group composition
313 (Tyack 1983, Dunlop et al. 2013, Curé et al. 2015). In our dataset, some animals were in
314 groups of 2-3 animals, some solitary (Table 1). For the no-sonar control, animals in groups
315 (e.g. mother-calf pairs) had a higher reduction in lunge rate than the solitary animals,
316 indicating that animals in groups may be more reactive to disturbance. McCauley et al. (1998)
317 suggested that different classes (e.g. age, sex, group composition) of humpback whales may
318 have different sensitivity to seismic signals, e.g. adult males may be less likely to alter their
319 behaviour. This may also apply to the humpback whales in the present study, with the
320 strongest reduction in foraging activity seen in animals associated with a calf (mn11_160a and
321 mn12_180a). The same humpbacks with calves in the present study also responded more
322 strongly to predator (killer whale) sound playbacks than other group composition classes
323 (Curé et al. 2015). Thus, it could be that groups with calves in general react more strongly to

324 any potential disturbance stimuli, such as naval sonar, immediate predator presence, or vessel
325 approach.

326

327 **Biological significance of reduced feeding activity**

328 Humpback whales migrate to high-latitude waters in summer to feed in order to accumulate
329 energy reserves to be invested in low-latitude breeding in winter. Time and behaviour on the
330 feeding ground must therefore be optimized to acquire energy, with whales maximizing their
331 daily intake by feeding on high prey densities and using as little time as possible to find and
332 capture prey (Friedlaender et al. 2013). During one lunge, a humpback whale can engulf a
333 volume of water and prey equal to two-thirds of its body mass (Pivorunas 1979, Goldbogen et
334 al. 2007). Thus, every lunge missed may decrease food intake. The biological significance of
335 feeding disruption will depend on the duration of the response as well as how often the whales
336 are exposed. A full scale naval sonar exercises may last for hours and even days, thus with
337 potential consequences for whale energy acquisition within this period. Such a full scale sonar
338 operation may involve additional components such as multiple ships, submarines and
339 underwater communication equipment that may add to this disturbance.

340 In addition to sonar, these humpback whales were also exposed to playbacks of
341 mammal-eating killer whale (*Orcinus orca*) feeding sounds simulating an increased predation
342 risk (reported in Curé et al. 2015). The humpback whales abruptly stopped lunging activity
343 when exposed to killer whale feeding sounds (Curé et al. 2015), and often did not resume
344 feeding within 1 hour (Sivle et al. 2015). Killer whales do regularly target humpback whales
345 (Jefferson et al. 1991; McCordic et al. 2013), and prey are expected to undertake fitness-
346 reducing behavioural decisions if they are balanced by a reduction in predation pressure on
347 fitness. Sonar exposure seem to induce an alteration of the foraging activity similar to the
348 response seen when there is an immediate risk of predation attacks. This indicatethat the

349 humpback whales use similar strategies of response to different disturbance stimuli, but also
350 that they may be willing to compromise with fitness enhancing activities also when exposed
351 to sonar.

352

353 *Conclusions*

354 The current study documents that naval sonar can disrupt feeding behaviour in humpback
355 whales when the sonar operates in close vicinity to the whales, and that feeding behaviour can
356 remain disrupted after the end of exposure. The observed response to sonar may be of high
357 biological relevance if they are exposed frequently as it entails reduction of feeding in a
358 seasonal prime feeding habitat.

359

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499

500 **Table and figure legends**

501 Table 1. Overview of experiments. For each tagged animal, the date of tag deployment and
502 total number of lunges exhibited by the tagged whale and detected during the tag record are
503 given. Each of the three exposure sessions, i.e. no-sonar control, sonar 1 and sonar 2, are
504 described in more detail with their start time and number of lunges in their three phases *Pre*
505 (pre-exposure), *Dur* (during exposure) and *Post* (post-exposure). The group composition of
506 the tagged whales is also given for each of the experimental sessions.

507

508 Table 2. Comparisons between different factor level combinations (each a combination of one
509 factor level of session_order and one factor level of period). Period could be one of the three
510 phases (*Pre*, *Dur*, *Post*) or one of the two magnitudes of change between phases *Pre* and *Dur*
511 (*Pre_Dur*) and between phases *Pre* and *Post* (*Pre_Post*). The comparisons are made by
512 calculating the difference between factor level combinations over 5000 bootstrap iterations.
513 Here we report the mean difference and the lower and upper 2.5 percentiles. A “*” indicates
514 comparisons where the quantiles do not span zero, suggesting that there is some difference
515 between factor levels at the 95% confidence level.

516

517 Figure 1: An example of the entire 18 h tag record of mn12_164a with the different periods of
518 the tag recorded indicated. Baseline period is the period preceding any exposure. Exposure
519 includes the three sessions analyzed in this study (no-sonar control in blue, sonar 1 and sonar
520 2 in yellow), plus the killer whale playback (in pink) analyzed in Curé et al. 2015. Exposures
521 were always conducted in this same order. The last post-exposure recovery period after all
522 exposure sessions was particularly long to evaluate potential recovery time in cases of severe
523 responses.

524

525 Fig.2. Typical example of a 5minute sample of Dtag data (mn12_170a) illustrating a foraging
526 dive with five lunge feeding events. a) Depth profile with lunges indicated as red filled
527 circles, b) Body orientation of the whale in degrees shown as up-down pitch angle (blue) and
528 roll angle about the body axis (green), c) Spectrogram of the sound recording with a 4 kHz
529 upper cut-off showing increase in the flow-noise corresponding to the occurrence of the
530 lunges(arrows). The loud paired broadband impulses are splashes when the animal surfaces
531 (arrows). d) Jerk signal (acceleration rate of change) showing accelerationpeaks at times of
532 lunges e) Fluke stroke activity in degrees, derived as the pitch deviation, showing the whale
533 is actively fluke stroke during lunges. The jerk signal was used in the second step of the
534 lunge detection to verify whether a detected lunge was not a false positive.

535

536

537 Fig. 3. GEE model results. Predicted number of lunges for 10-minute time periods obtained
538 from the selected GEE model. The bars show predictions from the selected model for each
539 combination of the factor covariates, while error bars indicate 95 percentile confidence
540 intervals from a parametric bootstrap. See Table 2 for tests of significance of specific
541 contrasts.

542

Whale id	Date	Total # lunge	No-sonar control				Sonar 1				Sonar 2						
			Start time (UTC)	Pre	Dur	Post	Group composition	Start time (UTC)	Pre	Dur	Post	Group composition	Start time (UTC)	Pre	Dur	Post	Group composition
mn11_157a	06.06.2011	85	07:08:50	0	0	0	0	09:23:00	0	0	0	0	0	0	0	0	solitary
mn11_158a	07.06.2011	158	18:37:00	3	1	2	group of 4 animals, no calf	-	-	-	-	-	-	-	-	-	-
mn11_160a	09.06.2011	103	07:16:00	0	0	0	mother/ calf pair	09:14:00	0	0	0	0	0	0	0	0	mother/ calf pair
mn11_165c	14.06.2011	304	17:40:00	2	0	5	mother/ calf pair	19:56:00	9	2	1	1	1	0	0	0	mother/ calf pair
mn11_165d	14.06.2011	522	17:40:00	6	3	7	mother/ calf pair	19:56:00	10	1	1	1	1	0	0	0	mother/ calf pair
mn12_161a	09.06.2012	193	22:44:00	5	2	1	solitary	00:59:00	0	0	0	0	0	0	0	0	solitary
mn12_164a	12.06.2012	325	22:28:00	0	7	7	solitary	00:00:30	7	0	0	0	0	7	0	6	group of 2 animals, no calf
mn12_170a	18.06.2012	255	08:49:00	0	0	0	group of 2 animals, no calf	10:41:00	7	4	0	0	0	16	9	10	group of 2 animals, no calf
mn12_170b	18.06.2012	92	08:49:00	0	0	0	pair, no calf	10:41:00	0	0	0	0	6	0	0	1	pair, no calf
mn12_171a	19.06.2012	909	16:48:00	12	11	16	solitary	18:37:00	15	8	11	11	9	1	1	1	pair, no calf
mn12_178a	26.06.2012	360	05:15:00	8	7	10	group of 3 animals, no calf	07:25:30	0	4	7	7	5	7	2	2	group of 2-3 animals, no calf
mn12_179a	27.06.2012	0	13:51:00	0	0	0	solitary	15:07:00	0	0	0	0	0	0	0	0	solitary
mn12_180a	28.06.2012	355	21:01:00	10	4	5	mother/ calf pair	22:55:00	15	1	0	0	0	0	0	0	mother/ calf pair

545 Table 2

546

Combination 1	Combination 2	Mean Difference	Lower 2.5 percentile	Upper 2.5 percentile	* indicates a difference at the 95% confidence level
No sonar control pre	No sonar control dur	0.86	-0.797	2.82	
No sonar control pre	No sonar control post	-0.56	-2.63	1.23	
Sonar 1 pre	Sonar 1 dur	3.72	1.24	7.71	*
Sonar 1 pre	Sonar 1 post	3.59	0.171	7.77	*
Sonar 2 pre	Sonar 2 dur	2.55	0.48	6.28	*
Sonar 2 pre	Sonar 2 post	2.22	0.74	5.125	*
Sonar 1 pre-dur	No sonar control pre-dur	2.84	0.2	6.6	*
Sonar 2 pre-dur	No sonar control pre-dur	1.65	-1.86	6.44	
Sonar 1 pre-post	No sonar control pre-post	4.03	0.49	8.51	*
Sonar 2 pre-post	No sonar control pre-post	2.81	0.33	6.6	*
Sonar 1 Pre	Sonar 2 Pre	1.27	4.81	6.85	

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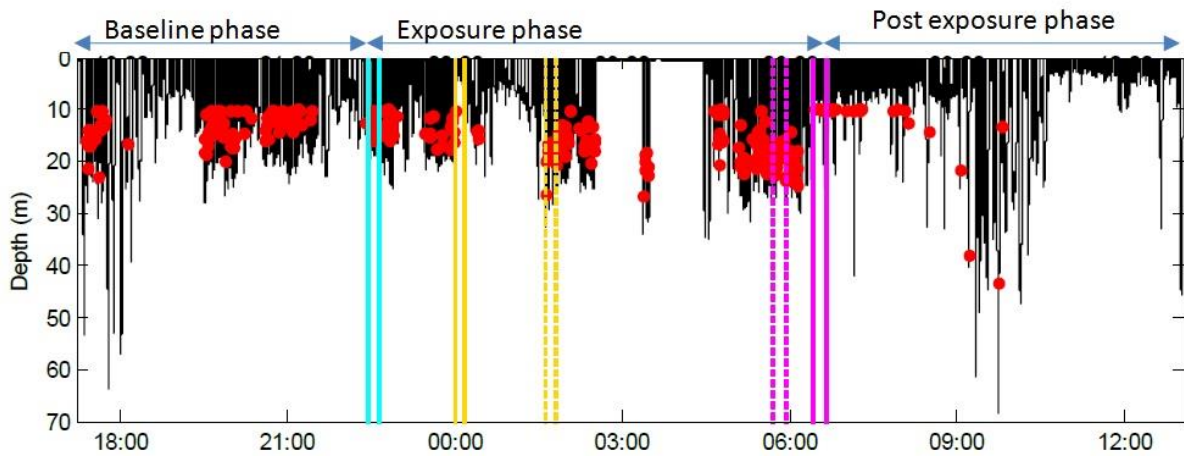
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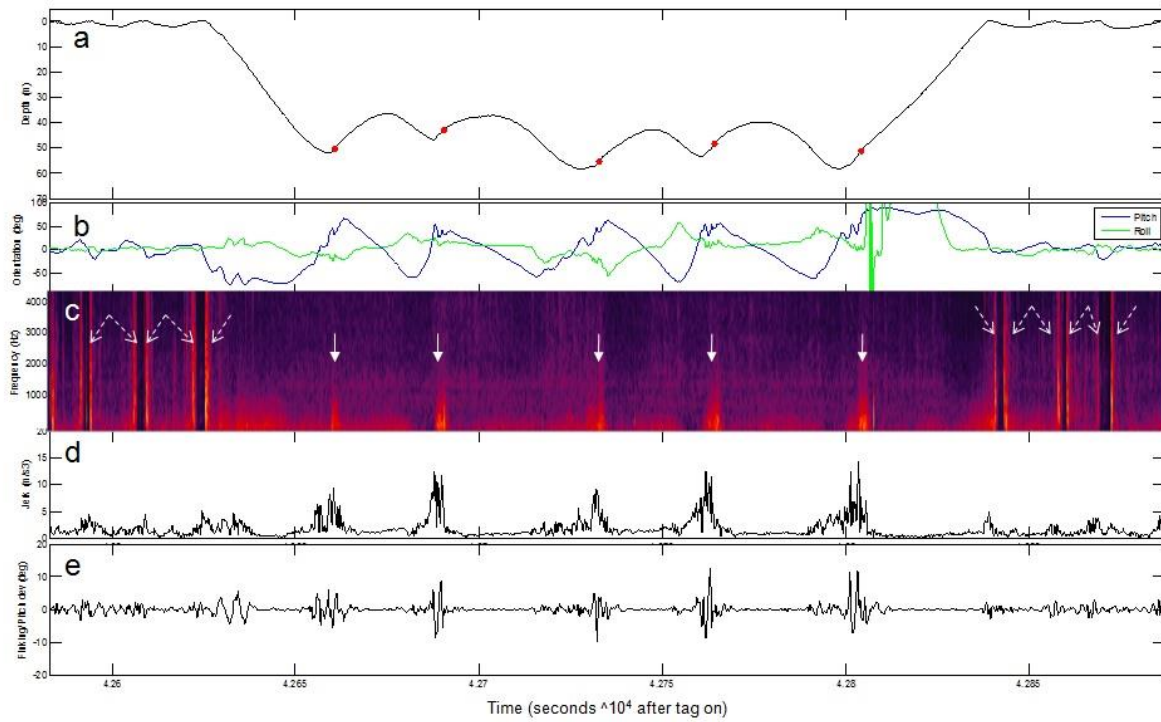
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552 Figure 1



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554 Figure 2



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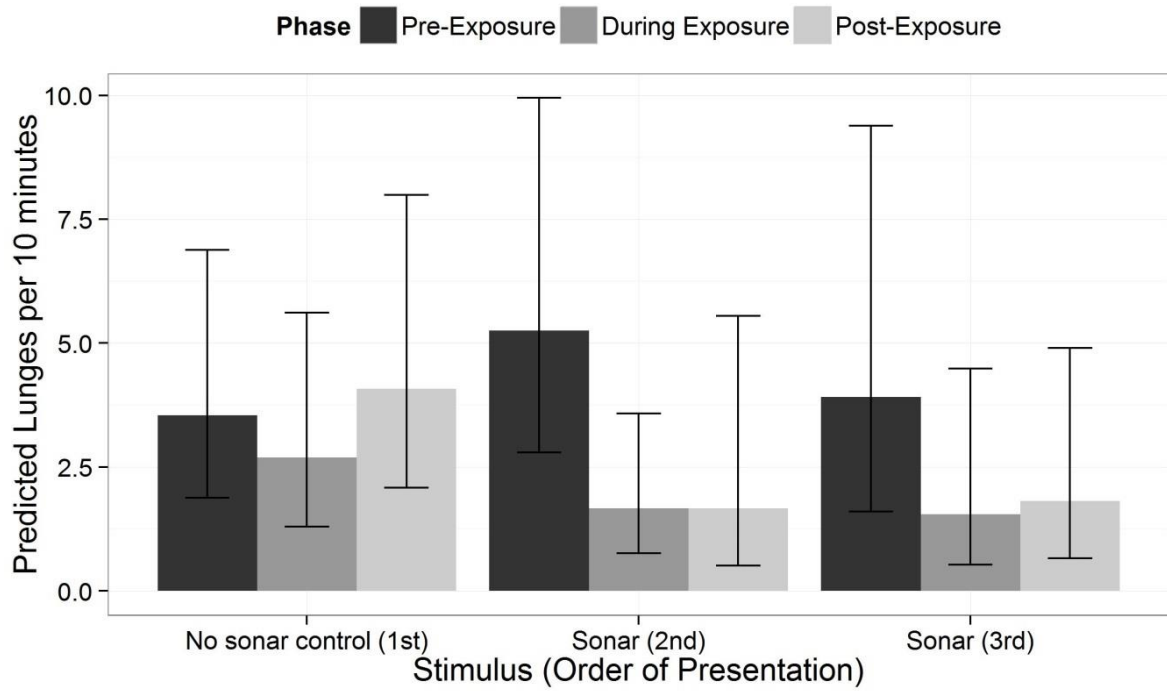
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562 Figure 3



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